

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	<a href="http://esd.ny.gov/CorporateInformation/Data/RequiredPostings/2013/2012-2013_AnnualReport_JDAAccomplishments.pdf">http://esd.ny.gov/CorporateInformation/Data/RequiredPostings/2013/2012-2013_AnnualReport_JDAAccomplishments.pdf</a>
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	<a href="http://esd.ny.gov/CorporateInformation/Data/RequiredPostings/2013/ESDIInternalControl_FY2013.pdf">http://esd.ny.gov/CorporateInformation/Data/RequiredPostings/2013/ESDIInternalControl_FY2013.pdf</a>
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	3	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6. Does the independent auditor provide non-audit services to the Authority?	No	N/A
7. Does the Authority have an organization chart?	Yes	<a href="http://esd.ny.gov/CorporateInformation/Data/JDA/JDAOrganizationalChart.pdf">http://esd.ny.gov/CorporateInformation/Data/JDA/JDAOrganizationalChart.pdf</a>
8. Are any Authority staff also employed by another government agency?	No	
9. Has the Authority posted their mission statement to their website?	Yes	<a href="http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html">http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html</a>
10 Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		<a href="http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html">http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html</a>
12 Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?	Yes	<a href="http://esd.ny.gov/CorporateInformation/Data/JDA/JDALobbyingContactsPolicy.pdf">http://esd.ny.gov/CorporateInformation/Data/JDA/JDALobbyingContactsPolicy.pdf</a>
Lobbying officer name	Lawrence A. Jacobs	N/A
13 Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	Yes	N/A

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://esd.ny.gov/CorporateInformation/Data/JDA/JDACommittees.pdf">http://esd.ny.gov/CorporateInformation/Data/JDA/JDACommittees.pdf</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html">http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html">http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html">http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	No	N/A
Time and Attendance	No	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	No	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

**Board of Directors Listing**

Name	Rivera , Peter M	Name	Lawsy, Benjamin
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	07/09/2012	Term Start Date	05/27/2011
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	NYS Commissioner of Labor	Title	Superintendent of Financial Services
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	Yes
Designee Name	Mario Musolino	Designee Name	Anthony Albanese
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

<b>Name</b>	Aubertine, Darrel	<b>Name</b>	Maniglia, Andrew J
<b>Chair of the Board</b>	No	<b>Chair of the Board</b>	No
<b>If yes, Chairman Designated by.</b>		<b>If yes, Chairman Designated by.</b>	
<b>Term Start Date</b>	04/05/2011	<b>Term Start Date</b>	03/18/2009
<b>Term Expiration Date</b>	Ex-Officio	<b>Term Expiration Date</b>	01/01/2011
<b>Title</b>	Commissioner of Agriculture and Markets	<b>Title</b>	
<b>Has the Board member appointed a designee?</b>	Yes	<b>Has the Board member appointed a designee?</b>	
<b>Designee Name</b>	Steve McGrattan	<b>Designee Name</b>	
<b>Ex-officio</b>	Yes	<b>Ex-officio</b>	No
<b>Nominated By</b>	Governor	<b>Nominated By</b>	Governor
<b>Appointed By</b>	Governor	<b>Appointed By</b>	Governor
<b>Confirmed by Senate?</b>		<b>Confirmed by Senate?</b>	Yes
<b>Has the Board member/designee signed the acknowledgement of fiduciary duty?</b>	Yes	<b>Has the Board member/designee signed the acknowledgement of fiduciary duty?</b>	Yes
<b>Complied with training requirement of Section 2824?</b>	No	<b>Complied with training requirement of Section 2824?</b>	Yes
<b>Does the Board member/designee also hold an elected or appointed State gove</b>	Yes	<b>Does the Board member/designee also hold an elected or appointed State gove</b>	No
<b>Does the Board member/designee also hold an elected or appointed municipal government position?</b>	No	<b>Does the Board member/designee also hold an elected or appointed municipal government position?</b>	No

Board of Directors Listing

Name	Vacant	Name	Barsky, Adam
Chair of the Board		Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date		Term Start Date	10/22/2007
Term Expiration Date		Term Expiration Date	01/01/2011
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Vacant	Name	Youssouf, Emily A
Chair of the Board		Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date		Term Start Date	05/27/2009
Term Expiration Date		Term Expiration Date	01/01/2011
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	Adams, Kenneth	Name	Vacant
Chair of the Board	Yes	Chair of the Board	
If yes, Chairman Designated by.	By Virtue of Position	If yes, Chairman Designated by.	
Term Start Date	04/05/2011	Term Start Date	
Term Expiration Date	Ex-Officio	Term Expiration Date	
Title	Commissioner of Department of Economic Development	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	

Board of Directors Listing

Name	Yunis, Nicholas
Chair of the Board	No
If yes, Chairman Designated by.	
Term Start Date	06/24/1996
Term Expiration Date	01/01/2000
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Governor
Appointed By	Governor
Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	No
Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Hankin, Arana	Director, Atlantic Yards Project	Professional				PT	Yes	0.00	0	0	0	0	0	0	Yes	No
Heilbrunn, Peter	Director, Debt Management	Professional	Treasury			PT	Yes	0.00	0	0	0	0	0	0	Yes	No
Kwan, Wendy	Dir. of Systems Administration	Professional	Controller			PT	Yes	0.00	0	0	0	0	0	0	Yes	No
Mize, Kathleen	Controller	Executive	Controller			PT	Yes	0.00	0	0	0	0	0	0	Yes	No
Pidedjian, Antovk	Senior Counsel, Lending Programs	Professional	Legal			PT	Yes	0.00	0	0	0	0	0	0	Yes	No
Rosario, Mary	Accts. Receivable Administrator	Professional	Portfolio Management			PT	Yes	0.00	0	0	0	0	0	0	Yes	No
Salaberrios, Rafael	Senior Director - Economic Empowerment	Managerial	Economic Empowerment			PT	Yes	0.00	0	0	0	0	0	0	Yes	No

**Benefit Information**

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Barsky, Adam	Board of Directors												X	
Yunis, Nicholas	Board of Directors												X	
Rivera, Peter M	Board of Directors												X	
Maniglia, Andrew J	Board of Directors												X	
Youssef, Emily A	Board of Directors												X	
Adams, Kenneth	Board of Directors												X	
Lawsy, Benjamin	Board of Directors												X	
Musolino, Mario	Board of Directors												X	
Aubertine, Darrel	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	
Albanese, Anthony	Board of Directors												X	
McGrattan, Steve	Board of Directors												X	
Vacant	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? No

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
Empire State Local Development Corporation	ACTIVE	
New York Liberty Development Corporation	ACTIVE	

**Subsidiary/Component Unit Creation**

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
Canal Side Local Development Corporation	02/08/2012	The Corporation is formed to finance the design and development of a portion of certain Lake Erie waterfront development projects in the City of Buffalo, New York, including, but not limited to, the Canal Side Land Use Improvement Project.
Brooklyn Arena Local Development Corporation	11/06/2008	The Corporation is formed to finance certain components of a redevelopment project in the Atlantic Yards area of Brooklyn, New York (the "Atlantic Yards Redevelopment Project") including the design, development, construction and operation of an arena for use by a professional basketball team and for other sports and arena events and, if deemed beneficial to the overall Atlantic Yards Redevelopment Project, the infrastructure and land related to such project, all for the public objective of removing blight, relieving and reducing unemployment, promoting and providing for additional and maximum employment, bettering and maintaining job opportunities, and lessening the burdens of government of the State of New York and New York Job Development Authority.

**Subsidiary/Component Unit Termination**

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$2,026,599
Investments	\$100,673,205
Receivables, net	\$5,585,611
Other assets	\$119,276
<b>Total Current Assets</b>	<b>\$108,404,691</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$87,791
Long-term receivables, net	\$21,719,908
Other assets	\$138,827
<b>Capital Assets</b>	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
<b>Total Noncurrent Assets</b>	<b>\$21,946,526</b>
<b>Total Assets</b>	<b>\$130,351,217</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$20,926
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$222,856
Deferred revenues	\$14,572,024
Bonds and notes payable	\$3,090,000
Other long-term obligations due within one year	\$45,000
<b>Total Current Liabilities</b>	<b>\$17,950,806</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$12,345,000
Long Term Leases	\$0
Other long-term obligations	\$26,170,706
<b>Total Noncurrent Liabilities</b>	<b>\$38,515,706</b>

**Total Liabilities**

\$56,466,512

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$0
Restricted	\$73,884,705
Unrestricted	\$0
<b>Total Net Assets</b>	<b>\$73,884,705</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$0
Rental & financing income	\$2,068,175
Other operating revenues	\$3,578,215
<b>Total Operating Revenue</b>	<b>\$5,646,390</b>

Operating Expenses

Salaries and wages	\$190,878
Other employee benefits	\$0
Professional services contracts	\$0
Supplies and materials	\$0
Depreciation & amortization	\$98,402
Other operating expenses	\$1,543,379
<b>Total Operating Expenses</b>	<b>\$1,832,659</b>

**Operating Income (Loss)** \$3,813,731

Nonoperating Revenues

Investment earnings	\$141,756
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
<b>Total Nonoperating Revenue</b>	<b>\$141,756</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$26,325
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
<b>Total Nonoperating Expenses</b>	<b>\$26,325</b>
<b>Income (Loss) Before Contributions</b>	<b>\$3,929,162</b>
<b>Capital Contributions</b>	<b>\$0</b>
<b>Change in net assets</b>	<b>\$3,929,162</b>
<b>Net assets (deficit) beginning of year</b>	<b>\$69,955,543</b>
<b>Other net assets changes</b>	<b>\$0</b>
<b>Net assets (deficit) at end of year</b>	<b>\$73,884,705</b>

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

**New Debt Issuances List by Type of Debt and Program**

Type Of Debt: Conduit Debt

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
7 World Trade Center Project	Refunding 0.00 New 450,290,000.00 Total 450,290,000.00	649519 CM5	04/05/2012	Tax Exempt	Negotiated	4.48	Fixed	32	0.00		<a href="http://esd.ny.gov/CorporateInformation/Data/JDA/NYLDC_FY13OfficialStatement.pdf">http://esd.ny.gov/CorporateInformation/Data/JDA/NYLDC_FY13OfficialStatement.pdf</a>

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
NEW YORK JOB DEVELOPMENT AUTHORITY	750,000,000.00	18,940,000.00	0.00	3,505,000.00	15,435,000.00
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation					
Revenue					
Other Non-State Funded					
<b>Conduit</b>					
Conduit Debt	0.00	6,577,505,842.00	450,290,000.00	44,839,059.00	6,982,956,783.00
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html">http://esd.ny.gov/CorporateInformation/JobDevelopmentAuthority.html</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://esd.ny.gov/CorporateInformation/Data/032013_PropertyDisposition.pdf">http://esd.ny.gov/CorporateInformation/Data/032013_PropertyDisposition.pdf</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

**Additional Comments:**

NOTE TO STAFF The headcount reported in the Staff Tab of the New York Job Development Authoritys FY 2013 Annual Report is a subset of the total headcount reported in the Staff Tab of the New York State Urban Development Corporations FY 2013 Annual Report. NOTE TO SCHEDULE OF DEBT The New York Job Development Authority (JDA) has no conduit debt and none was reported in past fiscal years. However, JDA has formed four local development corporations (LDCs), which were created under Section 1411 of the Notforprofit Corporation Law and Section 1804(13) of the New York Job Development Authority Act. Two of these LDCs have in turn issued conduit debt and all of these LDCs are now included in this report as component units of JDA to provide additional disclosures of debt issuance by entities formed by JDA. Accordingly, the conduit debt reported in the Schedule of Debt is the aggregate of all of the conduit debt issued to date by these LDCs. A detailed breakdown and full discussion and presentation of the debt of each of these LDCs, including conduit debt, if any, is set forth in Note 3 to JDAs 201213 Audited Financial Statements, which are posted to the ESD website

<http://esd.ny.gov/CorporateInformation/Data/FinancialDocuments/03312013NYJobDevelopmentAuthorityFS.PDF>. In addition, a debt schedule for each LDC, in the form called for in the annual report, is separately posted to the ESD website

<http://esd.ny.gov/CorporateInformation/Data/FinancialDocuments/LDCConduitDebtSchedules2013.pdf>. These debt schedules provide a clear breakdown of the debt, if any, issued by each LDC issuer. NOTE TO PROPERTY DOCUMENTS QUESTION #2 ESD (UDC) and JDA have combined their administrative operations. JDA operates under the Property Disposition Guidelines and procedures adopted by ESD.