

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.nysefc.org/Default.aspx?TabID=76&fid=232
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.nysefc.org/Default.aspx?TabID=76&fid=398
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	1	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6. Does the independent auditor provide non-audit services to the Authority?	No	N/A
7. Does the Authority have an organization chart?	Yes	http://www.nysefc.org/Default.aspx?TabID=76&fid=398
8. Are any Authority staff also employed by another government agency?	No	
9. Has the Authority posted their mission statement to their website?	Yes	http://www.nysefc.org/Default.aspx?TabID=76&fid=398
10 Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.nysefc.org/Default.aspx?TabID=76&fid=398
12 Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?	Yes	http://www.nysefc.org/Default.aspx?TabID=76&fid=398
Lobbying officer name	Deputy Director of Corporate Operations	N/A
13 Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	Yes	N/A

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.efc.ny.gov/Default.aspx?TabID=76&fid=263#dltop
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.efc.ny.gov/Default.aspx?TabID=76&fid=263#dltop
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=263#dltop
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=263#dltop
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	No	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Martens, Joseph J	Name	Shah, Nirav R
Chair of the Board	Yes	Chair of the Board	No
If yes, Chairman Designated by.	Governor	If yes, Chairman Designated by.	
Term Start Date	03/08/2011	Term Start Date	01/24/2011
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Commissioner NYSDEC	Title	Commissioner NYSDOH
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	Yes
Designee Name	Gerstman Marc	Designee Name	Michael Cambridge
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	Yes	Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Perales, Cesar A	Name	Kruzansky, Charles
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	06/06/2011	Term Start Date	12/31/2006
Term Expiration Date	Ex-Officio	Term Expiration Date	12/31/2012
Title	Secretary of State	Title	
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	
Designee Name	George Stafford	Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	Yes	Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Corcoran, Francis T
Chair of the Board	No
If yes, Chairman Designated by.	
Term Start Date	12/31/2003
Term Expiration Date	12/31/2009
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Governor
Appointed By	Governor
Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Adams, Nichlaus	Financial Analyst	Professional				FT	Yes	55,655.00	56,459	0	0	0	0	56,459	No	
Aery, Tracy	Manager, Human Resources & Support Services	Managerial				FT	Yes	93,803.00	95,159	0	0	0	0	95,159	No	
Allen, Sandra	Director of Policy and Planning	Executive				FT	Yes	123,600.00	125,386	0	0	0	0	125,386	No	
Armstrong, Donna	Support Services Specialist	Administrative and Clerical				FT	Yes	57,239.00	58,066	0	0	0	0	58,066	No	
Barnett, Carol	Executive Assistant	Administrative and Clerical				FT	Yes	47,952.00	7,930	0	0	0	0	7,930	No	
Beaudoin, Edward	Environmental Project Engineer II	Technical and Engineering				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Bernard, Gerald	Administrative Assistant I	Administrative and Clerical				FT	Yes	36,078.00	36,599	0	0	0	0	36,599	No	
Bigelow, Tana	Green Infrastructure Coordinator	Professional				FT	Yes	61,993.00	29,089	0	0	0	0	29,089	No	
Blackwell, Judith	Deputy Counsel	Professional				FT	Yes	113,978.00	115,625	0	0	0	0	115,625	No	
Blount, Monica	Environmental Project Engineer I	Technical and Engineering				FT	Yes	64,570.00	62,896	0	0	0	0	62,896	No	

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Boyd, Tracey	Deputy Director of Finance	Managerial				FT	Yes	114,961.00	116,622	0	0	0	0	116,622	No	
Bradley, David	Deputy Director of Engineering and Program Management	Managerial				FT	Yes	110,068.00	111,659	0	0	0	0	111,659	No	
Bradt, Erin	Administrative Assistant II	Administrative and Clerical				PT	Yes	43,200.00	25,235	0	0	0	0	25,235	No	
Brizzell, William	Environmental Project Engineer III	Technical and Engineering				FT	Yes	93,803.00	95,159	0	0	0	0	95,159	No	
Brown, Dwight	Funding Coordinator	Professional				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Bullinger, Donna	Budget Analyst	Operational				FT	Yes	59,504.00	60,364	0	0	0	0	60,364	No	
Burns, Timothy	Director of Engineering & Program Management	Executive				FT	Yes	116,804.00	118,492	0	0	0	0	118,492	No	
Byrne, Brenda-Lee	Debt Service Analyst	Professional				FT	Yes	47,952.00	48,206	0	0	0	0	48,206	No	

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Callahan, Mark	Financial Information Systems Senior Analyst	Professional				FT	Yes	84,425.00	82,595	0	0	0	6,971	89,566	No	
Catizone, Lynn	Program Specialist	Operational				PT	Yes	56,212.00	34,215	0	0	0	0	34,215	No	
Cerulli, Lori	Assistant Financial Services Manager	Professional				FT	Yes	77,052.00	78,166	0	0	0	0	78,166	No	
Ching, Harry	Environmental Project Manager	Technical and Engineering				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Clark, Heather	Financial Development Manager	Managerial				FT	Yes	82,927.00	84,125	0	0	0	0	84,125	No	
Coulter, Seth	Asst Director of Investments and Financial Services	Managerial				FT	Yes	104,080.00	105,584	0	0	0	0	105,584	No	
Crossett, Lance	Deputy Counsel	Professional				FT	Yes	104,080.00	105,584	0	0	0	0	105,584	No	
Czub, Christopher	Assistant Accounting Manager	Professional				FT	Yes	76,130.00	77,230	0	0	0	0	77,230	No	
Dalton, Ryan	Federal Affairs Coordinator	Managerial				FT	Yes	71,500.00	57,750	0	0	0	0	57,750	No	

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Denno, Jason	Environmental Project Manager	Technical and Engineering				FT	Yes	81,639.00	82,819	0	0	0	0	82,819	No	
Deuel, Terrance	Environmental Project Manager	Technical and Engineering				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Doherty, Kimberly	Senior Accountant	Professional				PT	Yes	60,351.00	55,101	0	0	0	0	55,101	No	
Driscoll, Matthew	President and CEO	Executive				FT	Yes	155,000.00	157,240	0	0	0	0	157,240	No	
Endres, Allene	Financial Analyst	Professional				FT	Yes	55,655.00	56,459	0	0	0	0	56,459	No	
Feldblum, Mary	Finance Specialist	Operational				FT	Yes	50,631.00	51,363	0	0	0	0	51,363	No	
Ferrentino, Carl	Deputy Counsel	Professional				FT	Yes	114,961.00	116,622	0	0	0	0	116,622	No	
Fisher, Michael	Principal Application Developer	Professional				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Frederick, Regina	Debt Service Analyst	Professional				PT	Yes	59,504.00	30,182	0	0	0	0	30,182	No	
Gardner, Lori	Debt Service Specialist	Operational				FT	Yes	48,941.00	49,648	0	0	0	0	49,648	No	
Geiger, James	Environmental Project Engineer I	Technical and Engineering				FT	Yes	77,454.00	78,573	0	0	0	0	78,573	No	

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Glassbrenner, Carly	Administrative Assistant I	Administrative and Clerical				FT	Yes	36,078.00	36,599	0	0	0	0	36,599	No	
Gogolla, David	Environmental Project Engineer I	Technical and Engineering				FT	Yes	77,420.00	78,539	0	0	0	0	78,539	No	
Grant, Amy	Paralegal	Administrative and Clerical				FT	Yes	53,180.00	53,948	0	0	0	0	53,948	No	
Grazulis, Tahnya	Deputy Counsel	Professional				PT	Yes	93,803.00	76,127	0	0	0	0	76,127	No	
Griffin, Candyce	Accounting Specialist I	Operational				FT	Yes	50,631.00	51,363	0	0	0	0	51,363	No	
Hack, Tamara	Administrative Assistant I	Administrative and Clerical				FT	Yes	38,776.00	39,336	0	0	0	0	39,336	No	
Hahn, Brian	Program Analyst	Professional				FT	Yes	59,504.00	60,364	0	0	0	0	60,364	No	
Hale, Michael	Deputy Counsel	Professional				FT	Yes	94,962.00	96,334	0	0	0	0	96,334	No	
Harris, Annette	Secretary to the Corporation	Professional				FT	Yes	75,500.00	71,809	0	0	0	10,930	82,739	No	
Hernandez, Jonathan	MWBE Representative	Professional				FT	Yes	47,952.00	48,645	0	0	0	0	48,645	No	
Holden, Gregory	Lead Application Developer	Professional				FT	Yes	93,803.00	95,159	0	0	0	0	95,159	No	

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Hughes, Brian	Senior Financial Analyst	Professional				FT	Yes	63,000.00	27,381	0	0	0	0	27,381	No	
Jackowski, Robert	Financial Information Systems Manager	Managerial				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Johnson, Paul	Financial Services Analyst	Professional				FT	Yes	49,878.00	50,599	0	0	0	0	50,599	No	
Kerzic, Gary	Environmental Project Engineer II	Technical and Engineering				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Kichinda, Ruphence	Financial Analyst	Professional				FT	Yes	53,728.00	21,284	0	0	0	0	21,284	No	
Kistler, Christina	Senior Financial Analyst	Professional				FT	Yes	64,000.00	25,354	0	0	0	0	25,354	No	
Kutchukian, Yervant	Financial Analyst	Professional				FT	Yes	47,952.00	48,645	0	0	0	0	48,645	No	
Lanigan, Jefferey	Associate Counsel II	Professional				FT	Yes	93,803.00	95,159	0	0	0	0	95,159	No	
Lauzze, Nicholas	Debt Service Analyst	Professional				FT	Yes	47,952.00	20,287	0	0	0	0	20,287	No	
Leubner, Carla	MWBE Representative	Professional				FT	Yes	59,504.00	60,364	0	0	0	0	60,364	No	

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Levine, James	Senior Vice President and General Counsel	Executive				FT	Yes	152,886.00	155,073	0	0	0	0	155,073	No	
Lynch, MaryEllen	Senior Financial Analyst	Professional				FT	Yes	63,913.00	64,837	0	0	0	0	64,837	No	
Macri, Kathryn	Environmental Project Engineer II	Technical and Engineering				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Major, Lisa	Receptionist	Administrative and Clerical				FT	Yes	35,013.00	35,519	0	0	0	0	35,519	No	
Malinoski, Michael	Controller and Director of Corporate Operations	Executive				FT	Yes	126,603.00	128,433	0	0	0	0	128,433	No	
Mariano, Louise	Administrative Assistant II	Administrative and Clerical				FT	Yes	37,264.00	37,803	0	0	0	0	37,803	No	
Matthews, Thomas	Manager, Community & Local Government Assistance	Technical and Engineering				FT	Yes	88,266.00	89,542	0	0	0	0	89,542	No	
McAuliffe, Brigitte	Financial Analyst	Professional				FT	Yes	55,655.00	56,459	0	0	0	0	56,459	No	

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McClintock, Brian	Financial Development Manager	Managerial				FT	Yes	93,803.00	95,159	0	0	0	0	95,159	No	
McCullough, Lisa	Administrative Assistant II	Administrative and Clerical				FT	Yes	42,650.00	43,266	0	0	0	0	43,266	No	
McDonald, John	Finance Coordination Manager	Managerial				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
McEvoy, Brian	Financial Services Manager	Managerial				FT	Yes	87,634.00	88,901	0	0	0	0	88,901	No	
McGrath, Maureen	Deputy Director of Corporate Operations	Managerial				FT	Yes	112,503.00	114,129	0	0	0	0	114,129	No	
McGuire, Brian	Manager, Contracts and Budget	Managerial				FT	Yes	84,065.00	85,280	0	0	0	0	85,280	No	
Micieli, Beth	Administrative Assistant II	Administrative and Clerical				FT	Yes	43,200.00	43,824	0	0	0	0	43,824	No	
Miller, Rebecca	Environmental Project Analyst	Technical and Engineering				FT	Yes	53,099.00	40,351	0	0	0	0	40,351	No	
Mitchell, Alma	Information Specialist	Operational				FT	Yes	59,504.00	60,364	0	0	0	0	60,364	No	
Moore, Robert	Assistant Financial Development Manager	Professional				FT	Yes	77,454.00	78,573	0	0	0	0	78,573	No	

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Morwin, Leah	Accounting Specialist I	Operational				PT	Yes	50,631.00	41,090	0	0	0	0	41,090	No	
Myers, Nancy	Senior Administrative Assistant	Administrative and Clerical				FT	Yes	53,366.00	54,137	0	0	0	0	54,137	No	
Nelson, Harry	Environmental Project Engineer II	Technical and Engineering				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Ng, James	Environmental Project Engineer III	Technical and Engineering				FT	Yes	93,803.00	95,159	0	0	0	0	95,159	No	
O'Neil, Michael	Environmental Engineer II	Technical and Engineering				FT	Yes	74,000.00	74,832	0	0	0	0	74,832	No	
Palladino, Caron	Senior Administrative Assistant	Administrative and Clerical				FT	Yes	37,500.00	25,673	0	0	0	0	25,673	No	
Paolucci, Jian	Assistant Public Information Officer	Operational				FT	Yes	43,693.00	44,324	0	0	0	0	44,324	No	
Pascuzzi, Shelley	Accounting Assistant	Operational				FT	Yes	43,200.00	43,824	0	0	0	0	43,824	No	
Penner, Kaitlin	Environmental Project Engineer I	Technical and Engineering				FT	Yes	64,570.00	65,503	0	0	0	0	65,503	No	

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Petrone, Angela	Senior Program Analyst	Professional				FT	Yes	62,373.00	63,274	0	0	0	0	63,274	No	
Picarazzi-Futia, Bernadette	Senior Network Technology Analyst	Professional				FT	Yes	67,748.00	68,727	0	0	0	0	68,727	No	
Randall, Suzanna	Green Infrastructure Coordinator	Professional				FT	Yes	69,859.00	70,869	0	0	0	0	70,869	No	
Rienzo, Christopher	Assistant Financial Development Manager	Professional				FT	Yes	69,724.00	70,732	0	0	0	0	70,732	No	
Roizman, Michael	Assistant Director, Information Technology	Managerial				FT	Yes	104,080.00	105,584	0	0	0	0	105,584	No	
Rusin, Karen	Environmental Engineer I	Technical and Engineering				FT	Yes	61,993.00	45,303	0	0	0	0	45,303	No	
Sammons, Robert	Assistant to the Director of Program Management	Managerial				FT	Yes	104,080.00	105,584	0	0	0	0	105,584	No	
Sitterly, Carol	Assistant Financial Development Manager	Professional				FT	Yes	75,091.00	76,176	0	0	0	0	76,176	No	

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Smith, Beth	Contracts/ Budget Proc. Specialist	Operational				PT	Yes	51,631.00	41,902	0	0	0	0	41,902	No	
Smith, James	Environmental Project Manager	Technical and Engineering				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Sorensen, Jon	Public Information Officer	Professional				FT	Yes	80,000.00	78,968	0	0	0	5,000	83,968	No	
Sparks, Laurie	Accounting Specialist II	Operational				FT	Yes	57,261.00	58,089	0	0	0	0	58,089	No	
Spring, Barbara	Accounting Manager	Managerial				FT	Yes	93,803.00	95,159	0	0	0	0	95,159	No	
Stearns, James	Environmental Program Manager	Technical and Engineering				FT	Yes	93,803.00	95,159	0	0	0	0	95,159	No	
Sunkes, Jennifer	Financial Services Analyst	Professional				FT	Yes	59,504.00	60,364	0	0	0	0	60,364	No	
Syron, Kathryn	Program Analyst	Professional				FT	Yes	59,504.00	52,674	0	0	0	0	52,674	No	
Testa, Fred	Environmental Project Manager	Technical and Engineering				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Torkelson, Leslie	Marketing Specialist	Professional				PT	Yes	20,239.00	8,562	0	0	0	0	8,562	No	
Urba, Jeanine	Human Resources Specialist II	Operational				FT	Yes	56,113.00	56,924	0	0	0	0	56,924	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Valente, Paul	SRF Loan Process Manager	Managerial				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
VanDenburgh, Lynne	Senior Program Analyst	Professional				FT	Yes	65,737.00	66,687	0	0	0	0	66,687	No	
VanDerhooft, Carrie	Environmental Project Engineer I	Technical and Engineering				FT	Yes	67,147.00	68,117	0	0	0	0	68,117	No	
Webber, Cheryle	Environmental Project Engineer III	Technical and Engineering				FT	Yes	93,803.00	95,159	0	0	0	0	95,159	No	
Weingold, Steven	Senior Application Developer	Professional				FT	Yes	77,454.00	78,573	0	0	0	0	78,573	No	

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Marc, Gerstman	Board of Directors												X	
Cambridge, Michael J	Board of Directors												X	
Corcoran, Francis T	Board of Directors												X	
Perales, Cesar A	Board of Directors												X	
Kruzansky, Charles	Board of Directors												X	
Martens, Joseph J	Board of Directors												X	
Shah, Nirav R	Board of Directors												X	
Stafford, George R	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Allen, Sandra	Director of Policy and Planning												X	
Blackwell, Judith	Deputy Counsel												X	
Boyd, Tracey	Deputy Director of Finance												X	
Bradley, David	Deputy Director of Engineering and Program Management												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Burns, Timothy	Director of Engineering & Program Management												X	
Coulter, Seth	Asst Director of Investments and Financial Services												X	
Crossett, Lance	Deputy Counsel												X	
Driscoll, Matthew	President and CEO												X	
Ferrentino, Carl	Deputy Counsel												X	
Levine, James	Senior Vice President and General Counsel												X	
Malinoski, Michael	Controller and Director of Corporate Operations												X	
McGrath, Maureen	Deputy Director of Corporate Operations												X	
Roizman, Michael	Assistant Director, Information Technology												X	
Sammons, Robert	Assistant to the Director of Program Management												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Assets**Current Assets**

Cash and cash equivalents	\$1,099,149,305
Investments	\$0
Receivables, net	\$916,047,424
Other assets	\$18,634,245
Total Current Assets	\$2,033,830,974

Noncurrent Assets

Restricted cash and investments	\$2,245,140,512
Long-term receivables, net	\$8,687,593,454
Other assets	\$0

Capital Assets

Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0

Total Noncurrent Assets \$10,932,733,966

Total Assets \$12,966,564,940

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$1,186,880
Pension contribution payable	\$0
Other post-employment benefits	\$460,502
Accrued liabilities	\$134,291,079
Deferred revenues	\$391,935
Bonds and notes payable	\$409,575,901
Other long-term obligations due within one year	\$87,440,228
Total Current Liabilities	\$633,346,525

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$12,245,641
Bonds and notes payable	\$6,824,565,835
Long Term Leases	\$0
Other long-term obligations	\$6,121,930
Total Noncurrent Liabilities	\$6,842,933,406

Total Liabilities

\$7,476,279,931

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$5,482,260,275
Unrestricted	\$8,024,734
Total Net Assets	\$5,490,285,009

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$26,341,907
Rental & financing income	\$320,757,968
Other operating revenues	\$2,898,251
Total Operating Revenue	\$349,998,126

Operating Expenses

Salaries and wages	\$7,736,307
Other employee benefits	\$7,152,029
Professional services contracts	\$78,718
Supplies and materials	\$164,025
Depreciation & amortization	\$0
Other operating expenses	\$539,287,547
Total Operating Expenses	\$554,418,626

Operating Income (Loss) (\$204,420,500)

Nonoperating Revenues

Investment earnings	\$119,852,652
State subsidies/grants	\$771,192
Federal subsidies/grants	\$400,334,884
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$520,958,728

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$24,222,061
Other nonoperating expenses	\$771,192
Total Nonoperating Expenses	\$24,993,253
Income (Loss) Before Contributions	\$291,544,975
Capital Contributions	\$0
Change in net assets	\$291,544,975
Net assets (deficit) beginning of year	\$5,198,740,034
Other net assets changes	\$0
Net assets (deficit) at end of year	\$5,490,285,009

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Drinking Water Revolving Fund Revenue Bonds 2012A	Refunding	27,680,000.00	05/31/2012	Taxable	Negotiated	2.05	Fixed	17	435,670.00	12-EF-567	http://www.efc.ny.gov/Default.aspx?tabid=214
	New	0.00									
	Total	27,680,000.00									
Drinking Water Revolving Fund Revenue Bonds 2012B	Refunding	0.00	06/21/2012	Tax Exempt	Negotiated	2.67	Fixed	30	864,294.39	12-EF-572	http://www.efc.ny.gov/Default.aspx?tabid=213
	New	10,145,000.00									
	Total	10,145,000.00									
Drinking Water Revolving Fund Revenue Bonds 2012E	Refunding	0.00	11/15/2012	Tax Exempt	Negotiated	2.82	Fixed	30	1,251,641.64	12-EF-577	http://www.efc.ny.gov/Default.aspx?tabid=213
	New	7,905,000.00									
	Total	7,905,000.00									
State Water Pollution Control Revenue Bonds 2012A	Refunding	468,465,000.00	05/31/2012	Taxable	Negotiated	2.05	Fixed	17	435,670.00	12-EF-567	http://www.efc.ny.gov/Default.aspx?tabid=214
	New	0.00									
	Total	468,465,000.00									
State Water Pollution Control	Refunding	0.00	06/21/2012	Tax Exempt	Negotiated	2.67	Fixed	30	864,294.39	12-EF-572	http://www.efc.ny.gov/Default.aspx?tabid=213
	New	78,805,000.00									
	Total	78,805,000.00									

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
State Water Pollution Control Revolving Fund Revenue Bonds 2012C	Refunding 14,440,000.00 New 0.00 Total 14,440,000.00		06/21/2012	Taxable	Negotiated	2.68	Fixed	17	104,262.11	12-EF-572	http://www.efc.ny.gov/Default.aspx?tabid=213
State Water Pollution Control Revolving Fund Revenue Bonds 2012D	Refunding 0.00 New 316,790,000.00 Total 316,790,000.00		06/12/2012	Taxable	Negotiated	2.41	Fixed	16	408,000.00	12-EF-567	http://www.efc.ny.gov/Default.aspx?tabid=214
State Water Pollution Control Revolving Fund Revenue Bonds 2012E	Refunding 0.00 New 90,735,000.00 Total 90,735,000.00		11/15/2012	Tax Exempt	Negotiated	2.82	Fixed	30	1,251,641.64	12-EF-577	http://www.efc.ny.gov/Default.aspx?tabid=213
State Water Pollution Control Revolving Fund Revenue Bonds 2012F	Refunding 33,465,000.00 New 0.00 Total 33,465,000.00		11/15/2012	Taxable	Negotiated	1.99	Fixed	12	289,517.06	12-EF-577	http://www.efc.ny.gov/Default.aspx?tabid=213

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
EFC PILGRIM PROJECT	0.00	3,400,000.00	0.00	800,000.00	2,600,000.00
EFC WESTERN NY NUCLEAR SERVICE CENTER	0.00	7,901,000.00	0.00	6,265,000.00	1,636,000.00
EFC PIPELINE FOR JOBS (J2K)	33,750,000.00	3,560,000.00	0.00	2,510,000.00	1,050,000.00
EFC WATER POLLUTION CONTROL FUND	0.00	34,046,000.00	0.00	11,180,000.00	22,866,000.00
EFC HAZARDOUS WASTE REMEDIATION	1,200,000,000.00	324,574,000.00	0.00	15,368,000.00	309,206,000.00
EFC RIVERBANK PARK	0.00	41,360,000.00	0.00	2,785,000.00	38,575,000.00
EFC STATE PARK INFRASTRUCTURE	30,000,000.00	15,002,000.00	0.00	3,269,000.00	11,733,000.00
EFC ENVIRONMENTAL INFRASTRUCTURE PROGRAM	1,118,760,000.00	441,332,000.00	0.00	49,223,000.00	392,109,000.00
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	7,918,804,630.00	1,048,430,000.00	1,828,755,000.00	7,138,479,630.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.nysefc.org/Default.aspx?TabID=76&fid=398
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.nysefc.org/Default.aspx?TabID=76&fid=398
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Additional Comments: