

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	2	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6. Does the independent auditor provide non-audit services to the Authority?	No	N/A
7. Does the Authority have an organization chart?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
8. Are any Authority staff also employed by another government agency?	Yes	HFA, AHC, MBBA, TSFC, HTFC and DHCR
9. Has the Authority posted their mission statement to their website?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
10 Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
12 Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
Lobbying officer name	Alejandro J. Valella, VP and Deputy Coun	N/A
13 Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	Yes	N/A

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationforNYHomes.htm
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationforNYHomes.htm
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationforNYHomes.htm
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationforNYHomes.htm
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Megna, Robert L	Name	Bayer, Naomi
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	06/15/2009	Term Start Date	06/03/2009
Term Expiration Date	Ex-Officio	Term Expiration Date	01/01/2013
Title	Director	Title	
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	
Designee Name	Elaine McCann	Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Governor
Appointed By	Ex-Officio	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Bergamo, Anthony	Name	Rogatz, Marge
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	01/04/2012	Term Start Date	01/16/2008
Term Expiration Date	Pleasure of Authority	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Senate Majority	Nominated By	Comptroller
Appointed By	Senate Majority	Appointed By	Comptroller
Confirmed by Senate?	Yes	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Mulrow, William J	Name	Lebowitz, Don
Chair of the Board	Yes	Chair of the Board	No
If yes, Chairman Designated by.	Governor	If yes, Chairman Designated by.	
Term Start Date	03/19/2012	Term Start Date	08/31/2009
Term Expiration Date	12/31/2015	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Governor	Nominated By	Assembly Majority
Appointed By	Governor	Appointed By	Assembly Majority
Confirmed by Senate?	Yes	Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Towns, Darryl C
Chair of the Board	No
If yes, Chairman Designated by.	
Term Start Date	04/07/2011
Term Expiration Date	Ex-Officio
Title	Commissioner
Has the Board member appointed a designee?	No
Designee Name	
Ex-officio	Yes
Nominated By	Ex-Officio
Appointed By	Ex-Officio
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Adams, Dawn V	AVP/Business Development Officer	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	88,000.00	5,754	0	0	0	0	5,754	No	
Adorno, Carmen B	Executive Assistant	Administrative and Clerical	Executive Office	UAW	SONYMA	FT	No	68,905.00	68,905	0	0	0	0	68,905	No	
Alejandro, Carlos J	Senior Engineer	Technical and Engineering	Finance & Operations	UAW	SONYMA	FT	Yes	80,000.00	72,923	0	0	0	0	72,923	No	
Asistin, Rodolfo A	Financial Manager	Professional	Finance & Operations	UAW	SONYMA	FT	Yes	89,991.00	89,991	0	0	0	0	89,991	No	
Baez, Kim L	Treasury Assistant	Administrative and Clerical	Finance & Operations	UAW	SONYMA	FT	No	48,532.00	48,532	0	0	0	0	48,532	No	
Barrington, Inga D	Mortgage Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	Yes	50,594.00	50,594	0	0	0	0	50,594	No	
Bernardo Jr., Remigio Z	Associate Counsel	Professional	Legal	UAW	SONYMA	FT	Yes	115,582.00	115,582	0	0	0	0	115,582	No	
Berrios, Maria L	AVP/Deputy Director of HR	Managerial	Finance & Operations			FT	Yes	95,970.00	95,970	0	0	3,691.15	205	99,866.15	No	
Bettis, Stephanie J	Asset Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	70,865.00	70,865	0	0	1,363	245	72,473	No	
Breland-Chestnut, Linette	Senior Financial Investor	Professional	Finance & Operations	UAW	SONYMA	FT	Yes	61,768.00	61,768	0	0	0	0	61,768	No	
Bressler, Gail T	VP/Multifamily Finance	Managerial	Housing Programs & Policy			FT	Yes	111,074.00	111,074	0	0	0	25	111,099	No	
Butler, Pamela C	Asset Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	71,636.00	71,636	0	0	1,929	485	74,050	No	

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Byers, Marsha V	Document Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	41,184.00	41,184	0	0	1,426	0	42,610	No	
Cammarata, Marie A	AVP/Mtg.Orig.Mgr.Prj.Set Asid	Managerial	Housing Programs & Policy	UAW	SONYMA	FT	Yes	101,669.00	103,957	0	0	0	100	104,057	No	
Caraballo, Gladys	Bilingual Mktg. Agent	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	46,561.00	46,018	0	0	0	0	46,018	No	
Chaudruc, Miulina	VP - Housing Debt Issuance	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	118,610.00	118,610	0	0	2,281	0	120,891	No	
Chen, George	Program Associate, NSP	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	61,360.00	61,360	906.24	0	2,360	97	64,723.24	No	
Chin, Dwayne D	Senior Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	Yes	56,982.00	56,982	0	0	2,191.62	0	59,173.62	No	
Chohey, Stephen B	VP/INTERNAL AUDIT	Managerial	Executive Office			FT	Yes	132,738.00	132,738	0	0	5,105.31	0	137,843.31	No	
Clement, Ian	Team Leader/Mortgage Svcs. Dep	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	50,000.00	50,000	0	0	0	0	50,000	No	
Cole, Karen A	Asset Manager - Buffalo Office	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	77,234.00	77,234	0	0	0	350	77,584	No	
Cooke, Jacqueline C	Secretary/Admin. Assistant	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	49,941.00	49,941	0	0	0	0	49,941	No	
Do, Theresa B	Program & Policy Administrator	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	72,480.00	72,480	0	0	0	0	72,480	No	

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Dunbar, Alton S	Document Control Coordinator	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	48,768.00	48,768	4,202	0	1,876	358	55,204	No	
Elder, Sandra B	EXEC.SECRETARY	Administrative and Clerical	Housing Programs & Policy			FT	No	59,038.00	59,038	0	0	0	0	59,038	No	
Esposito, Michael J	Vice President/SF Underwriting	Managerial	Housing Programs & Policy			FT	Yes	114,966.00	114,966	0	0	2,211	0	117,177	No	
Farrell-Guidry, Monique G	Special Assistant/PIO	Professional	Executive Office	UAW	SONYMA	FT	Yes	50,000.00	50,000	2,068	0	0	201	52,269	No	
Ferris, John H	Program Associate	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	62,580.00	62,580	0	0	2,400	0	64,980	No	
Friedman, Michael A	SVP//Director of MIF	Executive	Housing Programs & Policy			FT	Yes	158,788.00	158,788	0	0	0	0	158,788	No	
Fuller, David	Underwriter, SF Ins.Prog.	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	67,314.00	67,314	0	0	1,295	0	68,609	No	
Gallman-Camah, Agnes S	Jr. Tech. Support Specialist	Technical and Engineering	Finance & Operations	UAW	SONYMA	FT	Yes	56,932.00	56,932	0	0	2,189.69	0	59,121.69	No	
Germanakos, Louis C	Customer Service Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	45,728.00	44,343	1,673	0	1,150	126	47,292	No	
Gerst, Vida M	AVP/Business Development Officer	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	88,000.00	3,385	0	0	0	0	3,385	No	

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Gonzalez, Robin A	Sr. Financial Analyst	Professional	Finance & Operation	UAW	SONYMA	FT	Yes	95,000.00	32,154	0	0	0	0	32,154	No	
Hamilton, Marcia E	Loan Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	50,975.00	50,975	104	0	980	18	52,077	No	
Harry, Roger J	AVP, Senior Underwriter	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	101,662.00	101,662	0	0	3,910.08	55	105,627.08	No	
Havelka, Patrice H	Deputy to the VP of Multifamily	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	99,000.00	33,508	0	0	0	0	33,508	No	
Hedgebeth, Mckinley D	Administrative Assistant	Administrative and Clerical	Finance & Operations	UAW	SONYMA	FT	No	36,473.00	36,473	0	0	1,402.81	0	37,875.81	No	
Heyward, Juanita E	Accounting Clerk	Administrative and Clerical	Finance & Operations	UAW	SONYMA	FT	No	47,657.00	47,657	0	0	0	0	47,657	No	
Hom, Betty	Program Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	61,157.00	61,157	0	0	2,352	0	63,509	No	
Hord, Wanda E	VP/Director of Human Resources	Managerial	Finance & Operations			FT	No	142,235.00	143,876	0	0	1,100	0	144,976	No	
Hubley, Russell M	Underwriter	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	64,000.00	59,715	0	0	908	375	60,998	No	
Hus, Joanna B	Senior Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	Yes	63,180.00	60,264	0	0	2,430	0	62,694	No	
Hyatt, Brian W	Comm. Dev. & Mkt Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	62,000.00	62,000	0	0	1,192.31	130	63,322.31	No	

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Ibrahim, Waazyah	Sr. Associate Auditor	Professional	Executive Office	UAW	SONYMA	FT	Yes	65,000.00	8,249.93	0	0	0	0	8,249.93	No	
Jervis, Olivia E	AVP/Post Clsg,Admin,Qual. Cnt.	Managerial	Housing Programs & Policy	UAW	SONYMA	FT	Yes	103,400.00	104,027	0	0	3,046	0	107,073	No	
Jones, Maurice	Team Leader	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	58,910.00	58,910	144	0	0	18	59,072	No	
Jones, Veronica A	Team Leader	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	60,699.00	60,699	2,685	0	0	126	63,510	No	
Kamath, Arundhati R	Administrative Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	50,975.00	50,975	0	0	0	0	50,975	No	
Kim, Chris J	SVP/General Counsel	Executive	Legal			FT	Yes	145,000.00	30,673	0	0	0	55	30,728	No	
Kresge, Gail A	AVP/Relationship Manager	Managerial	Housing Programs & Policy	UAW	SONYMA	FT	Yes	90,617.00	90,617	0	0	0	0	90,617	No	
Leocata, George M	SVP/Single Family Programs	Executive	Housing Programs & Policy			FT	Yes	144,572.00	144,572	0	0	5,560	25	150,157	No	
Leung, Elaine	Assistant Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	No	51,000.00	51,588	0	0	0	0	51,588	No	
Lieberman, Ronald	AVP/Portfolio Servicing	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	108,260.00	108,260	0	0	1,665.54	0	109,925.54	No	
Lindicy, Joseph	Project Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	52,083.00	52,083	0	0	2,003.19	0	54,086.19	No	
Lizardi, Mildred	Loan Administrator	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	52,373.00	52,373	0	0	2,014.35	0	54,387.35	No	

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Mascia, Danielle	Payroll Coordinator	Professional	Finance & Operations	UAW	SONYMA	FT	Yes	50,539.00	50,539	26	0	1,943.81	0	52,508.81	No	
Mason, Winston	Mortgage Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	Yes	49,485.00	49,485	0	0	0	0	49,485	No	
Mayfield, Edith	Sr. Legal Assistant	Professional	Legal	UAW	SONYMA	FT	No	55,738.00	17,793	100	0	0	18	17,911	No	
McFarlane, Andrea S	Underwriter, Project Ins.	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	71,480.00	71,480	0	0	1,100	0	72,580	No	
McNeil, Tene	Servicing Specialist	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	43,276.00	43,276	925	0	0	108	44,309	No	
Moore, Dardanella	Loan Satisfaction Specialist	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	51,455.00	51,455	0	0	0	0	51,455	No	
Morris, Rosa E	Loan Analyst	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	48,768.00	48,768	0	0	0	0	48,768	No	
Muchnick, David M	VP for Policy	Managerial	Legal			FT	Yes	113,520.00	108,612	0	0	677	0	109,289	No	
Murrell, Sonia	Sr. Financial Analyst	Professional	Finance & Operations	UAW	SONYMA	FT	Yes	77,295.00	73,240	0	0	2,162	0	75,402	No	
Nobles, Ann	Admin. Asst./Secretary - Albany	Administrative and Clerical	Executive Office	UAW	SONYMA	FT	No	51,098.00	51,098	2,767	0	1,965.31	252	56,082.31	No	
O'Hare, James P	Senior Project Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	85,166.00	85,166	0	0	3,276	160	88,602	No	
Pagnozzi, Lisa G	Contract Admin./Staff Unit Cord	Professional	Legal	UAW	SONYMA	FT	Yes	74,544.00	74,544	0	0	0	0	74,544	No	

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Palozzola, Joseph R	VP/Inter Governmental Relation	Professional	Finance & Operations			FT	Yes	124,210.00	124,210	0	0	5,924	145	130,279	No	
Peeva, Nadezhda A	Administrative Assistant	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	49,900.00	3,455	0	0	0	0	3,455	No	
Pennock, Helen M	Sr. Legal Assistant/Analyst	Professional	Legal	UAW	SONYMA	FT	No	58,300.00	23,096	307	0	0	54	23,457	No	
Perez, Madeline	Loan Satisfaction Specialist	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	41,184.00	41,184	642	0	1,584	54	43,464	No	
Petrucci, Louis J	Asset Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	87,500.00	24,567	0	0	0	0	24,567	No	
Piccio, Brenda P	Mortgage Loan Default Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	45,000.00	36,346	0	0	0	0	36,346	No	
Poss, Linda G	Portfolio Associate - Buffalo	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	49,920.00	49,920	0	0	0	220	50,140	No	
Quiroz, Hilda I	Loan Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	51,008.00	51,008	707	0	0	0	51,715	No	
Roberts, Sylvia	Team Leader	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	60,942.00	60,942	0	0	0	0	60,942	No	
Robinson, Sheila	SVP/Chief Financial Officer	Executive	Finance & Operations	UAW	SONYMA	FT	Yes	148,500.00	148,500	0	0	5,712	25	154,237	No	
Robles, Ruben A	Office Manager	Professional	Finance & Operations	UAW	SONYMA	FT	Yes	58,240.00	58,240	37,550	0	3,458	3,924	103,172	No	

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Rodriguez, Aleida	Closed Loan Processing Spec.	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	41,184.00	41,184	0	0	1,584	0	42,768	No	
Rosado, Mildred	Team Leader	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	60,107.00	56,523	888	0	0	54	57,465	No	
Rosado, Roberto	AVP/Deputy Director	Managerial	Housing Programs & Policy	UAW	SONYMA	FT	Yes	112,388.00	112,388	0	0	3,458	80	115,926	No	
Rubino, Joseph C	Receptionist	Administrative and Clerical	Finance & Operations	UAW	SONYMA	FT	No	31,000.00	1,550	0	0	0	0	1,550	No	
Santiago, Jacqueline	Loan Analyst	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	50,975.00	50,975	0	0	1,960.58	0	52,935.58	No	
Sclafani, Diane	AVP-Servicing	Managerial	Housing Programs & Policy	UAW	SONYMA	FT	Yes	79,483.00	79,483	0	0	3,057.04	0	82,540.04	No	
Seenauth, Nacool H	Information Security Officer	Technical and Engineering	Finance & Operations	UAW	SONYMA	FT	Yes	90,000.00	90,000	0	0	0	50	90,050	No	
Sergeant, Claudette	Sr. Servicing Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	69,808.00	69,808	0	0	805	0	70,613	No	
Shannon, Craig A	Graphic Design Specialist	Professional	Executive Office	UAW	SONYMA	FT	Yes	73,207.00	73,207	0	0	0	0	73,207	No	
Shier, David R	Assistant Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	Yes	50,704.00	50,704	91	0	1,950	18	52,763	No	
Simmons, David D	Senior Underwriter	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	84,000.00	82,795	0	0	0	0	82,795	No	
Smallwood, Carol	Team Leader	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	56,072.00	55,092	0	0	0	0	55,092	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Sochet, Charni	Press Secretary	Professional	Executive Office			FT	Yes	86,120.00	86,120	0	0	0	160	86,280	No	
Towns, Darryl C	Commissioner/President & CEO	Executive	Executive Office			FT	Yes	150,000.00	150,000	0	0	0	3,705	153,705	No	
Tsang, Terence	Underwriter	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	72,000.00	71,256	0	0	0	0	71,256	No	
Valella, Alejandro J	VP/Deputy Counsel	Managerial	Legal			FT	Yes	155,128.00	155,128	0	0	5,966.46	0	161,094.46	No	
Vasquez, Stephen A	Assistant Project Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	47,205.00	47,205	0	0	0	0	47,205	No	
Walker, Michelle A	Loan Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	48,768.00	48,768	203	0	0	54	49,025	No	
Weinberg, Jacob	Asset Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	81,528.00	81,528	0	0	3,136	0	84,664	No	
White, Jenna M	Human Resources Specialist	Professional	Finance & Operations			FT	Yes	65,106.00	65,106	0	0	0	0	65,106	No	
White, Sandra I	Servicing Officer	Professional	Housing Programs & Policy	UAW	SONYMA	FT	Yes	51,183.00	51,183	0	0	1,378	0	52,561	No	
Zucker, Marian A	President	Executive	Executive Office			FT	Yes	191,529.00	191,529	0	0	0	270	191,799	No	

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Bayer, Naomi	Board of Directors												X	
Lebowitz, Don	Board of Directors												X	
Megna, Robert L	Board of Directors												X	
McCann, Elaine	Board of Directors												X	
Rogatz, Marge	Board of Directors												X	
Towns, Darryl C	Board of Directors												X	
Mulrow, William J	Board of Directors												X	
Bergamo, Anthony	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Bernardo Jr., Remigio Z	Associate Counsel												X	
Bressler, Gail T	VP/Multifamily Finance												X	
Cammarata, Marie A	AVP/Mtg.Org .Mgr.Prj.Sect Asid												X	
Chaudruc, Miulina	VP - Housing Debt Issuance												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Chohey, Stephen B	VP/INTERNAL AUDIT												X	
Esposito, Michael J	Vice President/SF Underwriting												X	
Friedman, Michael A	SVP//Director of MIF												X	
Harry, Roger J	AVP, Senior Underwriter												X	
Hord, Wanda E	VP/Director of Human Resources												X	
Jervis, Olivia E	AVP/Post Clsg, Admin, Qual. Cnt.												X	
Kim, Chris J	SVP/General Counsel												X	
Leocata, George M	SVP/Single Family Programs												X	
Lieberman, Ronald	AVP/Portfolio Servicing												X	
Muchnick, David M	VP for Policy												X	
Palozzola, Joseph R	VP/Inter Governmental Relations												X	
Robinson, Sheila	SVP/Chief Financial Officer												X	
Rosado, Roberto	AVP/Deputy Director												X	
Towns, Darryl C	Commissioner/President & CEO												X	
Valella, Alejandro J	VP/Deputy Counsel												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Zucker, Marian A	President												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Assets**Current Assets**

Cash and cash equivalents	\$8,638,000
Investments	\$862,224,000
Receivables, net	\$200,720,000
Other assets	\$7,177,000
Total Current Assets	\$1,078,759,000

Noncurrent Assets

Restricted cash and investments	\$1,393,922,000
Long-term receivables, net	\$2,691,215,000
Other assets	\$56,775,000

Capital Assets

Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0

Total Noncurrent Assets \$4,141,912,000

Total Assets \$5,220,671,000

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$44,582,000
Pension contribution payable	\$1,349,000
Other post-employment benefits	\$0
Accrued liabilities	\$3,622,000
Deferred revenues	\$0
Bonds and notes payable	\$110,935,000
Other long-term obligations due within one year	\$133,561,000
Total Current Liabilities	\$294,049,000

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$39,000,000
Bonds and notes payable	\$2,717,087,000
Long Term Leases	\$0
Other long-term obligations	\$45,679,000
Total Noncurrent Liabilities	\$2,801,766,000

Total Liabilities

\$3,095,815,000

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$2,143,402,000
Unrestricted	(\$18,546,000)
Total Net Assets	\$2,124,856,000

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$835,000
Rental & financing income	\$147,635,000
Other operating revenues	(\$3,602,000)
Total Operating Revenue	\$144,868,000

Operating Expenses

Salaries and wages	\$5,739,000
Other employee benefits	\$3,183,000
Professional services contracts	\$754,000
Supplies and materials	\$221,000
Depreciation & amortization	\$0
Other operating expenses	\$39,521,000
Total Operating Expenses	\$49,418,000

Operating Income (Loss) \$95,450,000

Nonoperating Revenues

Investment earnings	\$21,813,000
State subsidies/grants	\$0
Federal subsidies/grants	\$909,000
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$89,268,000
Total Nonoperating Revenue	\$111,990,000

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$106,758,000
Subsidies to other public authorities	\$135,952,000
Grants and donations	\$909,000
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$243,619,000
Income (Loss) Before Contributions	(\$36,179,000)
Capital Contributions	\$0
Change in net assets	(\$36,179,000)
Net assets (deficit) beginning of year	\$2,161,035,000
Other net assets changes	\$0
Net assets (deficit) at end of year	\$2,124,856,000

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL	
Homeowners Mortgage Revenue Bonds, Series 176	Refunding	0.00	649883 VC6	11/01/2012	Tax Exempt	Negotiated	3.21	Fixed	29	1,108,099.28	12-SNYMA-107	http://www.nyshcr.org/AboutUs/Investor/docs/SONYMA1756OS.pdf
	New	66,835,000.00										
	Total	66,835,000.00										
Homeowners Mortgage Revenue Bonds, Series 177	Refunding	33,200,000.00	649883 VJ1	11/01/2012	Tax Exempt	Negotiated	2.02	Fixed	14	0.00	12-SNYMA-107	http://www.nyshcr.org/AboutUs/Investor/docs/SONYMA1756OS.pdf
	New	0.00										
	Total	33,200,000.00										
Homeowners Mortgage Revenue Bonds, Series 178	Refunding	0.00	64986V Y8	07/18/2013	Tax Exempt	Negotiated	4.47	Fixed	30	1,299,815.50	13-snyma110	http://www.imagemaster.com/secure/pdf/0712/NYSONYMA03-FIN.pdf
	New	79,370,000.00										
	Total	79,370,000.00										
Homeowners Mortgage Revenue Bonds, Series 179 Refunding	Refunding	13,090,000.00	649883 WD3	07/18/2013	Tax Exempt	Negotiated	1.68	Fixed	4	0.00	13-SNYMA-110	http://www.imagemaster.com/secure/pdf/0712/NYSONYMA03-FIN.pdf
	New	0.00										
	Total	13,090,000.00										
Homeowners Mortgage Revenue	Refunding	33,405,000.00	649883 WX9	07/18/2013	Alternative Minimum Tax	Negotiated	3.5	Fixed	10	0.00	13-SNYMA-110	http://www.imagemaster.com/secure/pdf/0712/NYSONYMA03-FIN.pdf
	New	0.00										
	Total	33,405,000.00										

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL	
Mortgage Revenue Bonds, 48th Series	Refunding	110,905,000.00	64988R FK3	02/28/2013	Tax Exempt	Negotiated	3.44	Fixed	22	1,223,351.61	12-SNYMA-108	http://www.nyhomes.org/AboutUs/Investor/docs/SONYMAMRBSeries48OS.pdf
	New	0.00										
	Total	110,905,000.00										
Mortgage Revenue Bonds, 49th Series	Refunding	0.00	64988R FS6	04/25/2013	Tax Exempt	Negotiated	3.78	Fixed	30	1,106,241.12	13-SNYMA-109	http://www.nyhomes.org/AboutUs/Investor/docs/SONYMAMRBSeries49-50OS.pdf
	New	54,755,000.00										
	Total	54,755,000.00										
Mortgage Revenue Bonds, 50th Series	Refunding	33,165,000.00	64988R GD8	04/25/2013	Tax Exempt	Negotiated	2.13	Fixed	14	0.00	13-SNYMA-109	http://www.nyhomes.org/AboutUs/Investor/docs/SONYMAMRBSeries49-50OS.pdf
	New	0.00										
	Total	33,165,000.00										

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	3,032,275,000.00	424,725,000.00	633,885,000.00	2,823,115,000.00
Other Non-State Funded					
Conduit					
Conduit Debt	0.00	0.00	0.00	0.00	0.00
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Additional Comments: