

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.ocwa.org/governance/financial-reports/
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.ocwa.org/governance/financial-reports/
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.ocwa.org/wp-content/uploads/2012/09/2014OrgTable.pdf
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.ocwa.org/about/mission-vision/
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.ocwa.org/governance/financial-reports/

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.ocwa.org/wp-content/uploads/2013/03/BoardCommittee.pdf
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.ocwa.org/governance/board-items/
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.ocwa.org/wp-content/uploads/2012/09/OCWA-By-Laws-Amended-10-25-13.pdf
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.ocwa.org/about/code-of-ethics/
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Miller, Susan A	Name	Bianchini, John
Chair of the Board	No	Chair of the Board	Yes
If yes, Chair Designated by.		If yes, Chair Designated by.	Elected by Board
Term Start Date	11/01/2011	Term Start Date	07/01/2013
Term Expiration Date	07/01/2014	Term Expiration Date	07/01/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Gardiner, Kenneth	Name	Picardi, Ferdinand
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	08/06/2013	Term Start Date	06/03/2008
Term Expiration Date	07/01/2015	Term Expiration Date	12/31/2013
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Gilligan, Eileen D
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	11/01/2011
Term Expiration Date	07/01/2014
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Abold, Suzanne	Clerk 2	Administrative and Clerical				FT	No	50,082.24	50,250.94	44.61	0	0	0	50,295.55	No	
Avery, Cal	Pump Maintenance Worker	Operational				FT	No	49,364.85	50,962.45	10,115.62	0	0	0	61,078.07	No	
Bacon, Jon	Maintenance Mechanic II	Operational				FT	No	58,183.63	58,426.24	7,087.07	0	0	0	65,513.31	No	
Baker, Jeffrey	Water Meter Repair Worker 1	Operational				FT	No	51,762.67	52,122.1	1,865.33	0	0	250	54,237.43	No	
Baranello, John	Pump Maintenance Worker	Operational				FT	No	49,364.85	51,261.5	17,138.21	0	0	250	68,649.71	No	
Battles, Kelli	Information Aide	Administrative and Clerical				FT	No	53,450.80	65,421.69	0	0	0	0	65,421.69	No	
Beckerling, Kenneth	Water Plant Lab Technician	Technical and Engineering				FT	No	52,638.56	52,836.31	3,222.36	0	0	250	56,308.67	No	
Berson, Steven	Water Systems Chemist 1	Professional				FT	No	70,481.63	82,444.49	4,433.33	0	0	300	87,177.82	No	
Bettinger, Anson	Engineering Technician 1	Technical and Engineering				FT	No	53,437.49	53,623.13	1,600.01	0	0	0	55,223.14	No	
Bianchini, John	Board of Directors	Executive				FT	Yes	7,500.00	4,105.62	0	0	0	0	4,105.62	No	
Blanchard, Barry	Mgr of Technical Support	Managerial				FT	Yes	90,607.79	90,565.42	0	0	0	300	90,865.42	No	
Botz, William	Asst Meter Repair Supervisor	Managerial				FT	No	62,211.34	62,659.52	32,077.61	0	0	408.28	95,145.41	No	

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Boyer, Troy	Water Meter Repair Worker II	Operational				FT	No	56,311.63	56,931.69	23,455.73	0	0	0	80,387.42	No	
Brackley, Margaret	Account Clerk 3	Administrative and Clerical				FT	No	54,408.22	51,428.87	17,525.66	0	0	304.15	69,258.68	No	
Brown, Jeffrey	Counsel	Professional				PT	Yes	85,000.00	61,397.27	0	0	0	0	61,397.27	No	
Buck, Daniel	Pump Maintenance Worker	Operational				FT	No	50,612.85	53,327.23	13,987.63	0	0	0	67,314.86	No	
Bugge, Anthony	Meter Reader I	Operational				FT	No	44,969.39	16,858.26	518.88	0	0	0	17,377.14	No	
Bugge, Anthony	Water Meter Repair Worker II	Operational				FT	No	51,968.18	51,797.91	3,240.86	0	0	0	55,038.77	No	
Burdick, David	Water Maintenance Crew Leader	Operational				FT	No	59,788.77	59,351.12	8,069.92	0	0	108.28	67,529.32	No	
Byrnes, Gloria	Data Center Help Desk Operator	Administrative and Clerical				FT	No	50,082.24	50,250.63	0	0	0	0	50,250.63	No	
Cafarelli, Donald	Instrumentation Mechanic II	Operational				FT	No	57,219.97	57,618.38	19,890.56	0	0	250	77,758.94	No	
Cantello, Melissa	Account Clerk 1	Administrative and Clerical				FT	No	42,591.54	36,863.37	1,329.06	0	0	0	38,192.43	No	
Caramanna, Kelly	Information Aide 2	Administrative and Clerical				FT	Yes	48,027.71	48,005.19	0	0	0	0	48,005.19	No	
Cass, Thomas	Driver Messenger	Operational				PT	No	22,880.00	10,604	0	0	0	0	10,604	No	

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Cebeniak, Timothy	Water Plant Operator (Type A)	Operational				FT	No	51,806.56	54,072.96	6,292.57	0	0	250	60,615.53	No	
Chabot, Matthew	Pump Maintenance Crew Leader	Operational				FT	No	57,143.22	56,638.97	3,611.92	0	0	0	60,250.89	No	
Churmusi, John	Water Plant Operator (Type A)	Operational				FT	No	52,638.56	54,598.12	8,991.33	0	0	250	63,839.45	No	
Clark, Bryan	Underground Facility Locator	Operational				FT	No	47,369.92	1,829.12	17.08	0	0	0	1,846.2	No	
Collins, Jarrod	Meter Reader I	Operational				FT	No	49,364.85	56,818.99	2,382.05	0	0	0	59,201.04	No	
Coufal, Mark	Meter Reader I	Operational				FT	No	49,364.85	48,200.08	1,540.56	0	0	0	49,740.64	No	
Crouse, Kevin	Underground Facility Locator	Operational				FT	No	53,336.61	53,644.44	12,952.7	0	0	250	66,847.14	No	
Crouse, Richard	Principal Plant Operator Type A	Operational				FT	No	63,545.04	63,515.18	12,675.45	0	0	0	76,190.63	No	
Dafoe, Mark	Meter Reader I	Operational				FT	No	44,969.39	42,005.52	0	0	0	0	42,005.52	No	
Degon, Mark	Meter Reader II	Operational				FT	No	52,055.74	52,248.1	1,542.45	0	0	250	54,040.55	No	
Denué, Jeffrey	Water Maintenance Crew Leader	Operational				FT	No	56,593.89	56,332.14	12,713.04	0	0	0	69,045.18	No	

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Donovan, Thomas	Water Plant Operator (Type A)	Operational				FT	No	52,638.56	53,314.99	8,032.84	0	0	0	61,347.83	No	
Drake, Stephen	Water Sys Constr Designer	Technical and Engineering				FT	No	59,347.18	54,245.59	407.44	0	0	0	54,653.03	No	
Dristle, Brian	Water Systems Chemist 1	Professional				FT	No	64,273.04	76,239.11	5,658.27	0	0	300	82,197.38	No	
Eckert, Michael	Instrumentation Mechanic II	Operational				FT	No	57,219.97	57,568.08	13,953.86	0	0	0	71,521.94	No	
Fahrbach, Timothy	Drafting Technician 1	Technical and Engineering				FT	No	51,427.58	51,626.29	2,657.19	0	0	0	54,283.48	No	
Fedrizzi, Douglas	Water Meter Repair Worker II	Operational				FT	No	56,311.63	56,609.22	12,802.54	0	0	0	69,411.76	No	
Fellows Jr, Christopher	Underground Facility Locator	Operational				FT	No	53,336.61	53,812.89	25,343.49	0	0	0	79,156.38	No	
Ferlenda, Gregory	Water Maintenance Worker 1	Operational				FT	No	49,060.75	49,186.31	18,099.16	0	0	0	67,285.47	No	
Friedman, Howard	Supv of Accounts Collections	Administrative and Clerical				FT	No	61,583.81	61,554.86	12,390.78	0	0	300	74,245.64	No	

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Gale, Kevin	Water Maintenance Crew Leader	Operational				FT	No	58,540.77	54,883.06	14,402.27	0	0	250	69,535.33	No	
Gardiner, Kenneth	Treasurer	Executive				FT	Yes	3,000.00	1,153.8	0	0	0	0	1,153.8	No	
Gauthier, Timothy	Meter Reader I	Operational				FT	No	49,364.85	58,525.43	2,768.05	0	0	0	61,293.48	No	
Geiss Jr, Anthony	Deputy Executive Director	Executive				FT	Yes	124,356.92	124,319.3	0	0	0	300	124,619.3	No	
German Jr, George	Water Maintenance Supervisor	Managerial				FT	Yes	84,395.38	85,135.89	0	0	0	0	85,135.89	No	
Gilbert, Tavia	Water Systems Construction Designer	Technical and Engineering				FT	No	62,600.72	62,782.04	1,020.8	0	0	0	63,802.84	No	
Gilligan, Eileen	Board of Directors	Executive				FT	Yes	4,000.00	3,153.72	0	0	0	0	3,153.72	No	
Gorski, Stephen	Water Maintenance Worker 1	Operational				FT	No	49,684.75	49,966.43	10,191.01	0	0	0	60,157.44	No	
Hart, Theodore	Asst Water Maintenance Supv	Managerial				FT	No	63,248.85	66,067.13	27,990.63	0	0	27.07	94,084.83	No	
Hartnett, James	Water Meter Repair Worker II	Operational				FT	No	52,592.18	51,236.46	12,036.5	0	0	54.15	63,327.11	No	
Hartwell, Diane	Account Clerk II	Administrative and Clerical				FT	No	49,364.85	49,082.26	8,602.84	0	0	250	57,935.1	No	
Hartwell, Janice	Accountant I	Professional				FT	No	72,730.11	72,695.99	7,954.85	0	0	0	80,650.84	No	

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Hayes, Sean	Asst Water Distribution Mgr	Managerial				FT	No	66,625.10	66,593.8	528.51	0	0	0	67,122.31	No	
Hooker, Michael	Executive Director	Executive				FT	Yes	143,379.89	143,336.69	0	0	0	0	143,336.69	No	
Horton, Marissa	Account Clerk 1	Administrative and Clerical				FT	No	39,593.42	17,595.23	421.14	0	0	0	18,016.37	No	
Hughes, Donna	Account Clerk II	Administrative and Clerical				FT	No	49,364.85	49,631.57	12,006.74	0	0	0	61,638.31	No	
Jaquith, Michael	INSTRUMENTATION CREW LEADER	Operational				FT	No	58,531.62	58,871.45	14,648.23	0	0	0	73,519.68	No	
Jones, Mary Jane	Account Clerk II	Administrative and Clerical				FT	No	49,364.85	49,540.4	1,289.45	0	0	0	50,829.85	No	
Jones-McGuire, Janis	Clerk 2	Administrative and Clerical				FT	No	50,706.24	50,903.63	2,471.92	0	0	0	53,375.55	No	
Khanzadian, Laureen	Secretary Water Authority	Managerial				FT	Yes	91,000.00	88,743.66	0	0	0	300	89,043.66	No	
Krug, Tina	Clerk 2	Administrative and Clerical				PT	No	25,041.12	31,733.83	353.8	0	0	0	32,087.63	No	
Lamb, David	Water System Construction Insp	Technical and Engineering				FT	No	57,799.46	57,238.48	9,007.48	0	0	250	66,495.96	No	
Lambert, Billy	Water Maintenance Crew Leader	Operational				FT	No	57,916.77	58,375.19	22,969.08	0	0	0	81,344.27	No	
Lamphere, Jeremy	Water Maintenance Worker 1	Operational				FT	No	45,397.66	45,533.87	7,688.46	0	0	0	53,222.33	No	

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Langdon, Gregory	Water Plant Operator (Type A)	Operational				FT	No	51,806.56	54,031.75	1,363.86	0	0	0	55,395.61	No	
Macko, Jerrod	Engineering Technician 2	Technical and Engineering				FT	No	56,334.30	56,614.3	9,446.38	0	0	250	66,310.68	No	
Marvin, Curtis	Fiscal Officer	Managerial				FT	Yes	93,549.13	93,505.16	0	0	0	0	93,505.16	No	
Mathewson Jr, Terry	Maintenance Mechanic II	Operational				FT	No	57,219.97	57,448.06	5,690.69	0	0	0	63,138.75	No	
Mathis, David	Water Maintenance Worker 1	Operational				FT	No	49,684.75	49,710.73	4,464.83	0	0	0	54,175.56	No	
McClary, Frederick	Water Maintenance Crew Leader	Operational				FT	No	57,916.77	58,284.82	18,448.24	0	0	0	76,733.06	No	
McElhannon, Jesse	Water Maintenance Worker 1	Operational				FT	No	49,060.75	48,739.06	4,140.29	0	0	0	52,879.35	No	
McIntyre, Todd	Water Maintenance Worker 2	Operational				FT	No	53,149.20	53,206.37	10,380.24	0	0	27.07	63,613.68	No	
McPherson, Mark	Pump Maintenance Worker	Operational				FT	No	47,167.12	48,299.28	15,189.2	0	0	0	63,488.48	No	
Mech, David	Pump Maintenance Worker	Operational				FT	No	50,612.85	53,221.78	14,349.89	0	0	0	67,571.67	No	
Miller, Adam	Water Maintenance Worker 1	Operational				FT	No	49,060.75	49,347.89	7,984.94	0	0	0	57,332.83	No	

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Miller, Geoffrey	Executive Engineer	Managerial				FT	Yes	110,612.44	110,578.82	0	0	0	300	110,878.82	No	
Miller, Susan	Board of Directors	Executive				FT	Yes	3,000.00	2,999.88	0	0	0	0	2,999.88	No	
Mitchell, James	Water Maintenance Worker 2	Operational				FT	No	53,149.20	53,501.76	16,546.56	0	0	0	70,048.32	No	
Moran, John	Water Maintenance Worker 1	Operational				FT	No	44,664.88	42,967.97	11,222.04	0	0	0	54,190.01	No	
Murphy, Mark	Water Plant Manager (Type A)	Managerial				FT	Yes	87,432.44	100,167.2	0	0	0	1,748.62	101,915.82	No	
Murphy, Peter	Water Plant Operator (Type A)	Operational				FT	No	52,638.56	53,279.53	7,515	0	0	250	61,044.53	No	
Nigro, Michael	Water Maintenance Worker 1	Operational				FT	No	44,664.88	5,522.41	1,465.57	0	0	0	6,987.98	No	
O'Malley, Paul	Utilities Billing Supervisor	Managerial				FT	Yes	85,940.50	85,900.09	0	0	0	300	86,200.09	No	
O'Sullivan, Timothy	Automotive Mechanic	Operational				FT	No	53,692.91	53,875.05	1,872.62	0	0	0	55,747.67	No	
Oemcke, Michael	Water Systems Engineering Tech	Technical and Engineering				FT	No	61,976.72	62,008.1	12,503.06	0	0	0	74,511.16	No	
Owens, Deborah	Engineering Technician 1	Technical and Engineering				FT	No	51,172.78	50,772.71	377.01	0	0	0	51,149.72	No	

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Palamara, Anthony	Water Distribution Manager	Managerial				FT	Yes	78,397.60	79,141.02	0	0	0	0	79,141.02	No	
Palamara, John	Pump Maintenance Worker	Operational				FT	No	53,500.72	52,270.19	9,519.47	0	0	27.07	61,816.73	No	
Pallone, Christopher	Water Maintenance Worker 1	Operational				FT	No	45,397.66	45,375.99	14,734.27	0	0	250	60,360.26	No	
Parks, Daniel	Water Maintenance Worker 1	Operational				FT	No	49,684.75	49,861.65	993.59	0	0	250	51,105.24	No	
Patapow, David	Water Maintenance Worker 1	Operational				FT	No	49,060.75	49,355.13	12,446.24	0	0	0	61,801.37	No	
Perperian, John	Water Meter Repair Worker II	Operational				FT	No	51,968.18	51,865.57	8,987.24	0	0	0	60,852.81	No	
Petrocci, Charles	Water Maintenance Worker 1	Operational				FT	No	44,664.88	42,914.98	7,513.25	0	0	0	50,428.23	No	
Pirro, Anthony	Stock Attendant	Operational				FT	No	44,665.92	9,193.07	128.84	0	0	0	9,321.91	No	
Pirro, Joseph	Maintenance Mechanic II	Operational				FT	No	57,219.97	57,436.18	4,750.25	0	0	0	62,186.43	No	
Prior, Brian	Water Maintenance Crew Leader	Operational				FT	No	57,217.89	57,148.5	26,055.79	0	0	250	83,454.29	No	
Roberts, Joel	Underground Facility Locator	Operational				FT	No	47,369.92	40,989.42	1,008.43	0	0	0	41,997.85	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Robinson, Terence	Asst Water Maintenance Supv	Managerial				FT	No	66,354.70	70,544.65	36,276.56	0	0	300	107,121.21	No	
Rose, Mary	Account Clerk 3	Administrative and Clerical				FT	No	63,179.38	63,149.79	0	0	0	0	63,149.79	No	
Roser, Vaughn	Water Maintenance Worker 1	Operational				FT	No	49,684.75	50,028.6	15,209.35	0	0	0	65,237.95	No	
Rusyn, Robert	Water Quality Manager	Managerial				FT	No	77,139.92	77,103.73	13,054.46	0	0	300	90,458.19	No	
Salvagni, Robert	Storekeeper	Operational				FT	No	56,447.04	56,799.04	17,193.63	0	0	0	73,992.67	No	
Sawicki II, Steven	Engineering Technician 2	Technical and Engineering				FT	No	57,066.88	56,627.31	119.51	0	0	250	56,996.82	No	
Sawicki, Lana	Account Clerk II	Administrative and Clerical				FT	No	49,364.85	49,609.73	8,065.83	0	0	0	57,675.56	No	
Sawmiller III, Gregory	Pump Maintenance Worker	Operational				FT	No	49,364.85	49,627.67	6,994.55	0	0	0	56,622.22	No	
Saylor, Christine	Account Clerk II	Administrative and Clerical				FT	No	49,364.85	16,962.7	961.2	0	0	0	17,923.9	No	
Scarcella, Rocco	Water Meter Repair Worker II	Operational				FT	No	56,311.63	56,526.99	3,625.9	0	0	0	60,152.89	No	
Schelah, Michael	Water Maintenance Worker 2	Operational				FT	No	52,439.30	52,042.34	1,510.67	0	0	0	53,553.01	No	
Sgroi, Samuel	Water Maintenance Worker 2	Operational				FT	No	53,149.20	53,462.26	13,601.68	0	0	0	67,063.94	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Sharkey, Scott	Water Maintenance Crew Leader	Operational				FT	No	57,916.77	57,490.01	1,789.65	0	0	0	59,279.66	No	
Sherlock, Patrick	Management Engineer	Managerial				FT	Yes	84,258.83	83,702.61	596.79	0	0	300	84,599.4	No	
Snow, Jeffrey	Water Plant Operator (Type A)	Operational				FT	No	52,638.56	54,288.71	4,846.08	0	0	250	59,384.79	No	
Spencer, James	Asst Water Maintenance Supv	Managerial				FT	No	66,354.70	72,358.75	18,419.75	0	0	54.15	90,832.65	No	
Stadelman, Maureen	Account Clerk II	Administrative and Clerical				FT	No	49,364.85	49,590.01	6,582.3	0	0	250	56,422.31	No	
Standish, Lori	Payroll Clerk	Administrative and Clerical				FT	No	60,104.51	60,076.31	0	0	0	0	60,076.31	No	
Stevens, Dick	Asst Water Maintenance Supv	Managerial				FT	No	63,248.85	67,461.51	35,158.54	0	0	300	102,920.05	No	
Sullivan Jr, John	Water Meter Repair Worker 1	Operational				FT	No	50,514.67	53,248.14	866.54	0	0	0	54,114.68	No	
TenEyck, Timothy	Water Meter Repair Worker II	Operational				FT	No	56,311.63	56,628.2	12,051.16	0	0	0	68,679.36	No	
Torres, Angel	Inventory Control Supervisor	Professional				FT	Yes	78,147.26	90,106.44	0	0	0	0	90,106.44	No	
VanDeusen Jr, Francis	Underground Facility Locator	Operational				FT	No	53,336.61	53,629.97	10,628	0	0	0	64,257.97	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Vetter, Albert	Water Maintenance Worker 1	Operational				FT	No	44,664.88	43,319.45	13,014.69	0	0	0	56,334.14	No	
Vicik, Annette	Information Aide	Administrative and Clerical				FT	No	49,211.34	49,380.14	283.91	0	0	250	49,914.05	No	
Vinson, Jeffrey	Water Maintenance Worker 2	Operational				FT	No	53,149.20	53,560.52	19,775.56	0	0	250	73,586.08	No	
Voss, Mary	Account Clerk II	Administrative and Clerical				FT	No	57,827.95	57,800.89	0	0	0	0	57,800.89	No	
Walburger, Seth	Pump Maintenance Worker	Operational				FT	No	46,434.54	46,721.48	13,812.41	0	0	0	60,533.89	No	
Ware, John	Water Meter Repair Worker II	Operational				FT	No	56,311.63	57,013.35	31,500.99	0	0	0	88,514.34	No	
Warner, Jaimie	Water Maintenance Crew Leader	Operational				FT	No	57,916.77	58,239.19	13,322.54	0	0	0	71,561.73	No	
Welch, Margaret	Water Meter Repair Worker II	Operational				FT	No	56,311.63	56,275.5	964.39	0	0	0	57,239.89	No	
White, Kevin	Water Maintenance Worker 2	Operational				FT	No	52,525.20	52,373.84	4,336.66	0	0	0	56,710.5	No	
White, William	Water Maintenance Worker 2	Operational				FT	No	53,149.20	53,481.84	14,654.7	0	0	108.28	68,244.82	No	
Williams, Richard	Water Meter Repair Worker II	Operational				FT	No	51,573.18	52,476.75	5,374.76	0	0	0	57,851.51	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Wisely, Timothy	Info Systems Coordinator	Professional				FT	No	68,293.06	80,257.09	4,223.17	0	0	300	84,780.26	No	
Zacharias, Donald	Meter Reader I	Operational				FT	No	49,364.85	54,963.89	0	0	0	0	54,963.89	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Gardiner, Kenneth	Board of Directors												X	
Bianchini, John	Board of Directors												X	
Gilligan, Eileen D	Board of Directors												X	
Miller, Susan A	Board of Directors												X	
Picardi, Ferdinand	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Geiss Jr, Anthony	Deputy Executive Director				X									
Hooker, Michael	Executive Director				X									
Miller, Geoffrey	Executive Engineer				X									

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$507,673
Investments	\$0
Receivables, net	\$7,338,838
Other assets	\$2,318,712
Total Current Assets	\$10,165,223
Noncurrent Assets	
Restricted cash and investments	\$24,707,265
Long-term receivables, net	\$0
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$963,543
Buildings and equipment	\$50,081,205
Infrastructure	\$259,791,960
Accumulated depreciation	\$90,597,943
Net Capital Assets	\$220,238,765
Total Noncurrent Assets	\$244,946,030
Total Assets	\$255,111,253

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$2,213,616
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$1,634,014
Deferred revenues	\$0
Bonds and notes payable	\$3,184,395
Other long-term obligations due within one year	\$82,550
Total Current Liabilities	\$7,114,575

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$12,018,336
Bonds and notes payable	\$63,464,002
Long Term Leases	\$184,175
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$75,666,513

Total Liabilities

\$82,781,088

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$153,830,203
Restricted	\$23,345,031
Unrestricted	(\$4,845,069)
Total Net Assets	\$172,330,165

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$37,687,555
Rental & financing income	\$0
Other operating revenues	\$1,130,295
Total Operating Revenue	\$38,817,850

Operating Expenses

Salaries and wages	\$8,208,636
Other employee benefits	\$5,438,404
Professional services contracts	\$278,624
Supplies and materials	\$965,279
Depreciation & amortization	\$5,937,429
Other operating expenses	\$14,075,840
Total Operating Expenses	\$34,904,212

Operating Income (Loss) **\$3,913,638**

Nonoperating Revenues

Investment earnings	\$15,273
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$17,628
Total Nonoperating Revenue	\$32,901

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$2,740,529
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$2,740,529
Income (Loss) Before Contributions	\$1,206,010
Capital Contributions	\$2,140,324
Change in net assets	\$3,346,334
Net assets (deficit) beginning of year	\$168,983,831
Other net assets changes	\$0
Net assets (deficit) at end of year	\$172,330,165

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
General Water System Revenue Bonds Series 2013	Refunding 8,390,000.00 New 0.00 Total 8,390,000.00		03/05/2013		Negotiated	2.11	Fixed	13	97,476.00		

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	67,397,835.00	8,390,000.00	11,115,000.00	64,672,835.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

1. Property Description: 2012 John Deere Backhoe
VIN#1T0310KXCCE229646

Estimated Fair Market Value: \$57,700.00

How was Fair Market Value

Determined?: Appraisal

Transaction Date: 06/04/2013

Sale Price: \$57,700.00

Organization: Five Star Equipment, Inc

Last Name:

First Name:

Purchaser Address

Address Line1: 6500 East Taft Rd

Address Line2:

City: EAST SYRACUSE

State: NY

Postal Code: 13057

Plus4:

Province/Region:

Country: USA

2. Property Description: 2002 John Deere Backhoe VIN#T0310GX904630

Estimated Fair Market Value: \$16,000.00

How was Fair Market Value

Determined?: Appraisal

Transaction Date: 06/04/2013

Sale Price: \$16,000.00

Organization: Five Star Equipment, Inc

Last Name:

First Name:

Purchaser Address

Address Line1: 6500 East Taft Rd

Address Line2:

City: EAST SYRACUSE

State: NY

Postal Code: 13057

Plus4:

Province/Region:

Country: USA

Personal Property

3. Property Description: 2006 Chevrolet G2500 Cargo Van
VIN#1GCGG25V1612248528

Estimated Fair Market Value: \$5,700.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 11/23/2013

Sale Price: \$5,700.00

Organization: GYMO ARCH Engineering & Land Surveying

Last Name:

First Name:

Purchaser Address

Address Line1: 15949 Co Rt 156

Address Line2:

City: WATERTOWN

State: NY

Postal Code: 13601

Plus4:

Province/Region:

Country: USA

4. Property Description: 1993 Ford F700 Crane Truck VIN#
1FDXF70J7PVA11049

Estimated Fair Market Value: \$5,000.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 05/04/2013

Sale Price: \$5,000.00

Organization: Cassone Leasing Inc

Last Name:

First Name:

Purchaser Address

Address Line1: 1950 Lakeland Ave

Address Line2:

City: RONKONKOMA

State: NY

Postal Code: 11779

Plus4:

Province/Region:

Country: USA

Personal Property

5. Property Description: 1998 Ford F800 Utility Truck
VIN#1FDWF82CXWVA26627

Estimated Fair Market Value: \$6,750.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 11/23/2013

Sale Price: \$6,750.00

Organization: J E Sheehan Contracting Corp

Last Name:

First Name:

Purchaser Address

Address Line1: 208 Sissonville Rd

Address Line2:

City: POTSDAM

State: NY

Postal Code: 13676

Plus4:

Province/Region:

Country: USA

6. Property Description: 2006 Ford F350 4x4 Pickup Truck
VIN#1FTWF31516ED67120

Estimated Fair Market Value: \$7,500.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 11/23/2013

Sale Price: \$7,500.00

Organization: Percon Electric Co Inc

Last Name:

First Name:

Purchaser Address

Address Line1: 707 Van Voorhis Ave

Address Line2:

City: ROCHESTER

State: NY

Postal Code: 14617

Plus4:

Province/Region:

Country: USA

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.ocwa.org/governance/financial-reports/
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.ocwa.org/governance/financial-reports/
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: