

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.hrbrrd.com/reportspoliciesprocedures.html">http://www.hrbrrd.com/reportspoliciesprocedures.html</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	Yes	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	Yes	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	Yes	
16. Did the Authority establish a goal for participation by	Yes	

**Procurement-Information:**

Question	Response	URL (if applicable)
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	20%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	3.7	

**Procurement Transactions Listing:**

1. Vendor Name:	Brown & Weinraub	Type of Procurement:	Legal Services
Transaction Number:	C022004	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Services	Award Date:	05/12/2004
		Begin Date:	05/12/2004
		Renewal Date:	
Amount Expended for Fiscal Year:	\$9,070	End Date:	06/30/2014
Amount Expended for Life to Date:	\$1,303,395	Amount:	\$1,335,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$31,605	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	79 Columbia Street		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12210		
Plus 4:			
Province Region:			
Country:	USA		

**Procurement Transactions Listing:**

<p>2. Vendor Name: Brown &amp; Weinraub</p> <p>Transaction Number: C042006</p> <p>Procurement Description: Legal Services</p> <p>Amount Expended for Fiscal Year: \$105</p> <p>Amount Expended for Life to Date: \$50,699</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$7,801</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 0</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 79 Columbia Street</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12210</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 04/01/2006</p> <p>Begin Date: 04/01/2006</p> <p>Renewal Date:</p> <p>End Date: 11/30/2012</p> <p>Amount: \$58,500</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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**Procurement Transactions Listing:**

<p>3. Vendor Name: Brown &amp; Weinraub</p> <p>Transaction Number: C152006</p> <p>Procurement Description: Legal Services</p> <p>Amount Expended for Fiscal Year: \$147</p> <p>Amount Expended for Life to Date: \$35,228</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$4,772</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 0</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 79 Columbia Street</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12210</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 11/21/2006</p> <p>Begin Date: 11/21/2006</p> <p>Renewal Date:</p> <p>End Date: 09/30/2012</p> <p>Amount: \$40,000</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

4. Vendor Name:	Brown & Weinraub	Type of Procurement:	Legal Services
Transaction Number:	C092009	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Legal Services	Award Date:	11/10/2009
		Begin Date:	12/08/2009
		Renewal Date:	
Amount Expended for Fiscal Year:	\$441	End Date:	12/08/2011
Amount Expended for Life to Date:	\$5,483	Amount:	\$25,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	6		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	COMPLETED
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	79 Columbia Street		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12210		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

5. Vendor Name:	Cool Insuring Agency	Type of Procurement:	Other
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Commercial Liability Ins	Award Date:	07/01/2008
		Begin Date:	07/01/2007
		Renewal Date:	
Amount Expended for Fiscal Year:	\$170,127.97	End Date:	06/30/2014
Amount Expended for Life to Date:	\$1,009,478.97	Amount:	\$1,192,165.94
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$182,687	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	1		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	PO Box 2153		
Address Line2:			
City:	GLENS FALLS		
State:	NY		
Postal Code:	12801		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

6. Vendor Name:	GEI, Inc	Type of Procurement:	Other Professional Services
Transaction Number:	C052009	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Engineering Inspection	Award Date:	04/12/2011
		Begin Date:	04/12/2011
		Renewal Date:	
Amount Expended for Fiscal Year:	\$291	End Date:	12/31/2012
Amount Expended for Life to Date:	\$21,097	Amount:	\$30,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$8,903	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	5		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	P.O. Box 843005		
Address Line2:			
City:	BOSTON		
State:	MA		
Postal Code:	02284		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

7. Vendor Name:	Gomez & Sullivan	Type of Procurement:	Other Professional Services
Transaction Number:	C092007	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Engineering Services	Award Date:	02/11/2008
		Begin Date:	02/11/2008
		Renewal Date:	
Amount Expended for Fiscal Year:	\$66,495	End Date:	12/31/2013
Amount Expended for Life to Date:	\$165,831	Amount:	\$273,090
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$107,259	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	8		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	288 Genesee Street		
Address Line2:			
City:	UTICA		
State:	NY		
Postal Code:	13502		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

8. Vendor Name:	Granicus, Inc	Type of Procurement:	Other Professional Services
Transaction Number:	C042007	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Webcasting	Award Date:	06/18/2007
		Begin Date:	07/01/2007
		Renewal Date:	
Amount Expended for Fiscal Year:	\$7,500	End Date:	06/23/2015
Amount Expended for Life to Date:	\$16,500	Amount:	\$27,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$19,500	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	81 Charter Circle 5G		
Address Line2:			
City:	OSSINING		
State:	NY		
Postal Code:	10562		
Plus 4:			
Province Region:			
Country:	USA		

**Procurement Transactions Listing:**

<p>9. Vendor Name: Paul C. Rizzo, Engineering</p> <p>Transaction Number: C072009</p> <p>Procurement Description: Liquefaction and Deformation Analysis</p> <p>Amount Expended for Fiscal Year: \$2,257</p> <p>Amount Expended for Life to Date: \$47,994.62</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$73,599</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 4</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law? No</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: White Plains Road, Suite 425</p> <p>Address Line2:</p> <p>City: TARRYTOWN</p> <p>State: NY</p> <p>Postal Code: 10591</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 11/12/2009</p> <p>Begin Date: 11/12/2009</p> <p>Renewal Date:</p> <p>End Date: 07/13/2015</p> <p>Amount: \$121,594</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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**Procurement Transactions Listing:**

10. Vendor Name:	Sky Four Reality	Type of Procurement:	Other
Transaction Number:	L200000	Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Office Lease	Award Date:	03/01/2008
		Begin Date:	03/01/2008
		Renewal Date:	
Amount Expended for Fiscal Year:	\$12,032	End Date:	02/28/2014
Amount Expended for Life to Date:	\$288,282	Amount:	\$306,800
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$18,518	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	350 Northern Blvd.		
Address Line2:			
City:	ALBANY		
State:	NY		
Postal Code:	12204		
Plus 4:			
Province Region:			
Country:	USA		

**Procurement Transactions Listing:**

11. Vendor Name:	The Bonadio Group	Type of Procurement:	Other Professional Services
Transaction Number:	C062008	Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Audit Services	Award Date:	07/01/2007
		Begin Date:	07/01/2007
		Renewal Date:	
Amount Expended for Fiscal Year:	\$17,000	End Date:	06/30/2014
Amount Expended for Life to Date:	\$79,250	Amount:	\$97,250
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$17,500	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	0		
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	171 Sully's Trail		
Address Line2:			
City:	PITTSFORD		
State:	NY		
Postal Code:	14534		
Plus 4:			
Province Region:			
Country:	USA		

Additional Comments: