

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://cmvny.com/departments/planning/urbanrenewalagency/
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://cmvny.com/departments/planning/urbanrenewalagency/
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	Yes	N/A
5. Does the Authority have an organization chart?	Yes	http://cmvny.com/departments/planning/urbanrenewalagency/
6. Are any Authority staff also employed by another government agency?	Yes	City of Mount Vernon
7. Has the Authority posted their mission statement to their website?	Yes	http://cmvny.com/departments/planning/urbanrenewalagency/
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://cmvny.com/departments/planning/urbanrenewalagency/

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	No	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	No	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	No	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://cmvny.com/departments/planning/urbanrenewalagency/
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://cmvny.com/departments/planning/urbanrenewalagency/
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://cmvny.com/departments/planning/urbanrenewalagency/
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.ecode360.com/6600073
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	http://www.ecode360.com/MO0742

Board of Directors Listing

Name	Davis, Ernest D	Name	Morton, Darren
Chair of the Board	Yes	Chair of the Board	No
If yes, Chair Designated by.	By Virtue of Position	If yes, Chair Designated by.	
Term Start Date	01/01/2014	Term Start Date	01/01/2014
Term Expiration Date	Ex-Officio	Term Expiration Date	Pleasure of Authority
Title	Mayor	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Local
Appointed By	Ex-Officio	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Sherwani, Hina	Name	Apuzzo, Roberta
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	04/02/2014	Term Start Date	01/01/2014
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Corporation Counsel	Title	City Council President
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Ex-Officio	Nominated By	Ex-Officio
Appointed By	Ex-Officio	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Walker, Maureen	Name	Johnson, Nichelle
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2014	Term Start Date	01/01/2014
Term Expiration Date	Ex-Officio	Term Expiration Date	04/01/2014
Title	Comptroller	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Ex-Officio
Appointed By	Ex-Officio	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Albano, Louis	Acting Planning Comm/ Ex Director	Executive				FT	Yes	90,000.00	9,310.35	0	0	0	0	9,310.35	No	
Alexander, Stephanie	Construction Trade Trainee	Operational				FT	Yes	15,470.00	10,710	0	0	0	0	10,710	No	
Bones, Isaac	Construction Trade Trainee	Operational				FT	Yes	15,470.00	10,710	0	0	0	0	10,710	No	
Brown, Todd	Case Manager	Professional				FT	Yes	33,733.00	33,604.22	0	0	0	0	33,604.22	No	
Burgess, Desmond	Construction Trade Trainee	Operational				FT	Yes	15,470.00	10,710	0	0	0	0	10,710	No	
Dandridge, Marleen	Assistant Bookkeeper	Professional				FT	Yes	40,421.00	40,266.2	0	0	0	0	40,266.2	No	
Daniels, Nicholas	Construction Trade Trainee	Operational				FT	Yes	15,470.00	1,963.5	0	0	0	0	1,963.5	No	
Finlayson, Margaret	Ida Secretary/ Executive Director	Executive				FT	Yes	86,000.00	26,360.16	0	0	0	0	26,360.16	No	
Fleming, Mary	CoC/Con. Trades Manager	Managerial				FT	Yes	50,000.00	52,682.02	0	0	0	0	52,682.02	No	
Fleming, Patricia	CoC/HEI Secretary	Administrative and Clerical				FT	Yes	34,000.00	23,448.42	0	0	0	0	23,448.42	No	
Halevi, Nahshon	Housing Director	Managerial				FT	Yes	50,000.00	49,807.94	0	0	0	0	49,807.94	No	
James, Marion	Front Desk Secretary	Administrative and Clerical				FT	Yes	27,509.00	27,403.74	0	0	0	0	27,403.74	No	
Johnson, Eileen	HOPWA Secretary	Administrative and Clerical				FT	Yes	31,308.00	31,188.82	0	0	0	0	31,188.82	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Jones, Cammie	Housing Specialist - HOPWA/CoC	Professional				FT	Yes	45,000.00	44,827.64	0	0	0	0	44,827.64	No	
Kingsberry, Bobby	Code Enforcement Officer	Professional				FT	Yes	31,025.00	30,906.2	0	0	0	0	30,906.2	No	
Lemite, Cassandra	Construction Trade Trainee	Operational				FT	Yes	15,470.00	6,417.5	0	0	0	0	6,417.5	No	
Lemite, Vladimir	Construction Trade Trainee	Operational				FT	Yes	15,470.00	6,451.5	0	0	0	0	6,451.5	No	
Lewis, Erwin	Con. Trades Supervisor	Operational				FT	Yes	27,300.00	26,909	0	0	0	0	26,909	No	
Lowens, Teresa	Graphics Director	Managerial				FT	Yes	56,085.00	55,869.84	0	0	0	0	55,869.84	No	
Martinez, Jaime	Acting Planning Comm/ Ex Director	Executive				FT	Yes	105,000.00	96,149.46	0	0	0	0	96,149.46	No	
McDermott, Ann	Case Manager	Professional				FT	Yes	45,000.00	44,827.64	0	0	0	0	44,827.64	No	
Reid, Theresa	Economic Dev. Director	Professional				FT	Yes	20,000.00	13,611.06	0	0	0	0	13,611.06	No	
Rodriguez, Yindy	Construction Trade Trainee	Operational				FT	Yes	15,470.00	1,487.5	0	0	0	0	1,487.5	No	
Tyer, John	Code Enforcement Officer	Professional				FT	Yes	42,188.00	42,026.14	0	0	0	0	42,026.14	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Williams, Danielle	Director of HOPWA/CoC	Managerial				FT	Yes	70,000.00	69,731.48	0	0	0	0	69,731.48	No	
Williamson, Melinda	Finance Manager	Managerial				FT	Yes	54,163.00	53,955.72	0	0	0	0	53,955.72	No	
Wood, Randall	Construction Trade Trainee	Operational				FT	Yes	15,470.00	8,925	0	0	0	0	8,925	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Walker, Maureen	Board of Directors												X	
Sherwani, Hina	Board of Directors												X	
Morton, Darren	Board of Directors												X	
Johnson, Nichelle	Board of Directors												X	
Davis, Ernest D	Board of Directors												X	
Apuzzo, Roberta	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Martinez, Jaime	Acting Planning Comm/ Ex Director												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$1,226,828
Investments	\$0
Receivables, net	\$2,489,705
Other assets	\$21,752
Total Current Assets	\$3,738,285
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$991,000
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$991,000
Total Noncurrent Assets	\$991,000
Total Assets	\$4,729,285

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$597,169
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$167,040
Deferred revenues	\$2,611,744
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$3,375,953

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$0

Total Liabilities **\$3,375,953**

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$0
Unrestricted	\$1,353,332
Total Net Assets	\$1,353,332

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

<u>Operating Revenues</u>	
Charges for services	\$0
Rental & financing income	\$0
Other operating revenues	\$472,283
Total Operating Revenue	\$472,283
<u>Operating Expenses</u>	
Salaries and wages	\$882,000
Other employee benefits	\$375,000
Professional services contracts	\$54,000
Supplies and materials	\$8,650
Depreciation & amortization	\$0
Other operating expenses	\$0
Total Operating Expenses	\$1,319,650
Operating Income (Loss)	(\$847,367)
<u>Nonoperating Revenues</u>	
Investment earnings	\$0
State subsidies/grants	\$494,509
Federal subsidies/grants	\$2,880,581
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$3,375,090

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$575,793
Grants and donations	\$377,353
Other nonoperating expenses	\$1,600,030
Total Nonoperating Expenses	\$2,553,176
Income (Loss) Before Contributions	(\$25,453)
Capital Contributions	\$0
Change in net assets	(\$25,453)
Net assets (deficit) beginning of year	\$1,378,785
Other net assets changes	\$0
Net assets (deficit) at end of year	\$1,353,332

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation State Guaranteed State Supported State Contingent Obligation State Moral Obligation Other State Funded					
Authority Obligation General Obligation Revenue Other Non-State Funded					
Conduit Conduit Debt Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://cmvny.com/departments/planning/urbanrenewalagency/
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.ecode360.com/MO0742
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: