

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	<a href="http://esd.ny.gov/CorporateInformation/PublicAuthoritiesRequiredPostings.html">http://esd.ny.gov/CorporateInformation/PublicAuthoritiesRequiredPostings.html</a>
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	<a href="http://esd.ny.gov/CorporateInformation/PublicAuthoritiesRequiredPostings.html">http://esd.ny.gov/CorporateInformation/PublicAuthoritiesRequiredPostings.html</a>
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	3	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6. Does the independent auditor provide non-audit services to the Authority?	No	N/A
7. Does the Authority have an organization chart?	Yes	<a href="http://esd.ny.gov/AboutUs.html">http://esd.ny.gov/AboutUs.html</a>
8. Are any Authority staff also employed by another government agency?	Yes	Department of Economic Development
9. Has the Authority posted their mission statement to their website?	Yes	<a href="http://esd.ny.gov/CorporateInformation.html">http://esd.ny.gov/CorporateInformation.html</a>
10 Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		<a href="http://esd.ny.gov/CorporateInformation/PublicAuthoritiesRequiredPostings.html">http://esd.ny.gov/CorporateInformation/PublicAuthoritiesRequiredPostings.html</a>
12 Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?	Yes	<a href="http://esd.ny.gov/CorporateInformation.html">http://esd.ny.gov/CorporateInformation.html</a>
Lobbying officer name	Elizabeth Fine	N/A
13 Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	Yes	N/A

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://esd.ny.gov/AboutUs/Data/2013_ESDCommittees.pdf">http://esd.ny.gov/AboutUs/Data/2013_ESDCommittees.pdf</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://esd.ny.gov/AboutUs/ESDBoardArchives.html">http://esd.ny.gov/AboutUs/ESDBoardArchives.html</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://esd.ny.gov/CorporateInformation.html">http://esd.ny.gov/CorporateInformation.html</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://esd.ny.gov/CorporateInformation.html">http://esd.ny.gov/CorporateInformation.html</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	No	N/A
Time and Attendance	No	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	No	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

**Board of Directors Listing**

Name	Lawsy, Benjamin	Name	Miller, Joyce
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	05/24/2011	Term Start Date	05/04/2010
Term Expiration Date	Ex-Officio	Term Expiration Date	01/01/2013
Title	Superintendent of Department of Financial Services	Title	
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	
Designee Name	Anthony Albanese	Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	Yes	Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Vacant	Name	Cephas, Derrick D
Chair of the Board		Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date		Term Start Date	05/20/2009
Term Expiration Date		Term Expiration Date	01/01/2013
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Vacant	Name	Adams, Kenneth
Chair of the Board		Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date		Term Start Date	04/05/2011
Term Expiration Date		Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Dyson, Robert	Name	Vacant
Chair of the Board	No	Chair of the Board	
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	03/16/2010	Term Start Date	
Term Expiration Date	01/01/2012	Term Expiration Date	
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	Yes	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Adams, Kenneth	President & CEO	Executive	Executive			FT	Yes	175,000.00	175,000.02	0	0	0	0	175,000.02	No	
Alpaugh, Robin M	SR. PROJECT MANAGER	Professional	REGIONAL OFFICES			FT	Yes	64,761.00	64,761.32	0	0	0	538.33	65,299.65	No	
Alvarez, Charles A	Office Service Administrator	Professional	ADMINISTRATIVE SERVICES			FT	Yes	44,000.00	47,384.7	0	0	0	0	47,384.7	No	
Amos, Mitzie C	ACCOUNTS PAYABLE COORDINATOR	Administrative and Clerical	LOWER MANHATTAN DEVEL. CORP.			FT	No	45,000.00	45,000.28	429.83	0	0	0	45,430.11	No	
Anderson, Rema A	MARKETING ASSOCIATE, HCDC	Professional	HARLEM COMMUNITY DEVEL. CORP.			FT	Yes	57,941.00	57,941.26	0	0	0	0	57,941.26	No	
Anderson, Timothy	Senior Loan Administrator	Professional				FT	Yes	52,000.00	19,800	0	0	0	0	19,800	No	
Andreucci, Merideth B	DIR OF BUSINESS DEVEL. - Upstate	Professional	BUSINESS DEVELOPMENT			FT	Yes	113,300.00	113,299.94	0	0	0	1,224.19	114,524.13	No	
Archer, Curtis L	PRESIDENT, HCDC	Executive	HARLEM COMMUNITY DEVEL. CORP.			FT	Yes	133,900.00	133,900	0	0	0	1,244.15	135,144.15	No	
Ashley-Bailey, Maxine	WEATHERIZATION COORD, HCDC	Professional	HCDC-WEATHERIZATION			FT	Yes	55,195.00	54,133.53	0	0	0	0	54,133.53	No	

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Austin, Bradley	Director - State Legislative Affairs	Managerial	Intergovernmental & Legislative Affairs			FT	Yes	85,000.00	85,000.24	0	0	0	1,169.49	86,169.73	No	
Austin, Joan D	ASSISTANT MGR, ACCTS PAYABLE	Professional	CONTROLLER			FT	Yes	58,921.00	58,921.46	0	0	0	0	58,921.46	No	
Axelrod, Lauren	Associate Counsel - Corporate/Real Estate	Professional	Legal			FT	Yes	105,000.00	10,500	0	0	0	1,211.54	11,711.54	No	
Bain, Heather L	Project Manager	Professional	LMDC			FT	Yes	61,800.00	61,800.18	0	0	0	0	61,800.18	No	
Baker, Jasmine	Coordinator, Social Media and Communications	Administrative and Clerical	Public Affairs			FT	No	42,194.00	9,412.53	0	0	0	0	9,412.53	No	
Balkisson, Ria	Departmental Coordinator	Administrative and Clerical				FT	No	43,000.00	43,000.1	209.5	0	0	0	43,209.6	No	
Ball, Michael	Deputy Director, WNY REDC	Professional				FT	Yes	95,000.00	95,000.1	0	0	0	0	95,000.1	No	
Ball, Richard	Project Manager - WNY	Professional				FT	Yes	57,000.00	57,000.06	0	0	0	0	57,000.06	No	
Barbosa, Ingrid	DIRECTOR, Subsidiary Finance	Professional	CONTROLLER			FT	Yes	96,454.00	96,454.02	0	0	0	0	96,454.02	No	
Bartoli, Frederick M	PROJECT MANAGER	Professional	MOYNIHAN STATION DEVEL CORP			FT	Yes	71,728.00	71,727.76	0	0	0	0	71,727.76	No	

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Beckford, Alex	Weatherization Field Inspector	Administrative and Clerical	HCDC			FT	No	36,000.00	35,307.82	0	0	0	0	35,307.82	No	
Benjamin, Wayne A	DIR., RESIDENTIAL DEVEL., HCDC	Professional	HARLEM COMMUNITY DEVEL. CORP.			FT	Yes	105,755.00	105,755.26	0	0	0	1,124.05	106,879.31	No	
Besch, Clayton	Director, SBTIF	Professional	Community Economic Development			FT	Yes	100,311.00	100,311.12	0	0	0	0	100,311.12	No	
Bethune, Simone	Project Manager	Professional	Loans & Grants			FT	Yes	60,000.00	60,000.2	0	0	0	0	60,000.2	No	
Beyer, Jonathan	SENIOR COUNSEL	Professional	LEGAL			FT	Yes	130,465.00	130,464.62	0	0	0	1,020.3	131,484.92	No	
Bobb, Beverly R	PROJ.MGR.	Professional	Loans & Grants			FT	Yes	60,000.00	60,000.2	0	0	0	992.8	60,993	No	
Bonilla, Diana	NETWORK SERVICES SPECIALIST	Administrative and Clerical	MANAGEMENT INFORMATION SYSTEMS			FT	No	53,577.00	53,577.16	3,139.1	0	0	1,147.89	57,864.15	No	
Borukhova, Yuliya	FINANCIAL PROJ MANAGER	Professional	CONTR ADMIN & SUB FINANCE			FT	Yes	61,800.00	61,800.18	0	0	0	0	61,800.18	No	
Bozek, John	Senior Financial Analyst/Underwriter	Professional				FT	Yes	85,000.00	32,038.55	0	0	0	0	32,038.55	No	
Brennan, Thomas P	SVP, INTERNAL AUDIT	Executive	INTERNAL AUDIT			FT	Yes	129,083.00	129,082.98	0	0	0	543.85	129,626.83	No	
Brown, Kimberly R	RECEPTIONIST	Administrative and Clerical	LOWER MANHATTAN DEVEL. CORP.			FT	No	32,000.00	31,778.49	45.14	0	0	0	31,823.63	No	

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Bunkeddek o, Adem	Project Manager, REDC	Professional				FT	Yes	60,000.00	60,000	0	0	0	0	60,000	No	
Burkard, Joseph H	Senior Architect	Professional	DESIGN & CONSTRUCTION			FT	Yes	105,000.00	105,000.22	0	0	0	569.94	105,570.16	No	
Burns, Destiny	Dir., Empowerment Zones & Community Lending	Professional	ECONOMIC REVITALIZATION			FT	Yes	66,750.00	66,750.06	0	0	0	0	66,750.06	No	
Caesar, Elaine	ACCTNG&OFC COOR/RECEPTIONIST	Administrative and Clerical	HARLEM COMMUNITY DEVEL.CORP.			FT	No	40,502.00	40,502.02	607.54	0	0	0	41,109.56	No	
Camacho, Edgar	Contract & Procurement Specialist	Professional				FT	Yes	45,000.00	45,000.02	0	0	0	0	45,000.02	No	
Campos, Jose A	TREASURY OPERATIONS SYS. SPEC.	Professional	TREASURY			FT	Yes	72,100.00	72,100.08	0	0	0	0	72,100.08	No	
Candelaria, Olga E	PROJECT ASSOCIATE	Professional	ECONOMIC REVITALIZATION			FT	Yes	56,874.00	56,874.22	0	0	0	0	56,874.22	No	
Carr, Genevieve M	Program Coordinator	Administrative and Clerical	LOANS & GRANTS			FT	No	38,925.00	38,925.12	0	0	0	5,255.03	44,180.15	No	
Carter-Williams, Greta R	MGR, APPROPRIATIONS/GRANTS ADM	Professional	CONTRACT ADMIN & SUB FINANCE			FT	Yes	72,000.00	72,000.24	0	0	0	0	72,000.24	No	

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Cassidy, Maria	DEPUTY GENERAL COUNSEL, ESD Consolidated Services	Professional	LEGAL			FT	Yes	155,000.00	155,000.04	0	0	0	0	155,000.04	No	
Catanzaro, Christopher	Assistant Project Manager	Professional				FT	Yes	43,700.00	43,700.02	0	0	0	0	43,700.02	No	
Chan, Joseph	EVP, Business Development	Executive	Executive			FT	Yes	150,000.00	150,000.24	0	0	0	1,335.2	151,335.44	No	
Chan, Yin Man L	MANAGER, ACCTNG & BUDGETING	Professional	CONTRACT ADMIN & SUB FINANCE			FT	Yes	76,238.00	76,238.24	0	0	0	0	76,238.24	No	
Chan, Yuk Sin	STAFF ACCOUNTANT	Professional	CONTROLLER			FT	Yes	47,380.00	47,380.32	0	0	0	0	47,380.32	No	
Chi, Flora E	PROGRAM COORDINATOR	Administrative and Clerical	ECONOMIC REVITALIZATION			FT	No	48,037.00	44,514.36	123.17	0	0	0	44,637.53	No	
Chiesa, Jason	Special Asst. to Regional Director, Central NY - REDC	Professional				FT	Yes	77,000.00	77,000.04	0	0	0	0	77,000.04	No	
Chin, Mary M	Assistant Controller	Professional	CONTROLLER			FT	Yes	103,000.00	103,000.04	0	0	0	0	103,000.04	No	
Chin, Susan	DEPARTMENTAL COORDINATOR	Administrative and Clerical	DESIGN & CONSTRUCTION			FT	No	62,126.00	62,126.48	0	0	0	0	62,126.48	No	

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Chuang, Huey-Min	Sr. Dir., Business & Economic Rev. Dev.	Professional	ECONOMIC REVITALIZATION			FT	Yes	89,644.00	89,643.58	0	0	0	0	89,643.58	No	
Chumura, Kaitlin	Project Manager	Professional	ECHDC			FT	Yes	53,277.00	53,277.12	0	0	0	0	53,277.12	No	
Ciniello, Daniel A	SVP- OPERATIONS	Executive	LOWER MANHATTAN DEVEL. CORP.			FT	Yes	177,599.00	177,598.72	0	0	0	0	177,598.72	No	
Clark, Jill	Assistant Project Manager	Professional				FT	Yes	49,500.00	49,500.1	0	0	0	0	49,500.1	No	
Coburn, Randal	SVP, Economic Incentives	Managerial				FT	Yes	112,238.00	60,004.22	0	0	0	0	60,004.22	No	
Codey, Kathleen	Economic Development Policy Advisor	Professional				FT	Yes	85,000.00	25,500.07	0	0	0	0	25,500.07	No	
Cohen, Harvey	VP, Marketing	Executive	Business Marketing			FT	Yes	90,000.00	90,000.04	0	0	0	1,110.48	91,110.52	No	
Cohen, Nathaniel S	Project Director	Professional	HARRIMAN RESEA & TECH DEV CORP			PT	Yes	0.00	0	0	0	0	30,000	30,000	No	
Cole-Williams, Jeannette	Weatherization Intake/Outreach Specialist	Administrative and Clerical	HCDC			FT	No	36,000.00	35,307.82	1,631.54	0	0	0	36,939.36	No	
Colon, Julia E	ADMINISTRATIVE ASSISTANT	Administrative and Clerical	DESIGN & CONSTRUCTION			FT	No	38,230.00	38,230.14	1,252.33	0	0	0	39,482.47	No	

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Conoscenti, Thomas	Dir., Real Estate Development & Planning	Professional				FT	Yes	90,000.00	20,076.93	0	0	0	0	20,076.93	No	
Conroy, Dennis P	SR. ARCHITECT	Professional	DESIGN & CONSTRUCTION			FT	Yes	97,000.00	97,000.02	0	0	0	0	97,000.02	No	
Constantine, Anthony F	SUPERVISOR OF CASH MANAGEMENT	Professional	TREASURY			FT	Yes	93,064.00	93,063.88	0	0	0	0	93,063.88	No	
Conwall, Jason	Press Secretary, Upstate	Professional				FT	Yes	50,000.00	42,884.79	0	0	0	0	42,884.79	No	
Craver, III, Dwight O	PROJECT MANAGER	Professional	MOTION PICTURES & TV			FT	Yes	60,000.00	60,000.2	0	0	0	0	60,000.2	No	
Cubi, Ana C	NETWORK SERVICES SPECIALIST	Administrative and Clerical	MANAGEMENT INFORMATION SYSTEMS			FT	No	49,232.00	49,232.56	1,988.24	0	0	931.89	52,152.69	No	
DaSilva, Daniel	Financial Project Manager	Professional				FT	Yes	56,000.00	56,000.1	0	0	0	0	56,000.1	No	
Dee, Thomas	President	Executive	Erie Canal Harbor Dev. Corp.			FT	Yes	175,000.00	175,000.02	0	0	0	1,207	176,207.02	No	
Dennerly, Natalie	Senior Counsel, Lending	Professional	Legal			FT	Yes	125,000.00	125,000.2	0	0	0	0	125,000.2	No	
Discolo, John F	FINANCIAL ANALYST	Professional	CONTRACT ADMIN & SUB FINANCE			FT	Yes	59,225.00	59,224.88	0	0	0	1,164.69	60,389.57	No	
Dorado, Richard M	SENIOR COUNSEL	Professional	LEGAL			FT	Yes	140,129.00	140,128.82	0	0	0	0	140,128.82	No	

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Drangel, Gina	Assistant Manager, Payroll	Professional				FT	Yes	50,380.00	25,771.41	0	0	0	0	25,771.41	No	
Dubin, Rachael	Sr. Project Manager, Comm. Econ. Dev.	Professional				FT	Yes	79,000.00	79,000.22	0	0	0	0	79,000.22	No	
Duncan, Nyron	Associate Project Manager	Professional	Corp			FT	Yes	42,500.00	42,500.12	0	0	0	0	42,500.12	No	
Emil, David A	PRESIDENT, LMDC	Executive	LOWER MANHATTAN DEVEL. CORP.			FT	Yes	1.00	1	0	0	0	0	1	No	
Evans, Michael	President	Executive	MSDC			FT	Yes	125,000.00	125,000.2	0	0	0	1,252.63	126,252.83	No	
Fayle, James P	Regional Director - Central NY & North Country	Executive	Regional Offices			FT	Yes	120,000.00	120,000.14	0	0	0	0	120,000.14	No	
Felix, Lizbeth A	ACCTS PAYABLE COOR	Administrative and Clerical	CONTROLLER			FT	No	39,140.00	39,140.14	2,212.95	0	0	405.59	41,758.68	No	
Feng, Suki	Staff Accountant	Professional				FT	Yes	45,000.00	45,000.02	0	0	0	0	45,000.02	No	
Figueroa, Dennis	RESEARCH ASSISTANT	Administrative and Clerical	MANAGEMENT INFORMATION SYSTEMS			FT	No	44,100.00	44,100.16	73.5	0	0	0	44,173.66	No	
Figueroa, Jose L	PAYROLL MANAGER & Technical Specialist	Professional	CONTROLLER			FT	Yes	78,313.00	78,313.04	0	0	0	924.5	79,237.54	No	

**Staff Listing**

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Fine, Elizabeth	EVP, Legal & General Counsel	Executive	Legal			FT	Yes	170,000.00	34,653.84	0	0	0	0	34,653.84	No	
Fisher, Kathryn	Project Manager	Professional	MPTV			FT	Yes	68,000.00	68,000.14	0	0	0	0	68,000.14	No	
Flamm, Andrew	Sr. Director, Community Development & Rebuilding Programs	Professional				FT	Yes	93,026.00	25,045.51	0	0	0	0	25,045.51	No	
Franzini, Anna	Loans & Grants Admin/Auditor	Operational				FT	Yes	43,300.00	43,300.14	0	0	0	0	43,300.14	No	
Freeman, Ross A	AVP, Real Estate & Project Finance	Professional	Real Estate and Project Finance			FT	Yes	92,000.00	92,000.22	0	0	0	0	92,000.22	No	
Furch, Barbara	Project Associate, So. Tier, Reg. Office, REDC	Professional				FT	Yes	25,000.00	26,001.25	0	0	0	0	26,001.25	No	
Gabriel, Jennifer A	PROJECT ASSOCIATE	Professional	NY CONVENTION CTR.DEVEL. CORP.			FT	Yes	66,567.00	66,566.76	0	0	0	0	66,566.76	No	
Gately, Maria L	Manager - Human Resources	Professional	Human Resources			FT	Yes	65,855.00	65,855.14	0	0	0	969.01	66,824.15	No	

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Gawlik, Stephen F	VP - Capital Projects & Senior Counsel	Executive	ESD - Buffalo Regional Office			FT	Yes	110,725.00	110,724.9	0	0	0	1,051.46	111,776.36	No	
Gera, Vikas	Project Manager	Professional	Contractor & Supplier Diverstiy			FT	Yes	54,000.00	54,000.18	0	0	0	0	54,000.18	No	
Gilstrap, John	EVP, Business Attraction & Expansion	Executive				FT	Yes	150,000.00	39,230.83	0	0	0	0	39,230.83	No	
Glickman, Rhoda	SVP, Business Services	Executive				FT	Yes	150,000.00	150,000.24	0	0	0	0	150,000.24	No	
Gocs, Elizabeth	Project Manager, Contractor and Supplier Diversity	Professional				FT	Yes	53,000.00	46,884.81	0	0	0	203.85	47,088.66	No	
Godley, Robert M	TREASURER	Executive	TREASURY			FT	Yes	137,740.00	137,740.2	0	0	0	1,130.24	138,870.44	No	
Gold, Steven R	AVP, BUSINESS DEVELOPMENT	Executive	BUSINESS DEVELOPMENT			FT	Yes	105,350.00	105,350.18	0	0	0	1,145.07	106,495.25	No	
Gonsalves, Routie S	MGR, TREASURY OPER/JDA SPEC.	Professional	TREASURY			FT	Yes	63,860.00	63,859.9	0	0	0	1,089.59	64,949.49	No	
Gonzalez, Alma R	CONTR & PROJ ADMIN/DEPT 'L COOR	Administrative and Clerical	CONTRACT ADMIN & SUB FINANCE			FT	No	56,357.00	56,357.08	0	0	0	392.79	56,749.87	No	

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Gordon, Victoria	Director - Weatheriza tion	Professional	HCDC			FT	Yes	85,000.00	83,365.44	0	0	0	715.88	84,081.32	No	
Goss, Veronica	Project Associate, START-UP NY	Professional				FT	Yes	61,000.00	25,807.76	0	0	0	234.62	26,042.38	No	
Graham, Nathaniel	Weatheriza tion Field Inspector	Administrative and Clerical	HCDC			FT	No	35,500.00	34,817.44	18.21	0	0	0	34,835.65	No	
Greenstei n, Amy	Program Manager, Community Economic Developmen t	Professional				FT	Yes	66,500.00	16,880.82	0	0	0	255.77	17,136.59	No	
Gregory, Jennifer	Project Assistant - WNY	Professional				FT	No	34,000.00	34,000.2	2,247.07	0	0	0	36,247.27	No	
Grogan, Jane R	LEGAL COORDINATO R	Administrative and Clerical	LEGAL			FT	No	56,456.00	56,456.4	209.89	0	0	0	56,666.29	No	
Groover, Annie M	ADMINISTRA TIVE ASSISTANT	Administrative and Clerical	LEGAL			FT	No	46,515.00	45,671.29	286.24	0	0	0	45,957.53	No	
Guter, Carla	Executive Assistant	Administrative and Clerical				FT	Yes	42,194.00	5,517.69	0	0	0	0	5,517.69	No	
Guzman, Celia	Administra tive Coordinato r	Administrative and Clerical				FT	No	42,000.00	8,561.57	5.38	0	0	0	8,566.95	No	
Guzman, Francisco J	COORDINATI NG ARCHITECT, HCDC	Professional	HARLEM COMMUNITY DEVEL. CORP.			FT	Yes	76,780.00	76,780.34	0	0	0	1,030.14	77,810.48	No	

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Hammen, Morgan	Sr. Contract Auditor	Professional				FT	Yes	48,800.00	25,713.94	0	0	0	2,662.66	28,376.6	No	
Haniff, Bibi K	Manager, Accounts Payable	Professional	Controller			FT	Yes	63,700.00	63,700	0	0	0	0	63,700	No	
Hansen, Kevin	Director, Real Estate Development	Professional				FT	Yes	85,000.00	58,192.46	0	0	0	0	58,192.46	No	
Harrison, Carl J	MGR. OF APPLICATIONS DEVELOPMENT	Professional	MANAGEMENT INFORMATION SYSTEMS			FT	Yes	85,340.00	85,340.06	0	0	0	0	85,340.06	No	
Healy, Brendan	Senior Project Manager	Professional	Loans & Grants			FT	Yes	68,097.00	68,097.12	0	0	0	714.03	68,811.15	No	
Heilbrunn, Peter G	DIRECTOR, DEBT MANAGEMENT	Professional	TREASURY			FT	Yes	103,250.00	103,250.16	0	0	0	931.74	104,181.9	No	
Helm, Barbara E	Senior Architect	Professional	Design & Construction			FT	Yes	105,000.00	105,000.22	0	0	0	0	105,000.22	No	
Hise, Kelly D	OFFICE SRVCS COORD/TYPIST	Administrative and Clerical	WNY ECON REG OFFICE			FT	No	33,998.00	33,997.6	4.36	0	0	0	34,001.96	No	
Hooker, Patrick	VP, Agribusiness Development	Professional	Strategic Business Development			FT	Yes	130,000.00	12,230.76	0	0	0	5,138.76	17,369.52	No	
Hornsby, Jonevan	Sr. Financial Analyst	Professional	Real Estate & Project Finance			FT	Yes	85,000.00	85,000.24	0	0	0	1,228.49	86,228.73	No	

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Hoyt, William	SVP, Regional Economic Development	Executive	WNY Regional Office			FT	Yes	139,000.00	139,000.16	0	0	0	0	139,000.16	No	
Hubbard, Quinn	Project Manager, Radisson Development	Professional				FT	Yes	0.00	0	0	0	0	48,925.05	48,925.05	No	
Hughes, Jessica A	Project Manager	Administrative and Clerical	WNY ECON REG OFFICE			FT	No	53,277.00	53,277.12	0	0	0	0	53,277.12	No	
Hurtado, Ana L	Contract Auditor	Administrative and Clerical	CONTRACT ADMIN & SUB FINANCE			FT	No	43,877.00	43,876.56	0	0	0	1,003.91	44,880.47	No	
Husain, Khalid	STAFF ACCOUNTANT	Professional	CONTROLLER			FT	Yes	47,380.00	47,380.32	0	0	0	0	47,380.32	No	
Imohiosen, Charles	Chief Operating Officer	Executive				FT	Yes	170,000.00	34,653.84	0	0	0	0	34,653.84	No	
Jackson, Eunice	Senior Counsel, Corp./Real Estate	Professional	Legal			FT	Yes	125,000.00	125,000.2	0	0	0	1,224.61	126,224.81	No	
James, Devlon	Weatherization Field Inspector	Administrative and Clerical	HCDC			FT	No	36,000.00	35,307.82	0	0	0	0	35,307.82	No	
Jeffrey, Rose M	MANAGER, OFFICE OPERATIONS	Professional	HARLEM COMMUNITY DEVEL. CORP.			FT	Yes	56,650.00	56,650.1	0	0	0	0	56,650.1	No	
Jew, Kerri	Executive Director, MWBD	Managerial				FT	Yes	130,000.00	111,211.69	0	0	0	0	111,211.69	No	

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Johs, Steven G	SR. DIR, TREASURY OPERATIONS	Managerial	TREASURY			FT	Yes	110,671.00	110,671.08	0	0	0	1,189.88	111,860.96	No	
Jordan, Nicole	Manager, Community Relations	Professional				FT	Yes	55,786.00	1,716.5	0	0	0	0	1,716.5	No	
Kang, Sooh	SR. PLANNER	Professional	DESIGN & CONSTRUCTION			FT	Yes	80,154.00	80,154.1	0	0	0	0	80,154.1	No	
Kaplan, Mark H	COMPTRROLLER	Executive	LOWER MANHATTAN DEVEL. CORP.			FT	Yes	80,000.00	80,000.18	0	0	0	0	80,000.18	No	
Khera, Shobha	DATABASE/PROGRAMMER ANALYST	Professional	MANAGEMENT INFORMATION SYSTEMS			FT	Yes	57,897.00	57,897.06	0	0	0	0	57,897.06	No	
Konopko, Stephen G	VP, INTERNAL AUDIT	Managerial	LOWER MANHATTAN DEVEL. CORP.			FT	Yes	125,000.00	125,000.2	0	0	0	0	125,000.2	No	
Kostinsky, Rodion	DIRECTOR, LAN	Professional	MANAGEMENT INFORMATION SYSTEMS			FT	Yes	89,398.00	89,398.4	0	0	0	1,145.86	90,544.26	No	
Kramer, Howard J	Director, Marketing Finance	Professional				FT	Yes	90,000.00	97,390.12	0	0	0	0	97,390.12	No	
Krazmien, Cheryl A	EXEC ASST, UPSTATE COO	Professional	UPSTATE EMPIRE STATE DEV CORP			FT	Yes	60,000.00	60,000.2	0	0	0	0	60,000.2	No	
Kwan, Wendy W	DIR. OF SYSTEMS ADMINISTRATION	Professional	CONTROLLER			FT	Yes	87,421.00	87,420.58	0	0	0	0	87,420.58	No	

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Kwiatowski, Eugene	Dir. Systems Administration	Professional				FT	Yes	83,300.00	69,843.93	0	0	0	0	69,843.93	No	
Kwon, Robert O	VP, PORTFOLIO MANAGEMENT & Proj. Finance	Professional	PORTFOLIO MANAGEMENT			FT	Yes	100,900.00	100,900.02	0	0	0	0	100,900.02	No	
La, Suong D	MANAGER OF ACCOUNTING	Professional	CONTROLLER			FT	Yes	70,507.00	70,507.32	0	0	0	0	70,507.32	No	
LaViolette, Linda	Director of Farmers Markets	Professional	Strategic Business Development			FT	Yes	110,000.00	110,000.02	0	0	0	0	110,000.02	No	
Lampen, Barbara P	PRESIDENT, NYCCDC	Executive	NY CONVENTION CTR.DEVEL. CORP.			FT	Yes	180,250.00	180,249.94	0	0	0	1,181.12	181,431.06	No	
Larson, Vicotria	Manager - Information Systems	Professional	Policy & Programs - Library			FT	Yes	52,000.00	52,000	0	0	0	0	52,000	No	
Lazo-Ramos, Maritza	RECEPTIONIST/RECEIVING CLERK	Administrative and Clerical	ADMINISTRATIVE SERVICES			FT	No	40,000.00	38,249.68	0	0	0	922.98	39,172.66	No	
LeGrande, Monique	Project Manager, Mid-Hudson Reg. Office, REDC	Professional				FT	Yes	55,000.00	55,000.14	0	0	0	0	55,000.14	No	
Lecker, Caitlin	Project Associate, North Country Reg. Off.	Professional				FT	No	42,000.00	37,444.74	0	0	0	161.54	37,606.28	No	

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Lee, Edwin Y	AVP, Discretionary Programs	Professional	LOANS & GRANTS			FT	Yes	80,140.00	80,140.06	0	0	0	1,094.06	81,234.12	No	
Lent, Pamm	Director of Communications - WNY	Professional				FT	Yes	100,000.00	53,077.01	0	0	0	0	53,077.01	No	
Leung, Gladys C	Dir., General ACCOUNTING	Professional	CONTROLLER			FT	Yes	79,596.00	79,596.14	0	0	0	0	79,596.14	No	
Levi, Ross	VP, Marketing Initiatives	Executive				FT	Yes	130,000.00	130,000	0	0	0	0	130,000	No	
Lim, Lisa	Senior Counsel	Professional	Legal			FT	Yes	118,000.00	118,000.22	0	0	0	0	118,000.22	No	
Lohneiss, Andrea H	REG DIR, LONG ISLAND	Executive	REGIONAL OFFICES			FT	Yes	133,000.00	133,000.14	0	0	0	0	133,000.14	No	
Lunke, Thomas G	DIRECTOR, PLANNING, HCDC	Professional	HARLEM COMMUNITY DEVEL. CORP.			FT	Yes	84,674.00	84,674.2	0	0	0	1,204.31	85,878.51	No	
Lynch, Derek	Manager, Comm. & Govt. Rel. - Atlantic Yards Project	Professional				FT	Yes	85,000.00	85,000.24	0	0	0	0	85,000.24	No	
Lynch, Gregory	Weatherization Sr. Field Inspector	Professional	HCDC			FT	Yes	46,000.00	45,115.44	0	0	0	0	45,115.44	No	

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MacMillian, Kelly	Director of Public Infrastructure	Professional				FT	Yes	130,000.00	130,000	0	0	0	0	130,000	No	
Macaluso, Joseph L	CONSTRUCTION COST ESTIMATOR	Professional	DESIGN & CONSTRUCTION			FT	Yes	108,150.00	108,150.12	0	0	0	0	108,150.12	No	
Magee, Laura	Deputy Director, Communications	Professional				FT	Yes	58,000.00	58,000.02	0	0	0	1,037.38	59,037.4	No	
Mahony, Karen E	Office Manager, WNY	Professional	UPSTATE EMPIRE STATE DEV CORP			FT	Yes	68,500.00	68,500.12	0	0	0	877.23	69,377.35	No	
Mailman, Gregory	Sr. Program Manager, Film Tax Credits	Professional				FT	Yes	63,430.00	43,913.16	0	0	0	243.96	44,157.12	No	
Maldonado, Hector	MAILROOM CLERK/SECURITY	Administrative and Clerical	ADMINISTRATIVE SERVICES			FT	No	35,838.00	35,838.14	1,018.71	0	0	0	36,856.85	No	
Marinelli, Lynn	Dir., Intergovernmental Affairs, WNY	Professional				FT	Yes	70,000.00	10,769.24	0	0	0	538.46	11,307.7	No	
Marrero, Armando J	Manager, Office Services	Professional				FT	No	60,042.00	58,030.82	0	0	0	1,285.55	59,316.37	No	
Martindale, Camille A	Assistant Manager Help Desk	Administrative and Clerical	MANAGEMENT INFORMATION SYSTEMS			FT	No	57,160.00	57,159.7	0	0	0	977.8	58,137.5	No	
Mason, Eileen S	VP, HUMAN RESOURCES	Managerial	HUMAN RESOURCES			FT	Yes	115,829.00	118,056.15	0	0	0	1,044.09	119,100.24	No	

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Maxwell, Petra	Associate Counsel	Executive				FT	Yes	115,000.00	115,000.18	0	0	0	0	115,000.18	No	
Mayers, Juliett S	DEPARTMENTAL COORDINATOR	Administrative and Clerical	HUMAN RESOURCES			FT	No	55,458.00	55,458	3,245.73	0	0	1,154.07	59,857.8	No	
McCormick, Jennifer E	Chief of Staff to Exec. Depty. Dir. State Operations	Executive				FT	Yes	133,900.00	133,900	0	0	0	0	133,900	No	
McDonough, Michael T	FMS SECURITY ADMINISTRATOR	Administrative and Clerical	MANAGEMENT INFORMATION SYSTEMS			FT	No	70,132.00	70,132.66	8,308.03	0	0	0	78,440.69	No	
McEvoy, Eileen R	CORPORATE SECRETARY, LEGAL	Professional	LEGAL			FT	Yes	85,870.00	85,869.42	0	0	0	0	85,869.42	No	
McFeeley, Constance M	SR PROJECT MANAGER, MPTV	Professional	MOTION PICTURES & TV			FT	Yes	72,842.00	72,841.86	0	0	0	0	72,841.86	No	
McKenna, Megan	Project Manager, Special Marketing Projects	Professional				FT	Yes	63,800.00	32,145.44	0	0	0	0	32,145.44	No	
McLeary, Glendon C	SENIOR PROJECT MANAGER, LOANS & GRANTS	Professional	LOANS & GRANTS			FT	Yes	68,097.00	68,097.12	0	0	0	1,050.26	69,147.38	No	

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McLeod, Ryan	Sr. Project Manager, Mid-Hudson Reg. Off.	Professional				FT	Yes	66,000.00	58,384.81	0	0	0	761.54	59,146.35	No	
McLeveigh, Claire	VP, Trade and Investment	Managerial				FT	Yes	110,000.00	16,923.08	0	0	0	846.15	17,769.23	No	
Minott, Michael	Davis-Beacon Compliance Officer, WAP	Professional	HCDC			FT	Yes	65,000.00	63,750	0	0	0	0	63,750	No	
Mize, Kathleen	Deputy CFO & CONTROLLER	Executive	CONTROLLER			FT	Yes	148,000.00	148,000.06	0	0	0	586.07	148,586.13	No	
Morel, Hector M	Procurement Coordinator	Administrative and Clerical	CONTRACT ADMIN & SUB FINANCE			FT	No	48,865.00	48,865.18	0	0	0	210.78	49,075.96	No	
Morse, Michael F	DIRECTOR, INDUSTRY DEVEL., SBD	Professional	STRATEGIC BUSINESS DIVISION			FT	Yes	93,881.00	93,881.06	0	0	0	406.22	94,287.28	No	
Murray, Janet H	Executive Asst., WNY Regional Office	Professional	WNY ECON REG OFFICE			FT	Yes	57,000.00	57,000.06	0	0	0	985.69	57,985.75	No	
Muszynski, Edward G	AREA DIRECTOR, ROCHESTER	Professional	LOANS & GRANTS			FT	Yes	90,704.00	90,703.86	0	0	0	0	90,703.86	No	
Nathan, Ingrid	Senior Executive Assistant	Administrative and Clerical	Business Development			FT	No	56,000.00	56,000.1	0	0	0	0	56,000.1	No	

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Nelson, DeBorah R	Records Management /Financial Coordinator	Professional				FT	Yes	56,650.00	41,310.99	0	0	0	0	41,310.99	No	
Newman, Richard	EVP, State Marketing Strategy	Executive				FT	Yes	165,000.00	112,961.65	0	0	0	2,168.54	115,130.19	No	
O'Brien, Maureen E	Senior EXEC ASST	Professional	Lower Manhattan Development Corporation			FT	Yes	52,500.00	52,499.98	0	0	0	0	52,499.98	No	
Ocampo, Orha T	PROJECT ASSOCIATE	Professional	EMPOWERMENT ZONE			FT	Yes	53,993.00	53,992.64	0	0	0	0	53,992.64	No	
Offen, Alexis	VP, Real Estate Development	Executive				FT	Yes	115,000.00	115,000.08	0	0	0	0	115,000.08	No	
Orsi, Christina P	REG DIR, WNY REG OFFICE	Executive	REGIONAL OFFICES			FT	Yes	120,000.00	120,000.14	0	0	0	4,020.16	124,020.3	No	
Ortman, Holloway C	PROJ.MGR, USA NIAGARA DEVELOPMENT CORP.	Professional	USA NIAGARA DEVELOPMENT CORP.			FT	Yes	56,650.00	53,381.81	0	0	0	0	53,381.81	No	
Otero, Carlos	VP, CONTRCT ADMIN&SUB. FINANCE	Managerial	CONTRACT ADMIN & SUB FINANCE			FT	Yes	125,450.00	125,450	0	0	0	1,289.47	126,739.47	No	
Otero, Martha J	DIRECTOR, P R/LTNO BUS DEV CTR	Professional	ECONOMIC REVITALIZATION			FT	Yes	72,971.00	72,971.34	0	0	0	0	72,971.34	No	
Padden, Maxwell	Asset Manager	Professional				FT	Yes	58,500.00	58,500	0	0	0	0	58,500	No	

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Padmore, Grace A	PROJECT ASSOCIATE/OFFICE MGR.	Professional	LOANS & GRANTS			FT	Yes	56,640.00	56,639.7	0	0	0	0	56,639.7	No	
Palazzo, Paul V	SR. CONSTRUCTION MANAGER	Professional	DESIGN & CONSTRUCTION			FT	Yes	97,000.00	97,000.02	0	0	0	0	97,000.02	No	
Paolicelli, Brianna	Executive Assistant to the President & CEO	Administrative and Clerical				FT	Yes	55,000.00	5,923.07	0	0	0	0	5,923.07	No	
Paredes, Patricia	Departmental Coordinator	Administrative and Clerical				FT	No	40,000.00	16,923.17	5.13	0	0	923.08	17,851.38	No	
Parris, Ruth S	HR Specialist & SR. HUMAN RESOURCES COOR.	Administrative and Clerical	HUMAN RESOURCES			FT	No	60,000.00	60,000.2	2,173.07	0	0	363.74	62,537.01	No	
Patel, Mehul J	Chief of Staff to the President & CEO	Executive	Executive			FT	Yes	135,000.00	135,000.06	0	0	0	1,298.65	136,298.71	No	
Paul, Raynold	STAFF ACCOUNTANT	Professional	CONTROLLER			FT	Yes	47,380.00	47,380.06	0	0	0	1,016.1	48,396.16	No	
Payos, Barbara M	OFFICE OPERATIONS ASSOCIATE	Administrative and Clerical	HARLEM COMMUNITY DEVEL. CORP.			FT	No	54,672.00	54,672.28	1,335.25	0	0	0	56,007.53	No	
Pellegrini, Rebecca M	Project Manager	Professional	MOYNIHAN STATION DEVEL CORP			FT	Yes	55,848.00	55,846.96	0	0	0	0	55,846.96	No	

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Pelton, Steven W	SR PROJECT MANAGER, MPTV	Professional	MOTION PICTURES & TV			FT	Yes	77,144.00	77,143.56	0	0	0	0	77,143.56	No	
Perez-Fuentes, Saadia	ACCTS PAYABLE SPECIALIST	Administrative and Clerical	CONTRACT ADMIN & SUB FINANCE			FT	No	49,088.00	49,087.74	1,919.46	0	0	0	51,007.2	No	
Phillips, III, Marion	SVP, Community Relations, NYC	Professional	Real Estate			FT	Yes	110,000.00	104,231.38	0	0	0	0	104,231.38	No	
Pidedjian, Antovk	SENIOR COUNSEL, Lending Programs	Professional	LEGAL			FT	Yes	120,000.00	120,000.14	0	0	0	0	120,000.14	No	
Piecuch, Marty	Sr. Construction Manager	Technical and Engineering	Design and Construction			FT	Yes	90,000.00	90,000.04	0	0	0	0	90,000.04	No	
Plotch, Philip M	DIR, WTC REDEVEL & SPEC PROJS.	Professional	LOWER MANHATTAN DEVEL. CORP.			FT	Yes	104,000.00	104,000	0	0	0	728.67	104,728.67	No	
Pond, Marisa E	ADMIN ASSISTANT	Administrative and Clerical	LOANS & GRANTS			FT	Yes	34,505.00	34,505.12	4.42	0	0	1,039.44	35,548.98	No	
Pruden, Harlan H	PROJ ASSOC	Professional	MWBE			FT	Yes	51,500.00	51,500.02	0	0	0	0	51,500.02	No	
Quinn, Donald J	MGR, TECHNICAL SERVICES	Professional	MANAGEMENT INFORMATION SYSTEMS			FT	Yes	97,443.00	97,443.06	0	0	0	0	97,443.06	No	
Rama, Monica	Exec. Asst. to Exec. Dir., MWBE	Administrative and Clerical				FT	No	43,000.00	43,000.1	0	0	0	0	43,000.1	No	

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Ranalli, Steven P	Sr. Proj. Mgr./Civil Transp. Engineer	Professional	Erie Canal Harbor Dev. Corp.			FT	Yes	104,115.00	104,115.18	0	0	0	0	104,115.18	No	
Rao, Chelsea	VP, New Media & Digital Development	Managerial				FT	Yes	90,000.00	52,961.56	0	0	0	0	52,961.56	No	
Regan, John	Associate Counsel	Executive				FT	Yes	132,000.00	132,000.18	0	0	0	0	132,000.18	No	
Reid, Conwell A	CONSTRUCTION MANAGER, HCDC	Professional	HCDC-WEATHERIZATION			FT	Yes	57,897.00	56,783.82	0	0	0	0	56,783.82	No	
Renzi, Scott W	SENIOR CONSTRUCTION MANAGER	Professional	DESIGN & CONSTRUCTION			FT	Yes	97,000.00	97,000.02	0	0	0	0	97,000.02	No	
Reynolds, Lucian	Junior Urban Planner	Professional				FT	Yes	45,000.00	45,000.02	0	0	0	0	45,000.02	No	
Risio, John J	PROJECT MANAGER	Professional	USA NIAGARA DEVELOPMENT CORP.			FT	Yes	64,552.00	64,552.02	0	0	0	0	64,552.02	No	
Rivera, Alfredo	MAILROOM CLERK/SECURITY/DRIVER	Administrative and Clerical	ADMINISTRATIVE SERVICES			FT	No	32,960.00	32,960.2	1,605.76	0	0	0	34,565.96	No	
Rodriguez, Lilliana	DIR, CONTRACT/INSURANCE ADMIN.	Professional	CONTRACT ADMIN & SUB FINANCE			FT	Yes	76,012.00	76,012.3	0	0	0	1,123.36	77,135.66	No	
Rojas, Harold	MANAGER OF ACCOUNTING	Professional	CONTROLLER			FT	Yes	70,817.00	70,816.98	0	0	0	0	70,816.98	No	

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Roman-Morales, Javier	SR. PROJ. MGR., SPECIAL PROGMS	Professional	LOANS & GRANTS			FT	Yes	68,098.00	68,097.9	0	0	0	0	68,097.9	No	
Rosefort, Rudolf	VP, MIS & Admin. Services	Managerial	MANAGEMENT INFORMATION SYSTEMS			FT	Yes	120,000.00	120,000.14	0	0	0	0	120,000.14	No	
Roskin, Maya	Sr. Database Administrator	Professional	MANAGEMENT INFORMATION SYSTEMS			FT	Yes	70,000.00	70,000.06	0	0	0	0	70,000.06	No	
Ross, Denise S	PROJECT MANAGER, AA	Professional	ECONOMIC REVITALIZATION			FT	Yes	60,845.00	60,845.2	0	0	0	0	60,845.2	No	
Rossi, Angela P	SR. PROJ MGR/COMMUNITY LIAISON	Professional	LOWER MANHATTAN DEVEL. CORP.			FT	Yes	80,000.00	80,000.18	0	0	0	0	80,000.18	No	
Roy, Paula	VP, Portfolio Management	Executive	Portfolio Management			FT	Yes	120,000.00	120,000.14	0	0	0	0	120,000.14	No	
Royce, Deborah A	LEGAL ASSISTANT	Professional	LEGAL			FT	Yes	82,240.00	82,239.56	0	0	0	0	82,239.56	No	
Russo, Gerardo	VP, Communications	Professional				FT	Yes	145,000.00	68,596.24	0	0	0	0	68,596.24	No	
Rutter, Sharon P	DIR OF PROGRAMS AND POLICY	Professional	POLICY & RESEARCH			FT	Yes	106,132.00	106,132.26	0	0	0	0	106,132.26	No	
Sahulee, Rowena	Director, Tourism Marketing, Licensing & Public Relations	Professional				FT	Yes	75,350.00	41,152.74	0	0	0	0	41,152.74	No	

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Saint John, Patrick	Administrative Coordinator	Administrative and Clerical	HCDC			FT	No	39,050.00	39,050	0	0	0	0	39,050	No	
Salaberrios, Rafael	Senior Director - Economic Empowerment	Managerial	Economic Empowerment			FT	Yes	140,000.00	140,000.12	0	0	0	0	140,000.12	No	
Samuels, Marion V	MANAGER., COMM. DEVEL. PROGRMS	Professional	LOANS & GRANTS			FT	Yes	83,062.00	83,062.46	0	0	0	0	83,062.46	No	
Sassone, Adam	Project Associate, WNY - REDC	Professional				FT	Yes	53,000.00	53,000.22	0	0	0	0	53,000.22	No	
Schoepflin, Christopher J	PRESIDENT, USA NIAGARA DEVELOPMENT CORP.	Executive	USA NIAGARA DEVELOPMENT CORP.			FT	Yes	105,908.00	105,908.4	0	0	0	0	105,908.4	No	
Serrano, Enrique R	DRIVER	Operational	EXECUTIVE OFFICE			FT	No	51,006.00	51,005.5	15,335.38	0	0	0	66,340.88	No	
Shaffer, Susan E	Senior Vice President - Loans & Grants	Professional	LOANS & GRANTS			FT	Yes	113,000.00	105,884.69	0	0	0	0	105,884.69	No	
Shao, Joanne M	SR. ACCOUNTANT	Professional	CONTROLLER			FT	Yes	55,702.00	55,702.4	0	0	0	1,121.16	56,823.56	No	
Shapiro, Randy J	DIR, USER SUPPORT & TRNG SRVCS	Professional	MANAGEMENT INFORMATION SYSTEMS			FT	Yes	88,313.00	88,313.16	0	0	0	1,163.05	89,476.21	No	
Shatz, Rachel E	VP., PLNG & ENVR. REVIEW	Professional	DESIGN & CONSTRUCTION			FT	Yes	110,676.00	110,676.02	0	0	0	1,127.59	111,803.61	No	

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Shivnandan, Devika	Jr. Treasury Analyst	Professional	TREASURY			FT	Yes	41,250.00	41,250.04	0	0	0	0	41,250.04	No	
Silva, Ryan	Deputy Director, REDC, Albany	Professional				FT	Yes	75,000.00	1,730.76	0	0	0	0	1,730.76	No	
Simenic, Joseph	Executive Director, LMCC	Professional				FT	Yes	105,000.00	102,307.88	0	0	0	7,323.5	109,631.38	No	
Simpson, Gladys	Executive Assistant	Administrative and Clerical	Intergovernmental & Legislative Affairs			FT	Yes	55,000.00	55,000.14	0	0	0	413.09	55,413.23	No	
Singh, Sankar D	FINANCIAL PROJECT MANAGER	Administrative and Clerical	CONTRACT ADMIN & SUB FINANCE			FT	Yes	62,830.00	62,830.04	0	0	0	0	62,830.04	No	
Smith, Joyce A	DIR, ENTREPRENEURIAL ASST PROGR	Administrative and Clerical	ECONOMIC REVITALIZATION			FT	Yes	72,691.00	72,691.32	0	0	0	0	72,691.32	No	
Smith, Mark	Director - Construction	Technical and Engineering	ECHDC			FT	Yes	95,790.00	95,789.98	0	0	0	0	95,789.98	No	
Solomon-Norman, Tyra	Weatherization Intake/Outreach Specialist	Administrative and Clerical	HCDC			FT	No	35,500.00	34,817.44	77.37	0	0	0	34,894.81	No	
Somers, Lotoya	Executive Assistant/ Financial Coordinator	Administrative and Clerical				FT	Yes	53,000.00	6,115.38	0	0	0	6,594.41	12,709.79	No	

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Soto, Elisabeth	Exec. Asst. to the Cnslr. on Cmpetotvnes & Int'l Affairs	Administrative and Clerical	International			FT	Yes	57,000.00	57,000.06	0	0	0	1,099.33	58,099.39	No	
Spadaccini, Jennifer	Asst. Project Manager - Mid-Hudson	Professional				FT	Yes	50,000.00	50,000.08	0	0	0	0	50,000.08	No	
Spence, Adam	SVP, Start-Up NY	Executive				FT	Yes	150,000.00	4,615.4	0	0	0	0	4,615.4	No	
Stephens, Regina	PARALEGAL/PROJECT ASSOCIATE	Professional	LEGAL			FT	Yes	66,165.00	66,165.06	0	0	0	0	66,165.06	No	
Stout, Robin J	Senior Counsel	Professional	Legal			FT	Yes	161,709.00	161,709.08	0	0	0	0	161,709.08	No	
Szubielski, Jolanta	Press Officer/Sp eech Writer	Professional	Public Affairs			FT	Yes	55,000.00	55,000.14	0	0	0	613.66	55,613.8	No	
Taylor, Colin R	Manager, TELECOMMUNICATIONS	Professional	MANAGEMENT INFORMATION SYSTEMS			FT	Yes	67,719.00	67,719.08	0	0	0	752.9	68,471.98	No	
Tazewell, Joseph L	NYC REG. DIR. & AVP, Business Development	Managerial	Business Development			FT	Yes	120,000.00	120,000.14	0	0	0	1,116.54	121,116.68	No	
Teubner, Brian	Business Outreach Associate, LI RO	Professional				FT	No	45,000.00	45,000.02	0	0	0	0	45,000.02	No	

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Thomas, Cecilia B	ADMINISTRATIVE COORDINATOR	Administrative and Clerical	LOANS & GRANTS			FT	No	51,746.00	51,746.24	26.54	0	0	0	51,772.78	No	
Thomas, Rashib	Sr. Human Resources Manager	Managerial				FT	Yes	70,000.00	15,615.4	0	0	0	0	15,615.4	No	
Tiam-Fook, Bruce O	Procurement Manager	Professional	CONTRACT ADMIN & SUB FINANCE			FT	Yes	60,000.00	60,000.2	0	0	0	1,142.95	61,143.15	No	
Tobin, Margaret	Chief Financial Officer	Executive				FT	Yes	173,000.00	21,957.71	0	0	0	0	21,957.71	No	
Todd, Omar	Sr. Accountant	Professional				FT	Yes	50,000.00	50,000.08	0	0	0	0	50,000.08	No	
Toledo, Ricardo	Sr. Offices Services Clerk/Security	Administrative and Clerical	ADMINISTRATIVE SERVICES			FT	No	44,603.00	45,505.8	36.12	0	0	0	45,541.92	No	
Tompkins, Kenneth M	REGIONAL DIRECTOR-MOHAWK VALLEY	Executive	REGIONAL OFFICES			FT	Yes	120,000.00	120,000.14	0	0	0	0	120,000.14	No	
Topor, Peter	CONSTRUCTION MANAGER	Professional	DESIGN & CONSTRUCTION			FT	Yes	97,000.00	97,000.02	0	0	0	922.86	97,922.88	No	
Tronolone, Paul J	SR. PROJECT MANAGER	Professional	USA NIAGARA DEVELOPMENT CORP.			FT	Yes	112,862.00	112,862.36	0	0	0	1,194.19	114,056.55	No	

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Turner, JaMone	Deputy Director, REDC - Finger Lakes	Professional				FT	Yes	65,000.00	55,000	0	0	0	1,685.22	56,685.22	No	
Vargas-Rodriguez, Aimee	Regional Director - Mid-Hudson	Professional	Mid-Hudson RO			FT	Yes	114,000.00	114,000.12	0	0	0	0	114,000.12	No	
Vera, Leonardo	Office Services/Field Assistant	Administrative and Clerical				FT	No	36,000.00	5,261.56	9.23	0	0	0	5,270.79	No	
Villarruz, Marilyn V	Exec. Asst./Financial Coordinator	Administrative and Clerical				FT	Yes	57,909.00	57,909.02	0	0	0	0	57,909.02	No	
Viola, Jocelyn M	PROJ ASSOC/OFFICE MGR.	Professional	USA NIAGARA DEVELOPMENT CORP.			FT	Yes	47,000.00	47,000.2	0	0	0	331.46	47,331.66	No	
Volcy, Ralph	Asset Manager	Professional	Portfolio Management			FT	Yes	60,200.00	60,200.14	0	0	0	974.64	61,174.78	No	
Walkowitz, Jared	Project Manager, Loans & Grants	Professional				FT	Yes	62,500.00	55,288.55	0	0	0	721.15	56,009.7	No	
Warren, Beth	Sr. Director, Operations	Professional				FT	Yes	92,250.00	92,250.08	0	0	0	0	92,250.08	No	
Washington, Thelma D	EXECUTIVE SECRETARY	Administrative and Clerical	Moynihan Station Development Corporation			FT	No	51,388.00	51,388.74	0	0	0	0	51,388.74	No	

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Weixel, Goldie M	ASST GENERAL COUNSEL	Professional	LOWER MANHATTAN DEVEL. CORP.			FT	Yes	90,000.00	65,769.02	0	0	0	0	65,769.02	No	
Wenerski, Glendalys	Project Manager	Professional				FT	Yes	64,500.00	64,500.02	0	0	0	0	64,500.02	No	
White, Phyllis	Human Resources Representative	Professional				FT	Yes	0.00	0	0	0	0	33,040	33,040	No	
White, Robert J	AUDIT MANAGER	Professional	INTERNAL AUDIT			FT	Yes	82,096.00	82,096.04	0	0	0	1,062.52	83,158.56	No	
Williams, Jean L	Sr. PROJECT MANAGER, WNY	Professional	WNY ECON REG OFFICE			FT	Yes	65,386.00	64,167.22	0	0	0	259.64	64,426.86	No	
Witzleben, Raymond H	SR. PROJECT MANAGER	Professional	WNY ECON REG OFFICE			FT	Yes	84,343.00	84,342.7	0	0	0	0	84,342.7	No	
Wong, Kenneth	Director of Special Events	Managerial				FT	Yes	115,000.00	104,827	0	0	0	0	104,827	No	
Wray, Raymond C	FINANCIAL PROJECT MANAGER	Professional	QUEENS WEST DEV. CORP.			FT	Yes	60,701.00	60,701.16	0	0	0	1,140.07	61,841.23	No	
Wright, Dorothy H	ADMIN. SECRETARY/ RECEPTIONIST	Administrative and Clerical	HUMAN RESOURCES			FT	No	39,377.00	39,377	3,180.41	0	0	0	42,557.41	No	
Wright, Katherine	EVP, Public Affairs & Strategic Initiatives	Managerial				FT	Yes	160,000.00	81,846.2	0	0	0	0	81,846.2	No	

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Wynn, Simon D	SENIOR COUNSEL, Litigation	Professional	LEGAL			FT	Yes	125,000.00	125,000.2	0	0	0	0	125,000.2	No	
Xie, Bin	MANAGER, APPLICATIONS DEVELOPMENT	Professional	MANAGEMENT INFORMATION SYSTEMS			FT	Yes	85,263.00	85,263.1	0	0	0	0	85,263.1	No	
Younis, Kevin A	EVP, Public Policy, Planning & Incentives	Professional	Executive			FT	Yes	130,000.00	121,781.75	0	0	0	1,203.26	122,985.01	No	
Zani, Denise	Project Associate, REDC, LI	Professional				FT	Yes	48,000.00	48,000.16	0	0	0	0	48,000.16	No	

**Benefit Information**

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Vacant	Board of Directors												X	
Adams, Kenneth	Board of Directors												X	
Lawsky, Benjamin	Board of Directors												X	
Albanese, Anthony	Board of Directors												X	
Miller, Joyce	Board of Directors												X	
Vacant	Board of Directors												X	
Cephas, Derrick D	Board of Directors												X	
Dyson, Robert	Board of Directors												X	
Vacant	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Adams, Kenneth	President & CEO				X									
Andreucci, Merideth B	DIR OF BUSINESS DEVEL. - Upstate												X	
Archer, Curtis L	PRESIDENT, HCDC												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Axelrod, Lauren	Associate Counsel - Corporate/Real Estate												X	
Benjamin, Wayne A	DIR., RESIDENTIAL DEVEL., HCDC												X	
Besch, Clayton	Director, SBTIF												X	
Beyer, Jonathan	SENIOR COUNSEL												X	
Brennan, Thomas P	SVP, INTERNAL AUDIT												X	
Burkard, Joseph H	Senior Architect												X	
Cassidy, Maria	DEPUTY GENERAL COUNSEL, ESD Consolidated Services												X	
Chan, Joseph	EVP, Business Development				X									
Chin, Mary M	Assistant Controller												X	
Ciniello, Daniel A	SVP- OPERATIONS												X	
Coburn, Randal	SVP, Economic Incentives												X	
Dee, Thomas	President												X	
Dennergy, Natalie	Senior Counsel, Lending												X	
Dorado, Richard M	SENIOR COUNSEL												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Evans, Michael	President												X	
Fayle, James P	Regional Director - Central NY & North Country				X									
Fine, Elizabeth	EVP, Legal & General Counsel												X	
Gawlik, Stephen F	VP - Capital Projects & Senior Counsel												X	
Gilstrap, John	EVP, Business Attraction & Expansion				X									
Glickman, Rhoda	SVP, Business Services												X	
Godley, Robert M	TREASURER												X	
Gold, Steven R	AVP, BUSINESS DEVELOPMENT												X	
Heilbrunn, Peter G	DIRECTOR, DEBT MANAGEMENT												X	
Helm, Barbara E	Senior Achitect												X	
Hooker, Patrick	VP, Agribusiness Development				X									
Hoyt, William	SVP, Regional Economic Development				X									

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Imohiosen, Charles	Chief Operating Officer				X									
Jackson, Eunice	Senior Counsel, Corp./Real Estate												X	
Jew, Kerri	Executive Director, MWBD				X									
Johs, Steven G	SR. DIR, TREASURY OPERATIONS												X	
Konopko, Stephen G	VP, INTERNAL AUDIT												X	
Kwon, Robert O	VP, PORTFOLIO MANAGEMENT & Proj. Finance												X	
LaViolette, Linda	Director of Farmers Markets												X	
Lampen, Barbara P	PRESIDENT, NYCCDC												X	
Levi, Ross	VP, Marketing Initiatives												X	
Lim, Lisa	Senior Counsel												X	
Lohneiss, Andrea H	REG DIR, LONG ISLAND				X									
MacMillian, Kelly	Director of Public Infrastructure												X	
Macaluso, Joseph L	CONSTRUCTION COST ESTIMATOR												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Mason, Eileen S	VP, HUMAN RESOURCES												X	
Maxwell, Petra	Associate Counsel												X	
McCormick, Jennifer E	Chief of Staff to Exec. Depty. Dir. State Operations				X									
McLeveighn, Claire	VP, Trade and Investment				X									
Mize, Kathleen	Deputy CFO & CONTROLLER												X	
Newman, Richard	EVP, State Marketing Strategy				X									
Offen, Alexis	VP, Real Estate Development												X	
Orsi, Christina P	REG DIR, WNY REG OFFICE												X	
Otero, Carlos	VP, CONTRCT ADMIN&SUB. FINANCE												X	
Patel, Mehul J	Chief of Staff to the President & CEO												X	
Phillips, III, Marion	SVP, Community Relations, NYC												X	
Pidedjian, Antovk	SENIOR COUNSEL, Lending Programs												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Plotch, Philip M	DIR, WTC REDEVEL & SPEC PROJS.												X	
Ranalli, Steven P	Sr. Proj. Mgr./Civil Transp. Engineer												X	
Regan, John	Associate Counsel				X									
Rosefort, Rudolf	VP, MIS & Admin. Services												X	
Roy, Paula	VP, Portfolio Management												X	
Russo, Gerardo	VP, Communications				X									
Rutter, Sharon P	DIR OF PROGRAMS AND POLICY												X	
Salaberrios, Rafael	Senior Director - Economic Empowerment												X	
Schoepflin, Christopher J	PRESIDENT, USA NIAGARA DEV.CORP												X	
Shaffer, Susan E	Senior Vice President - Loans & Grants												X	
Shatz, Rachel E	VP., PLNG & ENVR. REVIEW												X	
Simenic, Joseph	Executive Director, LMCC												X	
Spence, Adam	SVP, Start-Up NY				X									

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Stout, Robin J	Senior Counsel												X	
Tazewell, Joseph L	NYC REG. DIR. & AVP, Business Development				X									
Tobin, Margaret	Chief Financial Officer				X									
Tompkins, Kenneth M	REGIONAL DIRECTOR-MOHAWK VALLEY				X									
Tronolone, Paul J	SR. PROJECT MANAGER												X	
Vargas-Rodriguez, Aimee	Regional Director - Mid-Hudson				X									
Wong, Kenneth	Director of Special Events												X	
Wright, Katherine	EVP, Public Affairs & Strategic Initiatives				X									
Wynn, Simon D	SENIOR COUNSEL, Litigation												X	
Younis, Kevin A	EVP, Public Policy, Planning & Incentives												X	

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? No

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
106th Street Houses Incorporated	ACTIVE	
125th Street Mart Incorporated	ACTIVE	
260-262 West 125th Street Corporation	ACTIVE	
42nd St. Development Project, Inc	ACTIVE	
900 Woolworth Redevelopment Corporation	ACTIVE	
Apollo Theatre Redevelopment Corporation	ACTIVE	
Apple Walk (Grote Street) Houses Incorporated	ACTIVE	
Archive Preservation Corporation	ACTIVE	
Arverne Houses Incorporated	ACTIVE	
Ashland Place Houses Incorporated	ACTIVE	
Averill Court Houses Incorporated	ACTIVE	
BPC Development Corporation	ACTIVE	
Beaver Road Houses Incorporated	ACTIVE	
Borinquen Plaza Housing Company Incorporated	ACTIVE	
Briarcliff Manor Houses Incorporated	ACTIVE	
Broadway East Townhouses Incorporated	ACTIVE	
Brooklyn Bridge Park Development Corporation	ACTIVE	
Buena Vista Houses	ACTIVE	
Buffalo Waterfront Homes Site 2 Incorporated	ACTIVE	
Buffalo Waterfront Phase Houses	ACTIVE	
Buffalo Waterfront Phase III Houses	ACTIVE	
Canisteo Homes Incorporated	ACTIVE	
Carlken Manor Houses Incorporated	ACTIVE	
Carousel Park Houses Incorporated	ACTIVE	
Cathedral Parkway Houses Incorporated	ACTIVE	
Cedarwood Towers Houses Incorporated	ACTIVE	
Centerville Court Houses Incorporated	ACTIVE	
Charlotte Lake River Houses Incorporated	ACTIVE	

Name of Subsidiary/Component Unit	Status	Requested Changes
Cherry Hill (Syracuse Hill III) Corporation	ACTIVE	
City-State Development Corporation	ACTIVE	
Claremont Gardens Houses Incorporated	ACTIVE	
Clifton Springs Houses	ACTIVE	
Clinton Avenue Paul Place Houses Incorporated	ACTIVE	
College Hill Houses Incorporated	ACTIVE	
Comfort Street South Houses Incorporated	ACTIVE	
Coney Island Site 17 Houses Incorporated	ACTIVE	
Coney Island Site 1824 Houses Incorporated	ACTIVE	
Coney Island Site 1A Houses Incorporated	ACTIVE	
Coney Island Site 4A-1 Houses Incorporated	ACTIVE	
Coney Island Site 4A-2 Houses Incorporated	ACTIVE	
Coney Island Site Nine Houses Incorporated	ACTIVE	
Cosgrove Avenue Houses Incorporated	ACTIVE	
Creek Bend Heights Houses Incorporated	ACTIVE	
Dutcher House Incorporated	ACTIVE	
Edgerton Estates Incorporated	ACTIVE	
Ellicott Houses Incorporated	ACTIVE	
Elmwood-Utica Houses Incorporated	ACTIVE	
Ely Park Houses Site I Incorporated	ACTIVE	
Ely Park Site II Houses Incorporated	ACTIVE	
Empire State Allsub Corporation	ACTIVE	
Empire State Community Development Corporation	ACTIVE	
Empire State New Market Corporation	ACTIVE	
English Road Houses Incorporated	ACTIVE	
Erie Canal Harbor Development Corporation	ACTIVE	
Erie County Stadium Corporation	ACTIVE	
Excelsior Capital Corporation	ACTIVE	
FDA Headquarters Incorporated	ACTIVE	
Fordham Commercial Redevelopment Corporation	ACTIVE	
Frawley Plaza Houses Incorporated	ACTIVE	
Friendly Homes Houses	ACTIVE	

Name of Subsidiary/Component Unit	Status	Requested Changes
Fulton Park 4 Sites Incorporated	ACTIVE	
Fulton Park Site 2 Houses Incorporated	ACTIVE	
Genesee Gateway Houses Incorporated	ACTIVE	
Gleason Estates Houses Incorporated	ACTIVE	
Governors Island Preservation and Education Corporation	ACTIVE	Remove from list - Dissolved October 29, 2010.
Governors Island Redevelopment Corporation	ACTIVE	
Grasslands Houses Incorporated	ACTIVE	
HUDC 323 St. Nicholas Realty Corporation	ACTIVE	
Hampton Houses Incorporated	ACTIVE	
Harborview Houses Incorporated	ACTIVE	
Harlem Canaan House Incorporated	ACTIVE	
Harlem Community Development Corporation	ACTIVE	
Harlem River Park Houses Incorporated	ACTIVE	
Harriet Homes Incorporated	ACTIVE	
Harriman Research and Technology Development Corporation	ACTIVE	
Harrison House Incorporated	ACTIVE	
Highland Canalview Houses Incorporated	ACTIVE	
Hillside Homes (Wellsville Houses) Incorporated	ACTIVE	
Ithaca Elm-Maple Houses Incorporated	ACTIVE	
JUMA Development Corporation	ACTIVE	
Jespersin-Rochester Houses	ACTIVE	
Kennedy Square (Syracuse Hill I) Houses Incorporated	ACTIVE	
LaMarqueta Redevelopment Corporation	ACTIVE	
Liberty Senior Citizens Houses Incorporated	ACTIVE	
Lindsay-Bushwick Houses Incorporated	ACTIVE	
Lower Manhattan Development Corporation	ACTIVE	
Malone Town Houses Incorporated	ACTIVE	
Marcus Garvey Brownstone Houses Incorporated	ACTIVE	
Marinus Houses Incorporated	ACTIVE	
Melrose Site D-1 Houses Incorporated	ACTIVE	
Metro North Riverview Houses Incorporated	ACTIVE	
Metrocenter Development Corporation	ACTIVE	

Name of Subsidiary/Component Unit	Status	Requested Changes
Moynihan (Pennsylvania) Station Development Corporation	ACTIVE	
New York Convention Center Development Corporation	ACTIVE	
New York Empowerment Zone Corporation	ACTIVE	
New York State Mortgage Loan Enforcement Corporation	ACTIVE	
Newburgh Houses on the Lake Incorporated	ACTIVE	
Nodine Terrace Houses Incorporated	ACTIVE	
North Town Phase II Houses Incorporated	ACTIVE	
North Town Phase III Houses Incorporated	ACTIVE	
Oak Tree Development Corporation	ACTIVE	
Ogdensburg Crescent Mall Development Corporation	ACTIVE	
Painted Post Village Square Apartments Incorporated	ACTIVE	
Park Drive Manor Houses Incorporated	ACTIVE	
Parkedge House Incorporated	ACTIVE	
Parkside Houses Incorporated	ACTIVE	
Peekskill Plaza Houses Incorporated	ACTIVE	
Penview Houses Incorporated	ACTIVE	
Perinton-Fairport Houses Incorporated	ACTIVE	
Phillips Village Houses Incorporated	ACTIVE	
Pilgrim Woods Houses Incorporated	ACTIVE	
Presidential Plaza Apartments Incorporated	ACTIVE	
Queens West Development Corporation	ACTIVE	
Rebraf Redevelopment Corporation	ACTIVE	
Rochester-Downtown Center Incorporated	ACTIVE	
Rockland Manor Houses Incorporated	ACTIVE	
Roosevelt Island Development Corporation	ACTIVE	
Rutland Road Houses Incorporated	ACTIVE	
SE Loop Area Three B Houses Incorporated	ACTIVE	
Schemerhorn Houses Incorporated	ACTIVE	
Seaport Redevelopment Corporation	ACTIVE	
Seven Pines Houses Incorporated	ACTIVE	
South Fallsburgh Houses Incorporated	ACTIVE	
Southeast Loop Phase IIA Houses Incorporated	ACTIVE	

Name of Subsidiary/Component Unit	Status	Requested Changes
Spring Valley Homes Incorporated	ACTIVE	
St. Paul's Upper Falls Housing Company Incorporated	ACTIVE	
Stanwix Houses Incorporated	ACTIVE	
State Street Houses Incorporated	ACTIVE	
Statewide (Downhill) Local Development Corporation	ACTIVE	
Syracuse Intown Houses Incorporated	ACTIVE	
Ten Broeck Manor Houses Incorporated	ACTIVE	
Times Square Hotel Incorporated	ACTIVE	
Tompkins Terrace Incorporated	ACTIVE	
Twin Parks NE Site 2 Houses Incorporated	ACTIVE	
Twin Parks Northeast Houses Incorporated	ACTIVE	
Twin Parks Northwest Incorporated	ACTIVE	
Twin Parks SE Modular Houses Incorporated	ACTIVE	
Twin Parks SW Houses Incorporated	ACTIVE	
Twin Parks Southeast Houses Incorporated	ACTIVE	
UDC Nonprofit Houses Incorporated	ACTIVE	
UDC Special Development Corporation	ACTIVE	
UDC Utica Redevelopment Corporation	ACTIVE	
UDC/Albee Square Redevelopment Corporation	ACTIVE	
UDC/Commercial Center Incorporated	ACTIVE	
UDC/Commodore Redevelopment Corporation	ACTIVE	
UDC/Harlem Incorporated	ACTIVE	
UDC/Love Canal Incorporated	ACTIVE	
UDC/St. George Incorporated	ACTIVE	
UDC/Ten Eyck Development Corporation I	ACTIVE	
UDC/Ten Eyck Development Corporation II	ACTIVE	
UDC/Ten Eyck Development Corporation III	ACTIVE	
USA Niagara Development Corporation	ACTIVE	
Ulster Senior Citizens Houses Incorporated	ACTIVE	
Unity Park Houses Incorporated	ACTIVE	
Unity Park II (Niagara Park) Corporation	ACTIVE	
Upaca Terrace Houses Incorporated	ACTIVE	

Name of Subsidiary/Component Unit	Status	Requested Changes
Upstate Empire State Development Corporation	ACTIVE	
Valley Vista Houses Incorporated	ACTIVE	
Van Rensselaer Village Houses	ACTIVE	
Vark Street Houses Incorporated	ACTIVE	
Vernon Avenue Houses Incorporated	ACTIVE	
Village Manor Houses Incorporated	ACTIVE	
Warburton Houses Incorporated	ACTIVE	
Woodbrook Houses Incorporated	ACTIVE	
Woodrow Wilson Houses, Incorporated	ACTIVE	
World Trade Center Memorial Foundation, Incorporated	ACTIVE	
Wright Park Houses, Incorporated	ACTIVE	
Wright Park Phase II , Incorporated	ACTIVE	
Young Manor, Incorporated	ACTIVE	

**Subsidiary/Component Unit Creation**

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose

**Subsidiary/Component Unit Termination**

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
Governors Island Preservation and Education Corporation	10/29/2010	No longer necessary.	Attachment included

Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$298,644,000
Investments	\$3,069,014,000
Receivables, net	\$337,139,000
Other assets	\$30,875,000
<b>Total Current Assets</b>	<b>\$3,735,672,000</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$177,875,000
Long-term receivables, net	\$8,702,022,000
Other assets	\$101,642,000
<b>Capital Assets</b>	
Land and other nondepreciable property	\$372,425,000
Buildings and equipment	\$1,708,219,000
Infrastructure	\$0
Accumulated depreciation	\$338,663,000
Net Capital Assets	\$1,741,981,000
<b>Total Noncurrent Assets</b>	<b>\$10,723,520,000</b>
<b>Total Assets</b>	<b>\$14,459,192,000</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$234,361,000
Pension contribution payable	\$0
Other post-employment benefits	\$25,454,000
Accrued liabilities	\$80,439,000
Deferred revenues	\$147,545,000
Bonds and notes payable	\$906,907,000
Other long-term obligations due within one year	\$84,622,000
<b>Total Current Liabilities</b>	<b>\$1,479,328,000</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$10,727,036,000
Long Term Leases	\$0
Other long-term obligations	\$353,532,000
<b>Total Noncurrent Liabilities</b>	<b>\$11,080,568,000</b>

**Total Liabilities**

\$12,559,896,000

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$1,567,918,000
Restricted	\$331,378,000
Unrestricted	\$0
<b>Total Net Assets</b>	<b>\$1,899,296,000</b>

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$960,448,000
Rental & financing income	\$0
Other operating revenues	\$2,969,000
<b>Total Operating Revenue</b>	<b>\$963,417,000</b>

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$0
Supplies and materials	\$0
Depreciation & amortization	\$13,531,000
Other operating expenses	\$858,413,000
<b>Total Operating Expenses</b>	<b>\$871,944,000</b>

Operating Income (Loss) \$91,473,000

Nonoperating Revenues

Investment earnings	\$1,037,000
State subsidies/grants	\$409,993,000
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
<b>Total Nonoperating Revenue</b>	<b>\$411,030,000</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$410,319,000
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
<b>Total Nonoperating Expenses</b>	<b>\$410,319,000</b>
<b>Income (Loss) Before Contributions</b>	<b>\$92,184,000</b>
<b>Capital Contributions</b>	<b>\$0</b>
<b>Change in net assets</b>	<b>\$92,184,000</b>
<b>Net assets (deficit) beginning of year</b>	<b>\$1,807,112,000</b>
<b>Other net assets changes</b>	<b>\$0</b>
<b>Net assets (deficit) at end of year</b>	<b>\$1,899,296,000</b>

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

**New Debt Issuances List by Type of Debt and Program**

Type Of Debt: State Supported

Program: UDC MISCELLANEOUS

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
State Personal Income Tax General Purpose Series 2013 C	Refunding 0.00 New 753,055,000.00 Total 753,055,000.00	650035 C97	09/26/2013	Tax Exempt	Competitive	4.11	Fixed	20	1,863,393.34		<a href="http://esd.ny.gov/CorporateInformation/BondIssues.html">http://esd.ny.gov/CorporateInformation/BondIssues.html</a>
State Personal Income Tax General Purpose Series 2013 E	Refunding 0.00 New 812,835,000.00 Total 812,835,000.00	650035 H92	12/19/2013	Tax Exempt	Competitive	4.01	Fixed	30	1,313,236.71		<a href="http://esd.ny.gov/CorporateInformation/BondIssues.html">http://esd.ny.gov/CorporateInformation/BondIssues.html</a>
State Personal Income Tax General Purpose Series 2013 F	Refunding 0.00 New 395,960,000.00 Total 395,960,000.00	650035 K23	12/19/2013	Taxable	Competitive	2.85	Fixed	10	1,607,218.46		<a href="http://esd.ny.gov/CorporateInformation/BondIssues.html">http://esd.ny.gov/CorporateInformation/BondIssues.html</a>

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
UDC UNIVERSITY FACILITY GRANTS '95 & '05 REFUNDING	414,800,000.00	53,727,000.00	0.00	9,581,000.00	44,146,000.00
UDC MISCELLANEOUS	27,490,401,000.00	8,878,465,000.00	1,961,850,000.00	209,649,000.00	10,630,666,000.00
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation	0.00	49,625,000.00	0.00	4,495,000.00	45,130,000.00
Revenue					
Other Non-State Funded	0.00	927,000.00	0.00	305,000.00	622,000.00
<b>Conduit</b>					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

1. Address Line1: 2825 West Entry Road  
Address Line2:  
City: BALDWINSVILLE  
State: NY  
Postal Code: 13027  
Plus4:  
Province/Region:  
Country: USA  
Property Description: Vacant Lot/Undeveloped Land  
Estimated Fair Market Value: \$472,000  
How was the Fair Market Appraisal  
Value Determined?:  
Transaction Type: DISPOSITION SALE  
If Other, Explain:

Transaction Date: 05/20/2013  
Purchase Sale Price: \$472,000.00

Lease Data (If applicable)

Market Rate(\$/square foot):  
Lease Rate(\$/square foot):  
Lease Period (months):

Seller/Purchaser/Tenant Data:

Organization: Agrana Fruit US Inc.  
Last Name:  
First Name:

Address Line1: 6850 Southpointe Parkway  
Address Line2:  
City: BRECKSVILLE  
State: OH  
Postal Code: 44141  
Plus4:  
Province/Region:  
Country: USA

Relation With Board  
member/senior authority  
management? No

2. Address Line1: 633 Third Avenue - Floors 33-37  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10017  
Plus4:  
Province/Region:  
Country: USA  
Property Description: Office Building  
Estimated Fair Market Value: \$68,329,314  
How was the Fair Market Other  
Value Determined?:  
Transaction Type: ACQUISITION  
If Other, Explain:

Transaction Date: 06/14/2013  
Purchase Sale Price: \$68,329,314.00

Lease Data (If applicable)

Market Rate(\$/square foot): 62.5  
Lease Rate(\$/square foot): 62.5  
Lease Period (months): 120

Seller/Purchaser/Tenant Data:

Organization: 633 Third TEI Equities, LLC  
Last Name:  
First Name:

Address Line1: c/o Time Equities, Inc.  
Address Line2: 55 Fifth Avenue  
City: NEW YORK  
State: NY  
Postal Code: 10003  
Plus4:  
Province/Region:  
Country: USA

Relation With Board  
member/senior authority  
management? No

Real Property Acquisition/Disposal List

3. Address Line1: 1 Massachusetts Avenue  
Address Line2:  
City: BUFFALO  
State: NY  
Postal Code: 14213  
Plus4:  
Province/Region:  
Country: USA  
Property Description: Commercial Building  
Estimated Fair Market Value: \$4,731,500  
How was the Fair Market Other  
Value Determined?:  
Transaction Type: ACQUISITION  
If Other, Explain:  
  
Transaction Date: 06/28/2013  
Purchase Sale Price: \$4,731,500.00  
Lease Data (If applicable)  
Market Rate(\$/square foot):  
Lease Rate(\$/square foot):  
Lease Period (months):  
Seller/Purchaser/Tenant Data:  
Organization: The Episcopal Church Home of Western New Yc  
Last Name:  
First Name:  
  
Address Line1: 705 Renaissance Drive  
Address Line2:  
City: WILLIAMSVILLE  
State: NY  
Postal Code: 14221  
Plus4:  
Province/Region:  
Country: USA  
Relation With Board  
member/senior authority  
management? No

4. Address Line1: 95 Perry Street  
Address Line2: 4th Floor  
City: BUFFALO  
State: NY  
Postal Code: 14203  
Plus4:  
Province/Region:  
Country: USA  
Property Description: Mixed Use  
Estimated Fair Market Value: \$12.5  
How was the Fair Market Appraisal  
Value Determined?:  
Transaction Type: DISPOSITION LEASE  
If Other, Explain:  
  
Transaction Date: 07/10/2013  
Purchase Sale Price:  
Lease Data (If applicable)  
Market Rate(\$/square foot): 12.5  
Lease Rate(\$/square foot): 11.75  
Lease Period (months): 63  
Seller/Purchaser/Tenant Data:  
Organization: Erie County Industrial Development Agency  
Last Name:  
First Name:  
  
Address Line1: 95 Perry Street - 4th Floor  
Address Line2:  
City: BUFFALO  
State: NY  
Postal Code: 14203  
Plus4:  
Province/Region:  
Country: USA  
Relation With Board  
member/senior authority  
management? Yes

Real Property Acquisition/Disposal List

5. Address Line1: 95 Perry Street  
Address Line2: 4th Floor  
City: BUFFALO  
State: NY  
Postal Code: 14203  
Plus4:  
Province/Region:  
Country: USA  
Property Description: Mixed Use  
Estimated Fair Market Value: \$12.5  
How was the Fair Market Appraisal  
Value Determined?:  
Transaction Type: DISPOSITION LEASE  
If Other, Explain:

6. Address Line1: Tryon Boys and Girls Center  
Address Line2:  
City: JOHNSTOWN  
State: NY  
Postal Code: 12095  
Plus4:  
Province/Region:  
Country: USA  
Property Description: Industrial Facility/Plant  
Estimated Fair Market Value: \$2,000,000  
How was the Fair Market Appraisal  
Value Determined?:  
Transaction Type: DISPOSITION SALE  
If Other, Explain:

Transaction Date: 10/02/2013  
Purchase Sale Price:  
Lease Data (If applicable)  
Market Rate(\$/square foot): 12.5  
Lease Rate(\$/square foot): 11.75  
Lease Period (months): 60  
Seller/Purchaser/Tenant Data:  
Organization: Arts Services Initiative of Western New Yor  
Last Name:  
First Name:

Transaction Date: 01/09/2014  
Purchase Sale Price: \$0.00  
Lease Data (If applicable)  
Market Rate(\$/square foot):  
Lease Rate(\$/square foot):  
Lease Period (months):  
Seller/Purchaser/Tenant Data:  
Organization: Fulton County Industrial Development Agency  
Last Name:  
First Name:

Address Line1: 95 Perry Street - 4th Floor  
Address Line2:  
City: BUFFALO  
State: NY  
Postal Code: 14203  
Plus4:  
Province/Region:  
Country: USA  
Relation With Board  
member/senior authority  
management? Yes

Address Line1: 1 East Montgomery Street  
Address Line2:  
City: JOHNSTOWN  
State: NY  
Postal Code: 12095  
Plus4:  
Province/Region:  
Country: USA  
Relation With Board  
member/senior authority  
management? No

Real Property Acquisition/Disposal List

7. Address Line1: Mid-Orange Correctional Facility

Address Line2: 255 State School Road

City: WARWICK

State: NY

Postal Code: 10990

Plus4:

Province/Region:

Country: USA

Property Description: Industrial Facility/Plant

Estimated Fair Market Value: \$3,100,000

How was the Fair Market Appraisal

Value Determined?:

Transaction Type: DISPOSITION SALE

If Other, Explain:

Transaction Date: 02/26/2014

Purchase Sale Price: \$3,100,000.00

Lease Data (If applicable)

Market Rate(\$/square foot):

Lease Rate(\$/square foot):

Lease Period (months):

Seller/Purchaser/Tenant Data:

Organization: Warwick Valley Local Development Corporatic

Last Name:

First Name:

Address Line1: 28 Railroad Avenue

Address Line2: Suite 2C

City: WARWICK

State: NY

Postal Code: 10990

Plus4:

Province/Region:

Country: USA

Relation With Board

member/senior authority

management? No

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://esd.ny.gov/CorporateInformation/PublicAuthoritiesRequiredPostings.html">http://esd.ny.gov/CorporateInformation/PublicAuthoritiesRequiredPostings.html</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://esd.ny.gov/CorporateInformation.html">http://esd.ny.gov/CorporateInformation.html</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

**Additional Comments:**

NOTE to "GOVERNANCE INFORMATION (Board Related)" Question #12 ESDs employee handbook prohibits loans to employees and ESD does not make loans to its Directors. Questions #15 and #16 These are not applicable to ESD (UDC).NOTE to REAL PROPERTY ACQUISITION/DISPOSAL LIST 633 Third Avenue Acquisition Transaction The base rent begins at \$62.50/sq. ft. with lease escalations over the lease term with a final base at \$68.50/sq. ft.Both 95 Perry Street DispositionLease Transactions The Tenants have a professional relationship with senior management of the authority. Both entities support economic development projects and as such, senior management of ESD and its subsidiaries collaborate with both entities on various projects relating to economic development initiatives in Western New York.