

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=232
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=232
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	1	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6. Does the independent auditor provide non-audit services to the Authority?	No	N/A
7. Does the Authority have an organization chart?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
8. Are any Authority staff also employed by another government agency?	No	
9. Has the Authority posted their mission statement to their website?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
10 Has the Authority's mission statement been revised and adopted during the reporting period?	Yes	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.efc.ny.gov/Default.aspx?TabID=76&fid=232
12 Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
Lobbying officer name	Maureen McGrath	N/A
13 Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	No	N/A

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=232
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=232
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	No	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Martens, Joseph J	Name	Zucker, Howard
Chair of the Board	Yes	Chair of the Board	No
If yes, Chairman Designated by.	Governor	If yes, Chairman Designated by.	
Term Start Date	03/08/2011	Term Start Date	05/05/2015
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Commissioner NYSDEC	Title	Commissioner NYSDOH
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	Yes
Designee Name	Marc Gerstman	Designee Name	Michael Cambridge
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	Yes	Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Perales, Cesar A	Name	Corcoran, Francis T
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	06/06/2011	Term Start Date	06/17/2014
Term Expiration Date	Ex-Officio	Term Expiration Date	12/31/2015
Title	Secretary of State	Title	
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	
Designee Name	Mark Pattison	Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	Yes	Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	DeMarchi, Vita	Name	Kruzansky, Charles
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	06/21/2013	Term Start Date	06/19/2013
Term Expiration Date	12/31/2017	Term Expiration Date	12/31/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	Yes	Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Adams, Nichlaus	Financial Analyst	Professional				FT	Yes	60,694.00	60,693.88	0	0	0	0	60,693.88	No	
Aery, Tracy	Manager, Human Resources & Support Services	Managerial				FT	Yes	95,679.00	95,678.96	0	0	0	0	95,678.96	No	
Allen, Sandra	Director of Policy and Planning	Executive				FT	Yes	126,072.00	126,071.92	0	0	0	0	126,071.92	No	
Armstrong, Donna	M/WBE Representative	Professional				FT	Yes	60,694.00	60,693.88	0	0	0	0	60,693.88	No	
Barnett, Carol	Executive Assistant	Administrative and Clerical				FT	Yes	50,875.00	50,874.98	0	0	0	0	50,874.98	No	
Bernard, Gerald	Administrative Assistant I	Administrative and Clerical				FT	Yes	39,552.00	39,551.98	0	0	0	0	39,551.98	No	
Bigelow, Tana	Green Infrastructure Coordinator	Professional				FT	Yes	65,862.00	65,861.9	0	0	0	0	65,861.9	No	
Blackwell, Judith	Deputy Counsel	Professional				FT	Yes	117,260.00	117,260	0	0	0	0	117,260	No	
Boyd, Tracey Hitchen	Deputy Director of Finance	Managerial				FT	Yes	117,260.00	117,260	0	0	0	0	117,260	No	
Bradley, David	Deputy Director, Engineering & Program Management	Managerial				FT	Yes	117,260.00	117,260	0	0	0	0	117,260	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Bradt, Erin	Administrative Assistant II	Administrative and Clerical				PT	Yes	44,064.00	8,886.97	0	0	0	0	8,886.97	No	
Brizell, William	Environmental Project Engineer III	Managerial				FT	Yes	95,679.00	95,678.96	0	0	0	0	95,678.96	No	
Brown, Dwight	Funding Coordinator	Professional				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
Bullinger, Donna	Budget Analyst	Professional				FT	Yes	60,694.00	60,693.88	0	0	0	0	60,693.88	No	
Burns, Timothy	Director of Engineering & Program Management	Executive				FT	Yes	133,866.00	133,865.94	0	0	0	0	133,865.94	No	
Byrne, Brenda Lee	Debt Service Analyst	Professional				FT	Yes	52,840.00	52,840.06	0	0	0	0	52,840.06	No	
Caseras, Gabriella	M/WBE Representative	Professional				FT	Yes	48,911.00	41,950.46	0	0	0	0	41,950.46	No	
Catizone, Lynn	Program Specialist	Administrative and Clerical				FT	Yes	57,336.00	53,043.38	0	0	0	0	53,043.38	No	
Cerulli, Lori	Assistant Financial Services Manager	Managerial				FT	Yes	79,003.00	79,003.08	0	0	0	0	79,003.08	No	
Ching, Harry	Environmental Project Manager	Technical and Engineering				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Clark, Heather	Financial Development Manager	Managerial				FT	Yes	94,579.00	94,578.9	0	0	0	0	94,578.9	No	
Coulter, Seth	Assistant Director of Investments and Financial Services	Managerial				FT	Yes	106,162.00	106,161.9	0	0	0	0	106,161.9	No	
Crossett, Lance	Deputy General Counsel	Managerial				FT	Yes	114,996.00	114,995.92	0	0	0	0	114,995.92	No	
Czub, Christopher	Assistant Accounting Manager	Managerial				FT	Yes	79,003.00	79,003.08	0	0	0	0	79,003.08	No	
Dalton, Ryan	Federal Affairs Coordinator	Managerial				FT	Yes	80,223.00	80,223	0	0	0	0	80,223	No	
Denno, Jason	Environmental Project Coordinator	Technical and Engineering				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
Deuel, Terrance	Environmental Project Coordinator	Technical and Engineering				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
Doherty, Kimberly	Senior Accountant	Professional				PT	Yes	67,052.00	60,346.78	0	0	0	0	60,346.78	No	
Driscoll, Matthew	President and CEO	Executive				FT	Yes	158,100.00	158,100.02	0	0	0	0	158,100.02	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Eisenstein, Sasha	Administrative Assistant II	Administrative and Clerical				FT	Yes	48,000.00	44,123.02	0	0	0	0	44,123.02	No	
Endres, Allene	Financial Analyst	Professional				FT	Yes	60,694.00	60,693.88	0	0	0	0	60,693.88	No	
Feldblum, Mary	Finance Specialist	Administrative and Clerical				FT	Yes	51,644.00	52,894.06	0	0	0	0	52,894.06	No	
Ferrentino, Carl	Deputy Counsel	Professional				FT	Yes	117,260.00	117,260	0	0	0	0	117,260	No	
Fisher, Michael	Principal Application Developer	Professional				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
Frederick, Regina	Debt Service Analyst	Professional				PT	Yes	60,694.00	30,346.94	0	0	0	0	30,346.94	No	
Gardner, Lori	Debt Service Specialist	Administrative and Clerical				FT	Yes	51,644.00	51,644.06	0	0	0	0	51,644.06	No	
Geiger, James	Environmental Project Engineer I	Technical and Engineering				FT	Yes	79,003.00	79,003.08	0	0	0	0	79,003.08	No	
Glassbrenner, Carly	Administrative Assistant I	Administrative and Clerical				FT	Yes	39,552.00	39,551.98	0	0	0	0	39,551.98	No	
Gogolla, David	Environmental Project Engineer I	Technical and Engineering				FT	Yes	79,003.00	79,003.08	0	0	0	0	79,003.08	No	
Grant, Amy	Paralegal	Administrative and Clerical				FT	Yes	57,336.00	57,335.98	0	0	0	0	57,335.98	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Grazulis, Tahnya	Deputy Counsel	Professional				PT	Yes	95,679.00	76,543.22	0	0	0	0	76,543.22	No	
Griffin, Candyce	Accounting and Operations Analyst	Professional				FT	Yes	57,482.00	57,482.1	0	0	0	0	57,482.1	No	
Gyory, Brian	Green Infrastructure Analyst	Professional				FT	Yes	52,020.00	42,016.04	0	0	0	0	42,016.04	No	
Hack, Tamara	M/WBE Specialist	Professional				FT	Yes	42,518.00	42,399.1	0	0	0	0	42,399.1	No	
Hahn, Brian	Program Analyst	Professional				FT	Yes	60,694.00	60,693.88	0	0	0	0	60,693.88	No	
Hale, Michael	Deputy Counsel	Professional				FT	Yes	106,162.00	106,161.9	0	0	0	0	106,161.9	No	
Harris, Annette	Secretary to the Corporation	Professional				FT	Yes	83,672.00	83,671.9	0	0	0	0	83,671.9	No	
Hernandez, Jonathan	M/WBE Representative	Professional				FT	Yes	51,804.00	13,462.61	0	0	0	0	13,462.61	No	
Hogan, Evan	Environmental Program Specialist	Administrative and Clerical				FT	Yes	41,287.00	41,286.96	0	0	0	0	41,286.96	No	
Holden, Gregory	Lead Application Developer	Professional				FT	Yes	95,679.00	95,678.96	0	0	0	0	95,678.96	No	
Hughes, Brian	Senior Financial Analyst	Professional				FT	Yes	63,000.00	1,801.15	0	0	0	0	1,801.15	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Jackowski, Robert	Financial Information Systems Manager	Managerial				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
Johnson, Paul	Financial Analyst	Professional				FT	Yes	56,769.00	56,768.92	0	0	0	0	56,768.92	No	
Kerzic, Gary	Environmental Project Engineer II	Technical and Engineering				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
Kichinda, Ruphence	Financial Analyst	Professional				FT	Yes	56,767.00	56,767.1	0	0	0	0	56,767.1	No	
Kistler, Christina	Senior Financial Analyst	Professional				FT	Yes	68,393.00	54,956.88	0	0	0	0	54,956.88	No	
Kotary, Laura Jane	Administrative Assistant I	Administrative and Clerical				FT	Yes	31,296.00	31,295.94	0	0	0	0	31,295.94	No	
Kutchukian, Yervant	Financial Analyst	Professional				FT	Yes	54,804.00	20,986.83	0	0	0	0	20,986.83	No	
Lanigan, Jeffrey	Deputy Counsel	Professional				FT	Yes	95,679.00	95,678.96	0	0	0	0	95,678.96	No	
Lauzze, Nicholas	Debt Service Analyst	Professional				FT	Yes	50,875.00	50,874.98	0	0	0	0	50,874.98	No	
Levine, James	Senior Vice President and General Counsel	Executive				FT	Yes	155,944.00	155,944.1	0	0	0	0	155,944.1	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Lynch, Mary Ellen	Senior Financial Analyst	Professional				FT	Yes	67,052.00	67,051.92	0	0	0	0	67,051.92	No	
Macri, Kathryn	Corporate Sustainability Officer	Professional				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
Malinoski, Michael	Controller and Director of Corporate Operations	Executive				FT	Yes	143,681.00	143,680.94	0	0	0	0	143,680.94	No	
Mariano, Louise	Administrative Assistant II	Administrative and Clerical				FT	Yes	42,551.00	42,551.08	0	0	0	0	42,551.08	No	
Matthews, Thomas	Government Affairs Coordinator	Professional				FT	Yes	95,679.00	73,783.21	0	0	0	0	73,783.21	No	
McAuliffe, Brigitte	Financial Analyst	Professional				FT	Yes	60,694.00	60,693.88	0	0	0	0	60,693.88	No	
McClintock, Brian	Financial Development Manager	Managerial				FT	Yes	103,678.00	103,678.12	0	0	0	0	103,678.12	No	
McCullough, Lisa	M/WBE Representative	Professional				FT	Yes	48,911.00	48,572.14	0	0	0	0	48,572.14	No	
McDonald, John	Finance Coordinator	Professional				FT	Yes	78,702.00	78,702	0	0	0	0	78,702	No	
McEvoy, Brian	Financial Development Manager	Managerial				FT	Yes	95,679.00	95,678.96	0	0	0	0	95,678.96	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
McGrath, Maureen	Deputy Director of Corporate Operations	Managerial				FT	Yes	117,260.00	117,260	0	0	0	0	117,260	No	
McGuire, Brian	Manager, Contracts & Budget	Managerial				FT	Yes	95,679.00	95,678.96	0	0	0	0	95,678.96	No	
Micieli, Beth	Administrative Assistant II	Administrative and Clerical				FT	Yes	44,064.00	44,720.85	0	0	0	0	44,720.85	No	
Miller, Rebecca	Environmental Project Analyst	Professional				FT	Yes	60,608.00	59,613.52	0	0	0	0	59,613.52	No	
Moore, Robert	Assistant Financial Development Manager	Managerial				FT	Yes	79,003.00	79,003.08	0	0	0	0	79,003.08	No	
Morad, Mallory	Environmental Project Engineer I	Technical and Engineering				FT	Yes	63,233.00	56,098.98	0	0	0	0	56,098.98	No	
Morwin, Leah	Accounting Specialist I	Administrative and Clerical				PT	Yes	51,644.00	42,315.3	0	0	0	0	42,315.3	No	
Moss, Monica	Environmental Project Engineer II	Technical and Engineering				FT	Yes	71,572.00	40,465.53	0	0	0	0	40,465.53	No	
Myers, Nancy	Senior Administrative Assistant	Administrative and Clerical				FT	Yes	54,433.00	55,683.08	0	0	0	0	55,683.08	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Nelson, Harry	Environmental Project Engineer I	Technical and Engineering				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
Ng, Jimmy	Environmental Project Engineer III	Managerial				FT	Yes	95,679.00	95,678.96	0	0	0	0	95,678.96	No	
O'Neil, Michael	Environmental Project Engineer II	Technical and Engineering				FT	Yes	81,488.00	81,487.9	0	0	0	0	81,487.9	No	
Paolucci, Jian	Assistant Public Information Officer	Professional				FT	Yes	53,586.00	53,586	0	0	0	0	53,586	No	
Pascuzzi, Shelley	Accounting Assistant	Administrative and Clerical				FT	Yes	48,435.00	48,434.88	0	0	0	0	48,434.88	No	
Penner, Kaitlin	Environmental Project Engineer III	Managerial				FT	Yes	80,803.00	79,196.86	0	0	0	0	79,196.86	No	
Petrone, Angela	Senior Program Analyst	Professional				FT	Yes	67,052.00	67,051.92	0	0	0	0	67,051.92	No	
Picarazzi - Futia, Bernadette	Senior Network Technology Analyst	Professional				FT	Yes	76,989.00	76,989.12	0	0	0	0	76,989.12	No	
Randall, Suzanna	Green Program Manager	Managerial				FT	Yes	84,072.00	84,072.04	0	0	0	0	84,072.04	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Ricci, Elizabeth	Environmental Project Engineer II	Technical and Engineering				FT	Yes	68,252.00	56,963.98	0	0	0	0	56,963.98	No	
Rienzo, Christopher	Assistant Financial Development Manager	Managerial				FT	Yes	79,003.00	79,003.08	0	0	0	0	79,003.08	No	
Roizman, Michael	Assistant Director of Information Technology	Managerial				FT	Yes	106,162.00	106,161.9	0	0	0	0	106,161.9	No	
Rusin, Karen	Environmental Project Engineer I	Technical and Engineering				FT	Yes	68,490.00	68,489.98	0	0	0	0	68,489.98	No	
Sammons, Robert	Environmental Project Engineer III	Managerial				FT	Yes	106,162.00	106,161.9	0	0	0	0	106,161.9	No	
Sardella, Michelle	Administrative Assistant II	Administrative and Clerical				FT	Yes	45,000.00	28,211.85	0	0	0	0	28,211.85	No	
Sitterly, Carole	Assistant Financial Development Manager	Managerial				FT	Yes	79,003.00	79,003.08	0	0	0	0	79,003.08	No	
Smith, Beth	Contracts & Budget Processing Specialist	Administrative and Clerical				FT	Yes	52,664.00	54,258.92	0	0	0	0	54,258.92	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Smith, James	Environmental Project Coordinator	Technical and Engineering				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
Sorensen, Jon	Director of Public Information	Executive				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
Sparks, Laurie	Accounting Specialist II	Administrative and Clerical				FT	Yes	58,406.00	60,905.88	0	0	0	0	60,905.88	No	
Spring, Barbara	Accounting Manager	Managerial				FT	Yes	95,679.00	95,678.96	0	0	0	0	95,678.96	No	
Stearns, James	Environmental Project Engineer II	Technical and Engineering				FT	Yes	95,679.00	95,678.96	0	0	0	0	95,678.96	No	
Sunkes, Jennifer	Financial Analyst	Professional				FT	Yes	60,694.00	60,693.88	0	0	0	0	60,693.88	No	
Syron, Katherine	Program Analyst	Professional				FT	Yes	60,694.00	59,876.6	0	0	0	0	59,876.6	No	
Testa, Fred	Environmental Project Coordinator	Technical and Engineering				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
Torkelson, Leslie	Marketing Specialist	Administrative and Clerical				PT	Yes	43,014.00	21,512.46	0	0	0	0	21,512.46	No	
Urba, Jeanine	Human Resources Specialist II	Administrative and Clerical				FT	Yes	57,336.00	57,335.98	0	0	0	0	57,335.98	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Valente, Paul	SRF Loan Process Manager	Professional				FT	Yes	86,273.00	86,272.94	0	0	0	0	86,272.94	No	
VanDenburgh, Lynne	Senior Program Analyst	Professional				FT	Yes	67,052.00	67,051.92	0	0	0	0	67,051.92	No	
VanDerhooft, Carrie	Environmental Project Engineer II	Technical and Engineering				FT	Yes	80,070.00	80,070.12	0	0	0	0	80,070.12	No	
Vijayan, Sathish	Financial Information Systems Senior Analyst	Professional				FT	Yes	63,233.00	31,130.11	0	0	0	0	31,130.11	No	
Volpe-McDermott, Maria	Administrative Assistant II	Administrative and Clerical				FT	Yes	34,982.00	12,647.32	0	0	0	0	12,647.32	No	
Webber, Cheryle	Environmental Project Engineer III	Managerial				FT	Yes	95,679.00	95,678.96	0	0	0	0	95,678.96	No	
Weingold, Steven	Senior Application Developer	Professional				FT	Yes	79,003.00	79,003.08	0	0	0	0	79,003.08	No	

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
DeMarchi, Vita	Board of Directors												X	
Gerstman, Marc	Board of Directors												X	
Cambridge, Michael J	Board of Directors												X	
Corcoran, Francis T	Board of Directors												X	
Perales, Cesar A	Board of Directors												X	
Kruzansky, Charles	Board of Directors												X	
Martens, Joseph J	Board of Directors												X	
Zucker, Howard	Board of Directors												X	
Pattison, Mark K	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Allen, Sandra	Director of Policy and Planning												X	
Blackwell, Judith	Deputy Counsel												X	
Boyd, Tracey Hitchen	Deputy Director of Finance												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Bradley, David	Deputy Director, Engineering & Program Management												X	
Burns, Timothy	Director of Engineering & Program Management												X	
Coulter, Seth	Assistant Director of Investments and Financial Services												X	
Crossett, Lance	Deputy General Counsel												X	
Driscoll, Matthew	President and CEO												X	
Ferrentino, Carl	Deputy Counsel												X	
Hale, Michael	Deputy Counsel												X	
Levine, James	Senior Vice President and General Counsel												X	
Malinoski, Michael	Controller and Director of Corporate Operations												X	
McClintock, Brian	Financial Development Manager												X	
McGrath, Maureen	Deputy Director of Corporate Operations												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Roizman, Michael	Assistant Director of Information Technology												X	
Sammons, Robert	Environmental Project Engineer III												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
-----------------------------------	--------	-------------------

Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
-----------------------------------	--------------------	----------------

Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
-----------------------------------	------------------	--------------------	----------------------

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$1,238,202,553
Investments	\$0
Receivables, net	\$722,897,006
Other assets	\$6,467,681
Total Current Assets	\$1,967,567,240
Noncurrent Assets	
Restricted cash and investments	\$1,942,899,495
Long-term receivables, net	\$8,697,647,543
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
Total Noncurrent Assets	\$10,640,547,038
Total Assets	\$12,608,114,278

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$6,329,708
Pension contribution payable	\$0
Other post-employment benefits	\$527,327
Accrued liabilities	\$129,041,822
Deferred revenues	\$418,197
Bonds and notes payable	\$373,051,000
Other long-term obligations due within one year	\$49,124,311
Total Current Liabilities	\$558,492,365

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$19,605,264
Bonds and notes payable	\$6,038,065,100
Long Term Leases	\$0
Other long-term obligations	\$5,646,711
Total Noncurrent Liabilities	\$6,063,317,075

Total Liabilities

\$6,621,809,440

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$5,979,870,784
Unrestricted	\$6,434,054
Total Net Assets	\$5,986,304,838

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$19,573,813
Rental & financing income	\$323,819,324
Other operating revenues	\$854,997
Total Operating Revenue	\$344,248,134

Operating Expenses

Salaries and wages	\$8,322,345
Other employee benefits	\$7,949,642
Professional services contracts	\$83,539
Supplies and materials	\$223,509
Depreciation & amortization	\$0
Other operating expenses	\$423,524,959
Total Operating Expenses	\$440,103,994

Operating Income (Loss) (\$95,855,860)

Nonoperating Revenues

Investment earnings	\$121,764,334
State subsidies/grants	\$783,617
Federal subsidies/grants	\$238,407,345
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$360,955,296

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$41,007,439
Other nonoperating expenses	\$783,617
Total Nonoperating Expenses	\$41,791,056
Income (Loss) Before Contributions	\$223,308,380
Capital Contributions	\$0
Change in net assets	\$223,308,380
Net assets (deficit) beginning of year	\$5,762,996,458
Other net assets changes	\$0
Net assets (deficit) at end of year	\$5,986,304,838

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Drinking Water Revolving Fund Revenue Bonds 2014B	Refunding	0.00	07/02/2014	Tax Exempt	Negotiated	3.38	Fixed	30	3,935,722.00	14-EF-622	http://www.efc.ny.gov/Default.aspx?tabid=214
	New	27,070,000.00									
	Total	27,070,000.00									
State Water Pollution Control Revenue Bonds 2014B	Refunding	0.00	07/02/2014	Tax Exempt	Negotiated	3.38	Fixed	30	3,935,722.00	14-EF-622	http://www.efc.ny.gov/Default.aspx?tabid=214
	New	186,565,000.00									
	Total	186,565,000.00									

Type Of Debt: Conduit Debt

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Special Obligation Revenue Bonds - Casella Waste	Refunding	0.00	12/18/2014	Tax Exempt	Negotiated	3.75	Fixed	30	208,251.00		http://www.efc.ny.gov/Default.aspx?tabid=214
	New	25,000,000.00									
	Total	25,000,000.00									

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
EFC STATE PARK INFRASTRUCTURE	30,000,000.00	19,797,571.00	0.00	2,311,140.00	17,486,431.00
EFC RIVERBANK PARK	0.00	35,440,000.00	0.00	3,295,000.00	32,145,000.00
EFC HAZARDOUS WASTE REMEDIATION	1,200,000.00	238,015,610.00	0.00	21,678,952.00	216,336,658.00
EFC ENVIRONMENTAL INFRASTRUCTURE PROGRAM	915,747,000.00	339,661,819.00	0.00	29,704,908.00	309,956,911.00
EFC PILGRIM PROJECT	0.00	1,800,000.00	0.00	800,000.00	1,000,000.00
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	5,954,440,000.00	213,635,000.00	471,515,000.00	5,696,560,000.00
Other Non-State Funded					
Conduit					
Conduit Debt	0.00	132,235,000.00	25,000,000.00	16,000,000.00	141,235,000.00
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.efc.ny.gov/Default.aspx?TabID=76&fid=398
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Additional Comments: