

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.cpwa.org/pdf/annual_report_2014.pdf
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.cpwa.org/pdf/annual_report_2014.pdf
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	Yes	N/A
5. Does the Authority have an organization chart?	Yes	www.cpwa.org/other-reports.asp
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	www.cpwa.org/other-reports.asp
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.cpwa.org/pdf/annual_report_2014.pdf

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.cpwa.org/pdf/annual_report_2014.pdf
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.cpwa.org/minutes.asp
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.cpwa.org/other-reports.asp
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.cpwa.org/other-reports.asp
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	No	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Taubkin, Peter	Name	Gerstenberger, Helmut
Chair of the Board	No	Chair of the Board	Yes
If yes, Chair Designated by.		If yes, Chair Designated by.	Local
Term Start Date	04/02/2012	Term Start Date	01/01/2005
Term Expiration Date	12/31/2015	Term Expiration Date	12/31/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Peterson, George	Name	Butler, William
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2011	Term Start Date	10/09/2012
Term Expiration Date	12/31/2015	Term Expiration Date	12/31/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Ryan, John
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	04/21/2008
Term Expiration Date	12/31/2013
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Austin Jr., Donald J	Administrator	Executive				FT	No	89,978.93	89,978.93	0	0	0	0	89,978.93	No	
Austin, James M	Heavy Equipment Operator	Operational		CSEA, Local 1000	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	46,787.29	46,787.29	1,252.02	0	0	149.99	48,189.3	No	
Campoli, Brian M	Summer Help	Operational				PT	No	3,982.25	3,982.25	0	0	0	0	3,982.25	No	
Clark, Nathan R	Water Maintenance Technician	Operational		CSEA, Local 1000	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	41,847.32	41,847.32	8,818.8	0	0	200	50,866.12	No	
Collins, Sheri L	Business Manager	Managerial				FT	No	57,914.56	57,914.56	0	0	0	0	57,914.56	No	
Fox, Dane R	Water Maintenance Technician	Operational		CSEA, Local 1000	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	41,919.41	41,919.41	11,319.93	0	0	119.99	53,359.33	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
French, Darin P	Inspector	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	48,160.21	48,160.21	200.98	0	0	159.98	48,521.17	No	
Golden, Brian T	Summer Help	Operational				PT	No	2,758.25	2,758.25	0	0	0	0	2,758.25	No	
Golden, Corey T	Assistant Water Treatment Plant Operator	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	44,706.71	44,706.71	712.42	0	0	200	45,619.13	No	
Hatter, Jeffrey S	Distribution System Foreman	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	53,060.31	53,060.31	1,273.57	0	0	129.99	54,463.87	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Hoff, Alec J	Water Maintenance Technician	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	40,631.95	40,631.95	8,255.52	0	450	200	49,537.47	No	
Horner, David J	Summer Help	Operational				PT	No	6,970.00	6,970	0	0	0	0	6,970	No	
Mackey, Eric R	Meter Reader	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	35,226.75	35,226.75	0	0	0	161.95	35,388.7	No	
Macygin, Filomena M	Administrative Assistant	Administrative and Clerical		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	41,329.80	41,329.8	0	0	0	0	41,329.8	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Barga-ning Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Marshall, Ronald P	Water Treatment Plant Operator	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	53,070.24	53,070.24	1,626.61	0	0	192.98	54,889.83	No	
Miller, Kenneth B	Water Maintenance Technician	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	42,066.81	42,066.81	7,790.23	0	1,800	200	51,857.04	No	
Mountain, Christina J	Customer Service Representative	Administrative and Clerical		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	37,369.95	37,369.95	0	0	0	0	37,369.95	No	
Schlesier Jr., Walter J	Superintendent	Managerial				FT	No	79,441.15	79,441.15	0	0	0	0	79,441.15	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Barga-ning Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Simmons, Anthony F	Laborer	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	37,728.20	37,728.2	2,821.91	0	0	198.97	40,749.08	No	
Straney, Christopher D	Water Maintenance Technician	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	44,323.56	44,323.56	6,540.39	0	0	179.98	51,043.93	No	
Talbot, Lynn E	Billing Clerk	Administrative and Clerical		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	40,136.70	40,136.7	0	0	0	0	40,136.7	No	
Weiner, Neil S	Attorney	Professional				PT	No	24,000.00	24,000	0	0	0	0	24,000	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Peterson, George	Board of Directors												X	
Gerstenberger, Helmut	Board of Directors													X
Taubkin, Peter	Board of Directors												X	
Ryan, John	Board of Directors												X	
Butler, William	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? No
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
Country Knolls Water Works, Inc.	06/22/1992	Water system owned and operated by the Clifton Park Water Authority.

Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$2,099,267
Investments	\$0
Receivables, net	\$621,217
Other assets	\$82,610
Total Current Assets	\$2,803,094
Noncurrent Assets	
Restricted cash and investments	\$2,615,956
Long-term receivables, net	\$0
Other assets	\$865,750
Capital Assets	
Land and other nondepreciable property	\$606,272
Buildings and equipment	\$11,959,733
Infrastructure	\$27,273,846
Accumulated depreciation	\$15,062,311
Net Capital Assets	\$24,777,540
Total Noncurrent Assets	\$28,259,246
Total Assets	\$31,062,340

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$170,589
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$608,606
Deferred revenues	\$964,106
Bonds and notes payable	\$1,195,501
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$2,938,802

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$741,580
Bonds and notes payable	\$19,785,000
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$20,526,580

Total Liabilities

\$23,465,382

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$3,797,039
Restricted	\$2,615,956
Unrestricted	\$1,183,963
Total Net Assets	\$7,596,958

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$5,476,746
Rental & financing income	\$0
Other operating revenues	\$0
Total Operating Revenue	\$5,476,746

Operating Expenses

Salaries and wages	\$971,367
Other employee benefits	\$518,564
Professional services contracts	\$131,416
Supplies and materials	\$130,463
Depreciation & amortization	\$1,067,795
Other operating expenses	\$1,417,339
Total Operating Expenses	\$4,236,944

Operating Income (Loss) **\$1,239,802**

Nonoperating Revenues

Investment earnings	\$7,890
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$117,942
Total Nonoperating Revenue	\$125,832

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$1,128,911
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$231,066
Total Nonoperating Expenses	\$1,359,977
Income (Loss) Before Contributions	\$5,657
Capital Contributions	\$0
Change in net assets	\$5,657
Net assets (deficit) beginning of year	\$7,591,301
Other net assets changes	\$0
Net assets (deficit) at end of year	\$7,596,958

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
2003 Bond Refunding	Refunding 13,860,000.00 New 0.00 Total 13,860,000.00		07/10/2013		Negotiated	2.99	Fixed	13	233,294.30		

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	23,575,000.00	13,860,000.00	16,520,000.00	20,915,000.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.cpwa.org/other-reports.asp
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.cpwa.org/other-reports.asp
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: