

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.DCWWA.org
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.DCWWA.org
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	Yes	N/A
5. Does the Authority have an organization chart?	Yes	http://www.DCWWA.org
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.DCWWA.org
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.DCWWA.org

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.DCWWA.org
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.DCWWA.org
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.DCWWA.org
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.DCWWA.org
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	http://www.DCWWA.org

Board of Directors Listing

Name	Vavra, Rudy	Name	DiMaso, Vincent J
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	06/20/2013	Term Start Date	01/01/2007
Term Expiration Date	12/31/2014	Term Expiration Date	12/31/2011
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	LeGrand, Thomas	Name	Donohue, Ann Marie
Chair of the Board	Yes	Chair of the Board	No
If yes, Chair Designated by.	Elected by Board	If yes, Chair Designated by.	
Term Start Date	01/01/2004	Term Start Date	01/01/2011
Term Expiration Date	12/31/2008	Term Expiration Date	12/31/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Knapp, Larry
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	02/18/2013
Term Expiration Date	12/31/2017
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Barclay, Bridget	Executive Director	Executive				FT	Yes	97,968.62	97,968.62	0	0	0	2,500	100,468.62	No	
Burger, Jacqueline	Senior Typist	Administrative and Clerical				FT	No	36,026.67	36,026.67	0	0	0	0	36,026.67	No	
Churins, Jonathan	Water and Wastewater Authority Project Facilitator	Technical and Engineering				FT	Yes	67,130.57	67,130.57	0	0	0	0	67,130.57	No	
Corey, Jr., Donald	Water Maintenance Mechanic	Operational				FT	No	55,241.05	55,241.05	2,466.8	0	0	0	57,707.85	No	
Doyle, Robert	Water Maintenance Mechanic	Operational				FT	No	57,071.39	57,071.39	6,338.14	0	0	0	63,409.53	No	
Fadden, Peter	Project Manager (Water and Wastewater)	Technical and Engineering				FT	Yes	115,288.98	115,288.98	0	0	0	0	115,288.98	No	
Gilnack, Gerald	Superintendent of Water District	Managerial				PT	Yes	12,031.08	12,031.08	0	0	0	0	12,031.08	No	
Goettel, Glenn	Water Maintenance Mechanic	Operational				FT	No	53,645.29	53,645.29	6,714.33	0	0	0	60,359.62	No	
Hallenbeck, Karen	Principal Clerk	Administrative and Clerical				FT	No	47,690.77	47,690.77	0	0	0	0	47,690.77	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Heupler, Steven	Water Treatment Plant Operator Grade II	Operational				FT	No	72,321.14	72,321.14	4,155.57	0	0	0	76,476.71	No	
Licis, Michael	Laborer	Operational				FT	No	43,292.68	43,292.68	3,275.9	0	0	0	46,568.58	No	
Lutz, Daniel	Water Treatment Plant Operator Grade II	Operational				FT	No	52,555.37	52,555.37	3,371.51	0	0	0	55,926.88	No	
McGuire, Lisa	Principal Clerk	Administrative and Clerical				FT	No	44,119.64	44,119.64	0	0	0	0	44,119.64	No	
Mills, Edward	Water and Wastewater Authority Project Facilitator	Technical and Engineering				FT	Yes	69,252.76	69,252.76	0	0	0	0	69,252.76	No	
Morris, Mary	Treasurer	Managerial				FT	Yes	89,534.20	89,534.2	0	0	0	0	89,534.2	No	
O'Neill, Robert	Water Distribution System Operator Grade D	Operational				FT	No	63,845.16	63,845.16	6,803.37	0	0	0	70,648.53	No	
Odell, Douglas	Director of Operations	Managerial				FT	No	72,387.29	72,387.29	0	0	0	0	72,387.29	No	
Rosencrans, Jeff	Wastewater Authority Helper	Operational				FT	No	26,840.48	26,840.48	0	0	0	0	26,840.48	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Underwood, Kenneth	Water Treatment Plant Operator Grade II	Operational				FT	No	63,845.16	63,845.16	13,156.82	0	0	0	77,001.98	No	
Winchester, Richard F	Water Maintenance Mechanic	Operational				FT	No	52,605.00	10,232.5	151.74	0	0	0	10,384.24	No	
Wojcik, Rose	Administrative Secretary	Administrative and Clerical				FT	No	53,342.00	28,713.76	0	0	0	0	28,713.76	No	
Zanetti, Fred	Junior Planner	Operational				FT	No	56,435.81	56,435.81	0	0	0	0	56,435.81	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
LeGrand, Thomas	Board of Directors												X	
Donohue, Ann Marie	Board of Directors												X	
DiMaso, Vincent J	Board of Directors												X	
Knapp, Larry	Board of Directors												X	
Vavra, Rudy	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Fadden, Peter	Project Manager (Water and Wastewater)												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$2,992,620
Investments	\$1,572,967
Receivables, net	\$1,640,975
Other assets	\$87,856
Total Current Assets	\$6,294,418
Noncurrent Assets	
Restricted cash and investments	\$2,631,539
Long-term receivables, net	\$0
Other assets	\$72,788
Capital Assets	
Land and other nondepreciable property	\$834,808
Buildings and equipment	\$21,646,547
Infrastructure	\$52,718,531
Accumulated depreciation	\$21,086,384
Net Capital Assets	\$54,113,502
Total Noncurrent Assets	\$56,817,829
Total Assets	\$63,112,247

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$646,024
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$425,421
Deferred revenues	\$26,371,798
Bonds and notes payable	\$1,990,000
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$29,433,243

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$1,100,666
Bonds and notes payable	\$32,677,062
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$33,777,728

Total Liabilities

\$63,210,971

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$717,054
Restricted	\$2,569,001
Unrestricted	(\$3,384,779)
Total Net Assets	(\$98,724)

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$4,961,041
Rental & financing income	\$113,721
Other operating revenues	\$1,500
Total Operating Revenue	\$5,076,262

Operating Expenses

Salaries and wages	\$1,193,098
Other employee benefits	\$811,877
Professional services contracts	\$104,379
Supplies and materials	\$561,695
Depreciation & amortization	\$1,265,184
Other operating expenses	\$2,215,491
Total Operating Expenses	\$6,151,724

Operating Income (Loss) **(\$1,075,462)**

Nonoperating Revenues

Investment earnings	\$141,254
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$2,762,238
Public authority subsidies	\$0
Other nonoperating revenues	\$29,124
Total Nonoperating Revenue	\$2,932,616

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$1,506,292
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$169,694
Total Nonoperating Expenses	\$1,675,986
Income (Loss) Before Contributions	\$181,168
Capital Contributions	\$0
Change in net assets	\$181,168
Net assets (deficit) beginning of year	(\$279,892)
Other net assets changes	\$0
Net assets (deficit) at end of year	(\$98,724)

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	36,011,807.00	0.00	1,344,745.00	34,667,062.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.DCWWA.org
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.DCWWA.org
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: