

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.slcida.com/about-the-slc-ida/bylaws-policies/annual-reports-financial-statements.html
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.slcida.com/microenterprise-programs/bylaws-and-policies/annual-reports-and-financial-statements.html
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.slcida.com/about-the-slc-ida/board-members.html
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.slcida.com/microenterprise-programs/mission.html
8. Has the Authority's mission statement been revised and adopted during the reporting period?	Yes	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.slcida.com/microenterprise-programs/bylaws-and-policies/annual-reports-and-financial-statements.html

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.slcida.com/microenterprise-programs/microenterprise-meetings-information/2013-meeting-inf
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.slcida.com/microenterprise-programs/microenterprise-meetings-information/2013-meeting-inf
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.slcida.com/microenterprise-programs/microenterprise-meetings-information/2013-meeting-inf
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.slcida.com/microenterprise-programs/microenterprise-meetings-information/2013-meeting-inf
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A

	Response	URL
16.Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	http://www.slcida.com/microenterprise-programs/microenterprise-meetings-information/2013-meeting-inf

Board of Directors Listing

Name	Weekes, Jr., R. Joseph	Name	Staples, Brian W
Chair of Board	No	Chair of Board	Yes
If yes, Chair designated By.		If yes, Chair designated By.	Elected by Board
Term Start Date	07/18/2012	Term Start Date	07/18/2012
Term Expiration Date	07/31/2015	Term Expiration Date	09/30/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Blevins, Lynn	Name	Hall, Mark C
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	07/18/2012	Term Start Date	12/03/2012
Term Expiration Date	06/01/2015	Term Expiration Date	12/31/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	LaBaff, Ernest	Name	Peck, Donald
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	07/18/2012	Term Start Date	07/18/2012
Term Expiration Date	09/30/2014	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	McMahon, Andrew J
Chair of Board	No
If yes, Chair designated By.	
Term Start Date	09/09/2013
Term Expiration Date	09/30/2016
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Other
Appointed By	Other
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Barga-ning Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Gilbert, Kimberly A	Fiscal Manager	Managerial				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Kelly, Patrick J	Chief Executive Officer	Executive				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Norton, Brian K	Business Development Specialist	Professional				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Plastino, Thomas	Deputy Chief Executive Officer/Chief Financial Officer	Executive				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Rodriguez, Leigh B	Business Development Specialist	Professional				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Sibley, Lori	Secretary	Administrative and Clerical				FT	No	0.00	0	0	0	0	0	0	Yes	Yes
Sweatland, Natalie A	Administrative Assistant	Administrative and Clerical				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Williams, Richard A	Facilities Management Specialist	Technical and Engineering				FT	Yes	0.00	0	0	0	0	0	0	Yes	Yes

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Staples, Brian W	Board of Directors												X	
McMahon, Andrew J	Board of Directors												X	
Hall, Mark C	Board of Directors												X	
Weekes, Jr., R. Joseph	Board of Directors												X	
LaBaff, Ernest	Board of Directors												X	
Peck, Donald	Board of Directors												X	
Blevins, Lynn	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$86,300
Investments	\$0
Receivables, net	\$67,540
Other assets	\$0
Total Current Assets	\$153,840
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$162,636
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
Total Noncurrent Assets	\$162,636
Total Assets	\$316,476

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$0
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$0

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$0

Total Liabilities **\$0**

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$0
Unrestricted	\$316,476
Total Net Assets	\$316,476

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Operating Revenues

Charges for services	\$0
Rental & financing income	\$12,056
Other operating revenues	\$0
Total Operating Revenue	\$12,056

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$13,354
Supplies and materials	\$0
Depreciation & amortization	\$0
Other operating expenses	\$0
Total Operating Expenses	\$13,354

Operating Income (Loss) **(\$1,298)**

Nonoperating Revenues

Investment earnings	\$0
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$0

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$6,500
Total Nonoperating Expenses	\$6,500
Income (Loss) Before Contributions	(\$7,798)
Capital Contributions	\$0
Change in net assets	(\$7,798)
Net assets (deficit) beginning of year	\$324,274
Other net assets changes	\$0
Net assets (deficit) at end of year	\$316,476

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue					
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.slcida.com/microenterprise-programs/microenterprise-meetings-information/2013-meeting-inf
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.slcida.com/microenterprise-programs/microenterprise-meetings-information/2013-meeting-inf
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Loan Information

1. Source of Loan Funds: Municipal
 Name of Loan Recipient: A Unique Boutique for Creatures Great and
 Address Line1: 902 Main Street
 Address Line2:
 City: BRASHER FALLS
 State: NY
 Zip - Plus4: 13613
 Province/Region:
 Country: USA
 Original Amount of Loan: \$8,000
 Date Loan Awarded: 07/13/2011
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$2,570.37
 Purpose of Loan: Business Expansion/Startup

2. Source of Loan Funds: Municipal
 Name of Loan Recipient: AKJ Marine
 Address Line1: 11 Bouck Road
 Address Line2: PO Box 1357
 City: OGDENSBURG
 State: NY
 Zip - Plus4: 13669
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 08/22/2007
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$7,963.67
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 0
 Have the terms of the loan been
 completed? No

Loan Information

3. Source of Loan Funds: Municipal
 Name of Loan Recipient: Adirondack Battery
 Address Line1: 100 Hayden Road
 Address Line2:
 City: POTSDAM
 State: NY
 Zip - Plus4: 13676
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 10/12/2011
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$6,643.63
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

4. Source of Loan Funds: Municipal
 Name of Loan Recipient: Chip's Place
 Address Line1: 5853 State Highway 56
 Address Line2:
 City: HANNAWA FALLS
 State: NY
 Zip - Plus4: 13647
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 03/29/2007
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$18,904.45
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 2
 If yes, how many jobs have been
 created to date? 2
 Have the terms of the loan been
 completed? No

Loan Information

5. Source of Loan Funds: Municipal
 Name of Loan Recipient: Felician House Bed & Breakfast
 Address Line1: 1 Powers Street
 Address Line2:
 City: CANTON
 State: NY
 Zip - Plus4: 13617
 Province/Region:
 Country: USA
 Original Amount of Loan: \$11,200
 Date Loan Awarded: 02/01/2008
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$5,322.97
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

6. Source of Loan Funds: Municipal
 Name of Loan Recipient: GT Speed Shop / Waddington Auto Parts
 Address Line1: 87 West Lincoln Avenue
 Address Line2:
 City: WADDINGTON
 State: NY
 Zip - Plus4: 13694
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 10/17/2012
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$1,688.77
 Purpose of Loan: Business Expansion/Startup
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 0
 Have the terms of the loan been
 completed? No

Loan Information

7. Source of Loan Funds: Municipal
 Name of Loan Recipient: Gordon's Frame to Finish
 Address Line1: 1938 Route 345
 Address Line2:
 City: MADRID
 State: NY
 Zip - Plus4: 13660
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 05/11/2012
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$1,730.33
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 0
 Have the terms of the loan been
 completed? No

8. Source of Loan Funds: Municipal
 Name of Loan Recipient: Hebel Welding & Machine
 Address Line1: 174 Crary Road
 Address Line2:
 City: CANTON
 State: NY
 Zip - Plus4: 13617
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,425
 Date Loan Awarded: 10/28/2005
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$15,292.88
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 3
 Have the terms of the loan been
 completed? No

Loan Information

9. Source of Loan Funds: Municipal
 Name of Loan Recipient: Honey Dew Acres
 Address Line1: 169 Post Road
 Address Line2:
 City: CANTON
 State: NY
 Zip - Plus4: 13617
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 11/17/2010
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$4,979.58
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 2
 If yes, how many jobs have been
 created to date? 2
 Have the terms of the loan been
 completed? No

10. Source of Loan Funds: Municipal
 Name of Loan Recipient: Lucas Motorsports and Twin Pines Quikstop
 Address Line1: 5 River Street
 Address Line2:
 City: NICHOLVILLE
 State: NY
 Zip - Plus4: 12965
 Province/Region:
 Country: USA
 Original Amount of Loan: \$5,000
 Date Loan Awarded: 05/25/2009
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$5,000.00
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? Yes

Loan Information

11. Source of Loan Funds: Municipal
 Name of Loan Recipient: Main Grind Coffeehouse
 Address Line1: St. Lawrence Centre Mall
 Address Line2:
 City: MASSENA
 State: NY
 Zip - Plus4: 13662
 Province/Region:
 Country: USA
 Original Amount of Loan: \$9,900
 Date Loan Awarded: 02/09/2011
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$9,286.17
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 0
 Have the terms of the loan been
 completed? No

12. Source of Loan Funds: Municipal
 Name of Loan Recipient: Maple Run Emporiums
 Address Line1: Maple Street
 Address Line2:
 City: POTSDAM
 State: NY
 Zip - Plus4: 13676
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 03/09/2011
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$9,530.09
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

Loan Information

13. Source of Loan Funds: Municipal
 Name of Loan Recipient: New Horizons Wireless
 Address Line1: PO Box 121
 Address Line2: 6951 County Route 10
 City: LISBON
 State: NY
 Zip - Plus4: 13658
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 01/12/2011
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$9,468.13
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 2
 Have the terms of the loan been
 completed? No

14. Source of Loan Funds: Municipal
 Name of Loan Recipient: River Lane Campground
 Address Line1: 104 River Lane
 Address Line2:
 City: HEUVELTON
 State: NY
 Zip - Plus4: 13654
 Province/Region:
 Country: USA
 Original Amount of Loan: \$19,750
 Date Loan Awarded: 06/08/2010
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$9,478.65
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 3
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

Loan Information

15. Source of Loan Funds: Municipal
 Name of Loan Recipient: River Run Fitness
 Address Line1: 12 Hamilton Street
 Address Line2:
 City: WADDINGTON
 State: NY
 Zip - Plus4: 13694
 Province/Region:
 Country: USA
 Original Amount of Loan: \$8,000
 Date Loan Awarded: 01/12/2011
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 3
 Amount of Loan Principal Repaid
 to Date: \$8,000.00
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 2
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? Yes

16. Source of Loan Funds: Municipal
 Name of Loan Recipient: Sam's Party Tents
 Address Line1: 2496 State Highway 11B
 Address Line2:
 City: POTSDAM
 State: NY
 Zip - Plus4: 13676
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 01/18/2013
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$1,995.67
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

Loan Information

17. Source of Loan Funds: Municipal
 Name of Loan Recipient: Shades Tanning and Hair Salon
 Address Line1: 405 Larue Road
 Address Line2:
 City: MASSENA
 State: NY
 Zip - Plus4: 13662
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 10/17/2012
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Prinicipal Repaid
 to Date: \$1,162.48
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 2
 If yes, how many jobs have been
 created to date? 2
 Have the terms of the loan been
 completed? No

18. Source of Loan Funds: Municipal
 Name of Loan Recipient: Sharrow's Home Repair
 Address Line1: 21 Doran Road
 Address Line2:
 City: STAR LAKE
 State: NY
 Zip - Plus4: 13690
 Province/Region:
 Country: USA
 Original Amount of Loan: \$8,000
 Date Loan Awarded: 10/22/2010
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 3
 Amount of Loan Prinicipal Repaid
 to Date: \$8,000.00
 Purpose of Loan: Business Expansion/Startup
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? Yes

Loan Information

19. Source of Loan Funds: Municipal
 Name of Loan Recipient: Splendid Space
 Address Line1: 4227 State Highway 3
 Address Line2: PO Box 473
 City: STAR LAKE
 State: NY
 Zip - Plus4: 13690
 Province/Region:
 Country: USA
 Original Amount of Loan: \$14,620.67
 Date Loan Awarded: 08/21/2012
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$1,654.52
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

20. Source of Loan Funds: Municipal
 Name of Loan Recipient: St. Lawrence Optical
 Address Line1: 11 1/2 Maple Street
 Address Line2:
 City: POTSDAM
 State: NY
 Zip - Plus4: 13676
 Province/Region:
 Country: USA
 Original Amount of Loan: \$6,500
 Date Loan Awarded: 08/23/2012
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 3
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Equipment and Fixed Asset Acquisition
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 0
 Have the terms of the loan been
 completed? Yes

Loan Information

21. Source of Loan Funds: Municipal
 Name of Loan Recipient: St. Lawrence Valley Roasters
 Address Line1: 219 Baker Road
 Address Line2:
 City: LISBON
 State: NY
 Zip - Plus4: 13658
 Province/Region:
 Country: USA
 Original Amount of Loan: \$18,654
 Date Loan Awarded: 12/07/2011
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 3
 Amount of Loan Principal Repaid
 to Date: \$5,431.59
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 3
 Have the terms of the loan been
 completed? No

22. Source of Loan Funds: Municipal
 Name of Loan Recipient: Sugar Shack Bakery and Cafe
 Address Line1: 6585 State Highway 56
 Address Line2:
 City: POTSDAM
 State: NY
 Zip - Plus4: 13676
 Province/Region:
 Country: USA
 Original Amount of Loan: \$15,000
 Date Loan Awarded: 07/13/2011
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$2,474.41
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 4
 If yes, how many jobs have been
 created to date? 2
 Have the terms of the loan been
 completed? No

Loan Information

23. Source of Loan Funds: Municipal
 Name of Loan Recipient: Susan's Stitches
 Address Line1: 216 Rensselaer Street
 Address Line2:
 City: RENSSELAER FALLS
 State: NY
 Zip - Plus4: 13680
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 08/23/2012
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$2,621.96
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

24. Source of Loan Funds: Municipal
 Name of Loan Recipient: Tony's Canvas and Leather
 Address Line1: 50 Grove Street
 Address Line2:
 City: MASSENA
 State: NY
 Zip - Plus4: 13662
 Province/Region:
 Country: USA
 Original Amount of Loan: \$5,000
 Date Loan Awarded: 03/09/2011
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 5
 Amount of Loan Principal Repaid
 to Date: \$2,452.19
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

Loan Information

25. Source of Loan Funds: Municipal
 Name of Loan Recipient: Valley Greenery
 Address Line1: 1372 State Highway 72
 Address Line2:
 City: COLTON
 State: NY
 Zip - Plus4: 13625
 Province/Region:
 Country: USA
 Original Amount of Loan: \$20,000
 Date Loan Awarded: 06/30/2009
 Interest Rate(%): 4
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$11,633.01
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
in new jobs being created? Yes
If yes, how many jobs were planned
to be created? 2
If yes, how many jobs have been
created to date? 4
Have the terms of the loan been
completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: