

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.lumbercitydc.com
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	No	
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	No	
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	www.lumbercitydc.com
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.lumbercitydc.com

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.lumbercitydc.com
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.lumbercitydc.com
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.lumbercitydc.com
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.lumbercitydc.com
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	No	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Miranto, Joseph	Name	Maniccia, Nicolas
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	06/01/2014	Term Start Date	01/15/2008
Term Expiration Date	06/30/2017	Term Expiration Date	06/30/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Janulionis, Edward	Name	Braun, Donna
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/15/2008	Term Start Date	02/01/2015
Term Expiration Date	06/30/2015	Term Expiration Date	Ex-Officio
Title		Title	Alderwoman NT
Has the Board member appointed a designee?		Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	Yes
Nominated By	Other	Nominated By	Ex-Officio
Appointed By	Other	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Moreau, Andrea	Name	McMahon, Joseph
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/15/2008	Term Start Date	11/15/2011
Term Expiration Date	06/30/2016	Term Expiration Date	06/30/2017
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Fonzi, Joseph	Name	Ortt, Robert G
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	11/01/2011	Term Start Date	01/01/2015
Term Expiration Date	06/30/2017	Term Expiration Date	Ex-Officio
Title		Title	Mayor North Tonawanda
Has the Board member appointed a designee?		Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	Yes
Nominated By	Other	Nominated By	Ex-Officio
Appointed By	Other	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Gross, David E	Name	Krause, Garry
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/15/2008	Term Start Date	01/15/2008
Term Expiration Date	06/30/2017	Term Expiration Date	06/30/2017
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Banas, Mitchell J	Name	Pettit, Brian R
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	08/01/2014	Term Start Date	06/01/2014
Term Expiration Date	06/30/2017	Term Expiration Date	06/30/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Taylor, Douglas
Chair of Board	Yes
If yes, Chair designated By.	Elected by Board
Term Start Date	01/15/2008
Term Expiration Date	06/30/2016
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Other
Appointed By	Other
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Angela, Renda	Grants Administrator	Administrative and Clerical				FT	Yes	46,500.00	17,704	0	0	0	0	17,704	No	
Diana, Diebler	Administrative Assistant	Administrative and Clerical				FT	No	41,000.00	21,020	0	0	0	0	21,020	No	
Michael, Zimmerman	Planning & Development	Professional				FT	Yes	50,000.00	35,382	0	0	0	0	35,382	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Pettit, Brian R	Board of Directors												X	
Braun, Donna	Board of Directors												X	
Banas, Mitchell J	Board of Directors												X	
Miranto, Joseph	Board of Directors												X	
Ortt, Robert G	Board of Directors												X	
Taylor, Douglas	Board of Directors												X	
Gross, David E	Board of Directors												X	
Janulionis, Edward	Board of Directors												X	
Krause, Garry	Board of Directors												X	
Maniccia, Nicolas	Board of Directors												X	
Moreau, Andrea	Board of Directors												X	
Fonzi, Joseph	Board of Directors												X	
McMahon, Joseph	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
-----------------------------------	--------	-------------------

Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
-----------------------------------	--------------------	----------------

Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
-----------------------------------	------------------	--------------------	----------------------

No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$908,445
Investments	\$0
Receivables, net	\$647,966
Other assets	\$3,173
Total Current Assets	\$1,559,584
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$1,803
Infrastructure	\$0
Accumulated depreciation	\$1,803
Net Capital Assets	\$0
Total Noncurrent Assets	\$0
Total Assets	\$1,559,584

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$104,766
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$104,766

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$0

Total Liabilities **\$104,766**

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$0
Unrestricted	\$1,454,818
Total Net Assets	\$1,454,818

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Operating Revenues

Charges for services	\$0
Rental & financing income	\$22,161
Other operating revenues	\$0
Total Operating Revenue	\$22,161

Operating Expenses

Salaries and wages	\$75,604
Other employee benefits	\$20,789
Professional services contracts	\$59,482
Supplies and materials	\$7,730
Depreciation & amortization	\$0
Other operating expenses	\$47,757
Total Operating Expenses	\$211,362

Operating Income (Loss) **(\$189,201)**

Nonoperating Revenues

Investment earnings	\$0
State subsidies/grants	\$112,500
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$60,000
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$172,500

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$100,000
Total Nonoperating Expenses	\$100,000
Income (Loss) Before Contributions	(\$116,701)
Capital Contributions	\$0
Change in net assets	(\$116,701)
Net assets (deficit) beginning of year	\$1,571,519
Other net assets changes	\$0
Net assets (deficit) at end of year	\$1,454,818

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue					
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	No	
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	No	
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	No	

Grant Information

1. Source of Grant Funds: State
Name of Grant Recipient: 300 Oliver Street LLC
Address Line1: 300 Oliver Street
Address Line2:
City: NORTH TONAWANDA
State: NY
Zip - Plus4: 14120
Province/Region:
Country: USA
Amount of Grant Award Provided
During Reporting Year: \$25,000
Date Grant Awarded: 08/27/2014
Purpose of Grant: Commercial Property
Construction/Acquisition/Revitalization/Im
provement
Was the grant expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?

2. Source of Grant Funds: State
Name of Grant Recipient: Active Hose Company
Address Line1: 425 Oliver Street
Address Line2:
City: NORTH TONAWANDA
State: NY
Zip - Plus4: 14120
Province/Region:
Country: USA
Amount of Grant Award Provided
During Reporting Year: \$35,000
Date Grant Awarded: 12/15/2014
Purpose of Grant: Commercial Property
Construction/Acquisition/Revitalization/Im
provement
Was the grant expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?

Grant Information

3. Source of Grant Funds: State
Name of Grant Recipient: Gregory Stenis
Address Line1: 351 Oliver Street
Address Line2:
City: NORTH TONAWANDA
State: NY
Zip - Plus4: 14120
Province/Region:
Country: USA
Amount of Grant Award Provided
During Reporting Year: \$25,000
Date Grant Awarded: 10/22/2014
Purpose of Grant: Commercial Property
Construction/Acquisition/Revitalization/Im
provement
Was the grant expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?

Loan Information

<p>1. Source of Loan Funds: Private Name of Loan Recipient: 26 Webster , LLC Address Line1: 62-64 Webster Street Address Line2: City: NORTH TONAWANDA State: NY Zip - Plus4: 14120 Province/Region: Country: USA Original Amount of Loan: \$310,000 Date Loan Awarded: 02/18/2014 Interest Rate(%): 3 Length of Loan(# of years to repay): 7 Amount of Loan Prinicipal Repaid to Date: \$0.00 Purpose of Loan: Commercial Property Construction/Acquisition/Revitalization/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p>	<p>2. Source of Loan Funds: Not for Profit Name of Loan Recipient: 286 Oliver St LLC Address Line1: 314 Oliver Street Address Line2: City: NORTH TONAWANDA State: NY Zip - Plus4: 14120 Province/Region: Country: USA Original Amount of Loan: \$70,000 Date Loan Awarded: 04/24/2006 Interest Rate(%): 5 Length of Loan(# of years to repay): 10 Amount of Loan Prinicipal Repaid to Date: \$65,609.65 Purpose of Loan: Commercial Property Construction/Acquisition/Revitalization/I mprovement Was the Loan expected to result in new jobs being created? No If yes, how many jobs were planned to be created? If yes, how many jobs have been created to date? Have the terms of the loan been completed? No</p>
--	--

Loan Information

3. Source of Loan Funds: State
 Name of Loan Recipient: 82 Webster Street, LLC
 Address Line1: 82 Webster Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$50,000
 Date Loan Awarded: 09/01/2011
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$21,914.76
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

4. Source of Loan Funds: Federal
 Name of Loan Recipient: Canalside Creamery Inc
 Address Line1: 82 Webster Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$15,000
 Date Loan Awarded: 09/01/2011
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$6,574.37
 Purpose of Loan: Business Expansion/Startup
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 15
 If yes, how many jobs have been
 created to date? 8
 Have the terms of the loan been
 completed? No

Loan Information

5. Source of Loan Funds: Not for Profit
Name of Loan Recipient: Crazy Jakes
Address Line1: 26 Webster Street
Address Line2:
City: NORTH TONAWANDA
State: NY
Zip - Plus4: 14120
Province/Region:
Country: USA
Original Amount of Loan: \$50,000
Date Loan Awarded: 09/02/2008
Interest Rate(%): 3
Length of Loan(# of years
to repay): 7
Amount of Loan Principal Repaid
to Date: \$43,474.18
Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
in new jobs being created? Yes
If yes, how many jobs were planned
to be created? 30
If yes, how many jobs have been
created to date? 26
Have the terms of the loan been
completed? No

6. Source of Loan Funds: State
Name of Loan Recipient: Designer Sportswear
Address Line1: 80 Webster Street
Address Line2:
City: NORTH TONAWANDA
State: NY
Zip - Plus4: 14120
Province/Region:
Country: USA
Original Amount of Loan: \$33,000
Date Loan Awarded: 12/01/2011
Interest Rate(%): 3
Length of Loan(# of years
to repay): 7
Amount of Loan Principal Repaid
to Date: \$8,884.07
Purpose of Loan: Commercial Property
Construction/Acquisition/Revitalization/I
mprovement

Was the Loan expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?
Have the terms of the loan been
completed? No

Loan Information

7. Source of Loan Funds: Other
 Name of Loan Recipient: Draco Management
 Address Line1: 102 Webster Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$50,000
 Date Loan Awarded: 08/31/2012
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$14,371.47
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 11
 If yes, how many jobs have been
 created to date? 8
 Have the terms of the loan been
 completed? No

8. Source of Loan Funds: Federal
 Name of Loan Recipient: Evolation Yoga
 Address Line1: 184 Sweeney Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$45,000
 Date Loan Awarded: 06/01/2011
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Business Expansion/Startup
 Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 17
 If yes, how many jobs have been
 created to date? 13
 Have the terms of the loan been
 completed? No

Loan Information

9. Source of Loan Funds: Not for Profit
 Name of Loan Recipient: Hodge Podge
 Address Line1: 72 Webster Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$30,000
 Date Loan Awarded: 04/05/2007
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$30,000.00
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? Yes

10. Source of Loan Funds: Not for Profit
 Name of Loan Recipient: Jacob's Ladder
 Address Line1: 908 Niagara Falls Blvd
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$32,409
 Date Loan Awarded: 11/10/2010
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$18,075.17
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 6
 If yes, how many jobs have been
 created to date? 4
 Have the terms of the loan been
 completed? No

Loan Information

11. Source of Loan Funds: Other
 Name of Loan Recipient: Jay Soemann - 88 Webster Street
 Address Line1: 88 Webster Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$125,000
 Date Loan Awarded: 12/20/2013
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$16,570.20
 Purpose of Loan: Marketing and Business Recruitment

Was the Loan expected to result
 in new jobs being created? No
 If yes, how many jobs were planned
 to be created?
 If yes, how many jobs have been
 created to date?
 Have the terms of the loan been
 completed? No

12. Source of Loan Funds: Other
 Name of Loan Recipient: Leon's Studio One
 Address Line1: 184 Sweeney Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$50,000
 Date Loan Awarded: 12/28/2010
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$18,428.49
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 10
 If yes, how many jobs have been
 created to date? 8
 Have the terms of the loan been
 completed? No

Loan Information

13. Source of Loan Funds: Not for Profit
 Name of Loan Recipient: Marketing Imprints
 Address Line1: 601Division Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$150,000
 Date Loan Awarded: 10/21/2005
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$132,928.47
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 17
 If yes, how many jobs have been
 created to date? 12
 Have the terms of the loan been
 completed? No

14. Source of Loan Funds: Not for Profit
 Name of Loan Recipient: Partners in Art
 Address Line1: 71 Webster Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$43,000
 Date Loan Awarded: 07/01/2009
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$32,456.32
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 1
 If yes, how many jobs have been
 created to date? 1
 Have the terms of the loan been
 completed? No

Loan Information

15. Source of Loan Funds: Not for Profit
 Name of Loan Recipient: Prototype
 Address Line1: 836 Wurlitizer Dr
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$65,000
 Date Loan Awarded: 08/14/2008
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$56,882.98
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 9
 If yes, how many jobs have been
 created to date? 4
 Have the terms of the loan been
 completed? No

16. Source of Loan Funds: Other
 Name of Loan Recipient: Remington Lofts
 Address Line1: 184 Sweeney Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$200,000
 Date Loan Awarded: 09/15/2010
 Interest Rate(%): 0
 Length of Loan(# of years
 to repay): 3
 Amount of Loan Principal Repaid
 to Date: \$200,000.00
 Purpose of Loan: Residential Property
 Construction/Acquisition/Rehabilitation/I
 mprovement

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 5
 If yes, how many jobs have been
 created to date? 4
 Have the terms of the loan been
 completed? Yes

Loan Information

17. Source of Loan Funds: Other
 Name of Loan Recipient: Remington Tavern
 Address Line1: 184 Sweeney Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$150,000
 Date Loan Awarded: 03/29/2012
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 10
 Amount of Loan Principal Repaid
 to Date: \$0.00
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 51
 If yes, how many jobs have been
 created to date? 51
 Have the terms of the loan been
 completed? No

18. Source of Loan Funds: Other
 Name of Loan Recipient: Risa's Deli
 Address Line1: 72 Webster Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$10,000
 Date Loan Awarded: 08/13/2013
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 3
 Amount of Loan Principal Repaid
 to Date: \$2,688.20
 Purpose of Loan: Commercial Property
 Construction/Acquisition/Revitalization/I
 mprovement

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 3
 If yes, how many jobs have been
 created to date? 2
 Have the terms of the loan been
 completed? No

Loan Information

19. Source of Loan Funds: Not for Profit
 Name of Loan Recipient: Signature Salon & Day Spa
 Address Line1: 603 Division Street
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$40,000
 Date Loan Awarded: 03/21/2006
 Interest Rate(%): 5
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$40,000.00
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 5
 If yes, how many jobs have been
 created to date? 4
 Have the terms of the loan been
 completed? Yes

20. Source of Loan Funds: Federal
 Name of Loan Recipient: Solid Surface Acrylics
 Address Line1: 800 Walck Road
 Address Line2:
 City: NORTH TONAWANDA
 State: NY
 Zip - Plus4: 14120
 Province/Region:
 Country: USA
 Original Amount of Loan: \$149,500
 Date Loan Awarded: 01/01/2007
 Interest Rate(%): 3
 Length of Loan(# of years
 to repay): 7
 Amount of Loan Principal Repaid
 to Date: \$149,500.00
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result
 in new jobs being created? Yes
 If yes, how many jobs were planned
 to be created? 15
 If yes, how many jobs have been
 created to date? 14
 Have the terms of the loan been
 completed? Yes

Loan Information

21. Source of Loan Funds: Other
Name of Loan Recipient: Vito's Pizzeria
Address Line1: 232 Zimmerman Street
Address Line2:
City: NORTH TONAWANDA
State: NY
Zip - Plus4: 14120
Province/Region:
Country: USA
Original Amount of Loan: \$20,000
Date Loan Awarded: 12/17/2010
Interest Rate(%): 3
Length of Loan(# of years
to repay): 7
Amount of Loan Principal Repaid
to Date: \$10,672.07
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result
in new jobs being created? Yes
If yes, how many jobs were planned
to be created? 4
If yes, how many jobs have been
created to date? 4
Have the terms of the loan been
completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: