

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	cfswma.com
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	cfswma.com
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	cfswma.com
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	cfswma.com
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		cfsma.com

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		cfswma.com
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		cfswma.com
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	cfswma.com
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	cfswma.com
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	No	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	No	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	No	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	No	N/A
14. Was a performance evaluation of the board completed?	No	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Paye, Greg	Name	Vacant
Chair of the Board	No	Chair of the Board	
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2010	Term Start Date	
Term Expiration Date	12/31/2012	Term Expiration Date	
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	

Board of Directors Listing

Name	Travers, Henry	Name	Rhodes, David
Chair of the Board	Yes	Chair of the Board	No
If yes, Chair Designated by.	Elected by Board	If yes, Chair Designated by.	
Term Start Date	01/01/2008	Term Start Date	01/01/2010
Term Expiration Date	12/31/2010	Term Expiration Date	12/31/2012
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Shapiro, Richard	Name	Smith, Guy
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	04/30/2014	Term Start Date	01/01/2005
Term Expiration Date	12/31/2017	Term Expiration Date	12/31/2007
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	MacDonald, Tom
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	01/19/2012
Term Expiration Date	12/31/2014
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Beaudette, Gerald	Landfill caretaker	Operational				PT	No	0.00	17,202.76	668.8	0	0	0	17,871.56	No	
Brockway, John	Landfill Operator	Operational	landfill			FT	No	35,214.40	35,904.64	4,866.89	0	0	0	40,771.53	No	
Chenaille, Robert	MEO II	Operational	Waste Transfer			FT	No	36,067.20	39,833.54	34,708.24	0	0	0	74,541.78	No	
Clement, Edward	Landfill Caretaker	Operational	Tupper Lake			PT	No	0.00	1,369.5	0	0	0	0	1,369.5	No	
Cote, Norman	Landfill Caretaker	Operational	Tupper Lake			FT	No	36,379.20	39,833.54	2,033.32	0	0	0	41,866.86	No	
Dimick, Timothy	Landfill Caretaker	Operational	Lake Clear			FT	No	35,859.20	35,986.96	762.63	0	0	0	36,749.59	No	
Eades, George	Executive Director	Executive	Administrative			FT	Yes	93,244.86	93,852.9	0	0	0	0	93,852.9	No	
Fina, Ronald	Landfill Caretaker	Operational	Lake Clear			FT	No	33,280.00	25,877.6	195.36	0	0	0	26,072.96	No	
Fitzpatrick, Corinne	Accountant	Administrative and Clerical				FT	Yes	46,000.00	44,481	0	0	0	0	44,481	No	
Jarvis, Larry	MEO II	Operational				FT	No	35,547.20	37,131.7	14,733.7	0	0	0	51,865.4	No	
Jock, Kaylee	Scale Operator/typist	Administrative and Clerical	landfill			PT	No	0.00	10,602.3	125.25	0	0	0	10,727.55	No	
Johnston, Wayne	MEO II	Operational	Waste Transfer			FT	No	35,547.20	40,343.98	7,188.71	0	0	0	47,532.69	No	
Kilroy, Morgan	Landfill Caretaker	Operational				PT	No	0.00	8,957.63	207.5	0	0	0	9,165.13	No	
King, Daniel	Landfill caretaker	Operational	Landfill			PT	No	0.00	19,524.78	1,171.73	0	0	0	20,696.51	No	
King, Joseph	Landfill Operator	Operational	Landfill			FT	No	34,174.40	35,262.23	2,991.15	0	0	0	38,253.38	No	
LaBelle, Tyler	Laborer	Operational	Landfill			PT	No	33,654.40	34,093.37	7,212.3	0	0	0	41,305.67	No	

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
LaFlesh, Christopher	Laborer	Operational	Recycling			PT	No	0.00	26,461.3	1,573.17	0	0	0	28,034.47	No	
LaMondie, Douglas	Welder	Operational	Landfill			FT	No	38,292.80	39,091.24	315.85	0	0	0	39,407.09	No	
Lamere, Donald	MEO II	Operational	Waste Transfer			PT	No	0.00	5,919.96	0	0	0	0	5,919.96	No	
Landry, Jason	Landfill Caretaker	Operational				FT	No	33,280.00	33,479.04	1,585.44	0	0	0	35,064.48	No	
Landry, Nelson	Landfill Caretaker	Operational	Tupper Lake			FT	No	34,320.00	34,389.96	183.18	0	0	0	34,573.14	No	
Lavigne, Mark	Landfill Caretaker	Operational	Tupper Lake			PT	No	0.00	1,249.79	0	0	0	0	1,249.79	No	
Manchester, Andrew	Landfill caretaker	Operational				FT	No	33,280.00	33,267.84	1,136.4	0	0	0	34,404.24	No	
Martin, Tyler	Landfill caretaker	Operational	Landfill			PT	No	0.00	18,081	1,577.25	0	0	0	19,658.25	No	
Mathews, Paul	MEO II	Operational	Waste Transfer			PT	No	0.00	7,578.56	3,331.91	0	0	0	10,910.47	No	
Mathews, Tyler	Landfill Caretaker	Operational				PT	No	9,836.07	8,304.82	1,531.25	0	0	0	9,836.07	No	
McGovern, Ryan	Landfill Caretaker	Operational	Lake Clear			FT	No	33,800.00	34,375.25	358.69	0	0	0	34,733.94	No	
Moody, William	Landfill Caretaker	Operational	Tupper Lake			FT	No	33,800.00	24,430.6	13.41	0	0	0	24,444.01	No	
Rushford, Julie	Scale Operator	Administrative and Clerical	Office			PT	No	0.00	4,034.31	0	0	0	0	4,034.31	No	
Ryan, Josh	Landfill Operator	Operational				FT	No	33,654.40	33,458.01	495.1	0	0	0	33,953.11	No	
Schneider, Rudolph	Landfill Caretaker	Operational	Tupper Lake			PT	No	0.00	1,372.69	0	0	0	0	1,372.69	No	
Shantie, James	Laborer	Operational	Landfill			PT	No	0.00	823.86	0	0	0	0	823.86	No	
Shanty, Brent	MEO II	Operational	Waste Transfer			FT	No	37,107.20	38,846.61	16,179.09	0	0	0	55,025.7	No	

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Soucy, Jean	Landfill Caretaker	Operational	Tupper Lake			PT	No	0.00	1,248.09	0	0	0	0	1,248.09	No	
Spinner, Richard	Senior Mechanic	Operational	Waste Transfer			FT	No	40,976.00	47,175.79	7,239.49	0	0	0	54,415.28	No	
Sullivan, Helen	Scale Operator/Typist	Administrative and Clerical				FT	No	38,000.00	41,730.81	0	0	0	0	41,730.81	No	
Vermette, Justin	Landfill Operator	Operational	Landfill			FT	No	34,174.40	34,764.42	3,768.75	0	0	0	38,533.17	No	
Whitehead, Jason	MEO II	Operational	Waste Transfer			PT	No	0.00	4,492.28	0	0	0	0	4,492.28	No	
Whitehead, Justin	Laborer	Operational	Landfill			PT	No	0.00	823.32	0	0	0	0	823.32	No	
Williams, Ricky	Landfill Caretaker	Operational	Malone			FT	No	33,280.00	33,319.04	456.96	0	0	0	33,776	No	
Wood, Jill	Secretary to the Board	Administrative and Clerical	Administration			PT	No	0.00	1,806	0	0	0	0	1,806	No	

**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Travers, Henry	Board of Directors							X						
Paye, Greg	Board of Directors							X						
Rhodes, David	Board of Directors							X						
Vacant	Board of Directors							X						
MacDonald, Tom	Board of Directors							X						
Smith, Guy	Board of Directors							X						
Shapiro, Richard	Board of Directors							X						

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other

No Data has been entered by the Authority for this section in PARIS

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes  
Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$68,223
Investments	\$24,360
Receivables, net	\$602,781
Other assets	\$55,623
<b>Total Current Assets</b>	<b>\$750,987</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$18,314,415
Long-term receivables, net	\$0
Other assets	\$1,814,334
<b>Capital Assets</b>	
Land and other nondepreciable property	\$20,437,339
Buildings and equipment	\$6,926,523
Infrastructure	\$58,677
Accumulated depreciation	\$20,119,018
Net Capital Assets	\$7,303,521
<b>Total Noncurrent Assets</b>	<b>\$27,432,270</b>
<b>Total Assets</b>	<b>\$28,183,257</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$2,478,354
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$62,384
Deferred revenues	\$0
Bonds and notes payable	\$17,460,000
Other long-term obligations due within one year	\$189,613
<b>Total Current Liabilities</b>	<b>\$20,190,351</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$4,780,000
Long Term Leases	\$533,814
Other long-term obligations	\$2,610,260
<b>Total Noncurrent Liabilities</b>	<b>\$7,924,074</b>

**Total Liabilities**

**\$28,114,425**

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$68,832
Restricted	\$0
Unrestricted	\$0
<b>Total Net Assets</b>	<b>\$68,832</b>

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$5,413,624
Rental & financing income	\$0
Other operating revenues	\$6,544,062
<b>Total Operating Revenue</b>	<b>\$11,957,686</b>

Operating Expenses

Salaries and wages	\$1,180,517
Other employee benefits	\$636,814
Professional services contracts	\$35,255
Supplies and materials	\$415,246
Depreciation & amortization	\$1,782,515
Other operating expenses	\$7,402,910
<b>Total Operating Expenses</b>	<b>\$11,453,257</b>

Operating Income (Loss) **\$504,429**

Nonoperating Revenues

Investment earnings	\$1,321
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
<b>Total Nonoperating Revenue</b>	<b>\$1,321</b>

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$694,158
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
<b>Total Nonoperating Expenses</b>	<b>\$694,158</b>
<b>Income (Loss) Before Contributions</b>	<b>(\$188,408)</b>
Capital Contributions	\$0
Change in net assets	(\$188,408)
Net assets (deficit) beginning of year	\$257,240
Other net assets changes	\$0
Net assets (deficit) at end of year	<b>\$68,832</b>

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

**New Debt Issuances List by Type of Debt and Program**

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
BAN 2014- Expansio n	Refunding 0.00 New 15,450,000.00 Total 15,450,000.00		04/03/2014		Competitive	1	Fixed	1	186,566.33		

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation					
Revenue	0.00	9,660,000.00	15,450,000.00	2,830,000.00	22,280,000.00
Other Non-State Funded					
<b>Conduit</b>					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	cfswma.com
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	cfswma.com
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	No	

**No Data has been entered by the Authority for this section in PARIS**

Additional Comments: