

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.bfsa.state.ny.us/OpDocs.html
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	Yes	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	Yes	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	Yes	
16. Did the Authority establish a goal for participation by	Yes	

Procurement-Information:

Question	Response	URL (if applicable)
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	12%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	0%	

Procurement Transactions Listing:

<p>1. Vendor Name: Aon Consulting</p> <p>Transaction Number:</p> <p>Procurement Description: Consultation of the impact on other post employment benefits for the Buffalo City School District</p> <p>Amount Expended for Fiscal Year: \$5,000</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: Aon Corporation</p> <p>Address Line2: 200 East Randolph St.</p> <p>City: CHICAGO</p> <p>State: IL</p> <p>Postal Code: 60601</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Consulting Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>2. Vendor Name: Bank of New York Mellon</p> <p>Transaction Number:</p> <p>Procurement Description: Bond Trustee</p> <p>Amount Expended for Fiscal Year: \$12,350</p> <p>Amount Expended for Life to Date: \$88,233</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 5</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 101 Barclay Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10286</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 08/01/2003</p> <p>Begin Date: 08/01/2003</p> <p>Renewal Date:</p> <p>End Date: 09/01/2025</p> <p>Amount: \$12,350</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

3. Vendor Name: Buffalo Economic Renaissance Corporation

Transaction Number: N/A

Procurement Description: Building occupancy lease

Amount Expended for Fiscal Year: \$41,976

Amount Expended for Life to Date: \$194,258

Does the contract have an end Date: No

Current or Outstanding Balance: \$0

Number Of Bids Or Proposals Received Prior to Award of Contract: 0

Is the Vendor a NYS or Foreign Business Enterprise? NYS

Is the Vendor a Minority or Woman-Owned Business Enterprise? No

Exemption from the publication requirements of Article 4c of the economic-development law?

If Yes, basis for exemption:

Address Line1: 920 City Hall

Address Line2:

City: BUFFALO

State: NY

Postal Code: 14202

Plus 4:

Province Region:

Country: USA

Type of Procurement: Other

Award Process: Authority Contract - Non-Competitive Bid

Award Date: 08/01/2003

Begin Date: 08/01/2003

Renewal Date:

End Date:

Amount: \$41,284

Fair Market value:

Explain why the fair market value is less than the contract amount:

Status: OPEN

were MWBE firms solicited as part of this procurement proces No

Number of bids and proposal received from MWBE firms:

Procurement Transactions Listing:

<p>4. Vendor Name: Harris Beach, LLP</p> <p>Transaction Number: N/A</p> <p>Procurement Description: Legal Counsel</p> <p>Amount Expended for Fiscal Year: \$191,153.22</p> <p>Amount Expended for Life to Date: \$916,468.22</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 1</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 99 Garnsey Road</p> <p>Address Line2:</p> <p>City: PITTSFORD</p> <p>State: NY</p> <p>Postal Code: 14534</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 08/01/2003</p> <p>Begin Date: 08/01/2003</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$191,153.22</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>5. Vendor Name: Integrity Public Finance Consulting</p> <p>Transaction Number:</p> <p>Procurement Description: Arbitrage reports and related IRS filings</p> <p>Amount Expended for Fiscal Year: \$29,700</p> <p>Amount Expended for Life to Date: \$53,995</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 111 Riverside Ave. Suite 200</p> <p>Address Line2:</p> <p>City: JACKSONVILLE</p> <p>State: FL</p> <p>Postal Code: 32202</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 06/15/2009</p> <p>Begin Date: 06/15/2009</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$29,700</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>6. Vendor Name: Lumsden & McCormick LLP</p> <p>Transaction Number: N/A</p> <p>Procurement Description: Audit services</p> <p>Amount Expended for Fiscal Year: \$12,100</p> <p>Amount Expended for Life to Date: \$47,085</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 2</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 403 Main Street</p> <p>Address Line2:</p> <p>City: BUFFALO</p> <p>State: NY</p> <p>Postal Code: 14203</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 03/18/2009</p> <p>Begin Date: 03/18/2009</p> <p>Renewal Date:</p> <p>End Date: 09/30/2013</p> <p>Amount: \$12,100</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>7. Vendor Name: Public Financial Management</p> <p>Transaction Number:</p> <p>Procurement Description: Financial and Securities Advisor</p> <p>Amount Expended for Fiscal Year: \$5,000</p> <p>Amount Expended for Life to Date: \$81,321.04</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 40 Wall Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10005</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Consulting Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/14/2006</p> <p>Begin Date: 12/14/2006</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$5,000</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Additional Comments:

During fiscal year 2012, the Buffalo Fiscal Stability Authority was required to establish MWBE goals under Article 15A of New York State Executive Law, as effective for the State's fiscal year ended March 31, 2012. This was the initial year that the establishment of these goals was required under the statute. The information reported herein corresponds to the State's fiscal year ended March 31, 2012.