

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	No	
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	No	
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	No	
6. Are any Authority staff also employed by another government agency?	Yes	City of Buffalo, New York
7. Has the Authority posted their mission statement to their website?	Yes	<a href="http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials">http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials</a>
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		<a href="http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials">http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials</a>

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://www.city-buffalo.com/Home/Leadership/City_Compcontroller/waterfinancials">http://www.city-buffalo.com/Home/Leadership/City_Compcontroller/waterfinancials</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://www.buffalowater.org/CustomerService/WaterBoardMeetingMinutes">http://www.buffalowater.org/CustomerService/WaterBoardMeetingMinutes</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://www.city-buffalo.com/Home/Leadership/City_Compcontroller/waterfinancials">http://www.city-buffalo.com/Home/Leadership/City_Compcontroller/waterfinancials</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://www.city-buffalo.com/Home/Leadership/City_Compcontroller/waterfinancials">http://www.city-buffalo.com/Home/Leadership/City_Compcontroller/waterfinancials</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	No	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	McFoy, Oluwole	Name	Vacant
Chair of the Board	Yes	Chair of the Board	
If yes, Chair Designated by.	Local	If yes, Chair Designated by.	
Term Start Date	01/01/2010	Term Start Date	
Term Expiration Date	Pleasure of Authority	Term Expiration Date	
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	

Board of Directors Listing

Name	Vacant	Name	Kelly, Gerald
Chair of the Board		Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date		Term Start Date	01/01/2010
Term Expiration Date		Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Vacant	Name	Michael, Finn
Chair of the Board		Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date		Term Start Date	05/01/2013
Term Expiration Date		Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	No

<u>Board of Directors Listing</u>	
Name	Sunderlin, William
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	01/01/2010
Term Expiration Date	Pleasure of Authority
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
ABBATE, SALVATORE P	WATER LINE INSPECTOR	Operational	WATER ADMINISTRATION	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
AHR, CHRISTIAN	WATER METER MECHANIC	Operational	WATER METER SHOP	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
ALMONOR, BRIGITTE	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
ANDERSON, ADAM I	CAULKER SUPERVISOR	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
APPENHEIMER, WILLIAM J	CHIEF PUMPING PLANT ENGINEER	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
BARNES, LYNDA A	TELLER	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
BENDER, GLENN L	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
BERDYCH, ANDREW	WATER METER MECHANIC	Operational	WATER METER SHOP	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
BOUQUARD, LORI A	SR DATA PROCESSING EQUIP OPER	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Barga-ning Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
BROOKS, WILLIAM	WATER SERVICE WORKER	Operational	WATER - ADMINISTRATIVE	SEASONAL EMPLOYEE	SEAS	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
BRUYERE, BRIAN	HEAVY EQUIPMENT OPERATOR WATER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
BURGIO, VICTOR A	PUMPING PLANT OPERATOR	Technical and Engineering	WATER MASS PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CALORICO, RICHARD M	PUMPING PLANT OPERATOR	Technical and Engineering	WATER MASS PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CAMPEAU, GREG R	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CAVANAGH, THOMAS	GENERAL MECHANIC (WATER)	Operational	WATER COL WARD PUMP STA	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CEGIELSKI, THOMAS J	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CIAUDELLA, CHERIE M	PUMPING PLANT OPERATOR	Technical and Engineering	WATER MASS PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CRITHARIS, JOHN	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
CRUMPLEY , LORENZO	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CWIK , THOMAS L	CAULKER	Operational	WATER COL WARD PUMP STA	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
DAVIS , CHARLES L	METER READER	Operational	WATER INSPECTION	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
DENECKE , JOSEPH C	FILTRATION PLANT OPERATOR	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
FATIG , DAVID	CHIEF PUMPING PLANT ENGINEER	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
FORD , JANROBIN J	CHEMIST	Administrative and Clerical	WATER FILTRATION PLANT	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
GARTZ , MARTIN	WATER SERVICE WORKER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
GENOVA , JOSEPH	HEAVY EQUIPMENT OPERATOR WATER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
GIANCARLO , KAREN	SR DATA PROCESSING EQUIP OPER	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
GUGINO , MICHAEL C	DISPATCHER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
GURBACKI , JOHN	ASSIST FILTRATION PLANT OPERAT	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HAHN , KELLY	DISPATCHER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HAMPTON , HAVEN A	ACCOUNT CLERK- TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HAPKE , KENNETH J	SENIOR ENGINEER	Administrative and Clerical	WATER ADMINISTR TION	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HELLERER , JAMES	ACCOUNT CLERK- TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HOGUE , HERBERT E	CHIEF PUMPING PLANT ENGINEER	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HUFF , CHRISTOPH ER	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
JANICKI , MATTHEW A	FILTRATION PLANT OPERATOR	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
KARBOWSKI, IZYDOR E	ASSIST WATER DISTRIBUTION SUPERVISOR	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
KARBOWSKI, THOMAS	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
KREUZ, PHILLIP I D	CAULKER SUPERVISOR	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
KUMRO, KATHLEEN	MAINTENANCE ASSISTANT WATER11	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
LACKIE, BRIAN D	CHIEF WATER POLLUTION INSPECTOR	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
LEFTWICH, DAWNETTE	DISPATCHER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
LICKFELD, WAYNE J	METER READER	Operational	WATER INSPECTION	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
LINK, WILLIAM R	MACHINE OPERATOR WATER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MACHIN, ERIC R	ASSIST FILTRATION PLANT OPERATOR	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
MACRI CHRISTOPHER	WATER METER MECHANIC	Operational	WATER METER SHOP	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MACRI FRANCIS	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MACRI NICHOLAS	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MAI BAO X	METER READER	Operational	WATER INSPECTION	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MARINACCI JOHN A	WATER SERVICE WORKER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MARSHALL CHARLES	WATER METER MECHANIC	Operational	WATER METER SHOP	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MARTIN PATRICK	PUMPING PLANT SUPERINTENDENT	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MASULLO STACEY	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MCBRIDE TAMARA	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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MCCABE  , JAMES	WATERMETER MECHANIC SUPERVISOR	Operational	WATER METER SHOP	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MCCLEARY  , SHAUN	FILTER PLANT MAINTENANC E SUPER	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MCCUNE  , ARLENE	ACCOUNT CLERK- TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MCMAHON  , JOHN	PRINCIPAL ENGINEER	Administrative and Clerical	WATER ADMINISTRA TION	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MCMANUS  , PATRICK M	ASSIST WATER DISTRIBUTI ON SPR1	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MIHALICS  , DONNA	ACCOUNT CLERK- TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MOFFETT  , ANDREW J	SR FIRST CLASS STATIONARY ENG	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MONTELEON  , DAVID C	HEAVY EQUIPMENT OPERATOR WATER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MURPHY  , JOHN P	WATER SERVICE WORKER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
NEUSCHAEFER, KIMBERLY	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
NIEDZWIEKIN, DAVID	ELECTRICIAN	Operational	WATER COL WARD PUMP STA	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
PANCZYK, ROBERT E	METER READER	Operational	WATER INSPECTION	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
PANZICA, MARK	MAINTENANCE ASSISTANT WATER11	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
PENNYAMON, WILLIE H	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
PETERS, THOMAS D	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
PINKARD, JORDASH	WATER METER MECHANIC	Operational	WATER METER SHOP	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
RAINERO, MICHAEL I A	CAULKER SUPERVISOR	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
REDFERN, DENNIS	WATER SERVICE WORKER	Operational	WATER - ADMINISTRATIVE	SEASONAL EMPLOYEE	SEAS	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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REESE, OMAR	WATER SERVICE INSPECTOR	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
RICHARDSON, GEORGE	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
ROMANINI, MARK	WATER METER MECHANIC	Operational	WATER METER SHOP	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SCHWEITZER, PETER J	FILTRATION PLANT OPERATOR	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SITAREK, CATHERINE	STOCK CLERK	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SKILLON, JERMAINE	SENIOR ENGINEERING AIDE	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SOBOCINSKI, JASON C	WATER SERVICE WORKER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SPARACINO, FRANK	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SPARACINO, FRANK J	WATER TREATMENT SUPERVISOR	Administrative and Clerical	WATER FILTRATION PLANT	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
STIEF A, WILLIAM	LABORER II	Operational	WATER COL WARD PUMP STA	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
STONE H, TIMOTHY	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SULLIVAN A, COLIN	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SULLIVAN I, PERRY	CAULKER SUPERVISOR	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SZCZEPANSKI P, JEROME I	CAULKER SUPERVISOR	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SZCZEPANSKI A, JOSEPH	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
THIEL A, SEAN	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
TITUS J, DONALD	DISPATCHER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
VAZQUEZ E, CLARISSA	ACCOUNT CLERK- TYPIST	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
WHITE, RONALD J	FILTRATION PLANT OPERATOR	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
WOLASZ, MICHAEL J	WATER DISTRIBUTION SUPERINTENDENT	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
YOST, BRIAN	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
ZIELINSKI, DAVID P	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
ZMUDA, MICHAEL	DISPATCHER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
McFoy, Oluwole	Board of Directors												X	
Kelly, Gerald	Board of Directors												X	
Sunderlin, William	Board of Directors												X	
Michael, Finn	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes  
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$45,202,841
Investments	\$0
Receivables, net	\$6,975,200
Other assets	\$0
<b>Total Current Assets</b>	<b>\$52,178,041</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$1,816,216
<b>Capital Assets</b>	
Land and other nondepreciable property	\$1,162,308
Buildings and equipment	\$97,656,717
Infrastructure	\$100,355,548
Accumulated depreciation	\$69,215,509
Net Capital Assets	\$129,959,064
<b>Total Noncurrent Assets</b>	<b>\$131,775,280</b>
<b>Total Assets</b>	<b>\$183,953,321</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$13,350,861
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
<b>Total Current Liabilities</b>	<b>\$13,350,861</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$124,152,101
<b>Total Noncurrent Liabilities</b>	<b>\$124,152,101</b>

**Total Liabilities**

**\$137,502,962**

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$11,536,770
Restricted	\$0
Unrestricted	\$34,913,589
<b>Total Net Assets</b>	<b>\$46,450,359</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$44,162,233
Rental & financing income	\$0
Other operating revenues	\$251,189
<b>Total Operating Revenue</b>	<b>\$44,413,422</b>

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$0
Supplies and materials	\$9,259,852
Depreciation & amortization	\$4,949,048
Other operating expenses	\$11,947,025
<b>Total Operating Expenses</b>	<b>\$26,155,925</b>

**Operating Income (Loss)** **\$18,257,497**

Nonoperating Revenues

Investment earnings	\$187,894
State subsidies/grants	\$0
Federal subsidies/grants	\$955,344
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
<b>Total Nonoperating Revenue</b>	<b>\$1,143,238</b>

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$6,759,811
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$5,408,626
<b>Total Nonoperating Expenses</b>	<b>\$12,168,437</b>
<b>Income (Loss) Before Contributions</b>	<b>\$7,232,298</b>
Capital Contributions	\$0
Change in net assets	\$7,232,298
Net assets (deficit) beginning of year	\$39,218,061
Other net assets changes	\$0
Net assets (deficit) at end of year	<b>\$46,450,359</b>

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

**New Debt Issuances List by Type of Debt and Program**

No Data has been entered by the Authority for this section in PARIS

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation					
Revenue					
Other Non-State Funded					
<b>Conduit</b>					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials">http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials">http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

**No Data has been entered by the Authority for this section in PARIS**

Additional Comments: