

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.danc.org
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.danc.org
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	1	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6. Does the independent auditor provide non-audit services to the Authority?	Yes	N/A
7. Does the Authority have an organization chart?	Yes	www.danc.org
8. Are any Authority staff also employed by another government agency?	No	
9. Has the Authority posted their mission statement to their website?	Yes	
10 Has the Authority's mission statement been revised and adopted during the reporting period?	Yes	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.danc.org

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://www.danc.org">www.danc.org</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://www.danc.org">www.danc.org</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://www.danc.org">www.danc.org</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://www.danc.org">www.danc.org</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

**Board of Directors Listing**

Name	Commissioner Empire State Development, James Fayle	Name	Turck, Gary
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	05/12/2008	Term Start Date	08/05/2013
Term Expiration Date	Pleasure of Authority	Term Expiration Date	08/05/2017
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Governor	Nominated By	Local
Appointed By	Governor	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

<u>Board of Directors Listing</u>			
Name	Shelly, William M	Name	Carter, Fredrick J
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	08/12/2010	Term Start Date	05/03/2013
Term Expiration Date	10/17/2011	Term Expiration Date	05/03/2017
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Governor	Nominated By	Local
Appointed By	Governor	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Vacant	Name	Archer, William K
Chair of the Board		Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date		Term Start Date	06/03/2008
Term Expiration Date		Term Expiration Date	10/17/2011
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	No
Nominated By	Assembly Majority	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	Murray, Margaret L	Name	Hefferon, Thomas
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	11/16/2012	Term Start Date	09/17/2012
Term Expiration Date	12/31/2015	Term Expiration Date	09/17/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Richmond, Karen	Name	Calligaris, Alfred E
Chair of the Board	No	Chair of the Board	Yes
If yes, Chairman Designated by.		If yes, Chairman Designated by.	Elected by Board
Term Start Date	03/08/2010	Term Start Date	12/31/2011
Term Expiration Date	10/17/2013	Term Expiration Date	12/31/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Senate Majority	Nominated By	Local
Appointed By	Governor	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Johnson, John B	Name	MacKinnon, Alex A
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	08/20/2013	Term Start Date	11/14/2011
Term Expiration Date	06/10/2017	Term Expiration Date	12/31/2014
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	Lucas, Richard C
Chair of the Board	No
If yes, Chairman Designated by.	
Term Start Date	12/03/2011
Term Expiration Date	12/03/2015
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Akins, Jason W	Landfill Gas Technician	Technical and Engineering	Solid Waste Management Facility			FT	No	42,924.80	42,924.8	2,320.23	0	0	1,200	46,445.03	No	
Austin, Amy B	Compliance Officer	Managerial	Administration			FT	Yes	52,365.65	52,365.65	0	0	0	4,540.34	56,905.99	No	
Barker, Edward J	Equipment Operator I	Operational	Solid Waste Management Facility			FT	No	39,164.00	39,164	2,068.19	0	0	0	41,232.19	No	
Barney, Keely L	Administrative Assistant	Administrative and Clerical	Administration			FT	No	31,075.20	24,977.8	190.5	0	0	2,307.8	27,476.1	No	
Belden, Terri A	Engineering Assistant	Administrative and Clerical	Engineering			FT	No	36,816.48	36,816.48	212.64	0	0	0	37,029.12	No	
Bigness, Michael T	Water Wastewater Operator II	Operational	Water Quality Management			FT	No	39,244.44	39,244.44	1,991.34	0	0	812.88	42,048.66	No	
Blackwell, Michael R	Lead Maintenance Technician	Operational	Solid Waste Management Facility			FT	No	51,276.80	51,276.8	1,992.06	0	0	1,200	54,468.86	No	
Borte, Mark A	Telecom Chief Technology Officer	Technical and Engineering	Telecommunications			FT	Yes	113,846.92	113,846.92	0	0	0	3,660	117,506.92	No	
Buckley, Timothy L	Telecommunications Specialist	Technical and Engineering	Telecommunications			FT	Yes	56,871.01	56,871.01	0	0	0	3,390	60,261.01	No	
Capone, Michelle L	Director of Regional Development	Managerial	Administration			FT	Yes	78,901.99	78,901.99	0	0	0	0	78,901.99	No	

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Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Carbone-Simpson, Lisa M	Customer Service Assistant	Administrative and Clerical	Solid Waste Management Facility			FT	No	30,844.19	30,844.19	312.48	0	0	3,000	34,156.67	No	
Carter, Starr R	GIS Specialist	Technical and Engineering	Engineering			FT	Yes	37,725.20	37,725.2	0	0	0	3,000	40,725.2	No	
Chereshnoski, Mary K	Accounting Assistant	Administrative and Clerical	Administration			FT	No	40,212.40	40,212.4	72.75	0	0	3,000	43,285.15	No	
Chereshnoski, Peter E	Environmental Coordinator	Technical and Engineering	Engineering and Environmental			FT	No	65,695.67	65,695.67	237.15	0	0	0	65,932.82	No	
Cook, David B	GIS Analyst	Technical and Engineering	Engineering and Environmental			FT	Yes	51,644.02	51,644.02	0	0	0	0	51,644.02	No	
Crary, Bart A	Water Quality Supervisor I	Operational	Engineering and Environmental			FT	Yes	65,000.15	65,000.15	0	0	0	0	65,000.15	No	
Crowell, Christina M	GIS Intern	Technical and Engineering	Engineering and Environmental			FT	No	22,880.00	22,141	0	0	0	0	22,141	No	
Deon, Valerie A	Customer Service Coordinator	Administrative and Clerical	Solid Waste Management Facility			FT	No	40,722.31	40,722.31	830.55	0	500	3,000	45,052.86	No	
Duffany, Thomas L	Field Inspector	Technical and Engineering	Telecommunications			PT	No	58,094.00	58,094	1,557.75	0	0	420.1	60,071.85	No	
Durantini, Robert J	Director of Network Facilities	Technical and Engineering	Telecommunications			FT	Yes	113,996.34	113,996.34	0	0	0	4,426.66	118,423	No	

**Staff Listing**

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Duvall, John E	Water/Wastewater Technician II	Operational	Water Quality Management			PT	No	37,211.20	10,358.32	0	0	0	0	10,358.32	No	
Escudero, Druanne	Staff Accountant	Administrative and Clerical	Administration			FT	Yes	47,384.59	47,384.59	0	0	0	3,000	50,384.59	No	
Farone, Carl E	Comptroller	Managerial	Administration			FT	Yes	99,413.85	99,413.85	0	0	0	0	99,413.85	No	
Field, Timothy R	Information Technology Director	Managerial	Administration			FT	Yes	110,426.27	110,426.27	0	0	0	0	110,426.27	No	
Fitzpatrick, Sharon L	Office Manager	Administrative and Clerical	Water Quality Management			FT	No	48,070.02	48,070.02	0	0	0	0	48,070.02	No	
Hatch, Richard J	Equipment Operator I	Operational	Solid Waste Management Facility			FT	No	37,470.81	37,470.81	595.32	0	0	0	38,066.13	No	
Illingworth, Jesse D	Water Wastewater Technician I	Operational	Water Quality Management			FT	No	32,697.02	32,697.02	7,303.12	0	0	2,160	42,160.14	No	
Ingerson, Gregory E	Water/Wastewater Lead Operator	Operational	Water Quality Management			FT	No	49,449.60	49,449.6	12,394.04	0	0	2,699.52	64,543.16	No	
Jones, Norman W	Water/Wastewater Operator III	Operational	Water Quality Management			FT	No	43,115.49	43,115.49	6,478.5	0	0	5,727.24	55,321.23	No	
LaJuett, Matthew H	Maintenance Technician Assistant	Operational	Solid Waste Management			FT	No	25,625.60	364.18	0	0	0	0	364.18	No	

**Staff Listing**

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LaRock, Brian S	Landfill Gas Technician	Technical and Engineering	Solid Waste Management Facility			FT	No	43,672.80	43,672.8	1,510.73	0	0	1,200	46,383.53	No	
Latremore, Donald C	Water Wastewater Technician I	Operational	Water Quality Management			FT	No	32,801.60	4,733.86	433.82	0	0	516.9	5,684.58	No	
LeClerc, Richard R	Solid Waste Division Manager	Managerial	Solid Waste Management Facility			FT	Yes	80,961.65	80,961.65	0	0	0	3,000	83,961.65	No	
MacDiarmid, Dorlene S	Telecommunications Business Development Rep.	Technical and Engineering	Telecommunications			FT	Yes	117,253.30	117,253.3	0	0	0	3,000	120,253.3	No	
MacMillian, Keith F	Water/Wastewater Technician I	Operational	Water Quality Management			FT	No	35,902.40	35,902.4	5,439.45	0	0	1,765.38	43,107.23	No	
Mantle, Brian A	Water Wastewater Technician I	Operational	Water Quality Management			FT	No	32,801.60	20,818.75	1,277.64	0	0	1,248.9	23,345.29	No	
Marshall, Steven G	Water/Wastewater Assistant Lead Operator	Operational	Water Quality Management			FT	No	46,915.28	46,915.28	12,326.13	0	0	5,403.66	64,645.07	No	
McElwain, Stephen C	Landfill Superintendent	Operational	Solid Waste Management Facility			FT	Yes	74,560.20	74,560.2	0	0	0	0	74,560.2	No	

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Merrill, Daniel M	Controls Engineer	Technical and Engineering	Engineering and Environmental			FT	Yes	67,750.64	67,750.64	0	0	0	3,500.28	71,250.92	No	
Millard, Benjamin R	Maintenance Technician I	Operational	Solid Waste Management Facility			FT	No	35,902.40	35,902.4	933.12	0	0	600	37,435.52	No	
Mothersell, John P	Facilities Coordinator	Technical and Engineering	Engineering and Environmental			FT	No	68,210.51	68,210.51	714.13	0	0	0	68,924.64	No	
Moulton, Nicholas A	Administrative Assistant	Administrative and Clerical	Telecommunications			FT	No	32,542.86	32,542.86	117.75	0	0	0	32,660.61	No	
Northrop, David P	Telecom Analyst	Technical and Engineering	Telecommunications			FT	Yes	75,000.00	20,192.34	0	0	0	0	20,192.34	No	
O'Dell, Neil H	Water/Wastewater Operator III	Operational	Water Quality Management			FT	No	45,481.83	45,481.83	13,773.52	0	0	2,399.1	61,654.45	No	
Oatman, Jan M	Recycling Coordinator	Professional	Solid Waste Management Facility			FT	Yes	54,889.73	54,889.73	0	0	0	0	54,889.73	No	
Ouderkirk, Dan F	Maintenance Technician II	Operational	Solid Waste Management Facility			FT	No	42,045.60	42,045.6	257.4	0	0	3,000	45,303	No	
Overstrom, Jeffrey L	Water Quality Supervisor I	Managerial	Engineering and Environmental			FT	Yes	68,500.00	42,153.92	0	0	0	1,846.24	44,000.16	No	

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Pastella, Patricia M	Water Quality Division Manager	Managerial	Water Quality Management			FT	Yes	96,000.00	92,308.76	0	0	0	0	92,308.76	No	
Perry, Jamie M	Customer Service Assistant	Administrative and Clerical	Solid Waste Management Facility			FT	No	30,844.19	30,844.19	993.24	0	0	3,000	34,837.43	No	
Quinta, David W	Water Quality Supervisor I	Operational	Engineering			FT	Yes	67,334.94	32,285.22	0	0	0	1,500	33,785.22	No	
Roux, Jerame S	Water/Wastewater Technician I	Operational	Water Quality Management			FT	No	32,697.41	32,697.41	7,446.03	0	0	2,127.24	42,270.68	No	
Rowe, Shawn B	Water/Wastewater Lead Operator	Operational	Water Quality Management			FT	No	42,176.94	42,176.94	8,335.62	0	0	2,823.42	53,335.98	No	
Sauter, Thomas R	Deputy Executive Director	Executive	Administration			FT	Yes	122,124.64	122,124.64	0	0	2,000	3,000	127,124.64	No	
Schrader, Craig R	Maintenance Technician Assistant	Operational	Solid Waste Management			FT	No	26,478.40	24,441.61	563.46	0	0	0	25,005.07	No	
Siver, Matthew R	Project Development Specialist	Professional	Administration			FT	Yes	42,080.29	42,080.29	0	0	0	0	42,080.29	No	
Smithers, Stephen F	Network Architect	Technical and Engineering	Telecommunications			FT	Yes	111,248.86	111,248.86	0	0	0	0	111,248.86	No	

**Staff Listing**

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Stevenson, Robert S	Water Wastewater Technician I	Operational	Water Quality Management			FT	No	32,697.23	32,697.23	2,685.41	0	0	3,928.8	39,311.44	No	
Sullivan, Christopher J	Equipment Operator I	Operational	Solid Waste Management Facility			FT	No	35,902.40	35,902.4	2,277.22	0	0	0	38,179.62	No	
Taber, Michael J	Water Wastewater Technician I	Operational	Water Quality Management			FT	No	32,698.91	32,698.91	6,336.94	0	0	5,126.52	44,162.37	No	
Tamblin, Richard R	Equipment Operator II	Operational	Solid Waste Management Facility			FT	No	43,602.12	43,602.12	2,278.39	0	0	0	45,880.51	No	
Tamblin, Stuart R	Lead Equipment Operator	Operational	Solid Waste Management Facility			FT	No	48,970.40	48,970.4	4,941.56	0	0	1,200	55,111.96	No	
Taylor, Matthew A	Senior Project Development Specialist	Professional	Administration			FT	Yes	68,978.61	68,978.61	0	0	0	0	68,978.61	No	
Tousant, Laura R	Deputy Comptroller	Professional	Administration			FT	Yes	61,730.83	61,730.83	0	0	0	3,000	64,730.83	No	
Tulip, Brian K	Project Engineer	Professional	Engineering and Environmental			FT	Yes	61,800.00	61,730.88	0	0	0	1,846.24	63,577.12	No	

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Tuttle, Carrie M	Director of Engineering and Environmental	Managerial	Engineering and Environmental			FT	Yes	101,808.46	101,808.46	0	0	0	0	101,808.46	No	
Tuttle, Hunter R	Summer Intern	Operational	Water Quality Management			FT	No	19,760.00	2,921.25	0	0	0	0	2,921.25	No	
Tyo, Mark S	Environmental Technician I	Technical and Engineering	Solid Waste Management Facility			FT	No	39,808.00	39,808	2,284.41	0	0	4,200	46,292.41	No	
Waite, Henry W	Lead Truck Driver	Operational	Solid Waste Management Facility			FT	No	44,441.64	44,441.64	4,249.21	0	0	1,200	49,890.85	No	
Wallace, Kevin D	Water/Wastewater Operator I	Operational	Water Quality Management			FT	No	37,810.64	37,810.64	2,074.54	0	0	2,349.48	42,234.66	No	
Widrick, Howard R	Truck Driver	Operational	Solid Waste Management			FT	No	37,876.03	37,876.03	3,472.38	0	0	0	41,348.41	No	
Wolf, David M	Telecom Division Manager	Managerial	Telecommunications			FT	Yes	118,305.29	118,305.29	0	0	0	500	118,805.29	No	
Worden, Scott C	Equipment Operator I	Operational	Solid Waste Management Facility			FT	No	37,470.80	37,470.8	2,093.99	0	0	0	39,564.79	No	
Wright, James W	Executive Director	Executive	Administration			FT	Yes	168,000.16	168,000.16	0	0	0	3,000	171,000.16	No	
Yaddow, JoAnne T	Executive Assistant	Administrative and Clerical	Administration			FT	No	47,239.88	47,239.88	0	0	0	0	47,239.88	No	

**Benefit Information**

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Lucas, Richard C	Board of Directors												X	
Richmond, Karen	Board of Directors												X	
Hefferon, Thomas	Board of Directors												X	
Calligaris, Alfred E	Board of Directors												X	
Murray, Margaret L	Board of Directors												X	
Turck, Gary	Board of Directors												X	
Johnson, John B	Board of Directors												X	
Archer, William K	Board of Directors												X	
Commissioner Empire State Development, James Fayle	Board of Directors												X	
Carter, Fredrick J	Board of Directors												X	
Shelly, William M	Board of Directors												X	
MacKinnon, Alex A	Board of Directors												X	
Vacant	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Borte, Mark A	Telecom Chief Technology Officer												X	
Durantini, Robert J	Director of Network Facilities												X	
Field, Timothy R	Information Technology Director												X	
MacDiarmid, Dorlene S	Telecommunications Business Development Rep.												X	
Sauter, Thomas R	Deputy Executive Director												X	
Smithers, Stephen F	Network Architect												X	
Tuttle, Carrie M	Director of Engineering and Environmental												X	
Wolf, David M	Telecom Division Manager												X	
Wright, James W	Executive Director											X		

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
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**Subsidiary/Component Unit Creation**

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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**Subsidiary/Component Unit Termination**

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$17,363,907
Investments	\$26,135,143
Receivables, net	\$1,566,520
Other assets	\$877,177
<b>Total Current Assets</b>	<b>\$45,942,747</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$45,543,012
Long-term receivables, net	\$31,808,943
Other assets	\$48,780
<b>Capital Assets</b>	
Land and other nondepreciable property	\$7,221,247
Buildings and equipment	\$22,206,326
Infrastructure	\$130,631,809
Accumulated depreciation	\$86,289,331
Net Capital Assets	\$73,770,051
<b>Total Noncurrent Assets</b>	<b>\$151,170,786</b>
<b>Total Assets</b>	<b>\$197,113,533</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$3,264,195
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$552,309
Deferred revenues	\$1,982,044
Bonds and notes payable	\$3,687,112
Other long-term obligations due within one year	\$0
<b>Total Current Liabilities</b>	<b>\$9,485,660</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$2,475,940
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$23,002,421
<b>Total Noncurrent Liabilities</b>	<b>\$25,478,361</b>

**Total Liabilities**

\$34,964,021

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$63,414,978
Restricted	\$47,167,544
Unrestricted	\$51,566,990
<b>Total Net Assets</b>	<b>\$162,149,512</b>

**Summary Financial Information**

**SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS**

**Operating Revenues**

Charges for services	\$21,283,543
Rental & financing income	\$504,468
Other operating revenues	\$1,543,464
<b>Total Operating Revenue</b>	<b>\$23,331,475</b>

**Operating Expenses**

Salaries and wages	\$4,194,804
Other employee benefits	\$2,175,295
Professional services contracts	\$173,199
Supplies and materials	\$168,246
Depreciation & amortization	\$8,212,425
Other operating expenses	\$6,789,313
<b>Total Operating Expenses</b>	<b>\$21,713,282</b>

**Operating Income (Loss)** \$1,618,193

**Nonoperating Revenues**

Investment earnings	(\$265,244)
State subsidies/grants	\$5,749,734
Federal subsidies/grants	\$2,341,579
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
<b>Total Nonoperating Revenue</b>	<b>\$7,826,069</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$410,900
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
<b>Total Nonoperating Expenses</b>	<b>\$410,900</b>
<b>Income (Loss) Before Contributions</b>	<b>\$9,033,362</b>
<b>Capital Contributions</b>	<b>\$0</b>
<b>Change in net assets</b>	<b>\$9,033,362</b>
<b>Net assets (deficit) beginning of year</b>	<b>\$153,116,150</b>
<b>Other net assets changes</b>	<b>\$0</b>
<b>Net assets (deficit) at end of year</b>	<b>\$162,149,512</b>

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

**New Debt Issuances List by Type of Debt and Program**

No Data has been entered by the Authority for this section in PARIS

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation	0.00	1,314,000.00	0.00	50,000.00	1,264,000.00
Revenue	0.00	14,859,995.00	0.00	4,584,972.00	10,275,023.00
Other Non-State Funded					
<b>Conduit</b>					
Conduit Debt	0.00	599,999.99	0.00	0.01	599,999.98
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://www.danc.org">www.danc.org</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://www.danc.org">www.danc.org</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Additional Comments: