

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nysefc.org/Default.aspx?TabID=76&fid=398
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	Yes	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	Yes	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	Yes	
16. Did the Authority establish a goal for participation by	Yes	

Procurement-Information:

Question	Response	URL (if applicable)
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	20%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	21%	

Procurement Transactions Listing:

1. Vendor Name:	BNY Mellon	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$9,655	End Date:	12/08/2014
Amount Expended for Life to Date:	\$9,655	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	32 Old Slip, 15th Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10286		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

2. Vendor Name:	Banc of America Securities	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$184,428	End Date:	12/08/2014
Amount Expended for Life to Date:	\$184,428	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	One Bryant Park		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10036		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>3. Vendor Name: Bank of New York</p> <p>Transaction Number: EFC-0026</p> <p>Procurement Description: Trustee</p> <p>Amount Expended for Fiscal Year: \$26,375</p> <p>Amount Expended for Life to Date: \$419,991</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 12</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One Wall Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10286</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 02/05/1993</p> <p>Begin Date: 02/05/1993</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>4. Vendor Name: Barclays Capital</p> <p>Transaction Number: C-201120</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$69,320</p> <p>Amount Expended for Life to Date: \$69,320</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 745 Seventh Ave</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10019</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/09/2011</p> <p>Begin Date: 12/09/2011</p> <p>Renewal Date:</p> <p>End Date: 12/08/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p>
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Procurement Transactions Listing:

<p>5. Vendor Name: Blackbard Fundware</p> <p>Transaction Number: EFC-0003</p> <p>Procurement Description: Software License and Support</p> <p>Amount Expended for Fiscal Year: \$3,084</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 9605 Scranton Rd</p> <p>Address Line2:</p> <p>City: SAN DIEGO</p> <p>State: CA</p> <p>Postal Code: 92121</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Software</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

6. Vendor Name:	Blaylock Robert Van, LLC	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$2,500	End Date:	12/08/2014
Amount Expended for Life to Date:	\$2,500	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	30 Frank H. Ogawa Plaza		
Address Line2:			
City:	OAKLAND		
State:	CA		
Postal Code:	94612		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>7. Vendor Name: Bloomberg LP</p> <p>Transaction Number: EFC-0068</p> <p>Procurement Description: Financial Services</p> <p>Amount Expended for Fiscal Year: \$23,475</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 731 Lexington Ave</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10022</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

8. Vendor Name:	CDM/C&S	Type of Procurement:	Consulting Services
Transaction Number:	EFC-0087	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	ARRA Compliance	Award Date:	08/23/2010
		Begin Date:	08/23/2010
		Renewal Date:	
Amount Expended for Fiscal Year:	\$131,382.25	End Date:	08/23/2013
Amount Expended for Life to Date:	\$769,463.5	Amount:	\$887,285
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$117,821.5	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	19		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	3
If Yes, basis for exemption:			
Address Line1:	499 Col. Eileen Collins Blvd		
Address Line2:			
City:	SYRACUSE		
State:	NY		
Postal Code:	13212		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>9. Vendor Name: Capital District Records Management (3N)</p> <p>Transaction Number: EFC-0084</p> <p>Procurement Description: File Storage and Retrieval</p> <p>Amount Expended for Fiscal Year: \$4,036.9</p> <p>Amount Expended for Life to Date: \$13,085.6</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 3</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: PO Box 4044</p> <p>Address Line2:</p> <p>City: CLIFTON PARK</p> <p>State: NY</p> <p>Postal Code: 12065</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 05/01/2010</p> <p>Begin Date: 05/01/2010</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

10. Vendor Name:	Castle Oak Securities	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$2,500	End Date:	12/08/2014
Amount Expended for Life to Date:	\$2,500	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	110 E. 59th St		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

11. Vendor Name:	CheckWise Payroll	Type of Procurement:	Other Professional Services
Transaction Number:	EFC-0080	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Payroll Services	Award Date:	01/01/2008
		Begin Date:	01/01/2008
		Renewal Date:	
Amount Expended for Fiscal Year:	\$3,682.6	End Date:	12/31/2012
Amount Expended for Life to Date:	\$12,216.05	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	3	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	were MWBE firms solicited as part of this procurement proces	No
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	110 Wolf Rd		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12205		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

12. Vendor Name:	Checkwise Payroll	Type of Procurement:	Other Professional Services
Transaction Number:	C-201304	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Payroll Services	Award Date:	01/01/2013
		Begin Date:	01/01/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$1,727.05	End Date:	12/31/2015
Amount Expended for Life to Date:	\$1,727.05	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	5		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	110 Wolf Road		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12205		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

13. Vendor Name:	Citigroup Global Markets	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$160,854	End Date:	12/08/2014
Amount Expended for Life to Date:	\$160,854	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	388 Greenwich St, 14th Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10013		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

14. Vendor Name:	Estrada Hinojosa & Company	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$13,101	End Date:	12/08/2014
Amount Expended for Life to Date:	\$13,101	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	230 Park Ave, 10th Fl		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10169		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

16. Vendor Name:	Fidelity Capital Markets Services	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$43,576	End Date:	12/08/2014
Amount Expended for Life to Date:	\$43,576	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	were MWBE firms solicited as part of this procurement proces	Yes
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	14
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	200 Seaport Blvd		
Address Line2:			
City:	BOSTON		
State:	MA		
Postal Code:	02210		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

17. Vendor Name:	Fitch Solutions, Inc.	Type of Procurement:	Other
Transaction Number:	EFC-0096	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Public Finance via Web	Award Date:	08/01/2011
		Begin Date:	08/01/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$9,975	End Date:	07/31/2013
Amount Expended for Life to Date:	\$19,475	Amount:	\$9,975
Does the contract have an end Date:	Yes	Fair Market value:	\$9,975
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	One State Street Plaza		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10004		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

18. Vendor Name: Fitch, Inc. Type of Procurement: Financial Services

Transaction Number: EFC-0021 Award Process: Non Contract Procurement/Purchase Order

Procurement Description: Bond Rating Services Award Date:

Begin Date:

Renewal Date:

Amount Expended for Fiscal Year: \$135,000 End Date:

Amount Expended for Life to Date: Amount:

Does the contract have an end Date: Fair Market value:

Current or Outstanding Balance: Explain why the fair market value is less than the contract amount:

Number Of Bids Or Proposals Received Prior to Award of Contract:

Is the Vendor a NYS or Foreign Business Enterprise? NYS Status: OPEN

Is the Vendor a Minority or Woman-Owned Business Enterprise? No were MWBE firms solicited as part of this procurement proces

Exemption from the publication requirements of Article 4c of the economic-development law? Number of bids and proposal received from MWBE firms:

If Yes, basis for exemption:

Address Line1: One State Street Plaza

Address Line2:

City: NEW YORK

State: NY

Postal Code: 10004

Plus 4:

Province Region:

Country: USA

Procurement Transactions Listing:

19. Vendor Name:	Fulbright and Jaworski LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201109	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/13/2013
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	666 Fifth Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10103		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

20. Vendor Name:	GHD Consulting LLC	Type of Procurement:	Consulting Services
Transaction Number:	C-200901	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Watershed Consultant Engineer	Award Date:	06/10/2009
		Begin Date:	06/10/2009
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	06/09/2014
Amount Expended for Life to Date:	\$31,435.14	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	9		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	One Remington Park Drive		
Address Line2:			
City:	CAZENOVIA		
State:	NY		
Postal Code:	13035		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

21. Vendor Name:	George K. Baum	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$4,332	End Date:	12/08/2014
Amount Expended for Life to Date:	\$4,332	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	584 Broadway, #1010		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10012		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

22. Vendor Name:	Gilberti Stinziano Heintz & Smith PC	Type of Procurement:	Legal Services
Transaction Number:	C-201109	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$17,937.84	End Date:	09/13/2013
Amount Expended for Life to Date:	\$159,073.06	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	555 East Genesee Street		
Address Line2:			
City:	SYRACUSE		
State:	NY		
Postal Code:	13202		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

23. Vendor Name:	Goldman Sachs & Company	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$410,290	End Date:	12/08/2014
Amount Expended for Life to Date:	\$410,290	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	85 Broad St, 24th Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10004		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>24. Vendor Name: Gonzalez Saggio & Harlan LLP</p> <p>Transaction Number: C-201109</p> <p>Procurement Description: Legal Advisory</p> <p>Amount Expended for Fiscal Year: \$11,707</p> <p>Amount Expended for Life to Date: \$51,419.39</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 292 Madison Ave</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10017</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2011</p> <p>Begin Date: 09/12/2011</p> <p>Renewal Date:</p> <p>End Date: 09/13/2013</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 7</p>
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Procurement Transactions Listing:

25. Vendor Name:	Guggenheim Securities	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$28,047	End Date:	12/08/2014
Amount Expended for Life to Date:	\$28,047	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	330 Madison Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10017		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

26. Vendor Name:	HRN Management Group	Type of Procurement:	Technology - Software
Transaction Number:	EFC-0073	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Performance Appraisal	Award Date:	03/15/2007
		Begin Date:	03/15/2007
		Renewal Date:	
Amount Expended for Fiscal Year:	\$1,754.9	End Date:	01/31/2014
Amount Expended for Life to Date:	\$12,275.53	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	3		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	802 E. Winchester Street		
Address Line2:			
City:	SALT LAKE CITY		
State:	UT		
Postal Code:	84107		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

27. Vendor Name:	Hawkins Delafield & Wood LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201109	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$670,784.99	End Date:	09/13/2013
Amount Expended for Life to Date:	\$797,268.62	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	One Chase Manhattan Plaza		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10005		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>28. Vendor Name:</p> <p>Transaction Number:</p> <p>Procurement Description:</p> <p>Amount Expended for Fiscal Year:</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise?</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise?</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1:</p> <p>Address Line2:</p> <p>City:</p> <p>State:</p> <p>Postal Code:</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country:</p>	<p>Interactive Data Pricing and Reference Data, Inc.</p> <p>EFC-0091</p> <p>Valuation Services</p> <p>\$8,451.08</p> <p>\$13,177.92</p> <p>Yes</p> <p>\$0</p> <p>0</p> <p>Foreign</p> <p>No</p> <p>No</p> <p>32 Crosby Drive</p> <p>BEDFORD</p> <p>MA</p> <p>01730</p> <p>USA</p>	<p>Type of Procurement:</p> <p>Award Process:</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status:</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>	<p>Financial Services</p> <p>Authority Contract - Non-Competitive Bid</p> <p>09/20/2011</p> <p>09/20/2011</p> <p>09/19/2013</p> <p>\$9,500</p> <p>\$9,500</p> <p>OPEN</p> <p>No</p>
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Procurement Transactions Listing:

29. Vendor Name:	Iron Mountain	Type of Procurement:	Other
Transaction Number:	EFC-0075	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	File Storage and Retrieval	Award Date:	04/01/1995
		Begin Date:	04/01/1995
		Renewal Date:	
Amount Expended for Fiscal Year:	\$30,787.41	End Date:	02/03/2014
Amount Expended for Life to Date:	\$109,335.72	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	3 Green Mountain Drive		
Address Line2:			
City:	COHOES		
State:	NY		
Postal Code:	12047		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

30. Vendor Name:	JP Morgan Securities, Inc.	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$283,581	End Date:	12/08/2014
Amount Expended for Life to Date:	\$283,581	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	383 Madison Ave, 23rd Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10179		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

31. Vendor Name:	Janney Montgomery Scott	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$65,983	End Date:	12/08/2014
Amount Expended for Life to Date:	\$65,983	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	1801 Market St		
Address Line2:			
City:	PHILADELPHIA		
State:	PA		
Postal Code:	19103		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

32. Vendor Name:	Jefferies and Company	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$479,958	End Date:	12/08/2014
Amount Expended for Life to Date:	\$479,958	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	677 Broadway		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12207		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

33. Vendor Name:	KPMG LLP	Type of Procurement:	Financial Services
Transaction Number:	C-201101	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Audit Services	Award Date:	04/01/2011
		Begin Date:	04/01/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$81,218	End Date:	03/31/2014
Amount Expended for Life to Date:	\$160,398	Amount:	\$269,888
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$109,490	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	6		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	515 Broadway		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12207		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

34. Vendor Name:	KeyBanc Capital Markets	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$2,513	End Date:	12/08/2014
Amount Expended for Life to Date:	\$2,513	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	45 Rockefeller Plaza		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10011		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

35. Vendor Name:	KnoxSeaton	Type of Procurement:	Legal Services
Transaction Number:	C-201112	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Services	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$20,228.35	End Date:	09/11/2014
Amount Expended for Life to Date:	\$20,228.35	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	245 Park Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10167		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>36. Vendor Name: Kronos</p> <p>Transaction Number: EFC-0031</p> <p>Procurement Description: Software Maintenance</p> <p>Amount Expended for Fiscal Year: \$1,193.52</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 297 Billerica Rd</p> <p>Address Line2:</p> <p>City: CHELMSFORD</p> <p>State: MA</p> <p>Postal Code: 01824</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Software</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

37. Vendor Name:	Lebenthal and Company	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$65,648.43	End Date:	12/08/2014
Amount Expended for Life to Date:	\$65,648.43	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	521 5th Avenue, 20th Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10175		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>38. Vendor Name: Legislative Retrieval System</p> <p>Transaction Number: EFC-0098</p> <p>Procurement Description: Database Subscription</p> <p>Amount Expended for Fiscal Year: \$2,250</p> <p>Amount Expended for Life to Date: \$13,500</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 55 Elk St</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12210</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 10/10/2007</p> <p>Begin Date: 10/10/2007</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>39. Vendor Name: Lexis-Nexis</p> <p>Transaction Number: EFC-0009</p> <p>Procurement Description: Online Legal Info Service</p> <p>Amount Expended for Fiscal Year: \$8,004</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 10 Milk St</p> <p>Address Line2:</p> <p>City: BOSTON</p> <p>State: MA</p> <p>Postal Code: 02108</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Software</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>40. Vendor Name: Logic House LTD</p> <p>Transaction Number: PR65777</p> <p>Procurement Description: IT Consultant</p> <p>Amount Expended for Fiscal Year: \$29,412.38</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 49950 Jefferson St</p> <p>Address Line2: Ste 130-391</p> <p>City: INDIO</p> <p>State: CA</p> <p>Postal Code: 92201</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Consulting/Development or Su</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

41. Vendor Name:	Loop Capital Markets, LLC	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$140,521.38	End Date:	12/08/2014
Amount Expended for Life to Date:	\$140,521.38	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	200 W. Jackson, Ste 1600		
Address Line2:			
City:	CHICAGO		
State:	IL		
Postal Code:	60606		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>42. Vendor Name: M&T Bank</p> <p>Transaction Number: EFC-0027</p> <p>Procurement Description: Trustee</p> <p>Amount Expended for Fiscal Year: \$292,575.06</p> <p>Amount Expended for Life to Date: \$5,319,727.31</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One M&T Plaza</p> <p>Address Line2:</p> <p>City: BUFFALO</p> <p>State: NY</p> <p>Postal Code: 14203</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 02/05/1993</p> <p>Begin Date: 02/05/1993</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

43. Vendor Name:	MR Beal & Company	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$31,640	End Date:	12/08/2014
Amount Expended for Life to Date:	\$31,640	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	67 Wall Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10005		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>44. Vendor Name: Mesirow Financial</p> <p>Transaction Number: C-201120</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$17,655</p> <p>Amount Expended for Life to Date: \$17,655</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 353 No. Clark St</p> <p>Address Line2:</p> <p>City: CHICAGO</p> <p>State: IL</p> <p>Postal Code: 60654</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/09/2011</p> <p>Begin Date: 12/09/2011</p> <p>Renewal Date:</p> <p>End Date: 12/08/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p>
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Procurement Transactions Listing:

45. Vendor Name:	Milliman, Inc.	Type of Procurement:	Other Professional Services
Transaction Number:	EFC-0142	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Actuary Valuation	Award Date:	01/01/2013
		Begin Date:	01/01/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	12/31/2013
Amount Expended for Life to Date:	\$0	Amount:	\$12,500
Does the contract have an end Date:	Yes	Fair Market value:	\$12,500
Current or Outstanding Balance:	\$12,500	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	250 Washington Ave Ext.		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12203		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>46. Vendor Name: Moody's Analytics, Inc.</p> <p>Transaction Number: 2011006</p> <p>Procurement Description: Global Credit Research</p> <p>Amount Expended for Fiscal Year: \$45,700</p> <p>Amount Expended for Life to Date: \$89,500</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 7 World Trade Center</p> <p>Address Line2: 250 Greenwich Ave</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10007</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Software</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 08/01/2011</p> <p>Begin Date: 08/01/2011</p> <p>Renewal Date:</p> <p>End Date: 07/31/2013</p> <p>Amount: \$0</p> <p>Fair Market value: \$50,000</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>47. Vendor Name: Moody's Investor Services</p> <p>Transaction Number: EFC-0022</p> <p>Procurement Description: Bond Rating Services</p> <p>Amount Expended for Fiscal Year: \$199,900</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: PO Box 102597</p> <p>Address Line2:</p> <p>City: ATLANTA</p> <p>State: GA</p> <p>Postal Code: 30368</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

48. Vendor Name:	Morgan Keegan & Company, Inc.	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$61,902	End Date:	12/08/2014
Amount Expended for Life to Date:	\$61,902	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	535 Madison Ave, 9th Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

49. Vendor Name:	Morgan Stanley	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$986,023	End Date:	12/08/2014
Amount Expended for Life to Date:	\$986,023	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	1585 Broadway		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10036		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>50. Vendor Name: NYSTEC</p> <p>Transaction Number: EFC-0090</p> <p>Procurement Description: IT Consultants</p> <p>Amount Expended for Fiscal Year: \$43,086.5</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 100 State St, Ste 900</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12207</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Consulting/Development or Su</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

51. Vendor Name:	PFM Asset Management LLC	Type of Procurement:	Financial Services
Transaction Number:	C-201121	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Arbitrage Rebate Services	Award Date:	01/01/2012
		Begin Date:	01/01/2012
		Renewal Date:	
Amount Expended for Fiscal Year:	\$72,000	End Date:	12/31/2016
Amount Expended for Life to Date:	\$87,000	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	5		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	1
If Yes, basis for exemption:			
Address Line1:	One Keystone Plaza, Ste 300		
Address Line2:			
City:	HARRISBURG		
State:	PA		
Postal Code:	17101		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>52. Vendor Name: Prager, Sealy and Company</p> <p>Transaction Number: C-201120</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$21,585</p> <p>Amount Expended for Life to Date: \$21,585</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 60 E. 42nd St</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10165</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/09/2011</p> <p>Begin Date: 12/09/2011</p> <p>Renewal Date:</p> <p>End Date: 12/08/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p>
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Procurement Transactions Listing:

53. Vendor Name:	RBC Capital Markets	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$31,620	End Date:	12/08/2014
Amount Expended for Life to Date:	\$31,620	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	250 Park Ave, 2nd Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10177		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

54. Vendor Name:	RR Donnelley	Type of Procurement:	Other
Transaction Number:	C-201103	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Financial Printer	Award Date:	12/20/2011
		Begin Date:	12/20/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$7,577	End Date:	12/20/2012
Amount Expended for Life to Date:	\$32,442.67	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	1		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	55 Water Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10041		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

55. Vendor Name:	Raymond James & Associates, Inc	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	12/08/2014
Amount Expended for Life to Date:	\$0	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	67 Wall Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10005		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

56. Vendor Name:	Rice Financial Products Company	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$47,206.24	End Date:	12/08/2014
Amount Expended for Life to Date:	\$47,206.24	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31	Status:	COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	were MWBE firms solicited as part of this procurement proces	Yes
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	Number of bids and proposal received from MWBE firms:	14
Exemption from the publication requirements of Article 4c of the economic-development law?	No		
If Yes, basis for exemption:			
Address Line1:	17 State St, 40th Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10004		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

57. Vendor Name:	Roosevelt & Cross, Inc.	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$45,563	End Date:	12/08/2014
Amount Expended for Life to Date:	\$45,563	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	20 Exchange Place		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10005		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

58. Vendor Name:	SS&C Technologies, Inc.	Type of Procurement:	Technology - Software
Transaction Number:	C-201114	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Bond Structuring Software	Award Date:	09/16/2011
		Begin Date:	09/16/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$28,100	End Date:	09/15/2016
Amount Expended for Life to Date:	\$54,862	Amount:	\$84,367
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$29,505	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	1		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	477 Madison Ave, 3rd Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

59. Vendor Name:	Samuel A. Ramirez & Company	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$478,088.91	End Date:	12/08/2014
Amount Expended for Life to Date:	\$478,088.91	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	61 Broadway, Ste 2924		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10006		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

60. Vendor Name:	Schroder Joseph & Associates LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201110	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	09/11/2014
Amount Expended for Life to Date:	\$32,215	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	766 Ellicot Street		
Address Line2:			
City:	BUFFALO		
State:	NY		
Postal Code:	14203		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

61. Vendor Name:	Siebert Brandford Shank & Co, LLC	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$63,822	End Date:	12/08/2014
Amount Expended for Life to Date:	\$63,822	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	Yes	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	885 3rd Ave, Ste 1720		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

62. Vendor Name:	Squire Sanders & Dempsey LLP	Type of Procurement:	Legal Services
Transaction Number:	C-201111	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Advisory	Award Date:	09/12/2011
		Begin Date:	09/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$32,035	End Date:	09/11/2013
Amount Expended for Life to Date:	\$99,068.5	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	21		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	7
If Yes, basis for exemption:			
Address Line1:	350 Park Ave, 15th Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

63. Vendor Name:	Standard & Poor's Bloomberg Access	Type of Procurement:	Technology - Software
Transaction Number:	EFC-0094	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Bloomberg Access	Award Date:	10/31/2011
		Begin Date:	10/31/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$6,000	End Date:	10/30/2013
Amount Expended for Life to Date:	\$8,500	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	\$6,000
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	55 Water Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10014		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

64. Vendor Name:	Standard & Poor's Securites Evaluations	Type of Procurement:	Technology - Software
Transaction Number:	EFC-0093	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Securities Evaluations	Award Date:	08/31/2011
		Begin Date:	08/31/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$9,172	End Date:	08/30/2013
Amount Expended for Life to Date:	\$13,758	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	\$9,172
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	55 Water Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10014		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

65. Vendor Name:	Standard & Poor's/Ratings Direct	Type of Procurement:	Technology - Software
Transaction Number:	EFC-0103	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Ratings & Research	Award Date:	08/31/2011
		Begin Date:	08/31/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$12,000	End Date:	08/31/2013
Amount Expended for Life to Date:	\$19,600	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	\$12,000
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	55 Water Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10014		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

66. Vendor Name:	Standard & Poor's/Ratings Express	Type of Procurement:	Technology - Software
Transaction Number:	EFC-0100	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Ratings & Research	Award Date:	08/31/2011
		Begin Date:	08/31/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$13,200	End Date:	08/31/2013
Amount Expended for Life to Date:	\$26,400	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	\$13,200
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	55 Water Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10014		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>67. Vendor Name: Standard and Poor's</p> <p>Transaction Number: EFC-0025</p> <p>Procurement Description: Bond Rating Services</p> <p>Amount Expended for Fiscal Year: \$152,020</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 55 Water St.</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10014</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

68. Vendor Name:	Stifel Nicolaus	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$20,172	End Date:	12/08/2014
Amount Expended for Life to Date:	\$20,172	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	237 Park Ave		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10017		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

69. Vendor Name:	The PFM Group	Type of Procurement:	Financial Services
Transaction Number:	C-200702	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Financial Advisory Services	Award Date:	01/01/2008
		Begin Date:	01/01/2008
		Renewal Date:	
Amount Expended for Fiscal Year:	\$445,568.5	End Date:	12/31/2012
Amount Expended for Life to Date:	\$1,584,152.97	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	3		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	530 Oak Court Drive, Ste 160		
Address Line2:			
City:	MEMPHIS		
State:	TN		
Postal Code:	38117		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>70. Vendor Name: The Remi Group</p> <p>Transaction Number: PS65207</p> <p>Procurement Description: Equipment Insurance</p> <p>Amount Expended for Fiscal Year: \$14,627.14</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 11325 No. Community House Rd</p> <p>Address Line2:</p> <p>City: CHARLOTTE</p> <p>State: NC</p> <p>Postal Code: 28277</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>71. Vendor Name: The Williams Capital Group, L.P.</p> <p>Transaction Number: C-201120</p> <p>Procurement Description: Underwriter</p> <p>Amount Expended for Fiscal Year: \$24,135.13</p> <p>Amount Expended for Life to Date: \$24,135.13</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 31</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 650 Fifth Ave, 11th Floor</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10019</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/09/2011</p> <p>Begin Date: 12/09/2011</p> <p>Renewal Date:</p> <p>End Date: 12/08/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 14</p>
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Procurement Transactions Listing:

<p>72. Vendor Name: Thomson Reuters Global Markets Inc.</p> <p>Transaction Number: EFC-0095</p> <p>Procurement Description: Online Research</p> <p>Amount Expended for Fiscal Year: \$6,642</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 22 Thomson Place</p> <p>Address Line2:</p> <p>City: BOSTON</p> <p>State: MA</p> <p>Postal Code: 02210</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>73. Vendor Name: Toshiba Business Solutions</p> <p>Transaction Number: EFC-0099</p> <p>Procurement Description: Copier/Printer</p> <p>Amount Expended for Fiscal Year: \$2,758.23</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 150 Metro Park</p> <p>Address Line2:</p> <p>City: ROCHESTER</p> <p>State: NY</p> <p>Postal Code: 14623</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Commodities/Supplies</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>74. Vendor Name: Trespasz & Marquardt, LLP</p> <p>Transaction Number: C-201117</p> <p>Procurement Description: Legal Services</p> <p>Amount Expended for Fiscal Year: \$13,360</p> <p>Amount Expended for Life to Date: \$13,360</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 21</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 251 West Fayette Ste</p> <p>Address Line2:</p> <p>City: SYRACUSE</p> <p>State: NY</p> <p>Postal Code: 13202</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/12/2011</p> <p>Begin Date: 09/12/2011</p> <p>Renewal Date:</p> <p>End Date: 09/11/2013</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 7</p>
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Procurement Transactions Listing:

<p>75. Vendor Name: Wage Works</p> <p>Transaction Number: EFC-0088</p> <p>Procurement Description: NYS Flex Spending Account</p> <p>Amount Expended for Fiscal Year: \$1,212.15</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 3101 Sessions Road</p> <p>Address Line2:</p> <p>City: TALLAHASSEE</p> <p>State: FL</p> <p>Postal Code: 32303</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

76. Vendor Name:	Wells Fargo Bank, National Association	Type of Procurement:	Financial Services
Transaction Number:	C-201120	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Underwriter	Award Date:	12/09/2011
		Begin Date:	12/09/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$77,035	End Date:	12/08/2014
Amount Expended for Life to Date:	\$77,035	Amount:	\$0
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	31		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	14
If Yes, basis for exemption:			
Address Line1:	375 Park Ave, 6th Floor		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10152		
Plus 4:			
Province Region:			
Country:	USA		

Additional Comments: