

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://esd.ny.gov/CorporateInformation/Data/JDA/01312013_JDA_ProcurementGuidelines.pdf
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	Yes	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	Yes	

Procurement-Information:

Question	Response	URL (if applicable)
16. Did the Authority establish a goal for participation by MWBEs in its procurement contracts?	Yes	
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	23%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	26.	

Procurement Transactions Listing:

1. Vendor Name: Cool Insuring Agency
Type of Procurement: Financial Services
Award Process: Non Contract Procurement/Purchase Order
Transaction Number:
Award Date:
Procurement Description: Risk management and insurance services.
Begin Date:
Renewal Date:
Amount Expended for Fiscal Year: \$16,137
End Date:
Amount Expended for Life to Date:
Amount:
Does the contract have an end Date:
Fair Market value:
Current or Outstanding Balance:
Explain why the fair market value is less than the contract amount:
Number Of Bids Or Proposals Received Prior to Award of Contract:
Status: COMPLETED
Is the Vendor a NYS or Foreign Business Enterprise?
were MWBE firms solicited as part of this procurement proces
Is the Vendor a Minority or Woman-Owned Business Enterprise? No
Exemption from the publication requirements of Article 4c of the economic-development law?
Number of bids and proposal received from MWBE firms:
If Yes, basis for exemption:
Address Line1: 784 Troy Schenectady Road
Address Line2:
City: LATHAM
State: NY
Postal Code: 12110
Plus 4:
Province Region:
Country: USA

Procurement Transactions Listing:

<p>2. Vendor Name: Economic Development Growth</p> <p>Transaction Number:</p> <p>Procurement Description: Consultant fees.</p> <p>Amount Expended for Fiscal Year: \$8,626.5</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise?</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: DBA MOHAWK VALLEY EDGE</p> <p>Address Line2: 584 PHOENIX DRIVE</p> <p>City: ROME</p> <p>State: NY</p> <p>Postal Code: 13441</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Consulting Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>3. Vendor Name: McCracken Financial Solutions Corp.</p> <p>Transaction Number: 1724</p> <p>Procurement Description: Continue to provide loan servicing software, hosting services, and system support to manage ESD's and JDA's commercial loan portfolios.</p> <p>Amount Expended for Fiscal Year: \$20,709</p> <p>Amount Expended for Life to Date: \$162,899</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$87,101</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise?</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 8 Suburban Park Drive</p> <p>Address Line2:</p> <p>City: BILLERICA</p> <p>State: MA</p> <p>Postal Code: 01821</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Technology - Software</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 04/05/2004</p> <p>Begin Date: 04/05/2004</p> <p>Renewal Date:</p> <p>End Date: 08/31/2014</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

<p>4. Vendor Name: Toski and Company PC</p> <p>Transaction Number: 7741</p> <p>Procurement Description: Audit the consolidated financial statement of the Empire State Development for the fiscal years 20122015 which includes an audit of JDA</p> <p>Amount Expended for Fiscal Year: \$28,000</p> <p>Amount Expended for Life to Date: \$28,000</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$88,000</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 8</p> <p>Is the Vendor a NYS or Foreign Business Enterprise?</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 300 Essjay Road</p> <p>Address Line2: Suite 115</p> <p>City: WILLIAMSVILLE</p> <p>State: NY</p> <p>Postal Code: 14221</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 12/20/2011</p> <p>Begin Date: 12/20/2011</p> <p>Renewal Date:</p> <p>End Date: 06/30/2015</p> <p>Amount: \$0</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Additional Comments:

NOTE TO "PROCUREMENT TRANSACTIONS" ESD (UDC) and JDA have combined their administrative operations.

JDA operates under the Procurement Guidelines and procedures adopted by ESD. Consequently, JDA procurement information is included in the procurement records of ESD. Dollar amounts related to JDA procurements for FY 12/13, if any, are contained in the ESD procurement reports. The payments made in FY 2013, expenditures for life, and balances reported in the Procurement Transactions Tab of JDAs FY 2013 Procurement Report are a subset of the information reported in the corresponding categories in the Procurement Transactions Tab of ESDs FY 2013 Procurement Report. NOTE TO "PROCUREMENT INFORMATION QUESTION #16" ESD (UDC) and JDA have combined their administrative operations. JDA operates under the WMBE goals, policies and procedures adopted by ESD. JDA WMBE goals and procurement percentages are included in the ESD report.