

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	www.nifa.state.ny.us
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	
10. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a statement on its prompt payment policy?	Yes	Attachment Included
11. As required by Section 2880 of the Public Authorities Law, has the Authority prepared a report on the scope and implementation of its prompt payment policy?	Yes	Attachment Included
12. Is the Authority subject to Article 15-A of the Executive Law?	Yes	
13. Has the Authority designated a person or persons to oversee its MWBE program?	Yes	
14. Does the Authority maintain a current and updated list of qualified certified MWBEs?	No	
15. Does the Authority provide notices to professional and other organizations that serve MWBEs as to the types of services procured by the Authority?	Yes	
16. Did the Authority establish a goal for participation by	Yes	

Procurement-Information:

Question	Response	URL (if applicable)
a. If yes, what was the goal (percentage of total procurements) for the reporting period?	30%	
b. What was the actual percentage of total procurements awarded to MWBEs for the reporting period?	23.	

Procurement Transactions Listing:

1. Vendor Name:	Albrecht, Viggiano, Zureck & Company	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Accounting Services. Recommended to Authority	Award Date:	04/16/2008
Amount Expended for Fiscal Year:	\$34,446.25	Begin Date:	05/01/2008
Amount Expended for Life to Date:	\$317,713.51	Renewal Date:	01/01/2012
Does the contract have an end Date:	Yes	End Date:	12/31/2016
Current or Outstanding Balance:	\$0	Amount:	\$200,000
Number Of Bids Or Proposals Received Prior to Award of Contract:		Fair Market value:	\$200,000
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Explain why the fair market value is less than the contract amount:	
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Status:	OPEN
Exemption from the publication requirements of Article 4c of the economic-development law?		were MWBE firms solicited as part of this procurement proces	No
If Yes, basis for exemption:		Number of bids and proposal received from MWBE firms:	
Address Line1:	25 Suffolk Court		
Address Line2:			
City:	HAUPPAUGE		
State:	NY		
Postal Code:	11788		
Plus 4:	3715		
Province Region:			
Country:	USA		

Procurement Transactions Listing:

2. Vendor Name:	BMO Harris Bank	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Liquidity provider for 2008 D1 series bonds	Award Date:	10/23/2015
		Begin Date:	11/04/2015
		Renewal Date:	
Amount Expended for Fiscal Year:	\$32,000	End Date:	11/15/2017
Amount Expended for Life to Date:	\$32,000	Amount:	\$288,017
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	3		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	111 West Monroe Street		
Address Line2:	5E		
City:	CHICAGO		
State:	IL		
Postal Code:	60603		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>3. Vendor Name: BNY Mellon</p> <p>Transaction Number:</p> <p>Procurement Description: Liquidity Facility 2008 D1 Bonds</p> <p>Amount Expended for Fiscal Year: \$233,652.17</p> <p>Amount Expended for Life to Date: \$840,091.18</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$16,021.32</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 3</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 101 Barclay Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10286</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 10/22/2012</p> <p>Begin Date: 11/21/2012</p> <p>Renewal Date:</p> <p>End Date: 11/16/2015</p> <p>Amount: \$856,112.5</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

<p>4. Vendor Name: BNY Mellon</p> <p>Transaction Number:</p> <p>Procurement Description: Trustee Banking Services</p> <p>Amount Expended for Fiscal Year: \$21,600</p> <p>Amount Expended for Life to Date: \$569,000.5</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 101 Barclay Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10286</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 10/13/2000</p> <p>Begin Date: 10/13/2000</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$807,000</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>5. Vendor Name: Blaylock, Beal, Van</p> <p>Transaction Number:</p> <p>Procurement Description: 2008D REMARKETING AGENT</p> <p>Amount Expended for Fiscal Year: \$81,972.55</p> <p>Amount Expended for Life to Date: \$633,899.81</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? Yes</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 110 WALL STREET</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10005</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 04/16/2008</p> <p>Begin Date: 05/16/2008</p> <p>Renewal Date:</p> <p>End Date: 11/30/2015</p> <p>Amount: \$820,000</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

6. Vendor Name:	Bond Schoeneck & King	Type of Procurement:	Legal Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Labor attorney with close proximity to NIFA offices needed.	Award Date:	03/24/2014
		Begin Date:	03/24/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$8,270.2	End Date:	
Amount Expended for Life to Date:	\$120,118.66	Amount:	\$500,000
Does the contract have an end Date:	No	Fair Market value:	\$500,000
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	1010 Franklin Avenue		
Address Line2:			
City:	GARDEN CITY		
State:	NY		
Postal Code:	11530		
Plus 4:	2900		
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>7. Vendor Name: Citigroup</p> <p>Transaction Number:</p> <p>Procurement Description: 2008 C Remarketing Agent</p> <p>Amount Expended for Fiscal Year: \$90,000</p> <p>Amount Expended for Life to Date: \$664,368.79</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 39 Greenwich Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10013</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 04/16/2008</p> <p>Begin Date: 05/16/2008</p> <p>Renewal Date:</p> <p>End Date: 11/15/2019</p> <p>Amount: \$690,000</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>8. Vendor Name: Fitch Investor Services</p> <p>Transaction Number:</p> <p>Procurement Description: Bond Rating Services</p> <p>Amount Expended for Fiscal Year: \$5,000</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: One State Street Plaza</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10004</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>9. Vendor Name: GOLDMAN, SACHS & COMPANY</p> <p>Transaction Number:</p> <p>Procurement Description: 2008AB & 2008E REMARKETING AGENT</p> <p>Amount Expended for Fiscal Year: \$151,398.08</p> <p>Amount Expended for Life to Date: \$1,353,292.88</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 85 BROAD STREET</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10004</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 04/16/2008</p> <p>Begin Date: 05/16/2008</p> <p>Renewal Date:</p> <p>End Date: 12/01/2015</p> <p>Amount: \$2,552,000</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

10. Vendor Name:	Goldman, Sachs & Co	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Swap Counterparty 2004 B,C,D Bonds	Award Date:	03/25/2004
		Begin Date:	04/02/2004
		Renewal Date:	
Amount Expended for Fiscal Year:	\$5,818,787.5	End Date:	11/15/2024
Amount Expended for Life to Date:	\$72,228,073.21	Amount:	\$225,000,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	85 Broad Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10005		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

11. Vendor Name:	Goldman, Sachs & Co	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Swap Counterparty 2004 I Bonds	Award Date:	11/08/2004
		Begin Date:	12/09/2004
		Renewal Date:	
Amount Expended for Fiscal Year:	\$1,716,000	End Date:	11/15/2025
Amount Expended for Life to Date:	\$16,795,731.97	Amount:	\$50,000,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:		Status:	OPEN
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	were MWBE firms solicited as part of this procurement proces	No
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	
Exemption from the publication requirements of Article 4c of the economic-development law?			
If Yes, basis for exemption:			
Address Line1:	85 Broad Street		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10006		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

12. Vendor Name:	Hawkins, Delafield & Wood LLP	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Bond Counsel	Award Date:	03/14/2013
		Begin Date:	03/14/2013
		Renewal Date:	
Amount Expended for Fiscal Year:	\$0	End Date:	
Amount Expended for Life to Date:	\$24,902	Amount:	\$24,902
Does the contract have an end Date:	No	Fair Market value:	\$24,902
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:		Status:	OPEN
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	were MWBE firms solicited as part of this procurement proces	No
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	Number of bids and proposal received from MWBE firms:	
Exemption from the publication requirements of Article 4c of the economic-development law?			
If Yes, basis for exemption:			
Address Line1:	One Chase Manhattan Plaza		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10005		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

13. Vendor Name:	J P Morgan	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Liquidity Facility 2008 C, 2008 D2 and 2008E Bonds	Award Date:	03/31/2009
		Begin Date:	04/21/2009
		Renewal Date:	
Amount Expended for Fiscal Year:	\$700,096.34	End Date:	04/29/2016
Amount Expended for Life to Date:	\$8,437,596.3	Amount:	\$8,631,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	270 Park Avenue		
Address Line2:	20th floor		
City:	NEW YORK		
State:	NY		
Postal Code:	10017		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>14. Vendor Name: LAMONT FINANCIAL SERVICES</p> <p>Transaction Number:</p> <p>Procurement Description: Financial Advisor</p> <p>Amount Expended for Fiscal Year: \$99,968.75</p> <p>Amount Expended for Life to Date: \$345,743</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 1</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? Foreign</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 30 Two Bridges Road, Suite 205</p> <p>Address Line2:</p> <p>City: FAIRFIELD</p> <p>State: NJ</p> <p>Postal Code: 07004</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 05/03/2004</p> <p>Begin Date: 05/03/2004</p> <p>Renewal Date: 06/05/2013</p> <p>End Date:</p> <p>Amount: \$100,000</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

15. Vendor Name:	McGladrey LLC	Type of Procurement:	Other Professional Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Outside independent auditors	Award Date:	01/23/2015
		Begin Date:	01/01/2015
		Renewal Date:	12/31/2017
Amount Expended for Fiscal Year:	\$44,510	End Date:	12/31/2019
Amount Expended for Life to Date:	\$44,510	Amount:	\$165,250
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	5		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	1185 Avenue of the Americas		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10036		
Plus 4:	2602		
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>16. Vendor Name: Moody's Investor Services</p> <p>Transaction Number:</p> <p>Procurement Description: Bond Monitoring Services</p> <p>Amount Expended for Fiscal Year: \$9,000</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 99 Church Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10007</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

17. Vendor Name:	Morgan Stanley	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Swap Counterparty 2004 K Bonds	Award Date:	11/08/2004
		Begin Date:	12/04/2004
		Renewal Date:	
Amount Expended for Fiscal Year:	\$1,716,000	End Date:	11/15/2025
Amount Expended for Life to Date:	\$16,795,731.91	Amount:	\$50,000,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	1221 Avenue of the Americas		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10022		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>18. Vendor Name: NYS Employees Health Ins</p> <p>Transaction Number:</p> <p>Procurement Description: Health Insurance</p> <p>Amount Expended for Fiscal Year: \$129,617.81</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: State of New York</p> <p>Address Line2: Department of Civil Service P O BOX 1369</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10116</p> <p>Plus 4: 1369</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>19. Vendor Name: NYS Retirement</p> <p>Transaction Number:</p> <p>Procurement Description: Retirement plan</p> <p>Amount Expended for Fiscal Year: \$119,155</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: Governor Smith State Office Building</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12244</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>20. Vendor Name: Old Country Road Realty LP</p> <p>Transaction Number:</p> <p>Procurement Description: Lease for NIFA Offices</p> <p>Amount Expended for Fiscal Year: \$113,368.26</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 600 Old Country Road</p> <p>Address Line2:</p> <p>City: GARDEN CITY</p> <p>State: NY</p> <p>Postal Code: 11530</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

21. Vendor Name:	Public Financial Management	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Swap Report and GASB 53 analysis	Award Date:	12/08/2011
		Begin Date:	01/01/2012
		Renewal Date:	
Amount Expended for Fiscal Year:	\$10,881.85	End Date:	12/31/2016
Amount Expended for Life to Date:	\$45,256.85	Amount:	\$62,500
Does the contract have an end Date:	Yes	Fair Market value:	\$62,500
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	4		
Is the Vendor a NYS or Foreign Business Enterprise?	Foreign	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement process	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?	No	Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	Two Logan Square		
Address Line2:	18th and Arch Street Suite 1600		
City:	PHILADELPHIA		
State:	PA		
Postal Code:	19103		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>22. Vendor Name: SIDLEY AUSTIN</p> <p>Transaction Number:</p> <p>Procurement Description: 2015 A and 2008 B and 2008 D1 reoffering counsel</p> <p>Amount Expended for Fiscal Year: \$125,894.99</p> <p>Amount Expended for Life to Date: \$125,894.99</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 787 7TH AVENUE 21ST FLOOR</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10019</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 09/22/2015</p> <p>Begin Date: 09/23/2015</p> <p>Renewal Date:</p> <p>End Date: 11/04/2015</p> <p>Amount: \$125,894.99</p> <p>Fair Market value: \$125,894.99</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: COMPLETED</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>23. Vendor Name: Skadden Arps Slate Meager & Flom LLP</p> <p>Transaction Number:</p> <p>Procurement Description: Litigation and general advice on retainer</p> <p>Amount Expended for Fiscal Year: \$59,484</p> <p>Amount Expended for Life to Date: \$2,513,208</p> <p>Does the contract have an end Date: No</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 3</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 4 Times Square</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10036</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 09/28/2010</p> <p>Begin Date: 09/28/2010</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount: \$2,513,208</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces Yes</p> <p>Number of bids and proposal received from MWBE firms: 0</p>
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Procurement Transactions Listing:

<p>24. Vendor Name: Standard & Poor's</p> <p>Transaction Number:</p> <p>Procurement Description: Bond Monitoring Services</p> <p>Amount Expended for Fiscal Year: \$72,500</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 55 Water Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10041</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Other Professional Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>25. Vendor Name: Staples</p> <p>Transaction Number:</p> <p>Procurement Description: Office Furniture and Supplies</p> <p>Amount Expended for Fiscal Year: \$505.16</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 500 Staples Drive</p> <p>Address Line2:</p> <p>City: FRAMINGHAM</p> <p>State: MA</p> <p>Postal Code: 01702</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Commodities/Supplies</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

<p>26. Vendor Name: Sumitomo Mitsui Banking Corporation</p> <p>Transaction Number:</p> <p>Procurement Description: Liquidity Facility 2008 B Bonds</p> <p>Amount Expended for Fiscal Year: \$653,392.45</p> <p>Amount Expended for Life to Date: \$2,793,195.86</p> <p>Does the contract have an end Date: Yes</p> <p>Current or Outstanding Balance: \$0</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract: 4</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: 277 Park Avenue</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10172</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Financial Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 03/31/2012</p> <p>Begin Date: 04/23/2012</p> <p>Renewal Date:</p> <p>End Date: 11/15/2021</p> <p>Amount: \$6,593,000</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces No</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Procurement Transactions Listing:

27. Vendor Name:	TD Bank N.A.	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Competitive Bid
Procurement Description:	Liquidity Facility provider for 2008 A	Award Date:	04/04/2014
		Begin Date:	05/01/2014
		Renewal Date:	
Amount Expended for Fiscal Year:	\$355,034.24	End Date:	05/07/2019
Amount Expended for Life to Date:	\$586,536.02	Amount:	\$1,475,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:	4		
Is the Vendor a NYS or Foreign Business Enterprise?		Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	Yes
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	0
If Yes, basis for exemption:			
Address Line1:	324 South Service Road		
Address Line2:	4th Floor		
City:	MELVILLE		
State:	NY		
Postal Code:	11747		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

28. Vendor Name:	UBS	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Swap Counterparty 2004 E,F,G Bonds	Award Date:	03/25/2004
		Begin Date:	04/02/2002
		Renewal Date:	
Amount Expended for Fiscal Year:	\$2,907,350.88	End Date:	11/15/2024
Amount Expended for Life to Date:	\$69,209,049.2	Amount:	\$225,000,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	1285 Avenue of the Americas		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10019		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

29. Vendor Name:	UBS	Type of Procurement:	Financial Services
Transaction Number:		Award Process:	Authority Contract - Non-Competitive Bid
Procurement Description:	Swap Counterparty 2004J Bonds	Award Date:	11/08/2004
		Begin Date:	12/04/2004
		Renewal Date:	
Amount Expended for Fiscal Year:	\$1,716,000	End Date:	11/15/2025
Amount Expended for Life to Date:	\$16,795,702.44	Amount:	\$50,000,000
Does the contract have an end Date:	Yes	Fair Market value:	
Current or Outstanding Balance:	\$0	Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	No
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	1285 Avenue of the Americas		
Address Line2:			
City:	NEW YORK		
State:	NY		
Postal Code:	10019		
Plus 4:			
Province Region:			
Country:	USA		

Procurement Transactions Listing:

30. Vendor Name:	United States Life Insurance & Co	Type of Procurement:	Other
Transaction Number:		Award Process:	Non Contract Procurement/Purchase Order
Procurement Description:	Long Term Disability	Award Date:	
		Begin Date:	
		Renewal Date:	
Amount Expended for Fiscal Year:	\$13,433.55	End Date:	
Amount Expended for Life to Date:		Amount:	
Does the contract have an end Date:		Fair Market value:	
Current or Outstanding Balance:		Explain why the fair market value is less than the contract amount:	
Number Of Bids Or Proposals Received Prior to Award of Contract:			
Is the Vendor a NYS or Foreign Business Enterprise?	NYS	Status:	OPEN
Is the Vendor a Minority or Woman-Owned Business Enterprise?	No	were MWBE firms solicited as part of this procurement proces	
Exemption from the publication requirements of Article 4c of the economic-development law?		Number of bids and proposal received from MWBE firms:	
If Yes, basis for exemption:			
Address Line1:	P O Box 62104		
Address Line2:			
City:	BALTIMORE		
State:	MD		
Postal Code:	21264		
Plus 4:	2104		
Province Region:			
Country:	USA		

Procurement Transactions Listing:

<p>31. Vendor Name: Verizon</p> <p>Transaction Number:</p> <p>Procurement Description: Phone Services</p> <p>Amount Expended for Fiscal Year: \$4,777.16</p> <p>Amount Expended for Life to Date:</p> <p>Does the contract have an end Date:</p> <p>Current or Outstanding Balance:</p> <p>Number Of Bids Or Proposals Received Prior to Award of Contract:</p> <p>Is the Vendor a NYS or Foreign Business Enterprise? NYS</p> <p>Is the Vendor a Minority or Woman-Owned Business Enterprise? No</p> <p>Exemption from the publication requirements of Article 4c of the economic-development law?</p> <p>If Yes, basis for exemption:</p> <p>Address Line1: P O Box 15124</p> <p>Address Line2:</p> <p>City: ALBANY</p> <p>State: NY</p> <p>Postal Code: 12212</p> <p>Plus 4: 5124</p> <p>Province Region:</p> <p>Country: USA</p>	<p>Type of Procurement: Telecommunication Equipment or Services</p> <p>Award Process: Purchased Under State Contract</p> <p>Award Date:</p> <p>Begin Date:</p> <p>Renewal Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Fair Market value:</p> <p>Explain why the fair market value is less than the contract amount:</p> <p>Status: OPEN</p> <p>were MWBE firms solicited as part of this procurement proces</p> <p>Number of bids and proposal received from MWBE firms:</p>
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Additional Comments: