

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	<a href="http://www.callmohawkvalleyhome.org/documents/958.pdf">http://www.callmohawkvalleyhome.org/documents/958.pdf</a>
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	<a href="http://www.callmohawkvalleyhome.org/documents/1044.pdf">http://www.callmohawkvalleyhome.org/documents/1044.pdf</a>
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	<a href="http://www.callmohawkvalleyhome.org/documents/176.pdf">http://www.callmohawkvalleyhome.org/documents/176.pdf</a>
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	<a href="http://www.callmohawkvalleyhome.org/documents/114.pdf">http://www.callmohawkvalleyhome.org/documents/114.pdf</a>
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		<a href="http://www.callmohawkvalleyhome.org/documents/1047.pdf">http://www.callmohawkvalleyhome.org/documents/1047.pdf</a>

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://www.griffissldc.org/index.asp?type=MATERIALS,_POLICES,_DOCUMENTS">http://www.griffissldc.org/index.asp?type=MATERIALS,_POLICES,_DOCUMENTS</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://www.griffissldc.org/index.asp?type=MEETING_MINUTES">http://www.griffissldc.org/index.asp?type=MEETING_MINUTES</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://www.callmohawkvalleyhome.org/documents/160.pdf">http://www.callmohawkvalleyhome.org/documents/160.pdf</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://www.callmohawkvalleyhome.org/documents/136.pdf">http://www.callmohawkvalleyhome.org/documents/136.pdf</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	<a href="http://www.callmohawkvalleyhome.org/documents/179.pdf">http://www.callmohawkvalleyhome.org/documents/179.pdf</a>

**Board of Directors Listing**

Name	DeLia, Elis	Name	Weiman, Erin
Chair of Board	Yes	Chair of Board	No
If yes, Chair designated By.	Elected by Board	If yes, Chair designated By.	
Term Start Date	11/01/2002	Term Start Date	12/01/2010
Term Expiration Date	12/31/2015	Term Expiration Date	12/31/2017
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Cusack, James	Name	Williams, Scott
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	12/20/2012	Term Start Date	12/20/2012
Term Expiration Date	12/31/2016	Term Expiration Date	12/31/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Russell, David	Name	Bartell, Douglas
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	03/27/2014	Term Start Date	03/01/2010
Term Expiration Date	12/31/2017	Term Expiration Date	12/31/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Martin, Kevin	Name	Zalocha, Thomas
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	03/01/2010	Term Start Date	03/01/2007
Term Expiration Date	12/31/2017	Term Expiration Date	12/31/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Sisti, Matthew	Name	Picente, Anthony J
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	03/01/2000	Term Start Date	01/01/2007
Term Expiration Date	03/27/2014	Term Expiration Date	12/31/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	Vetrone, Frank	Name	Keida, Jennifer
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	03/01/2000	Term Start Date	01/01/2014
Term Expiration Date	12/31/2015	Term Expiration Date	12/31/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Mazzaferro, John J
Chair of Board	No
If yes, Chair designated By.	
Term Start Date	10/01/2005
Term Expiration Date	12/31/2016
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Other
Appointed By	Other
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Baird, Karl	Park Maintenance	Operational				FT	No	36,675.69	36,675.69	7,690.38	750	0	0	45,116.07	No	
Belmonte, Gaetano A	Park Maintenance	Operational				FT	No	35,360.00	12,616	504	0	0	0	13,120	No	
Brodfehrer, Paul	Mechanic-Plumber	Operational				FT	No	52,783.45	52,783.45	2,912.63	750	0	999.96	57,446.04	No	
Brood, Lewis	Park Maintenance	Operational				FT	No	31,579.21	31,579.21	4,413.61	750	0	1,888.17	38,630.99	No	
Hnelosub, Jr, Peter G	Park Maintenance	Operational	Grounds Maintenance			FT	No	22,635.17	22,635.17	1,211.66	750	0	0	24,596.83	No	
Kahler, Spencer L	Summer Help	Operational	Grounds Maintenance			PT	No	3,445.00	3,445	0	0	0	0	3,445	No	
Lojba, Theodore J	Mechanic-Steamfitter	Operational				FT	No	51,613.35	51,613.35	148.73	750	0	0	52,512.08	No	
Reynolds, Kelvin A	Summer Help	Operational				PT	No	320.00	320	0	0	0	0	320	No	
Sanzone, Alexa R	Summer Help	Operational				PT	No	3,610.00	3,610	0	0	0	0	3,610	No	
Sanzone, Frank	Facility Manager	Managerial				FT	Yes	75,471.77	75,471.77	0	1,500	0	0	76,971.77	No	
Stephens, Nicholas	Summer Help	Operational				PT	No	4,735.00	4,735	0	0	0	0	4,735	No	
Swalgin, Jordan M	Park Maintenance	Operational				FT	No	24,011.81	24,011.81	1,589.73	750	0	0	26,351.54	No	
Washburn, Elizabeth A	Mechanic-Carpenter	Operational				FT	No	51,442.06	51,442.06	111.13	750	0	0	52,303.19	No	

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Wolff, Ryan	Temp Help	Operational				PT	No	5,440.00	5,440	0	0	0	0	5,440	No	

**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Russell, David	Board of Directors												X	
Mazzaferro, John J	Board of Directors												X	
Williams, Scott	Board of Directors												X	
DeLia, Elis	Board of Directors												X	
Picente, Anthony J	Board of Directors												X	
Sisti, Matthew	Board of Directors												X	
Cusack, James	Board of Directors												X	
Keida, Jennifer	Board of Directors												X	
Vetrone, Frank	Board of Directors												X	
Zalocha, Thomas	Board of Directors												X	
Martin, Kevin	Board of Directors												X	
Bartell, Douglas	Board of Directors												X	
Weiman, Erin	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? No  
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
-----------------------------------	--------	-------------------

**Subsidiary/Component Unit Creation**

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
Cardinal Griffiss Realty LLC	06/22/2010	Company formed for any lawful business purpose or purposes.

**Subsidiary/Component Unit Termination**

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
-----------------------------------	------------------	--------------------	----------------------

Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$1,940,099
Investments	\$322,995
Receivables, net	\$967,390
Other assets	\$229,615
<b>Total Current Assets</b>	<b>\$3,460,099</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$1,787
Long-term receivables, net	\$8,425,852
Other assets	\$4,333,759
<b>Capital Assets</b>	
Land and other nondepreciable property	\$583,233
Buildings and equipment	\$37,092,852
Infrastructure	\$7,473,038
Accumulated depreciation	\$20,826,028
Net Capital Assets	\$24,323,095
<b>Total Noncurrent Assets</b>	<b>\$37,084,493</b>
<b>Total Assets</b>	<b>\$40,544,592</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$97,026
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$69,865
Deferred revenues	\$0
Bonds and notes payable	\$1,129,223
Other long-term obligations due within one year	\$0
<b>Total Current Liabilities</b>	<b>\$1,296,114</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$15,547,564
Long Term Leases	\$0
Other long-term obligations	\$212,777
<b>Total Noncurrent Liabilities</b>	<b>\$15,760,341</b>

**Total Liabilities**

**\$17,056,455**

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$0
Restricted	\$0
Unrestricted	\$23,488,137
<b>Total Net Assets</b>	<b>\$23,488,137</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSOperating Revenues

Charges for services	\$331,660
Rental & financing income	\$3,608,257
Other operating revenues	\$19,345
<b>Total Operating Revenue</b>	<b>\$3,959,262</b>

Operating Expenses

Salaries and wages	\$478,262
Other employee benefits	\$125,042
Professional services contracts	\$703,758
Supplies and materials	\$88,792
Depreciation & amortization	\$2,768,193
Other operating expenses	\$1,333,075
<b>Total Operating Expenses</b>	<b>\$5,497,122</b>

Operating Income (Loss) **(\$1,537,860)**

Nonoperating Revenues

Investment earnings	\$5,385
State subsidies/grants	\$898,491
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$158,674
<b>Total Nonoperating Revenue</b>	<b>\$1,062,550</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$541,646
Subsidies to other public authorities	\$0
Grants and donations	\$831,296
Other nonoperating expenses	\$280,582
<b>Total Nonoperating Expenses</b>	<b>\$1,653,524</b>
<b>Income (Loss) Before Contributions</b>	<b>(\$2,128,834)</b>
Capital Contributions	\$0
Change in net assets	(\$2,128,834)
Net assets (deficit) beginning of year	\$25,616,971
Other net assets changes	\$0
Net assets (deficit) at end of year	<b>\$23,488,137</b>

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

**New Debt Issuances List by Type of Debt and Program**

No Data has been entered by the Authority for this section in PARIS

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation	0.00	2,131,642.00	0.00	353,700.00	1,777,942.00
Revenue					
Other Non-State Funded	0.00	13,001,176.00	0.00	245,963.00	12,755,213.00
<b>Conduit</b>					
Conduit Debt					
Conduit Debt - Pilot Increment Financing	0.00	2,811,028.00	0.00	667,393.00	2,143,635.00

Real Property Acquisition/Disposal List

1. Address Line1: NW Corner of Route 825 & Floyd Avenue  
Address Line2: Building 240  
City: ROME  
State: NY  
Postal Code: 13441  
Plus4:  
Province/Region:  
Country: USA  
Property Description: Commercial Building  
Estimated Fair Market Value: \$520,000  
How was the Fair Market Competitive Bid  
Value Determined?:  
Transaction Type: ACQUISITION  
If Other, Explain:  
  
Transaction Date: 05/14/2014  
Purchase Sale Price: \$520,000.00  
Lease Data (If applicable)  
Market Rate(\$/square foot):  
Lease Rate(\$/square foot):  
Lease Period (months):  
Seller/Purchaser/Tenant Data:  
Organization: United States of America-General Services A  
Last Name:  
First Name:  
  
Address Line1: Thomas P. O'Neill Federal Building  
Address Line2: 10 Causeway St.  
City: BOSTON  
State: MA  
Postal Code: 02222  
Plus4:  
Province/Region:  
Country: USA  
Relation With Board  
member/senior authority  
management? No

2. Address Line1: 474 Phoenix Drive  
Address Line2:  
City: ROME  
State: NY  
Postal Code: 13441  
Plus4:  
Province/Region:  
Country: USA  
Property Description: Office Building  
Estimated Fair Market Value: \$13.16  
How was the Fair Market Appraisal  
Value Determined?:  
Transaction Type: DISPOSITION LEASE  
If Other, Explain:  
  
Transaction Date: 08/13/2014  
Purchase Sale Price:  
Lease Data (If applicable)  
Market Rate(\$/square foot): 13.16  
Lease Rate(\$/square foot): 13.16  
Lease Period (months): 36  
Seller/Purchaser/Tenant Data:  
Organization: Exelis Inc. (successor in interest to ITT C  
Last Name:  
First Name:  
  
Address Line1: 474 Phoenix Drive  
Address Line2:  
City: ROME  
State: NY  
Postal Code: 13441  
Plus4:  
Province/Region:  
Country: USA  
Relation With Board  
member/senior authority  
management? No

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://www.callmohawkvalleyhome.org/documents/1046.pdf">http://www.callmohawkvalleyhome.org/documents/1046.pdf</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://www.griffissldc.org/index.asp?type=MATERIALS,_POLICIES,_DOCUMENTS">http://www.griffissldc.org/index.asp?type=MATERIALS,_POLICIES,_DOCUMENTS</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

1. Source of Grant Funds: State  
Name of Grant Recipient: Griffiss Local Development Corporation  
Address Line1: 584 Phoenix Drive  
Address Line2:  
City: ROME  
State: NY  
Zip - Plus4: 13440  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$140,390  
Date Grant Awarded: 07/18/2013  
Purpose of Grant: Equipment and Fixed Asset Acquisition

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

2. Source of Grant Funds: State  
Name of Grant Recipient: Griffiss Local Development Corporation  
Address Line1: 584 Phoenix Drive  
Address Line2:  
City: ROME  
State: NY  
Zip - Plus4: 13440  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$745,501  
Date Grant Awarded: 11/18/2013  
Purpose of Grant: Commercial Property  
Construction/Acquisition/Revitalization/Im  
provement

Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

Grant Information

3. Source of Grant Funds: State  
Name of Grant Recipient: Griffiss Local Development Corporation  
Address Line1: 584 Phoenix Drive  
Address Line2:  
City: ROME  
State: NY  
Zip - Plus4: 13440  
Province/Region:  
Country: USA  
Amount of Grant Award Provided  
During Reporting Year: \$12,600  
Date Grant Awarded: 05/17/2012  
Purpose of Grant: Commercial Property  
Construction/Acquisition/Revitalization/Im  
provement  
Was the grant expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?

Loan Information

1. Source of Loan Funds: Private  
 Name of Loan Recipient: Family Dollar Services, Inc.  
 Address Line1: PO Box 1017  
 Address Line2:  
 City: CHARLOTTE  
 State: NC  
 Zip - Plus4: 28201  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$3,032,565  
 Date Loan Awarded: 01/01/2013  
 Interest Rate(%): 6.59  
 Length of Loan(# of years  
 to repay): 3  
 Amount of Loan Principal Repaid  
 to Date: \$705,809.00  
 Purpose of Loan: Land Acquisition/Development  
 /Infrastructure Costs (i.e., Water/Sewer)

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 265  
 If yes, how many jobs have been  
 created to date? 343  
 Have the terms of the loan been  
 completed? No

2. Source of Loan Funds: Private  
 Name of Loan Recipient: Griffiss Investment Fund LLC  
 Address Line1: 584 Phoenix Dr  
 Address Line2:  
 City: ROME  
 State: NY  
 Zip - Plus4: 13441  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$6,622,200  
 Date Loan Awarded: 09/03/2010  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Land Acquisition/Development  
 /Infrastructure Costs (i.e., Water/Sewer)

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created? 100  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: