

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=232
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=232
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	1	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6. Does the independent auditor provide non-audit services to the Authority?	No	N/A
7. Does the Authority have an organization chart?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
8. Are any Authority staff also employed by another government agency?	No	
9. Has the Authority posted their mission statement to their website?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=232
10 Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.efc.ny.gov/Default.aspx?TabID=76&fid=232
12 Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
Lobbying officer name	Maureen McGrath	N/A
13 Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	No	N/A

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.efc.ny.gov/Default.aspx?TabID=76&fid=263
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.efc.ny.gov/Default.aspx?TabID=76&fid=263
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=263
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=263
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	No	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Martens, Joseph J	Name	Zucker, Howard
Chair of the Board	Yes	Chair of the Board	No
If yes, Chairman Designated by.	Governor	If yes, Chairman Designated by.	
Term Start Date	03/08/2011	Term Start Date	05/05/2014
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Commissioner NYSDEC	Title	Acting Commissioner NYSDOH
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	Yes
Designee Name	Marc Gerstman	Designee Name	Michael Cambridge
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	Yes	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Perales, Cesar A	Name	Corcoran, Francis T
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	06/06/2011	Term Start Date	12/31/2003
Term Expiration Date	Ex-Officio	Term Expiration Date	12/31/2009
Title	Secretary of State	Title	
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	
Designee Name	George Stafford	Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	Yes	Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Kruzansky, Charles	Name	DeMarchi, Vita
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	06/14/2013	Term Start Date	06/21/2013
Term Expiration Date	12/31/2018	Term Expiration Date	12/31/2017
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	Yes	Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Adams, Nichlaus	Financial Analyst	Professional				FT	Yes	59,504.00	61,429.94	0	0	0	0	61,429.94	No	
Aery, Tracy	Manager, Human Resources & Support Services	Managerial				FT	Yes	93,803.00	93,803.06	0	0	0	0	93,803.06	No	
Allen, Sandra	Director of Policy and Planning	Executive				FT	Yes	123,600.00	123,600.1	0	0	0	0	123,600.1	No	
Armstrong, Donna	Support Services Specialist	Administrative and Clerical				FT	Yes	59,504.00	63,632.92	0	0	0	0	63,632.92	No	
Barnett, Carol	Executive Assistant	Administrative and Clerical				FT	Yes	47,952.00	47,951.8	0	0	0	0	47,951.8	No	
Bernard, Gerald	Administrative Assistant I	Administrative and Clerical				FT	Yes	38,776.00	40,124.76	0	0	0	0	40,124.76	No	
Bigelow, Tana	Green Infrastructure Coordinator	Professional				FT	Yes	61,993.00	61,993.1	0	0	0	0	61,993.1	No	
Blackwell, Judith	Deputy Counsel	Professional				FT	Yes	114,961.00	114,961.08	0	0	0	0	114,961.08	No	
Blount, Monica	Environmental Project Engineer I	Technical and Engineering				FT	Yes	70,169.00	66,980.44	0	0	0	0	66,980.44	No	
Boyd, Tracey	Deputy Director of Finance	Managerial				FT	Yes	114,961.00	114,961.08	0	0	0	0	114,961.08	No	

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Bradley, David	Deputy Director of Engineering and Program Management	Managerial				FT	Yes	114,961.00	118,939.08	0	0	0	0	118,939.08	No	
Bradt, Erin	Administrative Assistant II	Administrative and Clerical				PT	Yes	43,200.00	25,988.03	0	0	0	0	25,988.03	No	
Brizzell, William	Environmental Project Engineer III	Technical and Engineering				FT	Yes	93,803.00	93,803.06	0	0	0	0	93,803.06	No	
Brown, Dwight	Funding Coordinator	Professional				FT	Yes	84,581.00	85,804	0	0	0	0	85,804	No	
Bullinger, Donna	Budget Analyst	Operational				FT	Yes	59,504.00	60,364	0	0	0	0	60,364	No	
Burns, Timothy	Director of Engineering & Program Management	Executive				FT	Yes	126,428.00	131,240.2	0	0	0	0	131,240.2	No	
Byrne, Brenda-Lee	Debt Service Analyst	Professional				FT	Yes	49,878.00	49,877.88	0	0	0	0	49,877.88	No	
Callahan, Mark	Financial Information Systems Senior Analyst	Professional				FT	Yes	84,425.00	77,930.88	0	0	0	0	77,930.88	No	

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Catizone, Lynn	Program Specialist	Operational				PT	Yes	56,212.00	45,719.86	0	0	0	0	45,719.86	No	
Cerulli, Lori	Assistant Financial Services Manager	Professional				FT	Yes	77,454.00	72,245.31	0	0	0	0	72,245.31	No	
Ching, Harry	Environmental Project Manager	Technical and Engineering				FT	Yes	84,581.00	84,581.12	0	0	0	0	84,581.12	No	
Clark, Heather	Financial Development Manager	Managerial				FT	Yes	89,459.00	92,725.1	0	0	0	0	92,725.1	No	
Coulter, Seth	Asst Director of Investments and Financial Services	Managerial				FT	Yes	104,080.00	104,080.08	0	0	0	0	104,080.08	No	
Crossett, Lance	Deputy Counsel	Professional				FT	Yes	108,764.00	106,962.48	0	0	0	0	106,962.48	No	
Czub, Christopher	Assistant Accounting Manager	Professional				FT	Yes	77,454.00	78,777.92	0	0	0	0	78,777.92	No	
Dalton, Ryan	Federal Affairs Coordinator	Managerial				FT	Yes	78,650.00	78,100	0	0	0	0	78,100	No	
Denno, Jason	Environmental Project Manager	Technical and Engineering				FT	Yes	84,581.00	87,523.28	0	0	0	0	87,523.28	No	

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Deuel, Terrance	Environmental Project Manager	Technical and Engineering				FT	Yes	84,581.00	84,581.12	0	0	0	0	84,581.12	No	
Doherty, Kimberly	Senior Accountant	Professional				PT	Yes	64,565.00	60,004.88	0	0	0	0	60,004.88	No	
Driscoll, Matthew	President and CEO	Executive				FT	Yes	155,000.00	155,000.04	0	0	0	0	155,000.04	No	
Eisenstein, Sasha	Special Assistant	Administrative and Clerical				FT	Yes	40,000.00	13,846.14	0	0	0	0	13,846.14	No	
Endres, Allene	Financial Analyst	Professional				FT	Yes	59,504.00	61,429.94	0	0	0	0	61,429.94	No	
Feldblum, Mary	Finance Specialist	Operational				FT	Yes	50,631.00	53,131.1	0	0	0	0	53,131.1	No	
Ferrentino, Carl	Deputy Counsel	Professional				FT	Yes	114,961.00	114,961.08	0	0	0	0	114,961.08	No	
Fisher, Michael	Principal Application Developer	Professional				FT	Yes	84,581.00	84,581.12	0	0	0	0	84,581.12	No	
Frederick, Regina	Debt Service Analyst	Professional				PT	Yes	59,504.00	29,752.06	0	0	0	0	29,752.06	No	
Gardner, Lori	Debt Service Specialist	Operational				FT	Yes	50,631.00	52,321.1	0	0	0	0	52,321.1	No	
Geiger, James	Environmental Project Engineer I	Technical and Engineering				FT	Yes	77,454.00	77,454	0	0	0	0	77,454	No	
Glassbrenner, Carly	Administrative Assistant I	Administrative and Clerical				FT	Yes	38,776.00	40,124.76	0	0	0	0	40,124.76	No	

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Gogolla, David	Environmental Project Engineer I	Technical and Engineering				FT	Yes	77,454.00	77,488.06	0	0	0	0	77,488.06	No	
Grant, Amy	Paralegal	Administrative and Clerical				FT	Yes	56,212.00	58,058	0	0	0	0	58,058	No	
Grazulis, Tahnya	Deputy Counsel	Professional				PT	Yes	93,803.00	75,042.5	0	0	0	0	75,042.5	No	
Griffin, Candyce	Accounting Specialist I	Operational				FT	Yes	54,429.00	52,839.29	0	0	0	0	52,839.29	No	
Hack, Tamara	Administrative Assistant I	Administrative and Clerical				FT	Yes	38,776.00	41,225.88	0	0	0	0	41,225.88	No	
Hahn, Brian	Program Analyst	Professional				FT	Yes	59,504.00	59,504.12	0	0	0	0	59,504.12	No	
Hale, Michael	Deputy Counsel	Professional				FT	Yes	102,202.00	105,822.34	0	0	0	0	105,822.34	No	
Harris, Annette	Secretary to the Corporation	Professional				FT	Yes	78,776.00	78,765.96	0	0	0	0	78,765.96	No	
Hernandez, Jonathan	MWBE Representative	Professional				FT	Yes	51,804.00	53,729.78	0	0	0	0	53,729.78	No	
Hogan, Evan	Environmental Program Specialist	Professional				FT	Yes	40,477.00	28,282.17	0	0	0	0	28,282.17	No	
Holden, Gregory	Lead Application Developer	Professional				FT	Yes	93,803.00	93,803.06	0	0	0	0	93,803.06	No	

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Hughes, Brian	Senior Financial Analyst	Professional				FT	Yes	63,000.00	62,224.69	0	0	0	0	62,224.69	No	
Jackowski, Robert	Financial Information Systems Manager	Managerial				FT	Yes	84,581.00	84,581.12	0	0	0	0	84,581.12	No	
Johnson, Paul	Financial Services Analyst	Professional				FT	Yes	53,730.00	55,656.12	0	0	0	0	55,656.12	No	
Kerzic, Gary	Environmental Project Engineer II	Technical and Engineering				FT	Yes	84,581.00	84,581.12	0	0	0	0	84,581.12	No	
Kichinda, Ruphence	Financial Analyst	Professional				FT	Yes	53,728.00	53,727.96	0	0	0	0	53,727.96	No	
Kistler, Christina	Senior Financial Analyst	Professional				FT	Yes	64,000.00	42,830.71	0	0	0	0	42,830.71	No	
Kotary, Laura Jane	Administrative Assistant I	Administrative and Clerical				FT	Yes	30,682.00	12,791.89	0	0	0	0	12,791.89	No	
Kutchukian, Yervant	Financial Analyst	Professional				FT	Yes	51,804.00	53,729.78	0	0	0	0	53,729.78	No	
Lanigan, Jefferey	Associate Counsel II	Professional				FT	Yes	93,803.00	93,803.06	0	0	0	0	93,803.06	No	
Lauzze, Nicholas	Debt Service Analyst	Professional				FT	Yes	47,952.00	47,952.06	0	0	0	0	47,952.06	No	
Leubner, Carla	MWBE Representative	Professional				FT	Yes	59,504.00	30,118.3	0	0	0	0	30,118.3	No	

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Levine, James	Senior Vice President and General Counsel	Executive				FT	Yes	152,886.00	152,885.98	0	0	0	0	152,885.98	No	
Lynch, MaryEllen	Senior Financial Analyst	Professional				FT	Yes	65,737.00	67,561.26	0	0	0	0	67,561.26	No	
Macri, Kathryn	Environmental Project Engineer II	Technical and Engineering				FT	Yes	84,581.00	84,581.12	0	0	0	0	84,581.12	No	
Major, Lisa	Receptionist	Administrative and Clerical				FT	Yes	35,013.00	9,141.38	0	0	0	0	9,141.38	No	
Malinoski, Michael	Controller and Director of Corporate Operations	Executive				FT	Yes	136,227.00	141,038.82	0	0	0	0	141,038.82	No	
Mariano, Louise	Administrative Assistant II	Administrative and Clerical				FT	Yes	40,232.00	41,715.96	0	0	0	0	41,715.96	No	
Matthews, Thomas	Manager, Community & Local Government Assistance	Technical and Engineering				FT	Yes	93,803.00	97,068.92	0	0	0	0	97,068.92	No	
McAuliffe, Brigitte	Financial Analyst	Professional				FT	Yes	59,504.00	61,429.94	0	0	0	0	61,429.94	No	

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McClintock, Brian	Financial Development Manager	Managerial				FT	Yes	93,803.00	96,401.14	0	0	0	0	96,401.14	No	
McCullough, Lisa	Administrative Assistant II	Administrative and Clerical				FT	Yes	43,200.00	46,142.84	0	0	0	0	46,142.84	No	
McDonald, John	Finance Coordination Manager	Managerial				FT	Yes	84,581.00	77,657.96	0	0	0	0	77,657.96	No	
McEvoy, Brian	Financial Services Manager	Managerial				FT	Yes	93,803.00	97,068.92	0	0	0	0	97,068.92	No	
McGrath, Maureen	Deputy Director of Corporate Operations	Managerial				FT	Yes	114,961.00	117,419.12	0	0	0	0	117,419.12	No	
McGuire, Brian	Manager, Contracts and Budget	Managerial				FT	Yes	90,597.00	93,862.86	0	0	0	0	93,862.86	No	
Micieli, Beth	Administrative Assistant II	Administrative and Clerical				FT	Yes	43,200.00	45,700.04	0	0	0	0	45,700.04	No	
Miller, Rebecca	Environmental Project Analyst	Technical and Engineering				FT	Yes	57,313.00	58,914.99	0	0	0	0	58,914.99	No	
Mitchell, Alma	Information Specialist	Operational				FT	Yes	59,504.00	8,787.25	0	0	0	0	8,787.25	No	
Moore, Robert	Assistant Financial Development Manager	Professional				FT	Yes	77,454.00	77,454	0	0	0	0	77,454	No	

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Morwin, Leah	Accounting Specialist I	Operational				PT	Yes	50,631.00	42,504.88	0	0	0	0	42,504.88	No	
Myers, Nancy	Senior Administrative Assistant	Administrative and Clerical				FT	Yes	53,366.00	55,866.04	0	0	0	0	55,866.04	No	
Nelson, Harry	Environmental Project Engineer II	Technical and Engineering				FT	Yes	84,581.00	84,581.12	0	0	0	0	84,581.12	No	
Ng, James	Environmental Project Engineer III	Technical and Engineering				FT	Yes	93,803.00	93,803.06	0	0	0	0	93,803.06	No	
O'Neil, Michael	Environmental Engineer II	Technical and Engineering				FT	Yes	76,945.00	76,944.92	0	0	0	0	76,944.92	No	
Palladino, Caron	Senior Administrative Assistant	Administrative and Clerical				FT	Yes	37,500.00	4,610.58	0	0	0	0	4,610.58	No	
Paolucci, Jian	Assistant Public Information Officer	Operational				FT	Yes	47,079.00	50,945.06	0	0	0	0	50,945.06	No	
Pascuzzi, Shelley	Accounting Assistant	Operational				FT	Yes	43,200.00	47,295.08	0	0	0	0	47,295.08	No	
Penner, Kaitlin	Environmental Project Engineer I	Technical and Engineering				FT	Yes	69,724.00	74,232.1	0	0	0	0	74,232.1	No	

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Petrone, Angela	Senior Program Analyst	Professional				FT	Yes	65,737.00	67,844.14	0	0	0	0	67,844.14	No	
Picarazzi-Futia, Bernadette	Senior Network Technology Analyst	Professional				FT	Yes	72,902.00	75,479.04	0	0	0	0	75,479.04	No	
Randall, Suzanna	Green Infrastructure Coordinator	Professional				FT	Yes	75,749.00	80,791.86	0	0	0	0	80,791.86	No	
Rienzo, Christopher	Assistant Financial Development Manager	Professional				FT	Yes	74,878.00	77,455.04	0	0	0	0	77,455.04	No	
Roizman, Michael	Assistant Director, Information Technology	Managerial				FT	Yes	104,080.00	104,080.08	0	0	0	0	104,080.08	No	
Rusin, Karen	Environmental Engineer I	Technical and Engineering				FT	Yes	64,570.00	64,569.96	0	0	0	0	64,569.96	No	
Sammons, Robert	Assistant to the Director of Program Management	Managerial				FT	Yes	104,080.00	104,080.08	0	0	0	0	104,080.08	No	
Sitterly, Carol	Assistant Financial Development Manager	Professional				FT	Yes	77,454.00	79,816.88	0	0	0	0	79,816.88	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Smith, Beth	Contracts/ Budget Proc. Specialist	Operational				PT	Yes	51,631.00	44,304.9	0	0	0	0	44,304.9	No	
Smith, James	Environmental Project Manager	Technical and Engineering				FT	Yes	84,581.00	84,581.12	0	0	0	0	84,581.12	No	
Sorensen, Jon	Public Information Officer	Professional				FT	Yes	82,945.00	82,944.94	0	0	0	0	82,944.94	No	
Sparks, Laurie	Accounting Specialist II	Operational				FT	Yes	57,261.00	62,261.1	0	0	0	0	62,261.1	No	
Spring, Barbara	Accounting Manager	Managerial				FT	Yes	93,803.00	93,803.06	0	0	0	0	93,803.06	No	
Stearns, James	Environmental Program Manager	Technical and Engineering				FT	Yes	93,803.00	93,803.06	0	0	0	0	93,803.06	No	
Sunkes, Jennifer	Financial Services Analyst	Professional				FT	Yes	59,504.00	59,504.12	0	0	0	0	59,504.12	No	
Syron, Kathryn	Program Analyst	Professional				FT	Yes	59,504.00	56,147.57	0	0	0	0	56,147.57	No	
Testa, Fred	Environmental Project Manager	Technical and Engineering				FT	Yes	84,581.00	84,581.12	0	0	0	0	84,581.12	No	
Torkelson, Leslie	Marketing Specialist	Professional				PT	Yes	20,239.00	20,238.92	0	0	0	0	20,238.92	No	
Urba, Jeanine	Human Resources Specialist II	Operational				FT	Yes	56,212.00	56,311.06	0	0	0	0	56,311.06	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Valente, Paul	SRF Loan Process Manager	Managerial				FT	Yes	84,581.00	84,581.12	0	0	0	0	84,581.12	No	
VanDenburgh, Lynne	Senior Program Analyst	Professional				FT	Yes	65,737.00	65,737.1	0	0	0	0	65,737.1	No	
VanDerhooft, Carrie	Environmental Project Engineer I	Technical and Engineering				FT	Yes	72,301.00	76,880.32	0	0	0	0	76,880.32	No	
Webber, Cheryle	Environmental Project Engineer III	Technical and Engineering				FT	Yes	93,803.00	93,803.06	0	0	0	0	93,803.06	No	
Weingold, Steven	Senior Application Developer	Professional				FT	Yes	77,454.00	77,454	0	0	0	0	77,454	No	

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
DeMarchi, Vita	Board of Directors												X	
Gerstman, Marc	Board of Directors												X	
Cambridge, Michael J	Board of Directors												X	
Corcoran, Francis T	Board of Directors												X	
Perales, Cesar A	Board of Directors												X	
Kruzansky, Charles	Board of Directors												X	
Martens, Joseph J	Board of Directors												X	
Zucker, Howard	Board of Directors												X	
Stafford, George R	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Allen, Sandra	Director of Policy and Planning												X	
Blackwell, Judith	Deputy Counsel												X	
Boyd, Tracey	Deputy Director of Finance												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Bradley, David	Deputy Director of Engineering and Program Management												X	
Burns, Timothy	Director of Engineering & Program Management												X	
Coulter, Seth	Asst Director of Investments and Financial Services												X	
Crossett, Lance	Deputy Counsel												X	
Driscoll, Matthew	President and CEO												X	
Ferrentino, Carl	Deputy Counsel												X	
Hale, Michael	Deputy Counsel												X	
Levine, James	Senior Vice President and General Counsel												X	
Malinoski, Michael	Controller and Director of Corporate Operations												X	
McGrath, Maureen	Deputy Director of Corporate Operations												X	
Roizman, Michael	Assistant Director, Information Technology												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Sammons, Robert	Assistant to the Director of Program Management												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Assets**Current Assets**

Cash and cash equivalents	\$843,456,790
Investments	\$0
Receivables, net	\$847,645,257
Other assets	\$13,015,539
Total Current Assets	\$1,704,117,586

Noncurrent Assets

Restricted cash and investments	\$2,551,926,000
Long-term receivables, net	\$8,474,542,927
Other assets	\$0

Capital Assets

Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0

Total Noncurrent Assets \$11,026,468,927

Total Assets \$12,730,586,513

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$9,227,613
Pension contribution payable	\$0
Other post-employment benefits	\$526,538
Accrued liabilities	\$126,708,875
Deferred revenues	\$412,534
Bonds and notes payable	\$368,615,000
Other long-term obligations due within one year	\$85,129,169
Total Current Liabilities	\$590,619,729

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$15,802,286
Bonds and notes payable	\$6,355,301,051
Long Term Leases	\$5,866,989
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$6,376,970,326

Total Liabilities

\$6,967,590,055

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$5,755,953,409
Unrestricted	\$7,043,049
Total Net Assets	\$5,762,996,458

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$20,560,138
Rental & financing income	\$317,342,366
Other operating revenues	\$794,003
Total Operating Revenue	\$338,696,507

Operating Expenses

Salaries and wages	\$8,051,831
Other employee benefits	\$7,715,700
Professional services contracts	\$86,733
Supplies and materials	\$143,733
Depreciation & amortization	\$0
Other operating expenses	\$456,148,756
Total Operating Expenses	\$472,146,753

Operating Income (Loss) (\$133,450,246)

Nonoperating Revenues

Investment earnings	\$60,040,183
State subsidies/grants	\$1,046,674
Federal subsidies/grants	\$368,181,841
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$429,268,698

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$22,060,329
Other nonoperating expenses	\$1,046,674
Total Nonoperating Expenses	\$23,107,003
Income (Loss) Before Contributions	\$272,711,449
Capital Contributions	\$0
Change in net assets	\$272,711,449
Net assets (deficit) beginning of year	\$5,490,285,009
Other net assets changes	\$0
Net assets (deficit) at end of year	\$5,762,996,458

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Drinking Water Revolving Fund Revenue Bonds 2013A	Refunding	19,290,000.00	07/11/2013	Taxable	Negotiated	3.76	Fixed	20	5,704,151.64	13-EF-591	http://www.efc.ny.gov/Default.aspx?tabid=214
	New	0.00									
	Total	19,290,000.00									
Drinking Water Revolving Fund Revenue Bonds 2013B	Refunding	39,760,000.00	08/01/2013	Taxable	Negotiated	3.83	Fixed	30	2,996,788.00	13-EF-595	http://www.efc.ny.gov/Default.aspx?tabid=214
	New	0.00									
	Total	39,760,000.00									
Drinking Water Revolving Fund Revenue Bonds 2014A	Refunding	19,710,000.00	03/27/2014	Taxable	Negotiated	3.25	Fixed	20	4,973,787.64	14-EF-614	http://www.efc.ny.gov/Default.aspx?tabid=214
	New	0.00									
	Total	19,710,000.00									
State Water Pollution Control Revenue Bonds 2013A	Refunding	381,800,000.00	07/11/2013	Taxable	Negotiated	3.76	Fixed	20	5,704,151.64	13-EF-591	http://www.efc.ny.gov/Default.aspx?tabid=214
	New	0.00									
	Total	381,800,000.00									
State Water Pollution Control	Refunding	126,915,000.00	08/01/2013	Taxable	Negotiated	3.83	Fixed	30	2,996,788.00	13-EF-595	http://www.efc.ny.gov/Default.aspx?tabid=214
	New	0.00									
	Total	126,915,000.00									

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
State Water Pollution Control Revenue Bonds 2014A	Refunding 327,675,000.00 New 0.00 Total 327,675,000.00		03/27/2014	Taxable	Negotiated	3.25	Fixed	20	4,973,787.64	14-EF-614	http://www.efc.ny.gov/Default.aspx?tabid=214

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
EFC PILGRIM PROJECT	0.00	2,600,000.00	0.00	800,000.00	1,800,000.00
EFC WESTERN NY NUCLEAR SERVICE CENTER	0.00	1,636,000.00	0.00	1,635,000.00	1,000.00
EFC PIPELINE FOR JOBS (J2K)	33,750,000.00	1,050,000.00	0.00	1,050,000.00	0.00
EFC WATER POLLUTION CONTROL FUND	0.00	22,866,000.00	0.00	3,455,000.00	19,411,000.00
EFC HAZARDOUS WASTE REMEDIATION	1,200,000,000.00	309,206,000.00	0.00	71,189,000.00	238,017,000.00
EFC RIVERBANK PARK	0.00	38,575,000.00	0.00	3,135,000.00	35,440,000.00
EFC STATE PARK INFRASTRUCTURE	30,000,000.00	11,733,000.00	0.00	11,348,000.00	385,000.00
EFC ENVIRONMENTAL INFRASTRUCTURE PROGRAM	1,265,760.00	392,109,000.00	0.00	52,448,000.00	339,661,000.00
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	7,138,479,630.00	915,150,000.00	1,285,170,000.00	6,768,459,630.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Additional Comments: