

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.ocwa.org/governance/financial-reports/
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.ocwa.org/governance/financial-reports/
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.ocwa.org/about/ocwa-executive-staff/
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.ocwa.org/about/mission-vision/
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.ocwa.org/governance/financial-reports/

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.ocwa.org/about/ocwa-board/
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.ocwa.org/governance/board-items/
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.ocwa.org/about/ocwa-board/
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.ocwa.org/about/code-of-ethics/
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Bianchini, John	Name	Tomeny, Robert
Chair of the Board	No	Chair of the Board	Yes
If yes, Chair Designated by.		If yes, Chair Designated by.	Elected by Board
Term Start Date	09/01/2010	Term Start Date	12/15/2009
Term Expiration Date	07/01/2013	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Gilligan, Eileen D	Name	Picardi, Ferdinand
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	11/01/2011	Term Start Date	06/03/2008
Term Expiration Date	07/01/2014	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

<u>Board of Directors Listing</u>	
Name	Miller, Susan A
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	11/01/2011
Term Expiration Date	07/01/2014
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Abold, Suzanne	Clerk 2	Administrative and Clerical				FT	No	49,100.27	48,846.99	1,053.41	0	0	0	49,900.4	No	
Amidon, Anita	Account Clerk 1	Administrative and Clerical				FT	No	40,286.69	23,985.1	139.95	0	0	0	24,125.05	No	
Avery, Cal	Pump Maintenance Worker	Operational				FT	No	48,397.02	49,019.27	16,151.6	0	0	0	65,170.87	No	
Bacon, Jon	Maintenance Mechanic II	Operational				FT	No	57,091.63	57,292.14	8,336.12	0	0	0	65,628.26	No	
Baker, Jeffrey	Underground Facility Locator	Operational				FT	No	52,927.06	53,085.46	1,049.62	0	0	0	54,135.08	No	
Baranello, John	Pump Maintenance Worker	Operational				FT	No	48,397.02	51,334.25	21,211.2	0	0	250	72,795.45	No	
Baskin, Jeffrey	Water Meter Repair Worker II	Operational				FT	No	54,595.63	53,544.82	118.13	0	0	0	53,662.95	No	
Battles, Kelli	Information Aide	Administrative and Clerical				FT	No	52,147.06	62,409.82	0	0	0	0	62,409.82	No	
Becker, Kenneth	Water Plant Lab Technician	Technical and Engineering				FT	No	51,655.34	51,814.9	3,849.3	0	0	250	55,914.2	No	
Berson, Steven	Water Systems Chemist 1	Professional				FT	No	68,762.51	79,930.57	3,762.99	0	0	300	83,993.56	No	
Bettenger, Anson	Engineering Technician 1	Technical and Engineering				FT	No	52,389.79	52,547.81	3,249.15	0	0	0	55,796.96	No	
Bianchini, John	Board of Directors	Executive				FT	Yes	4,000.00	3,999.84	0	0	0	0	3,999.84	No	

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Blanchard, Barry	Mgr of Technical Support	Managerial				FT	Yes	88,397.84	88,371.27	0	0	0	1,300.93	89,672.2	No	
Botz, William	Asst Meter Repair Supervisor	Managerial				FT	No	60,711.87	61,176.44	36,275.76	0	0	0	97,452.2	No	
Boyer, Troy	Water Meter Repair Worker II	Operational				FT	No	55,219.63	54,519.61	18,018.87	0	0	0	72,538.48	No	
Brackley, Margaret	Account Clerk II	Administrative and Clerical				FT	No	48,397.02	48,557.08	4,423.78	0	0	250	53,230.86	No	
Buck, Daniel	Pump Maintenance Worker	Operational				FT	No	49,645.02	50,365.31	13,337.37	0	0	250	63,952.68	No	
Bugge, Anthony	Water Meter Repair Worker 1	Operational				FT	No	49,524.18	49,683.74	3,500.02	0	0	0	53,183.76	No	
Burdick, David	Water Maintenance Crew Leader	Operational				FT	No	58,665.36	58,369.86	8,961.98	0	0	0	67,331.84	No	
Byrnes, Gloria	Data Center Help Desk Operator	Administrative and Clerical				FT	No	49,100.27	49,260.1	1,203.91	0	0	0	50,464.01	No	
Cafarelli, Donald	Instrumentation Mechanic II	Operational				FT	No	56,098.02	56,253.84	20,652.46	0	0	250	77,156.3	No	
Calabria, Alice	Information Aide P/T	Administrative and Clerical				PT	No	43,056.00	18,040.07	395.91	0	0	0	18,435.98	No	
Caramanna, Kelly	Information Aide 2	Administrative and Clerical				FT	Yes	46,856.30	46,793.62	0	0	0	0	46,793.62	No	

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Cass, Susan	Water Meter Repair Worker 1	Operational				FT	No	50,148.18	50,307.72	10,781.48	0	0	0	61,089.2	No	
Cass, Thomas	Driver Messenger	Operational				PT	No	22,880.00	8,272	0	0	0	0	8,272	No	
Cebeniak, Timothy	Water Plant Operator (Type A)	Operational				FT	No	50,061.23	52,243.56	3,837.21	0	0	250	56,330.77	No	
Chabot, Matthew	Pump Maintenance Crew Leader	Operational				FT	No	55,362.11	52,883.46	12,682.68	0	0	0	65,566.14	No	
Churmusi, John	Water Plant Operator (Type A)	Operational				FT	No	50,823.34	53,092.77	7,755.56	0	0	250	61,098.33	No	
Collins, Jarrod	Meter Reader I	Operational				FT	No	48,397.02	53,087.12	610.77	0	0	0	53,697.89	No	
Coufal, Mark	Meter Reader I	Operational				FT	No	48,397.02	51,181.47	1,561.85	0	0	0	52,743.32	No	
Crouse, Kevin	Underground Facility Locator	Operational				FT	No	52,303.06	52,461.41	11,780.78	0	0	250	64,492.19	No	
Crouse, Richard	Principal Plant Operator Type A	Operational				FT	No	61,995.02	62,007.81	15,823.16	0	0	0	77,830.97	No	
Degon, Mark	Meter Reader II	Operational				FT	No	51,035.09	51,273.09	3,183.56	0	0	250	54,706.65	No	
Denué, Jeffrey	Water Maintenance Crew Leader	Operational				FT	No	54,799.06	54,415.94	16,231.38	0	0	0	70,647.32	No	

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Donovan, Thomas	Water Plant Operator (Type A)	Operational				FT	No	51,655.34	52,013.53	8,967.92	0	0	0	60,981.45	No	
Drake, Stephen	Water Sys Constr Designer	Technical and Engineering				FT	No	58,639.98	57,801.35	444.02	0	0	0	58,245.37	No	
Dristle, Brian	Water Systems Chemist 1	Professional				FT	No	62,723.02	65,509.08	7,866.95	0	0	0	73,376.03	No	
Eckert, Michael	Instrumentation Mechanic II	Operational				FT	No	56,098.02	56,253.83	10,720.64	0	0	0	66,974.47	No	
Fahrbach, Timothy	Drafting Technician 1	Technical and Engineering				FT	No	50,419.20	50,578.15	3,163.32	0	0	250	53,991.47	No	
Fedrizzi, Douglas	Water Meter Repair Worker II	Operational				FT	No	55,219.63	55,442.22	816.32	0	0	0	56,258.54	No	
Fellows Jr, Christopher	Underground Facility Locator	Operational				FT	No	52,303.06	52,461.45	21,669.33	0	0	105.99	74,236.77	No	
Ferlenda, Gregory	Water Maintenance Worker 1	Operational				FT	No	47,380.53	47,265.26	12,733.22	0	0	250	60,248.48	No	
Friedman, Howard	Supv of Accounts Collections	Administrative and Clerical				FT	No	60,081.84	60,081.96	10,835.68	0	0	300	71,217.64	No	

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Gale, Kevin	Water Maintenance Crew Leader	Operational				FT	No	57,417.36	58,353.16	23,284.43	0	0	250	81,887.59	No	
Gauthier, Timothy	Meter Reader I	Operational				FT	No	48,397.02	56,082.47	532.25	0	0	0	56,614.72	No	
Geiss Jr, Anthony	Deputy Executive Director	Executive				FT	Yes	122,398.54	122,357.02	0	0	0	300	122,657.02	No	
German Jr, George	Water Maintenance Supervisor	Managerial				FT	Yes	82,336.96	83,092	0	0	0	0	83,092	No	
Gilbert, Tavia	Water System Construction Designer	Technical and Engineering				FT	No	61,385.58	61,510.04	1,961.24	0	0	0	63,471.28	No	
Gilligan, Eileen	Board of Directors	Executive				FT	Yes	3,000.00	2,999.88	0	0	0	0	2,999.88	No	
Giovanini, Gregory	Water Maintenance Worker 1	Operational				FT	No	48,722.75	48,883.28	10,429.7	0	0	0	59,312.98	No	
Gorski, Stephen	Water Maintenance Worker 1	Operational				FT	No	48,722.75	48,883.24	10,251.07	0	0	0	59,134.31	No	
Hart, Theodore	Asst Water Maintenance Supv	Managerial				FT	No	61,724.00	64,731.06	24,873.34	0	0	52.99	89,657.39	No	
Hartnett, James	Water Meter Repair Worker 1	Operational				FT	No	50,148.18	50,328.72	1,446.53	0	0	0	51,775.25	No	
Hartwell, Diane	Account Clerk II	Administrative and Clerical				FT	No	48,397.02	48,563.03	4,868.68	0	0	250	53,681.71	No	

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Hartwell, Janice	Accountant 1	Professional				FT	No	70,956.29	70,909.07	1,853.64	0	0	0	72,762.71	No	
Hayes, Sean	Asst Water Distribution Mgr	Managerial				FT	No	65,000.00	61,062.75	1,035	0	0	0	62,097.75	No	
Hooker, Michael	Executive Director	Executive				FT	Yes	141,121.94	141,073.85	0	0	0	0	141,073.85	No	
Hughes, Donna	Account Clerk II	Administrative and Clerical				FT	No	48,397.02	48,557.06	7,154.84	0	0	0	55,711.9	No	
Jacobson, David	Stock Attendant	Operational				FT	No	48,098.75	47,426.73	1,491.58	0	0	0	48,918.31	No	
Jaquith, Michael	INSTRUMENTATION CREW LEADER	Operational				FT	No	57,383.87	57,539.09	14,128.37	0	0	0	71,667.46	No	
Jones, Mary Jane	Account Clerk II	Administrative and Clerical				FT	No	48,397.02	48,572.06	34.9	0	0	0	48,606.96	No	
Jones-McGuire, Janis	Clerk 2	Administrative and Clerical				FT	No	49,724.27	49,884.12	1,575.22	0	0	0	51,459.34	No	
Khanzadian, Laureen	Secretary Water Authority	Managerial				FT	Yes	85,308.40	85,323.74	0	0	0	0	85,323.74	No	
Krug, Tina	Clerk 2	Administrative and Clerical				PT	No	24,550.14	23,785.14	0	0	0	0	23,785.14	No	
Lamb, David	Water System Construction Insp	Technical and Engineering				FT	No	56,678.54	56,834.21	6,662.42	0	0	250	63,746.63	No	
Lambert, Billy	Water Maintenance Crew Leader	Operational				FT	No	56,793.36	56,949.19	18,847.04	0	0	0	75,796.23	No	
Lamphere, Jeremy	Water Maintenance Worker 1	Operational				FT	No	43,789.20	43,218.78	8,097.39	0	0	0	51,316.17	No	

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Langdon, Gregory	Water Plant Operator (Type A)	Operational				FT	No	50,061.23	51,765.53	3,362.14	0	0	0	55,127.67	No	
Macko, Jerrod	Engineering Technician 2	Technical and Engineering				FT	No	55,241.89	54,763.58	9,555.35	0	0	0	64,318.93	No	
Marvin, Curtis	Fiscal Officer	Managerial				FT	Yes	91,267.44	91,188.05	0	0	0	0	91,188.05	No	
Mathewson Jr, Terry	Maintenance Mechanic II	Operational				FT	No	56,098.02	56,253.83	6,306.32	0	0	0	62,560.15	No	
Mathis, David	Water Maintenance Worker 1	Operational				FT	No	48,722.75	48,883.25	4,983.04	0	0	0	53,866.29	No	
McClary, Frederick	Water Maintenance Crew Leader	Operational				FT	No	56,793.36	56,949.18	16,909.25	0	0	0	73,858.43	No	
McElhannon, Jesse	Water Maintenance Worker 1	Operational				FT	No	47,380.53	47,223.81	6,862.07	0	0	0	54,085.88	No	
McIntyre, Todd	Water Maintenance Worker 2	Operational				FT	No	52,119.39	52,277.95	11,821.42	0	0	0	64,099.37	No	
McPherson, Mark	Pump Maintenance Worker	Operational				FT	No	45,523.92	46,338.28	17,690.62	0	0	250	64,278.9	No	
Mech, David	Pump Maintenance Worker	Operational				FT	No	49,645.02	51,671.46	17,226.74	0	0	0	68,898.2	No	
Miller, Adam	Water Maintenance Worker 1	Operational				FT	No	48,098.75	48,259.22	15,379.71	0	0	0	63,638.93	No	

Staff Listing

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Miller, Geoffrey	Executive Engineer	Managerial				FT	Yes	108,870.51	108,833.3	0	0	0	300	109,133.3	No	
Miller, Susan	Board of Directors	Executive				FT	Yes	3,000.00	2,999.88	0	0	0	0	2,999.88	No	
Mitchell, James	Water Maintenance Worker 2	Operational				FT	No	52,119.39	52,277.91	17,980.44	0	0	0	70,258.35	No	
Murphy, Mark	Water Plant Manager (Type A)	Managerial				FT	Yes	85,299.94	97,243.8	0	0	0	3,492.99	100,736.79	No	
Murphy, Peter	Water Plant Operator (Type A)	Operational				FT	No	51,655.34	52,212.24	6,183.68	0	0	250	58,645.92	No	
O'Malley, Paul	Utilities Billing Supervisor	Managerial				FT	Yes	83,844.39	83,819.01	0	0	0	300	84,119.01	No	
O'Sullivan, Timothy	Automotive Mechanic	Operational				FT	No	52,640.02	52,797.74	1,942.18	0	0	250	54,989.92	No	
Oemcke, Michael	Water Systems Engineering Tech	Technical and Engineering				FT	No	60,054.38	59,949.89	10,289.39	0	0	0	70,239.28	No	
Owens, Deborah	Engineering Technician 1	Technical and Engineering				FT	No	49,237.55	48,619.36	509.44	0	0	951.68	50,080.48	No	
Palamara, Anthony	Water Distribution Manager	Managerial				FT	Yes	76,485.47	77,242.18	0	0	0	300	77,542.18	No	
Palamara, John	Pump Maintenance Worker	Operational				FT	No	49,645.02	49,805.08	9,738.09	0	0	0	59,543.17	No	

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Pallone, Christopher	Water Maintenance Worker 1	Operational				FT	No	44,507.42	42,863.95	13,974.67	0	0	0	56,838.62	No	
Parks, Daniel	Water Maintenance Worker 1	Operational				FT	No	48,722.75	48,883.23	2,278.84	0	0	250	51,412.07	No	
Patapow, David	Water Maintenance Worker 1	Operational				FT	No	48,098.75	48,259.25	11,308.52	0	0	0	59,567.77	No	
Perperian, John	Water Meter Repair Worker 1	Operational				FT	No	49,524.18	50,481.21	8,670.24	0	0	0	59,151.45	No	
Picardi, Ferdinand	Board of Directors	Executive				FT	Yes	3,000.01	2,999.88	0	0	0	0	2,999.88	No	
Pickard, Terry	Counsel	Professional				PT	Yes	108,569.33	108,532.29	0	0	0	0	108,532.29	No	
Pirro, Joseph	Maintenance Mechanic II	Operational				FT	No	56,098.02	56,253.8	6,774.77	0	0	0	63,028.57	No	
Prior, Brian	Water Maintenance Crew Leader	Operational				FT	No	55,423.06	55,039.94	23,521.72	0	0	250	78,811.66	No	
Robinson, Terence	Asst Water Maintenance Supv	Managerial				FT	No	64,754.14	68,363.53	34,863.89	0	0	300	103,527.42	No	
Rogers, Sharon	Switchboard Operator	Administrative and Clerical				PT	No	47,634.70	42,409.38	240.46	0	0	0	42,649.84	No	
Rose, Mary	Account Clerk 3	Administrative and Clerical				FT	No	61,638.51	59,400.21	2,170.68	0	0	0	61,570.89	No	
Roser, Vaughn	Water Maintenance Worker 1	Operational				FT	No	48,722.75	48,883.17	14,430.02	0	0	0	63,313.19	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Rusyn, Robert	Water Quality Manager	Managerial				FT	No	75,258.56	75,263	12,446.59	0	0	0	87,709.59	No	
Salvagni, Robert	Storekeeper	Operational				FT	No	55,352.34	55,508.76	10,013.04	0	0	0	65,521.8	No	
Sawicki II, Steven	Engineering Technician 2	Technical and Engineering				FT	No	55,241.89	54,818.86	1,035.78	0	0	0	55,854.64	No	
Sawicki, Lana	Account Clerk II	Administrative and Clerical				FT	No	48,397.02	48,563.03	2,573.5	0	0	0	51,136.53	No	
Sawmiller III, Gregory	Pump Maintenance Worker	Operational				FT	No	48,397.02	49,822.28	15,946.03	0	0	0	65,768.31	No	
Scarcella, Rocco	Water Meter Repair Worker II	Operational				FT	No	55,219.63	55,379.24	2,030.9	0	0	0	57,410.14	No	
Schelah, Michael	Water Maintenance Worker 2	Operational				FT	No	50,727.25	50,324.57	2,479.52	0	0	0	52,804.09	No	
Sgroi, Samuel	Water Maintenance Worker 2	Operational				FT	No	52,119.39	52,277.89	11,695.55	0	0	0	63,973.44	No	
Sharkey, Scott	Water Maintenance Crew Leader	Operational				FT	No	56,793.36	56,949.14	4,245.85	0	0	0	61,194.99	No	
Sherlock, Patrick	Water Systems Construction Eng	Technical and Engineering				FT	No	73,396.13	73,391.01	8,395.52	0	0	300	82,086.53	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Snow, Jeffrey	Water Plant Operator (Type A)	Operational				FT	No	50,823.34	52,819.69	4,839.11	0	0	0	57,658.8	No	
Spencer, James	Asst Water Maintenance Supv	Managerial				FT	No	64,754.14	77,401.02	18,402	0	0	0	95,803.02	No	
Stadelman, Maureen	Account Clerk II	Administrative and Clerical				FT	No	48,397.02	48,557.04	2,389.02	0	0	250	51,196.06	No	
Standish, Lori	Payroll Clerk	Administrative and Clerical				FT	No	58,638.53	58,571.61	0	0	0	0	58,571.61	No	
Stevens, Dick	Asst Water Maintenance Supv	Managerial				FT	No	61,724.00	65,139.04	34,861.08	0	0	300	100,300.12	No	
Stuy, Hans	Underground Facility Locator	Operational				FT	No	52,303.06	52,059.12	37.72	0	0	0	52,096.84	No	
Sullivan Jr, John	Meter Reader I	Operational				FT	No	48,397.02	53,653.85	209.4	0	0	0	53,863.25	No	
TenEyck, Timothy	Water Meter Repair Worker II	Operational				FT	No	55,219.63	55,376.27	10,054.98	0	0	0	65,431.25	No	
Tomeny, Robert	Board of Directors	Executive				FT	Yes	7,500.01	7,499.96	0	0	0	0	7,499.96	No	
Torres, Angel	Inventory Control Supervisor	Professional				FT	Yes	76,241.23	87,000.12	0	0	0	0	87,000.12	No	
VanDeusen Jr, Francis	Underground Facility Locator	Operational				FT	No	52,303.06	52,461.41	8,530.7	0	0	250	61,242.11	No	
Vicik, Annette	Information Aide	Administrative and Clerical				FT	No	48,258.70	48,419.15	1,380.47	0	0	250	50,049.62	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Vinson, Jeffrey	Water Maintenance Worker 2	Operational				FT	No	52,119.39	51,436.05	13,898.39	0	0	0	65,334.44	No	
Voss, Mary	Account Clerk II	Administrative and Clerical				FT	No	56,417.50	56,400.46	650.97	0	0	0	57,051.43	No	
Walburger, Seth	Water Maintenance Worker 1	Operational				FT	No	43,789.20	43,760.62	15,198	0	0	0	58,958.62	No	
Ware, John	Water Meter Repair Worker II	Operational				FT	No	55,219.63	55,612.5	21,965.73	0	0	0	77,578.23	No	
Warner, Jaimie	Water Maintenance Crew Leader	Operational				FT	No	56,793.36	56,949.16	9,374.4	0	0	0	66,323.56	No	
Welch, Margaret	Water Meter Repair Worker II	Operational				FT	No	55,219.63	55,376.24	1,160.8	0	0	250	56,787.04	No	
White, Kevin	Water Maintenance Worker 2	Operational				FT	No	51,495.39	51,653.92	7,014.1	0	0	0	58,668.02	No	
White, William	Water Maintenance Worker 2	Operational				FT	No	52,119.39	52,277.92	12,659.68	0	0	0	64,937.6	No	
Williams, Richard	Water Meter Repair Worker 1	Operational				FT	No	50,148.18	48,595.97	2,764.99	0	0	0	51,360.96	No	
Wisely, Timothy	Info Systems Coordinator	Professional				FT	No	66,627.39	77,797.08	3,382.71	0	0	300	81,479.79	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Zacharias, Donald	Meter Reader I	Operational				FT	No	48,397.02	53,654.1	17.45	0	0	0	53,671.55	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Bianchini, John	Board of Directors												X	
Gilligan, Eileen D	Board of Directors												X	
Miller, Susan A	Board of Directors												X	
Picardi, Ferdinand	Board of Directors												X	
Tomeny, Robert	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Geiss Jr, Anthony	Deputy Executive Director				X									
Hooker, Michael	Executive Director				X									
Miller, Geoffrey	Executive Engineer				X									
Pickard, Terry	Counsel				X									

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$264,705
Investments	\$0
Receivables, net	\$7,282,158
Other assets	\$2,454,272
Total Current Assets	\$10,001,135
Noncurrent Assets	
Restricted cash and investments	\$26,709,423
Long-term receivables, net	\$0
Other assets	\$739,459
Capital Assets	
Land and other nondepreciable property	\$963,543
Buildings and equipment	\$48,847,983
Infrastructure	\$252,519,923
Accumulated depreciation	\$85,261,503
Net Capital Assets	\$217,069,946
Total Noncurrent Assets	\$244,518,828
Total Assets	\$254,519,963

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$2,669,181
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$1,805,915
Deferred revenues	\$0
Bonds and notes payable	\$3,108,492
Other long-term obligations due within one year	\$83,650
Total Current Liabilities	\$7,667,238

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$10,315,671
Bonds and notes payable	\$66,540,939
Long Term Leases	\$272,825
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$77,129,435

Total Liabilities

\$84,796,673

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$147,622,532
Restricted	\$21,679,207
Unrestricted	\$421,551
Total Net Assets	\$169,723,290

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$38,316,787
Rental & financing income	\$0
Other operating revenues	\$1,135,972
Total Operating Revenue	\$39,452,759

Operating Expenses

Salaries and wages	\$7,665,551
Other employee benefits	\$5,029,597
Professional services contracts	\$234,959
Supplies and materials	\$904,588
Depreciation & amortization	\$5,742,737
Other operating expenses	\$15,513,232
Total Operating Expenses	\$35,090,664

Operating Income (Loss) **\$4,362,095**

Nonoperating Revenues

Investment earnings	\$16,642
State subsidies/grants	\$0
Federal subsidies/grants	\$5,696,270
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$5,712,912

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$3,032,120
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$13,483
Total Nonoperating Expenses	\$3,045,603
Income (Loss) Before Contributions	\$7,029,404
Capital Contributions	\$1,674,347
Change in net assets	\$8,703,751
Net assets (deficit) beginning of year	\$161,019,539
Other net assets changes	\$0
Net assets (deficit) at end of year	\$169,723,290

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	78,395,000.00	0.00	10,997,165.00	67,397,835.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

1. Property Description: 2009 Caterpillar Model 416E Backhoe

Estimated Fair Market Value: \$45,000.00

How was Fair Market Value

Determined?: Appraisal

Transaction Date: 07/23/2012

Sale Price: \$45,000.00

Organization: Five Star Equipment, Inc

Last Name:

First Name:

Purchaser Address

Address Line1: 6500 East Taft Rd

Address Line2:

City: EAST SYRACUSE

State: NY

Postal Code: 13057

Plus4:

Province/Region:

Country: USA

2. Property Description: 1998 New Holland Model 555E Backhoe

Estimated Fair Market Value: \$13,000.00

How was Fair Market Value

Determined?: Appraisal

Transaction Date: 07/23/2012

Sale Price: \$13,000.00

Organization: Five Star Equipment, Inc

Last Name:

First Name:

Purchaser Address

Address Line1: 6500 East Taft Rd

Address Line2:

City: EAST SYRACUSE

State: NY

Postal Code: 13057

Plus4:

Province/Region:

Country: USA

Personal Property

3. Property Description: 2004 Chevrolet K2500HD 4x4 Pickup Truck with
Lift Gate and 8' Snow Plow

Estimated Fair Market Value: \$7,300.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 11/17/2012

Sale Price: \$7,300.00

Organization: Chicago Motor Cars

Last Name:

First Name:

Purchaser Address

Address Line1: 241 Red Oak Ct

Address Line2:

City: WEST CHICAGO

State: IL

Postal Code: 60185

Plus4:

Province/Region:

Country: USA

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.ocwa.org/governance/financial-reports/
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.ocwa.org/governance/financial-reports/
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: