

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.ucrra.org/aboutus/ABO/2012AccomplishmentsPropertyInternalControl.pdf
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.ucrra.org/aboutus/ABO/2012AccomplishmentsPropertyInternalControl.pdf
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.ucrra.org/aboutus/ABO/organizational-2013Feb.pdf
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.ucrra.org/aboutus/ABO/2011MissionStatementPerformanceReport.pdf
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.ucrra.org/aboutus/ABO/2011MissionStatementPerformanceReport.pdf

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.ucrra.org/aboutus/ABO/committees-2013revApr.pdf
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.ucrra.org/aboutus/minutes.htm
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.ucrra.org/aboutus/ABO/AgencyByLawsFinal2011.pdf
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.ucrra.org/aboutus/ABO/codeofethics.pdf
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	LANDI, CHARLES	Name	SMITH, LEON
Chair of the Board	No	Chair of the Board	Yes
If yes, Chair Designated by.		If yes, Chair Designated by.	Elected by Board
Term Start Date	04/16/2012	Term Start Date	01/01/2010
Term Expiration Date	12/31/2013	Term Expiration Date	12/31/2012
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	HELM, DENNIS	Name	NILSEN, CATHERINE
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2010	Term Start Date	01/01/2012
Term Expiration Date	12/31/2012	Term Expiration Date	12/31/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	TEETSEL, ALBERT
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	01/01/2011
Term Expiration Date	12/31/2013
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
BERGKAMP, MICHELLE	RECYCLING COORDINATOR/EDUCATOR	Professional				FT	Yes	45,064.00	42,552	0	0	0	0	42,552	No	
BIRCH, JONATHAN	SORTING LINE WORKER	Operational				FT	Yes	27,019.00	4,792	0	0	0	0	4,792	No	
BORCHERDT, JEFFREY	MECHANIC	Operational	VMF			FT	Yes	43,742.00	43,733	2,247	0	1,215	0	47,195	No	
BROOKS, WAYNE	DRIVER/OPERATOR	Operational	TRANSPORT OPERATIONS			FT	Yes	41,205.00	37,887	2,875	0	1,461	0	42,223	No	
COFFEY, JOSEPH	LEAD TRANSFER STATION OPERATOR	Operational	TRANSFER STATION			FT	Yes	46,405.00	46,453	7,654	0	1,632	0	55,739	No	
DACHENHAUSEN, ROBERT	LABORER	Operational				PT	Yes	12,980.00	13,634	0	0	0	0	13,634	No	
DEGRAFF, TIMOTHY	CONTROLLER	Managerial				FT	Yes	71,007.00	70,980	0	0	0	0	70,980	No	
DENTER, ROBERT	DRIVER/OPERATOR	Operational	TRANSPORTATION			FT	Yes	41,205.00	35,773	1,445	0	1,456	0	38,674	No	
FRANCE, MELINDA	CLERICAL ASST/RECYCLING ASSISTANT	Administrative and Clerical				FT	Yes	33,115.00	33,037	101	0	0	0	33,138	No	
GLEASON, ROBERT	LABORER	Operational	TRANSFER STATION			FT	Yes	41,205.00	41,201	1,783	0	1,501	0	44,485	No	
GONZALEZ, MARCELO	SORTING LINE WORKER	Operational				PT	Yes	8,510.00	251	0	0	0	0	251	No	
HEDRICK, REBEKAH	SORTING LINE WORKER	Operational				PT	Yes	9,762.00	1,619	0	0	0	0	1,619	No	
HOMMEL, TERRY	SCALEHOUSE OPERATOR	Operational	TRANSPORTATION			FT	Yes	41,205.00	41,220	5,447	0	1,667	0	48,334	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
HOTALING, CLIFFORD	SORTING LINE WORKER	Operational	MRF			FT	Yes	27,019.00	26,821	0	0	950	0	27,771	No	
KERN, JOSEPH	SORTING LINE WORKER	Operational	MRF			FT	Yes	27,019.00	27,002	388	0	976	0	28,366	No	
LOPIANO, AMY	CHIEF ACCOUNTING CLERK	Administrative and Clerical				FT	Yes	54,426.00	54,405	0	0	0	0	54,405	No	
MAGGIORE, WILLIAM	LABORER	Operational	MRF			FT	Yes	35,901.00	35,931	3,120	0	1,311	0	40,362	No	
MARKLE JR, DONALD	LEAD SCALEHOUSE OPERATOR	Operational	TRANSFER STATION			FT	Yes	43,742.00	43,774	5,098	0	1,517	0	50,389	No	
MCDONOUGH, PATRICK	LABORER	Operational				FT	Yes	41,205.00	42,064	8,284	0	908	0	51,256	No	
NERONE, THOMAS	LABORER	Operational	MRF			FT	Yes	41,205.00	37,572	3,171	0	1,476	0	42,219	No	
OCHOA, IVAN	SORTING LINE WORKER	Operational	MRF			FT	Yes	27,019.00	27,005	277	0	974	0	28,256	No	
PARADIES JR, BARRY	MECHANIC	Operational	VMF			FT	Yes	43,742.00	43,711	352	0	1,451	0	45,514	No	
PARKEN, WILLIAM	LABORER	Operational	TRANSFER STATION			FT	Yes	27,019.00	28,366	1,793	0	1,041	0	31,200	No	
PIRATZKY, LISA	DATA ANALYST	Administrative and Clerical				FT	Yes	43,445.00	43,420	0	0	0	0	43,420	No	
ROSE, TIMOTHY	EXECUTIVE DIRECTOR	Executive				FT	Yes	100,980.00	100,904	0	0	0	8,872	109,776	No	
SCHEFFEL, RAYMOND	DRIVER/OPERATOR	Operational	TRANSPORTATION			FT	Yes	43,742.00	43,747	3,621	0	1,594	0	48,962	No	
SCHILLING ER, ADAM	SORTING LINE WORKER	Operational				FT	Yes	27,019.00	4,109	0	0	0	0	4,109	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
SHAW, ERIC	DRIVER/OPE RATOR	Operational	TRANSPORTA TION			FT	Yes	41,205.00	41,333	3,184	0	1,518	0	46,035	No	
STOKES, RICHARD	DRIVER/OPE RATOR	Operational				PT	Yes	12,980.00	1,966	0	0	0	0	1,966	No	
TUBBY, ANDREW	SCALEHOUSE OPERATOR	Operational	TRANSFER STATION			FT	Yes	38,480.00	38,513	3,542	0	1,341	0	43,396	No	
UTLEY, DAVID	SORTING LINE WORKER	Operational	MRF			FT	Yes	27,019.00	27,017	19	0	700	0	27,736	No	
UTTER, KELLY	CONFIDENTIAL EXECUTIVE SECRETARY	Administrative and Clerical				FT	Yes	40,585.00	40,558	0	0	0	0	40,558	No	
VITEK, DEREK	LABORER	Operational	TRANSFER STATION			FT	Yes	41,205.00	41,197	2,315	0	1,544	0	45,056	No	
WARD, WAYNE	LABORER	Operational	MRF			FT	Yes	35,901.00	36,205	1,752	0	1,272	0	39,229	No	
WARREN, GREGORY	MECHANIC'S HELPER	Operational	VMF			FT	Yes	35,901.00	38,965	2,845	0	1,333	0	43,143	No	
WARREN, MARYLEE	SCALEHOUSE OPERATOR	Operational	TRANSFER STATION			FT	Yes	38,480.00	38,492	3,346	0	1,332	0	43,170	No	
WHITTAKER, CHARLES	OPERATIONS MANAGER	Managerial				FT	Yes	70,000.00	66,328	0	0	0	15,466	81,794	No	
WHITTAKER, WILLIAM	BUILDINGS & GROUNDSKEEPER	Operational	BUILDINGS AND GROUNDS			FT	Yes	43,742.00	44,280	3,133	0	1,321	0	48,734	No	
WILLIAMSON, WILLIS	SORTING LINE WORKER	Operational				FT	Yes	27,019.00	713	0	0	0	0	713	No	
WING, STEPHEN	AGENCY COUNSEL	Professional				PT	Yes	30,000.00	30,000	0	0	0	0	30,000	No	
WOLF, BRUCE	DRIVER/OPE RATOR	Operational	TRANSPORTA TION			FT	Yes	41,205.00	41,215	2,854	0	1,470	0	45,539	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
NILSEN, CATHERINE	Board of Directors												X	
SMITH, LEON	Board of Directors												X	
HELM, DENNIS	Board of Directors												X	
TEETSEL, ALBERT	Board of Directors												X	
LANDI, CHARLES	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
ROSE, TIMOTHY	EXECUTIVE DIRECTOR	X										X		

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$2,957,934
Investments	\$2,542,949
Receivables, net	\$3,685,253
Other assets	\$384,796
Total Current Assets	\$9,570,932
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$187,915
Capital Assets	
Land and other nondepreciable property	\$683,172
Buildings and equipment	\$15,666,623
Infrastructure	\$0
Accumulated depreciation	\$6,607,733
Net Capital Assets	\$9,742,062
Total Noncurrent Assets	\$9,929,977
Total Assets	\$19,500,909

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$864,304
Pension contribution payable	\$27,631
Other post-employment benefits	\$0
Accrued liabilities	\$613,401
Deferred revenues	\$17,912
Bonds and notes payable	\$2,425,000
Other long-term obligations due within one year	\$221,834
Total Current Liabilities	\$4,170,082

Noncurrent Liabilities

Pension contribution payable	\$904,945
Other post-employment benefits	\$0
Bonds and notes payable	\$16,633,745
Long Term Leases	\$0
Other long-term obligations	\$2,747,590
Total Noncurrent Liabilities	\$20,286,280

Total Liabilities

\$24,456,362

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$9,742,062
Restricted	\$5,779,222
Unrestricted	(\$20,476,737)
Total Net Assets	(\$4,955,453)

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$13,072,487
Rental & financing income	\$0
Other operating revenues	\$59,383
Total Operating Revenue	\$13,131,870

Operating Expenses

Salaries and wages	\$1,636,740
Other employee benefits	\$925,119
Professional services contracts	\$76,073
Supplies and materials	\$126,376
Depreciation & amortization	\$455,768
Other operating expenses	\$9,079,726
Total Operating Expenses	\$12,299,802

Operating Income (Loss) **\$832,068**

Nonoperating Revenues

Investment earnings	\$115,813
State subsidies/grants	\$32,228
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$2,474,330
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$2,622,371

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$660,926
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$99,439
Total Nonoperating Expenses	\$760,365
Income (Loss) Before Contributions	\$2,694,074
Capital Contributions	\$0
Change in net assets	\$2,694,074
Net assets (deficit) beginning of year	(\$7,204,999)
Other net assets changes	(\$444,528)
Net assets (deficit) at end of year	(\$4,955,453)

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
2012 Refunding Bond	Refunding 6,410,000.00 New 0.00 Total 6,410,000.00		06/01/2012		Negotiated	2.17	Variable	6	99,439.00		

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	21,103,745.00	6,410,000.00	8,455,000.00	19,058,745.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.ucrra.org/aboutus/ABO/2012AccomplishmentsPropertyInternalControl.pdf
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.ucrra.org/aboutus/ABO/Misc-Policies.pdf
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments:

Please be advised that included in the Summary Statement of Revenue, Expenses and Changes in Net Assets, the Agency has restatements within its Other Net Assets Changes line. During the 2012 fiscal year, Agency management became aware of a long term liability for pension costs that generated a prior period adjustment (as of January 1, 2011) that amounted to a decrease to Net Assets of \$981,849 (see footnotes 6 & 15 located within the certified auditors report). The Agency opted to early implement GASB 63 and 65 which resulted in a restatement to net assets (as of January 1, 2011) that amounted to a decrease to Net Assets of \$178,817 (see footnote 2b located within the certified auditors report). Also, early implementation of GASB 63 and 65 led to restatements of expenses for 2011. These restatements amounted to a decrease to expenses of \$80,661.