

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.portofalbany.us
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.portofalbany.us
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	1	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6. Does the independent auditor provide non-audit services to the Authority?	No	N/A
7. Does the Authority have an organization chart?	Yes	www.portofalbany.us
8. Are any Authority staff also employed by another government agency?	No	
9. Has the Authority posted their mission statement to their website?	Yes	www.portofalbany.us
10 Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.portofalbany

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.portofalbany.us
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.portofalbany.us
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.portofalbany.us
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.portofalbany.us
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	www.portofalbany.us

Board of Directors Listing

Name	Coffey, Joseph	Name	Steffens, Georgette
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	10/06/2014	Term Start Date	08/08/2012
Term Expiration Date	03/27/2015	Term Expiration Date	03/27/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	No	Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Dean, Leo F	Name	Tagliento, Dominick
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	05/15/2006	Term Start Date	05/07/2010
Term Expiration Date	03/27/2015	Term Expiration Date	05/07/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

<u>Board of Directors Listing</u>	
Name	Cross, Robert F
Chair of the Board	Yes
If yes, Chairman Designated by.	Elected by Board
Term Start Date	04/01/2006
Term Expiration Date	03/27/2015
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Governor
Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Aloisi, Michael G	Security Guard	Operational	Security			PT	No	60.00	60	0	0	0	0	60	No	
Andolina, Jeffrey j	Security Guard	Operational	Security	no	no	PT	No	29,019.00	29,019	0	0	0	0	29,019	No	
Betters, Halsey E	Construction Manager	Operational	Administration	no	no	FT	Yes	24,079.04	24,079.04	0	0	0	0	24,079.04	No	
Bonanni, William	Security Officer	Operational	Security			PT	No	5,062.00	5,062	0	0	0	0	5,062	No	
Carroll, Jason r	Maintenance Staff	Operational	Maintenance	no	no	FT	No	44,074.78	42,683.49	0	0	0	0	42,683.49	No	
Carroll, Richard K	Security Guard	Operational	Security	no	no	PT	No	27,954.78	27,954.78	0	0	0	0	27,954.78	No	
Chuck, Barry L	Security Officer	Operational	Security			PT	No	12,866.00	12,866	0	0	0	0	12,866	No	
Daly, Megan E	Director of Procurement	Managerial	Administration			FT	Yes	105,962.58	105,962.58	0	0	0	0	105,962.58	No	
Demarco, Michael T	Security Guard	Operational	Security			PT	No	17,614.00	17,614	0	0	0	0	17,614	No	
Eddy, Paul M	Maintenance Worker	Operational	Maintenance Dept			FT	No	20,667.50	20,047.43	0	0	0	0	20,047.43	No	
Falkenheimer, Maria i	Security	Operational	Security	no	no	PT	No	2,920.00	2,920	0	0	0	0	2,920	No	
Fitzgerald, Annie M	Accountant	Administrative and Clerical	Administrative	no	no	FT	Yes	78,406.36	78,406.36	0	0	0	0	78,406.36	No	
Gagnon, Joseph F	Security Guard	Operational	Security	no	no	PT	No	9,289.00	9,289	0	0	0	0	9,289	No	
Gaspary, Annette T	Clerical	Administrative and Clerical	Administration			PT	No	26,450.00	26,450	0	0	0	0	26,450	No	
Grugan, loren E	Security Officer	Operational	Security			PT	No	140.00	140	0	0	0	0	140	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Hendrick, Richard Sr J	General Manager	Executive	Administration	no	no	FT	Yes	115,599.54	115,599.54	0	0	0	0	115,599.54	No	
Hendrick, Richard, Jr J	Maintenance	Operational	Maintenance	no	no	PT	No	29,849.97	29,849.97	0	0	0	0	29,849.97	No	
Hurley, Terrence P	Chief Financial Officer	Managerial	Administration	no	no	FT	Yes	97,370.64	97,370.64	0	0	0	0	97,370.64	No	
Kasianczuk, Ihor	Security Guard	Operational	Security	no	no	PT	No	2,870.00	2,870	0	0	0	0	2,870	No	
Kerr, Eric J	Security Officer	Operational	Security			PT	No	560.00	560	0	0	0	0	560	No	
Kerr, James W	Security Guard	Operational	Security			PT	No	27,300.15	27,300.15	0	0	0	0	27,300.15	No	
Kosa, John	Operations Manager	Operational	Maintenance Dept			FT	Yes	73,585.20	73,585.2	0	0	0	0	73,585.2	No	
Lammerts, Christopher	Security Guard	Operational	Security			PT	No	1,600.00	1,600	0	0	0	0	1,600	No	
Lourinia, Vito m	Security Guard	Operational	Security	no	no	PT	No	9,512.00	9,512	0	0	0	0	9,512	No	
Marrarino, Scott A	Security Guard	Operational	Security	no	no	PT	No	1,050.00	1,050	0	0	0	0	1,050	No	
McGuinness, Thomas J	Information Services	Managerial	Administration	no	no	FT	Yes	67,773.75	67,773.75	0	0	0	0	67,773.75	No	
Mcgreevy, Maureen	Security Guard	Operational	Security	no	no	PT	No	4,933.00	4,933	0	0	0	0	4,933	No	
Mckenna, Kevin r	Security Guard	Operational	Security			PT	No	18,284.00	18,284	0	0	0	0	18,284	No	
Mclean, Clifford	Security Guard	Operational	Security	no	no	PT	No	7,836.00	7,836	0	0	0	0	7,836	No	
Mcloughlin, John d	Security Guard	Operational	Security	no	no	PT	No	18,632.00	18,632	0	0	0	0	18,632	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
McNally, Jane M	Assistent	Administrative and Clerical				PT	No	33,113.52	33,113.52	0	0	0	0	33,113.52	No	
Mengal, Robert A	Security Guard	Operational	Maintenance			PT	No	1,820.00	1,820	0	0	0	0	1,820	No	
Mooney, John M	Security Guard	Operational	Security			PT	No	160.00	160	0	0	0	0	160	No	
Morris, Jermay w	Security Guard	Operational	Security			PT	No	1,164.00	1,164	0	0	0	0	1,164	No	
Nieckarz, John T	Security Guard	Operational	Security	no	no	PT	No	26,014.00	26,014	0	0	0	0	26,014	No	
Nieckarz, Matthew j	Security Guard	Operational	Security			PT	No	23,879.00	23,879	0	0	0	0	23,879	No	
Nowosielski, Richard B	Security Guard	Operational	Security			PT	No	27,027.84	27,027.84	0	0	0	0	27,027.84	No	
Olthoff, Donald m	Security Guard	Operational	Security	no	no	PT	No	25,578.00	25,578	0	0	0	0	25,578	No	
Orso, Nicholas	Security Officer	Operational	Security			PT	No	160.00	160	0	0	0	0	160	No	
Ostrander, Joshua g	Maintanence	Operational	Maintanence	no	no	FT	No	47,771.16	47,771.16	0	0	0	0	47,771.16	No	
Owens, Thomas M	General Counsel	Managerial	Administration	no	no	FT	Yes	165,500.00	165,500	0	0	0	0	165,500	No	
Plue, Robert d	Security Guard	Operational	Security	no	no	PT	No	1,334.00	1,334	0	0	0	0	1,334	No	
Shell, John w	Maintenance	Operational	Maintenance	no	no	FT	No	45,791.42	45,791.42	0	0	0	0	45,791.42	No	
Smith, Erik J	Director of Internal Controls & Enterprise Analysis	Managerial	Administration			FT	Yes	110,000.00	70,566.32	0	0	0	0	70,566.32	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Spicer, Mark 0	Maintenance	Operational	Maintenance			PT	No	7,934.35	7,696.34	0	0	0	0	7,696.34	No	
Teller, James	Security Guard	Operational	Security	no	no	PT	No	33,741.41	33,741.41	0	0	0	0	33,741.41	No	
Tierney, Robert J	Security Guard	Operational	Security	no	no	PT	No	160.00	160	0	0	0	0	160	No	
Vasil, Anthony J	Marketing Director	Managerial	Administration			FT	Yes	98,551.38	98,551.38	0	0	0	0	98,551.38	No	
Venter, George f	Security Guard	Operational	Security			PT	No	60.00	60	0	0	0	0	60	No	
Wickman, Richard P	Security Guard	Operational	security			PT	No	4,164.00	4,164	0	0	0	0	4,164	No	
Wilkerson, Linda	Clerical	Administrative and Clerical	administration	no	no	FT	No	40,642.56	40,642.56	0	0	0	0	40,642.56	No	
Williams, Charles H	Security Guard	Operational	security	no	no	PT	No	2,620.00	2,620	0	0	0	0	2,620	No	
Williams, David B	Security Director	Managerial	Security	no	no	FT	Yes	69,052.50	69,052.5	0	0	0	0	69,052.5	No	
Williams, James p	Maintenance Foreman	Managerial	Maintenance	no	no	FT	Yes	57,138.64	57,138.64	0	0	0	0	57,138.64	No	
Yaggle, Edward	Security Guard	Operational	Security	no	no	PT	No	460.00	460	0	0	0	0	460	No	

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Tagliento, Dominick	Board of Directors												X	
Cross, Robert F	Board of Directors												X	
Dean, Leo F	Board of Directors												X	
Steffens, Georgette	Board of Directors												X	
Coffey, Joseph	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Daly, Megan E	Director of Procurement												X	
Hendrick, Richard Sr J	General Manager				X		X							
Owens, Thomas M	General Counsel												X	
Smith, Erik J	Director of Internal Controls & Enterprise Analysis												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$2,620,805
Investments	\$3,235,279
Receivables, net	\$1,000,875
Other assets	\$244,071
Total Current Assets	\$7,101,030
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$42,901,668
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$42,901,668
Total Noncurrent Assets	\$42,901,668
Total Assets	\$50,002,698

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$400,004
Pension contribution payable	\$35,000
Other post-employment benefits	\$0
Accrued liabilities	\$170,680
Deferred revenues	\$41,791
Bonds and notes payable	\$127,088
Other long-term obligations due within one year	\$433,844
Total Current Liabilities	\$1,208,407

Noncurrent Liabilities

Pension contribution payable	\$1,726,998
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$2,556,742
Total Noncurrent Liabilities	\$4,283,740

Total Liabilities	\$5,492,147
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Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$39,911,082
Restricted	\$0
Unrestricted	\$4,599,469
Total Net Assets	\$44,510,551

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$2,516,833
Rental & financing income	\$3,073,712
Other operating revenues	\$362,176
Total Operating Revenue	\$5,952,721

Operating Expenses

Salaries and wages	\$2,345,174
Other employee benefits	\$0
Professional services contracts	\$211,386
Supplies and materials	\$558,173
Depreciation & amortization	\$1,489,634
Other operating expenses	\$530,610
Total Operating Expenses	\$5,134,977

Operating Income (Loss) \$817,744

Nonoperating Revenues

Investment earnings	(\$37,363)
State subsidies/grants	\$6,043,535
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$12,091
Total Nonoperating Revenue	\$6,018,263

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$271,346
Total Nonoperating Expenses	\$271,346
Income (Loss) Before Contributions	\$6,564,661
Capital Contributions	\$0
Change in net assets	\$6,564,661
Net assets (deficit) beginning of year	\$37,945,890
Other net assets changes	\$0
Net assets (deficit) at end of year	\$44,510,551

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
NEW YORK JOB DEVELOPMENT AUTHORITY	0.00	77,709.00	0.00	77,709.00	0.00
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation	0.00	923,327.00	0.00	923,327.00	0.00
Revenue					
Other Non-State Funded	0.00	0.00	0.00	0.00	0.00
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

1. Address Line1: 5 Broadway
 Address Line2:
 City: ALBANY
 State: NY
 Postal Code: 12202
 Plus4:
 Province/Region:
 Country: USA
 Property Description: Vacant Lot/Undeveloped Land
 Estimated Fair Market Value: \$170,000
 How was the Fair Market Appraisal
 Value Determined?:
 Transaction Type: DISPOSITION SALE
 If Other, Explain:

Transaction Date: 08/15/2014
 Purchase Sale Price: \$170,000.00
Lease Data (If applicable)
 Market Rate(\$/square foot):
 Lease Rate(\$/square foot):
 Lease Period (months):
Seller/Purchaser/Tenant Data:
 Organization:
 Last Name: Martin
 First Name: Joseph

Address Line1: 25 Linton Ave
 Address Line2:
 City: ALBANY
 State: NY
 Postal Code: 12205
 Plus4:
 Province/Region:
 Country: USA
 Relation With Board
 member/senior authority
 management? No

2. Address Line1: Riverside Avenue
 Address Line2:
 City: RENSSELAER
 State: NY
 Postal Code: 12144
 Plus4:
 Province/Region:
 Country: USA
 Property Description: Vacant Lot/Undeveloped Land
 Estimated Fair Market Value: \$45,000
 How was the Fair Market Appraisal
 Value Determined?:
 Transaction Type: DISPOSITION SALE
 If Other, Explain:

Transaction Date: 11/13/2014
 Purchase Sale Price: \$45,000.00
Lease Data (If applicable)
 Market Rate(\$/square foot):
 Lease Rate(\$/square foot):
 Lease Period (months):
Seller/Purchaser/Tenant Data:
 Organization: City of Rensselaer
 Last Name:
 First Name:

Address Line1: 62 Washington Street
 Address Line2:
 City: RENSSELAER
 State: NY
 Postal Code: 12144
 Plus4:
 Province/Region:
 Country: USA
 Relation With Board
 member/senior authority
 management? Yes

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.portofalbany.us
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.portofalbany.us
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Additional Comments:

The information has been discussed and approved by the Board.