

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	No	
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.fultoncda.com
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	www.fultoncda.com
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	www.fultoncda.com
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.fultoncda.com

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.fultoncda.com
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.fultoncda.com
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.fultoncda.com
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.fultoncda.com
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	No	N/A
14. Was a performance evaluation of the board completed?	No	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	www.fultoncda.com

Board of Directors Listing

Name	Macner, Lawrence	Name	Franco, Peter
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2012	Term Start Date	01/01/2010
Term Expiration Date	12/31/2013	Term Expiration Date	12/31/2013
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Woodward, Ronald L	Name	Knoop, Daniel
Chair of the Board	Yes	Chair of the Board	No
If yes, Chair Designated by.	By Virtue of Position	If yes, Chair Designated by.	
Term Start Date	01/01/2012	Term Start Date	01/01/2010
Term Expiration Date	12/31/2013	Term Expiration Date	12/31/2013
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Cavallero, Carmella	Name	Foster, Norman
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2012	Term Start Date	01/01/2010
Term Expiration Date	12/31/2013	Term Expiration Date	12/31/2013
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

<u>Board of Directors Listing</u>	
Name	Emrich, Alan
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	01/01/2010
Term Expiration Date	12/31/2013
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Abbott, Thomas	HVAC Tech	Operational				FT	No	37,128.00	18,073	0	0	0	0	18,073	No	
Acome, Karen	Housing Specialist	Administrative and Clerical				FT	No	34,372.00	34,372	0	0	0	0	34,372	No	
Auser, Sharon	Housing Specialist	Administrative and Clerical				FT	No	41,878.00	16,776	0	0	0	0	16,776	No	
Birckhead, Penny	Housing Supervisor	Managerial				FT	No	50,180.00	50,180	0	0	0	0	50,180	No	
Bresett, Allan	Energy Auditor	Managerial				PT	No	18,969.00	10,205	0	0	0	0	10,205	No	
Britton, Michael	Laborer	Operational				FT	No	25,459.00	10,783	0	0	0	0	10,783	No	
Brooks, Loester	Admin Ass.	Administrative and Clerical				FT	No	33,644.00	34,291	0	0	0	0	34,291	No	
Deyo, Ronald	Energy Auditor	Managerial				FT	No	43,264.00	21,034	0	0	0	0	21,034	No	
Fiumara, Joseph A	Executive Director	Executive				FT	No	72,826.00	74,226	0	0	0	0	74,226	No	
Galvin, Diane	Housing Specialist	Administrative and Clerical				FT	No	40,300.00	41,075	0	0	0	0	41,075	No	
Gould, Zachary	Laborer	Operational				FT	No	23,108.00	9,488	0	0	0	0	9,488	No	
Haskins, William	Laborer	Operational				FT	No	23,337.00	9,324	0	0	0	0	9,324	No	
Huluva-Hughes, Tina	Admin Assist.	Administrative and Clerical				FT	No	26,520.00	10,697	0	0	0	0	10,697	No	
Kennedy, Tammie	Intake Specialist	Administrative and Clerical				FT	No	24,700.00	25,175	0	0	0	0	25,175	No	
Levea, Louis	Laborer	Operational				FT	No	28,870.00	11,756	0	0	0	0	11,756	No	
Luddington, Anne	Accountant	Professional				PT	No	28,406.00	29,775	0	0	571	0	30,346	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Matteson, Christine	Weatherization Supervisor	Managerial				FT	No	53,560.00	28,145	0	0	0	0	28,145	No	
Naramore, Marion	Housing Rehab	Administrative and Clerical				FT	No	30,420.00	31,005	0	0	0	0	31,005	No	
Oney, Jacob	Laborer	Operational				FT	No	28,870.00	12,089	0	0	0	0	12,089	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Knoop, Daniel	Board of Directors												X	
Emrich, Alan	Board of Directors												X	
Franco, Peter	Board of Directors												X	
Cavallero, Carmella	Board of Directors												X	
Foster, Norman	Board of Directors												X	
Macner, Lawrence	Board of Directors												X	
Woodward, Ronald L	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$1,313,230
Investments	\$0
Receivables, net	\$17,657
Other assets	\$0
Total Current Assets	\$1,330,887
Noncurrent Assets	
Restricted cash and investments	\$12,525
Long-term receivables, net	\$2,860,333
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
Total Noncurrent Assets	\$2,872,858
Total Assets	\$4,203,745

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$917
Pension contribution payable	\$0
Other post-employment benefits	\$35,357
Accrued liabilities	\$14,322
Deferred revenues	\$2,860,649
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$2,911,245

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$18,321
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$18,321

Total Liabilities

\$2,929,566

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$1,274,179
Unrestricted	\$0
Total Net Assets	\$1,274,179

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$352,453
Rental & financing income	\$0
Other operating revenues	\$224,300
Total Operating Revenue	\$576,753

Operating Expenses

Salaries and wages	\$439,941
Other employee benefits	\$136,812
Professional services contracts	\$31,236
Supplies and materials	\$5,717
Depreciation & amortization	\$0
Other operating expenses	\$56,600
Total Operating Expenses	\$670,306

Operating Income (Loss) **(\$93,553)**

Nonoperating Revenues

Investment earnings	\$25,288
State subsidies/grants	\$0
Federal subsidies/grants	\$2,590,239
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$159,978
Total Nonoperating Revenue	\$2,775,505

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$2,848,159
Other nonoperating expenses	\$97,661
Total Nonoperating Expenses	\$2,945,820
Income (Loss) Before Contributions	(\$263,868)
Capital Contributions	\$0
Change in net assets	(\$263,868)
Net assets (deficit) beginning of year	\$1,538,047
Other net assets changes	\$0
Net assets (deficit) at end of year	\$1,274,179

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation State Guaranteed State Supported State Contingent Obligation State Moral Obligation Other State Funded					
Authority Obligation General Obligation Revenue Other Non-State Funded					
Conduit Conduit Debt Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	No	
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.fultoncda.com
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: