

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.undc.org
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.undc.org
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	4	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6. Does the independent auditor provide non-audit services to the Authority?	Yes	N/A
7. Does the Authority have an organization chart?	Yes	www.undc.org
8. Are any Authority staff also employed by another government agency?	No	
9. Has the Authority posted their mission statement to their website?	Yes	www.undc.org
10 Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.undc.org
12 Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?	Yes	www.undc.org
Lobbying officer name	Robert Cole	N/A
13 Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	No	N/A

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.undc.org
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.undc.org
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.undc.org
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.undc.org
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Been, Vicki	Name	Weisbrod, Carl
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	02/08/2014	Term Start Date	02/07/2014
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Member	Title	Member
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	Yes
Designee Name	David Quart	Designee Name	Eric Kober
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Ex-Officio	Nominated By	Ex-Officio
Appointed By	Ex-Officio	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Gelb, Bruce S	Name	Farkas, Jonathan D
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	08/07/2007	Term Start Date	02/04/2014
Term Expiration Date	06/30/2009	Term Expiration Date	02/04/2017
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Governor
Appointed By	Local	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Abeywardena, Penny	Name	Abrams, Robert
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	03/25/2015	Term Start Date	08/19/2008
Term Expiration Date	03/23/2018	Term Expiration Date	11/08/2010
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Governor
Appointed By	Local	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Burman, Jan	Name	Klein, George
Chair of the Board	No	Chair of the Board	Yes
If yes, Chairman Designated by.		If yes, Chairman Designated by.	Local
Term Start Date	10/29/2013	Term Start Date	06/30/2013
Term Expiration Date	10/29/2016	Term Expiration Date	06/30/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Governor	Nominated By	Local
Appointed By	Governor	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Gulotta, Thomas S	Name	Rutigliano, Joseph
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	01/12/2010	Term Start Date	09/24/2015
Term Expiration Date	11/02/2012	Term Expiration Date	09/24/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Natapoff, Samuel	Name	Silverman, Joel M
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	12/10/2010	Term Start Date	03/26/2012
Term Expiration Date	01/08/2011	Term Expiration Date	03/26/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Governor	Nominated By	Local
Appointed By	Governor	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Vacant	Name	James, Amabel B
Chair of the Board		Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date		Term Start Date	12/30/2014
Term Expiration Date		Term Expiration Date	12/29/2017
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	No
Nominated By	Local	Nominated By	Governor
Appointed By	Local	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Shenoy, Andy K
Chair of the Board	No
If yes, Chairman Designated by.	
Term Start Date	12/10/2010
Term Expiration Date	11/02/2011
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Governor
Appointed By	Governor
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
BENNETT, AMANDA	AVP, Director of Tenant Relations	Managerial				FT	Yes	86,000.00	83,479	0	0	0	0	83,479	No	
COLE, ROBERT B	Senior Vice President and General Counsel	Executive				FT	Yes	205,000.00	197,535	0	0	0	0	197,535	No	
COOPERSMITH, KENNETH S	VICE PRESIDENT	Managerial				FT	Yes	169,500.00	165,770	0	0	0	0	165,770	No	
DIAZ DE JESUS, MARIA LOIDA	Chief of Staff and Program Officer	Managerial				FT	Yes	87,600.00	81,703	0	0	1,000	0	82,703	No	
HANDMAN, JUSTIN	ASSISTANT VICE PRESIDENT	Managerial				FT	Yes	86,500.00	82,646	0	0	0	0	82,646	No	
HUPPERT, FRANCES	VICE PRESIDENT	Managerial				FT	Yes	150,000.00	149,684	0	0	0	0	149,684	No	
LIU, HUI-CHEN	DEPUTY CONTROLLER	Operational				FT	Yes	96,400.00	90,393	0	0	0	0	90,393	No	
ORTIZ, JORGE	CONTROLLER	Executive				FT	Yes	145,000.00	139,995	0	0	0	0	139,995	No	
RUSSELL, DONNA	ACCOUNTING SECRETARY	Administrative and Clerical				FT	Yes	73,500.00	69,070	0	0	0	0	69,070	No	
Striker, Wayne M	Director of Design and Construction	Managerial				FT	Yes	170,000.00	113,334	0	0	15,038	0	128,372	No	
TANG, TERESA	SENIOR ACCOUNTANT	Operational				FT	Yes	94,250.00	90,572	0	0	0	0	90,572	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Thomas, Ashlee	Compliance Assistant	Operational				FT	Yes	35,500.00	22,882	192	0	1,638	0	24,712	No	
Turner, Erin-Beth	Compliance Assistant	Operational				FT	Yes	43,000.00	5,875	0	0	0	0	5,875	No	
XIE, CINDY	PROJECT ASSISTANT, IT Administrator	Operational				FT	Yes	46,000.00	42,146	0	0	0	0	42,146	No	

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Rutigliano, Joseph	Board of Directors												X	
Abeywardena, Penny	Board of Directors												X	
Klein, George	Board of Directors												X	
Vacant	Board of Directors												X	
Farkas, Jonathan D	Board of Directors												X	
Natapoff, Samuel	Board of Directors												X	
Shenoy, Andy K	Board of Directors												X	
Weisbrod, Carl	Board of Directors												X	
Been, Vicki	Board of Directors												X	
Quart, David	Board of Directors												X	
James, Amabel B	Board of Directors												X	
Burman, Jan	Board of Directors												X	
Gulotta, Thomas S	Board of Directors												X	
Silverman, Joel M	Board of Directors												X	
Abrams, Robert	Board of Directors												X	
Gelb, Bruce S	Board of Directors												X	
Kober, Eric	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
COLE, ROBERT B	Senior Vice President and General Counsel												X	
COOPERSMITH, KENNETH S	VICE PRESIDENT												X	
HUPPERT, FRANCES	VICE PRESIDENT												X	
ORTIZ, JORGE	CONTROLLER												X	
Striker, Wayne M	Director of Design and Construction												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$15,829,952
Investments	\$0
Receivables, net	\$2,814,494
Other assets	\$4,672,308
Total Current Assets	\$23,316,754
Noncurrent Assets	
Restricted cash and investments	\$55,511,411
Long-term receivables, net	\$0
Other assets	\$30,860,786
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$147,095,848
Infrastructure	\$0
Accumulated depreciation	\$103,029,888
Net Capital Assets	\$44,065,960
Total Noncurrent Assets	\$130,438,157
Total Assets	\$153,754,911

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$3,206,240
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$1,947,944
Deferred revenues	\$884,244
Bonds and notes payable	\$5,685,000
Other long-term obligations due within one year	\$382,540
Total Current Liabilities	\$12,105,968

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$80,443,247
Long Term Leases	\$0
Other long-term obligations	\$1,625,793
Total Noncurrent Liabilities	\$82,069,040

Total Liabilities

\$94,175,008

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	(\$3,246,609)
Restricted	\$35,791,283
Unrestricted	\$27,035,229
Total Net Assets	\$59,579,903

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$0
Rental & financing income	\$43,452,119
Other operating revenues	\$0
Total Operating Revenue	\$43,452,119

Operating Expenses

Salaries and wages	\$1,938,653
Other employee benefits	\$0
Professional services contracts	\$2,694,353
Supplies and materials	\$0
Depreciation & amortization	\$5,343,857
Other operating expenses	\$16,551,637
Total Operating Expenses	\$26,528,500

Operating Income (Loss) \$16,923,619

Nonoperating Revenues

Investment earnings	\$0
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$125,200
Total Nonoperating Revenue	\$125,200

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$3,472,634
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$14,388,849
Total Nonoperating Expenses	\$17,861,483
Income (Loss) Before Contributions	(\$812,664)
Capital Contributions	\$0
Change in net assets	(\$812,664)
Net assets (deficit) beginning of year	\$60,392,567
Other net assets changes	\$0
Net assets (deficit) at end of year	\$59,579,903

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	87,187,500.00	0.00	5,435,000.00	81,752,500.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.undc.org
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.undc.org
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Additional Comments: