

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	<a href="http://www.ecidany.com/about-us-corporate-reports">http://www.ecidany.com/about-us-corporate-reports</a>
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	<a href="http://www.ecidany.com/about-us-corporate-reports">http://www.ecidany.com/about-us-corporate-reports</a>
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	Yes	N/A
5. Does the Authority have an organization chart?	Yes	<a href="http://www.ecidany.com/documents/ECIDA_Organizational_Chart_2013_revised.pdf">http://www.ecidany.com/documents/ECIDA_Organizational_Chart_2013_revised.pdf</a>
6. Are any Authority staff also employed by another government agency?	Yes	Erie County Industrial Development Agency
7. Has the Authority posted their mission statement to their website?	Yes	<a href="http://www.ecidany.com/our-mission">http://www.ecidany.com/our-mission</a>
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		<a href="http://www.ecidany.com/documents/ecida_group_reports/Results_2012_Performance_Measures.pdf">http://www.ecidany.com/documents/ecida_group_reports/Results_2012_Performance_Measures.pdf</a>

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://www.ecidany.com/about-us-board-committees">http://www.ecidany.com/about-us-board-committees</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://www.ecidany.com/about-us-board-meeting-minutes">http://www.ecidany.com/about-us-board-meeting-minutes</a> <a href="http://www.ecidany.com/about-us-board-commit">http://www.ecidany.com/about-us-board-commit</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://www.ecidany.com/about-us-corporate-policies">http://www.ecidany.com/about-us-corporate-policies</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://www.ecidany.com/about-us-corporate-policies">http://www.ecidany.com/about-us-corporate-policies</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	<a href="http://www.ecidany.com/about-us-corporate-policies">http://www.ecidany.com/about-us-corporate-policies</a>

**Board of Directors Listing**

Name	Grant, Betty Jean	Name	Tobe, Richard
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	02/13/2012	Term Start Date	02/13/2012
Term Expiration Date	Ex-Officio	Term Expiration Date	Pleasure of Authority
Title	Chair, Erie County Legislature	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Ex-Officio
Appointed By	Ex-Officio	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	Ackerman, Philip C	Name	Brown, Byron W
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	03/10/2008	Term Start Date	01/01/2006
Term Expiration Date	02/13/2012	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Ex-Officio
Appointed By	Ex-Officio	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	Hoffert , Michael	Name	Corwin, Philip
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/09/2009	Term Start Date	03/10/2008
Term Expiration Date	Ex-Officio	Term Expiration Date	02/13/2012
Title	President, AFL-CIO Council	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Ex-Officio
Appointed By	Ex-Officio	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Poloncarz, Mark	Name	Loughran, Thomas
Chair of Board	Yes	Chair of Board	No
If yes, Chair designated By.	Elected by Board	If yes, Chair designated By.	
Term Start Date	01/09/2012	Term Start Date	01/09/2012
Term Expiration Date	Pleasure of Authority	Term Expiration Date	Ex-Officio
Title		Title	Chair, Economic Development Committee
Has the Board member appointed a designee?		Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	Yes
Nominated By	Ex-Officio	Nominated By	Ex-Officio
Appointed By	Ex-Officio	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	Miller-Williams, Barbara	Name	Whyte, Maria
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	02/08/2010	Term Start Date	02/13/2012
Term Expiration Date	01/09/2012	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Ex-Officio
Appointed By	Ex-Officio	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Boudreau, Dawn	Compliance Officer	Professional				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Cappellino, John	Vice President - Business Development	Executive				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Carpenter, Theresa	Controller	Professional				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Culliton, Alfred	Chief Operating Officer/Chief Lending Officer	Executive				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Manhard, Jerry	Loan Manager	Professional				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
O'Keefe, Beth	Business Development Officer	Professional				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Schoeplich, Andrew	Chief Financial Officer	Executive				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Smith, Patricia	Bookkeeper	Administrative and Clerical				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes

**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Loughran, Thomas	Board of Directors												X	
Miller-Williams, Barbara	Board of Directors												X	
Ackerman, Philip C	Board of Directors												X	
Corwin, Philip	Board of Directors												X	
Brown, Byron W	Board of Directors												X	
Grant, Betty Jean	Board of Directors												X	
Hoffert, Michael	Board of Directors												X	
Poloncarz, Mark	Board of Directors												X	
Tobe, Richard	Board of Directors												X	
Whyte, Maria	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes  
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
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**Subsidiary/Component Unit Creation**

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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**Subsidiary/Component Unit Termination**

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$65,742
Investments	\$0
Receivables, net	\$66,839
Other assets	\$0
<b>Total Current Assets</b>	<b>\$132,581</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$67,183
Long-term receivables, net	\$89,738
Other assets	\$0
<b>Capital Assets</b>	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
<b>Total Noncurrent Assets</b>	<b>\$156,921</b>
<b>Total Assets</b>	<b>\$289,502</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$61
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$2,281
<b>Total Current Liabilities</b>	<b>\$2,342</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
<b>Total Noncurrent Liabilities</b>	<b>\$0</b>

**Total Liabilities** **\$2,342**

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$0
Restricted	\$200,737
Unrestricted	\$86,423
<b>Total Net Assets</b>	<b>\$287,160</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSOperating Revenues

Charges for services	\$105,280
Rental & financing income	\$5,801
Other operating revenues	\$0
<b>Total Operating Revenue</b>	<b>\$111,081</b>

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$1,827
Supplies and materials	\$0
Depreciation & amortization	\$0
Other operating expenses	\$110,134
<b>Total Operating Expenses</b>	<b>\$111,961</b>

Operating Income (Loss) **(\$880)**

Nonoperating Revenues

Investment earnings	\$10
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
<b>Total Nonoperating Revenue</b>	<b>\$10</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
<b>Total Nonoperating Expenses</b>	<b>\$0</b>
Income (Loss) Before Contributions	(\$870)
Capital Contributions	\$0
Change in net assets	(\$870)
Net assets (deficit) beginning of year	\$288,030
Other net assets changes	\$0
Net assets (deficit) at end of year	\$287,160

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

**New Debt Issuances List by Type of Debt and Program**

Type Of Debt: Conduit Debt

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Cantalic ian Center for Learning - Series B	Refunding	0.00	02/13/2012		Competitive	1.98	Variable	6	210,500.00		
	New	1,000,000.00									
	Total	1,000,000.00									
Cantalic ian Center for Learning -Series A	Refunding	0.00	02/13/2012		Competitive	2.04	Variable	21	210,500.00		
	New	9,525,000.00									
	Total	9,525,000.00									

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation					
Revenue					
Other Non-State Funded					
<b>Conduit</b>					
Conduit Debt	0.00	115,302,449.00	10,525,000.00	1,994,285.00	123,833,164.00
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://www.ecidany.com/documents/ecida_group_reports/2012_ECIDA_Public_Authorities_Report.pdf">http://www.ecidany.com/documents/ecida_group_reports/2012_ECIDA_Public_Authorities_Report.pdf</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://www.ecidany.com/about-us-corporate-policies">http://www.ecidany.com/about-us-corporate-policies</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Loan Information

1. Source of Loan Funds: Federal  
Name of Loan Recipient: Amy Lynn's Dance Studio  
Address Line1: 4168 North Buffalo Road  
Address Line2:  
City: ORCHARD PARK  
State: NY  
Zip - Plus4: 14127  
Province/Region:  
Country: USA  
Original Amount of Loan: \$13,000  
Date Loan Awarded: 12/13/2012  
Interest Rate(%): 2  
Length of Loan(# of years  
to repay): 5  
Amount of Loan Principal Repaid  
to Date: \$0.00  
Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
in new jobs being created? Yes  
If yes, how many jobs were planned  
to be created? 1  
If yes, how many jobs have been  
created to date? 0  
Have the terms of the loan been  
completed? No

2. Source of Loan Funds: Federal  
Name of Loan Recipient: Bill Weber's Greenhouse & Farm Market  
Address Line1: 290 French Road  
Address Line2:  
City: WEST SENECA  
State: NY  
Zip - Plus4: 14224  
Province/Region:  
Country: USA  
Original Amount of Loan: \$35,000  
Date Loan Awarded: 12/09/2010  
Interest Rate(%): 2  
Length of Loan(# of years  
to repay): 5  
Amount of Loan Principal Repaid  
to Date: \$13,547.80  
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
in new jobs being created? Yes  
If yes, how many jobs were planned  
to be created? 3  
If yes, how many jobs have been  
created to date? 0  
Have the terms of the loan been  
completed? No

Loan Information

3. Source of Loan Funds: Municipal  
 Name of Loan Recipient: Care-A-Lot Childcare Center  
 Address Line1: 7821 Erie Road  
 Address Line2: PO Box 404  
 City: DERBY  
 State: NY  
 Zip - Plus4: 14047  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$53,111.13  
 Date Loan Awarded: 03/29/1995  
 Interest Rate(%): 6  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$45,702.77  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

4. Source of Loan Funds: Federal  
 Name of Loan Recipient: Cleaning Specialties of WNY, Inc.  
 Address Line1: 1450 Niagara Falls Blvd.  
 Address Line2:  
 City: TONAWANDA  
 State: NY  
 Zip - Plus4: 14150  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$9,686.62  
 Date Loan Awarded: 08/04/2011  
 Interest Rate(%): 8  
 Length of Loan(# of years  
 to repay): 1  
 Amount of Loan Principal Repaid  
 to Date: \$9,686.62  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 15  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? Yes

Loan Information

5. Source of Loan Funds: Municipal  
 Name of Loan Recipient: Creeps-Inc.  
 Address Line1: 5823 Transit Road  
 Address Line2:  
 City: DEPEW  
 State: NY  
 Zip - Plus4: 14043  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$20,000  
 Date Loan Awarded: 09/25/2007  
 Interest Rate(%): 6.88  
 Length of Loan(# of years  
 to repay): 4  
 Amount of Loan Principal Repaid  
 to Date: \$20,000.00  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? Yes

6. Source of Loan Funds: Federal  
 Name of Loan Recipient: Daniel D. Bolt Logging & Firewood  
 Address Line1: 13249 Genesee Street  
 Address Line2:  
 City: CHAFFEE  
 State: NY  
 Zip - Plus4: 14030  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$35,000  
 Date Loan Awarded: 11/02/2010  
 Interest Rate(%): 2  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$14,124.13  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

7. Source of Loan Funds: Municipal  
 Name of Loan Recipient: East Amherst Research Partners  
 Address Line1: 6471 Transit Road  
 Address Line2:  
 City: EAST AMHERST  
 State: NY  
 Zip - Plus4: 14051  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$50,000  
 Date Loan Awarded: 12/19/2007  
 Interest Rate(%): 3.75  
 Length of Loan(# of years  
 to repay): 3  
 Amount of Loan Principal Repaid  
 to Date: \$43,792.68  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

8. Source of Loan Funds: Federal  
 Name of Loan Recipient: Father & Son Card Galaxy  
 Address Line1: 8404 North Main Street  
 Address Line2:  
 City: EDEN  
 State: NY  
 Zip - Plus4: 14057  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$26,320  
 Date Loan Awarded: 07/26/2012  
 Interest Rate(%): 4  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$1,663.15  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 2  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

Loan Information

9. Source of Loan Funds: Federal  
 Name of Loan Recipient: Gabel's Maple Syrup  
 Address Line1: 5095 Kaiser Road  
 Address Line2:  
 City: LAWTONS  
 State: NY  
 Zip - Plus4: 14091  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$35,000  
 Date Loan Awarded: 02/24/2010  
 Interest Rate(%): 2  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$19,411.24  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

10. Source of Loan Funds: Federal  
 Name of Loan Recipient: LMJ Cornucopia, LLC  
 Address Line1: 470 Buffalo Road  
 Address Line2:  
 City: EAST AURORA  
 State: NY  
 Zip - Plus4: 14052  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$35,000  
 Date Loan Awarded: 02/08/2008  
 Interest Rate(%): 6.88  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$30,445.20  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

11. Source of Loan Funds: Federal  
 Name of Loan Recipient: Mini Max Concrete Corp.  
 Address Line1: 2394 Bedell Road  
 Address Line2:  
 City: GRAND ISLAND  
 State: NY  
 Zip - Plus4: 14072  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$35,000  
 Date Loan Awarded: 01/21/2010  
 Interest Rate(%): 2  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$19,990.54  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

12. Source of Loan Funds: Federal  
 Name of Loan Recipient: Newstead Homes & Improvements, Inc.  
 Address Line1: 214 East Avenue  
 Address Line2:  
 City: AKRON  
 State: NY  
 Zip - Plus4: 14001  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$32,000  
 Date Loan Awarded: 05/11/2011  
 Interest Rate(%): 2  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$9,227.07  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 2  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

Loan Information

13. Source of Loan Funds: Federal  
 Name of Loan Recipient: People's Mart  
 Address Line1: 74 Lincoln Avenue  
 Address Line2:  
 City: LACKAWANNA  
 State: NY  
 Zip - Plus4: 14218  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$35,000  
 Date Loan Awarded: 11/05/2010  
 Interest Rate(%): 2  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$5,529.11  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 4  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

14. Source of Loan Funds: Federal  
 Name of Loan Recipient: Village Kids Consignment Shop  
 Address Line1: 278 Buffalo Street  
 Address Line2:  
 City: HAMBURG  
 State: NY  
 Zip - Plus4: 14075  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$30,000  
 Date Loan Awarded: 10/01/2008  
 Interest Rate(%): 6.88  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$13,037.35  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Bond Information**

1. Name of Recipient of Bond  
 Proceeds: 134 High Street, LLC  
 Address Line1: 134 High Street  
 Address Line2:  
 City: BUFFALO  
 State: NY  
 Zip - Plus4: 14203  
 Province/Region:  
 Country: USA  
 Amount of Bonds Issued: \$24,050,000.00  
 Date Bonds Issued: 12/22/2010  
 Bond Interest Rate: 4  
 Last Year Bonds Expected to be Retired: 2022  
 Amount of Bond Principal retired during the reporting year: \$91,182  
 Amt of Bond Principal retired prior to reporting year: \$0  
 Current Amount of Bonds Outstanding: \$23,958,818  
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement  
 Was the bond issuance expected to result in new jobs being created? Yes  
 If yes, how many jobs were planned to be created? 4  
 If yes, how many jobs have been created to date? 0  
 Have the bonds been fully retired? No

2. Name of Recipient of Bond  
 Proceeds: Buffalo State College Foundation Housing Cc  
 Address Line1: 1300 Elmwood Avenue  
 Address Line2: Suite 505  
 City: BUFFALO  
 State: NY  
 Zip - Plus4: 14222  
 Province/Region:  
 Country: USA  
 Amount of Bonds Issued: \$44,285,000.00  
 Date Bonds Issued: 06/16/2011  
 Bond Interest Rate: 3  
 Last Year Bonds Expected to be Retired: 2041  
 Amount of Bond Principal retired during the reporting year: \$670,000  
 Amt of Bond Principal retired prior to reporting year: \$0  
 Current Amount of Bonds Outstanding: \$43,615,000  
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement  
 Was the bond issuance expected to result in new jobs being created? No  
 If yes, how many jobs were planned to be created?  
 If yes, how many jobs have been created to date?  
 Have the bonds been fully retired? No

**Bond Information**

3. Name of Recipient of Bond  
 Proceeds: Canisius College of Buffalo, New York  
 Address Line1: 2001 Main Street  
 Address Line2:  
 City: BUFFALO  
 State: NY  
 Zip - Plus4: 14208  
 Province/Region:  
 Country: USA  
 Amount of Bonds Issued: \$16,000,000.00  
 Date Bonds Issued: 12/29/2010  
 Bond Interest Rate: 4  
 Last Year Bonds Expected to be Retired: 2040  
 Amount of Bond Principal retired during the reporting year: \$0  
 Amt of Bond Principal retired prior to reporting year: \$0  
 Current Amount of Bonds Outstanding: \$16,000,000  
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement  
 Was the bond issuance expected to result in new jobs being created? No  
 If yes, how many jobs were planned to be created?  
 If yes, how many jobs have been created to date?  
 Have the bonds been fully retired? No

4. Name of Recipient of Bond  
 Proceeds: Cantalician Center for Learning - Series A  
 Address Line1: 3233 Main Street  
 Address Line2:  
 City: BUFFALO  
 State: NY  
 Zip - Plus4: 14214  
 Province/Region:  
 Country: USA  
 Amount of Bonds Issued: \$9,525,000.00  
 Date Bonds Issued: 02/13/2012  
 Bond Interest Rate: 4  
 Last Year Bonds Expected to be Retired: 2033  
 Amount of Bond Principal retired during the reporting year: \$0  
 Amt of Bond Principal retired prior to reporting year:  
 Current Amount of Bonds Outstanding: \$9,525,000  
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement  
 Was the bond issuance expected to result in new jobs being created? No  
 If yes, how many jobs were planned to be created?  
 If yes, how many jobs have been created to date?  
 Have the bonds been fully retired? No

**Bond Information**

5. Name of Recipient of Bond  
 Proceeds: Cantalician Center for Learning - Series B  
 Address Line1: 3233 Main Street  
 Address Line2:  
 City: BUFFALO  
 State: NY  
 Zip - Plus4: 14214  
 Province/Region:  
 Country: USA  
 Amount of Bonds Issued: \$1,000,000.00  
 Date Bonds Issued: 02/13/2012  
 Bond Interest Rate: 4  
 Last Year Bonds Expected to be Retired: 2018  
 Amount of Bond Principal retired during the reporting year: \$0  
 Amt of Bond Principal retired prior to reporting year:  
 Current Amount of Bonds Outstanding: \$1,000,000  
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement  
 Was the bond issuance expected to result in new jobs being created? No  
 If yes, how many jobs were planned to be created?  
 If yes, how many jobs have been created to date?  
 Have the bonds been fully retired? No

6. Name of Recipient of Bond  
 Proceeds: Enterprise Charter School  
 Address Line1: 275 Oak Street  
 Address Line2:  
 City: BUFFALO  
 State: NY  
 Zip - Plus4: 14203  
 Province/Region:  
 Country: USA  
 Amount of Bonds Issued: \$7,345,000.00  
 Date Bonds Issued: 02/17/2011  
 Bond Interest Rate: 7  
 Last Year Bonds Expected to be Retired: 2040  
 Amount of Bond Principal retired during the reporting year: \$70,000  
 Amt of Bond Principal retired prior to reporting year: \$70,000  
 Current Amount of Bonds Outstanding: \$7,205,000  
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement  
 Was the bond issuance expected to result in new jobs being created? No  
 If yes, how many jobs were planned to be created?  
 If yes, how many jobs have been created to date?  
 Have the bonds been fully retired? No

**Bond Information**

7. Name of Recipient of Bond  
 Proceeds: Iskalo Development/2780 Delaware  
 Address Line1: 2780 Delaware Avenue  
 Address Line2:  
 City: KENMORE  
 State: NY  
 Zip - Plus4: 14217  
 Province/Region:  
 Country: USA  
 Amount of Bonds Issued: \$6,000,000.00  
 Date Bonds Issued: 11/09/2010  
 Bond Interest Rate: 3.83  
 Last Year Bonds Expected to be Retired: 2030  
 Amount of Bond Principal retired during the reporting year: \$145,966.86  
 Amt of Bond Principal retired prior to reporting year: \$11,952  
 Current Amount of Bonds Outstanding: \$5,842,081.14  
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement  
 Was the bond issuance expected to result in new jobs being created? Yes  
 If yes, how many jobs were planned to be created? 28  
 If yes, how many jobs have been created to date? 0  
 Have the bonds been fully retired? No

8. Name of Recipient of Bond  
 Proceeds: Medaille College  
 Address Line1: 18 Agassiz Circle  
 Address Line2:  
 City: BUFFALO  
 State: NY  
 Zip - Plus4: 14214  
 Province/Region:  
 Country: USA  
 Amount of Bonds Issued: \$12,000,000.00  
 Date Bonds Issued: 11/02/2010  
 Bond Interest Rate: 4.5  
 Last Year Bonds Expected to be Retired: 2020  
 Amount of Bond Principal retired during the reporting year: \$889,636  
 Amt of Bond Principal retired prior to reporting year: \$295,599  
 Current Amount of Bonds Outstanding: \$10,814,765  
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement  
 Was the bond issuance expected to result in new jobs being created? No  
 If yes, how many jobs were planned to be created?  
 If yes, how many jobs have been created to date?  
 Have the bonds been fully retired? No

**Bond Information**

9. Name of Recipient of Bond  
Proceeds: Seneca Larkin 701, LLC  
Address Line1: 701 Seneca Street, Ste. 200  
Address Line2:  
City: BUFFALO  
State: NY  
Zip - Plus4: 14210  
Province/Region:  
Country: USA  
Amount of Bonds Issued: \$6,000,000.00  
Date Bonds Issued: 12/29/2010  
Bond Interest Rate: 4  
Last Year Bonds Expected to be Retired: 2032  
Amount of Bond Principal retired during the reporting year: \$127,500.03  
Amt of Bond Principal retired prior to reporting year: \$0  
Current Amount of Bonds Outstanding: \$5,872,499.97  
Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement  
Was the bond issuance expected to result in new jobs being created? No  
If yes, how many jobs were planned to be created?  
If yes, how many jobs have been created to date?  
Have the bonds been fully retired? No

Additional Comments: