

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.waterauthorityofgreatnecknorth.com/operations.html
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.waterauthorityofgreatnecknorth.com/assessment_of_internal_controls.html
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.waterauthorityofgreatnecknorth.com/OrgChart.pdf
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.waterauthorityofgreatnecknorth.com/MissionStatementSept202010.pdf
8. Has the Authority's mission statement been revised and adopted during the reporting period?	Yes	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.waterauthorityofgreatnecknorth.com/MissionStatementSept202010.pdf

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.waterauthorityofgreatnecknorth.com/board_committees.pdf
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.waterauthorityofgreatnecknorth.com/BOD_Minutes.html
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.waterauthorityofgreatnecknorth.com/rules_regulations.html
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.waterauthorityofgreatnecknorth.com/code_of_ethics.html
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Lopatkin, Susan	Name	Levy, Dan
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	02/26/2012	Term Start Date	08/16/2011
Term Expiration Date	02/26/2014	Term Expiration Date	06/19/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Celender, Jean	Name	Kreitzman, Ralph J
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	06/22/2011	Term Start Date	04/18/2012
Term Expiration Date	06/22/2015	Term Expiration Date	04/18/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Kalnick, Michael C	Name	Causin, Edward
Chair of the Board	Yes	Chair of the Board	No
If yes, Chair Designated by.	Elected by Board	If yes, Chair Designated by.	
Term Start Date	05/10/2011	Term Start Date	06/23/2011
Term Expiration Date	05/10/2015	Term Expiration Date	06/22/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Weinberg, Steven	Name	Frank, Carol
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	05/30/2012	Term Start Date	01/07/2014
Term Expiration Date	05/30/2014	Term Expiration Date	04/29/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Avila, Oscar	Water Plant Operator	Operational	Operations	Utility Workers Union of America	Local 447	FT	No	78,169.12	78,169.12	14,067.43	400	0	2,551.04	95,187.59	No	
Bacotti, Ellen	Typist Clerk	Administrative and Clerical	Business Office	Utility Workers Union of America	Local 447	FT	No	48,248.21	48,248.21	0	300	0	0	48,548.21	No	
Cocca, Mario	Water Servicer	Operational	Maintenance	Utility Workers Union of America	Local 447	FT	No	83,179.20	83,179.2	7,948.05	400	0	0	91,527.25	No	
Finlan, Patrick	Water Meter Serv Supervisor	Operational				FT	No	55,000.00	55,000	0	0	0	0	55,000	No	
Friend, Kathleen	Treasurer	Managerial				FT	Yes	102,349.00	102,349	0	0	0	0	102,349	No	
Giunta, Pamela	Typist Clerk	Administrative and Clerical	Business Office	Utility Workers Union of America	Local 447	FT	No	48,248.24	48,248.24	0	300	0	0	48,548.24	No	
Graziano, Gregory C	Superintendent	Managerial				FT	Yes	168,171.00	168,171	0	0	0	0	168,171	No	
Graziano, Robert J	Deputy Chair	Executive				FT	Yes	36,000.00	36,000	0	0	0	0	36,000	No	
Harrington, Zack	Water Servicer	Operational				FT	No	18,156.00	18,156	210.38	0	0	0	18,366.38	No	
Irwin, Gregory	Water Servicer Trainee	Operational				FT	No	30,600.00	30,600	1,616.06	0	0	0	32,216.06	No	
Kalnick, Michael	Chairperson	Executive				PT	Yes	18,450.00	18,450	0	0	0	0	18,450	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Kose, Kevin	Water Servicer Trainee	Operational	MAINTENANCE	Utility Workers Union Of America	Local 447	FT	No	73,902.40	73,902.4	4,805.46	0	0	0	78,707.86	No	
Lombardo, Michael	Water Meter Servicer	Operational	Meters	Utility Workers Union of America	Local 447	FT	No	76,502.40	76,502.4	0	500	0	0	77,002.4	No	
Mangano, Giovanni	Water Servicer	Operational	Maintenance	Utility Workers Union of America	Local 447	FT	No	83,179.20	83,179.2	6,118.49	400	0	0	89,697.69	No	
Martinez, Juan	Water Servicer	Operational	Maintenance	Utility Workers Union of America	Local 447	FT	No	79,164.80	79,164.8	5,185.68	300	0	0	84,650.48	No	
McCormack, Robert	Supervisor Water Plant Ops	Managerial				FT	Yes	97,537.00	97,537	0	0	0	0	97,537	No	
Miskin, Howard	Vice Chairperson	Executive				PT	Yes	500.01	500.01	0	0	0	0	500.01	No	
Monaco, Pietro	Water Servicer Trainee	Operational	Maintenance	Utility Workers Union of America	Local 447	FT	No	71,731.68	71,731.68	13,402.34	0	0	5,432.18	90,566.2	No	
Moriarty, Stephen	Assistant Superintendent	Managerial				FT	Yes	117,133.00	117,133	0	0	0	0	117,133	No	
Pascullo, John	Water Servicer	Operational	Maintenance	Utility Workers Union of America	Local 447	FT	No	81,579.61	81,579.61	4,888.8	500	0	0	86,968.41	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Paulino, Elvin	Water Servicer	Operational	Maintenance	Utility Workers Union of America	Local 447	FT	No	79,212.15	79,212.15	4,719.54	300	0	1,647.96	85,879.65	No	
Rafferty, Frank	Water Plant Operator	Operational	Operations	Utility Workers Union of America	Local 447	FT	No	80,298.24	80,298.24	5,340.44	500	0	8,401.62	94,540.3	No	
Schlichting, Lisa J	Secretary	Managerial				FT	Yes	55,927.00	55,927	0	0	0	0	55,927	No	
Schreiber, Kathryn	Senior Typist Clerk	Administrative and Clerical	Business Office	Utility Workers Union of America	Local 447	FT	No	72,781.85	72,781.85	0	500	0	0	73,281.85	No	
Skyrpek, Christine	Principal Account Clerk	Administrative and Clerical	Business Office	Utility Workers Union of America	Local 447	FT	No	75,784.80	75,784.8	0	0	0	0	75,784.8	No	
roveto, anthony	Water Servicer Trainee	Operational				FT	No	46,920.00	46,920	3,040.89	0	0	0	49,960.89	No	
scalero, Michael	Water Plant Operator	Operational				FT	No	18,749.46	18,749.46	1,405.26	0	0	88.62	20,243.34	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Kalnick, Michael C	Board of Directors												X	
Causin, Edward	Board of Directors												X	
Celender, Jean	Board of Directors												X	
Kreitzman, Ralph J	Board of Directors												X	
Weinberg, Steven	Board of Directors												X	
Levy, Dan	Board of Directors												X	
Lopatkin, Susan	Board of Directors												X	
Frank, Carol	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Friend, Kathleen	Treasurer												X	
Graziano, Gregory C	Superintendent						X					X		
Moriarty, Stephen	Assistant Superintendent						X							

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$9,325,432
Investments	\$1,699,434
Receivables, net	\$369,315
Other assets	\$977,105
Total Current Assets	\$12,371,286
Noncurrent Assets	
Restricted cash and investments	\$6,152,315
Long-term receivables, net	\$4,514,257
Other assets	\$2,363,745
Capital Assets	
Land and other nondepreciable property	\$10,508,280
Buildings and equipment	\$4,252,609
Infrastructure	\$40,043,067
Accumulated depreciation	\$15,908,327
Net Capital Assets	\$38,895,629
Total Noncurrent Assets	\$51,925,946
Total Assets	\$64,297,232

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$71,992
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$244,967
Deferred revenues	\$55,000
Bonds and notes payable	\$1,460,000
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$1,831,959

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$1,685,505
Bonds and notes payable	\$31,070,000
Long Term Leases	\$0
Other long-term obligations	\$1,671,587
Total Noncurrent Liabilities	\$34,427,092

Total Liabilities

\$36,259,051

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$12,138,683
Restricted	\$4,514,257
Unrestricted	\$11,385,241
Total Net Assets	\$28,038,181

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$8,099,653
Rental & financing income	\$345,847
Other operating revenues	\$0
Total Operating Revenue	\$8,445,500

Operating Expenses

Salaries and wages	\$1,847,548
Other employee benefits	\$1,299,692
Professional services contracts	\$115,928
Supplies and materials	\$31,717
Depreciation & amortization	\$1,116,385
Other operating expenses	\$1,563,847
Total Operating Expenses	\$5,975,117

Operating Income (Loss) **\$2,470,383**

Nonoperating Revenues

Investment earnings	\$222,789
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$305,303
Total Nonoperating Revenue	\$528,092

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$1,591,358
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$3,307
Total Nonoperating Expenses	\$1,594,665
Income (Loss) Before Contributions	\$1,403,810
Capital Contributions	\$56,350
Change in net assets	\$1,460,160
Net assets (deficit) beginning of year	\$26,578,021
Other net assets changes	\$0
Net assets (deficit) at end of year	\$28,038,181

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	33,930,000.00	0.00	1,400,000.00	32,530,000.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.waterauthorityofgreatnecknorth.com
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.waterauthorityofgreatnecknorth.com
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: