

Governance Information (Authority-Related)

| Question | Response | URL (if applicable) |
|--|-----------------|---|
| 1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL? | Yes | http://nysparks.com/natural-heritage-trust/documents.aspx |
| 2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls? | Yes | http://nysparks.com/natural-heritage-trust/governance.aspx |
| 3. Has the Authority named an internal control officer in accordance with section 2931 of PAL? | Yes | N/A |
| 4. Please enter the number of staff assigned the internal control function. | 1 | N/A |
| 5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL? | Yes | N/A |
| 6. Does the independent auditor provide non-audit services to the Authority? | No | N/A |
| 7. Does the Authority have an organization chart? | Yes | http://nysparks.com/natural-heritage-trust/governance.aspx |
| 8. Are any Authority staff also employed by another government agency? | No | |
| 9. Has the Authority posted their mission statement to their website? | Yes | http://nysparks.com/natural-heritage-trust/governance.aspx |
| 10 Has the Authority's mission statement been revised and adopted during the reporting period? | No | N/A |
| 11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL. | | http://nysparks.com/natural-heritage-trust/governance.aspx |
| 12 Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL? | Yes | http://nysparks.com/natural-heritage-trust/governance.aspx |
| Lobbying officer name | Paul Laudato | N/A |
| 13 Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL? | Yes | N/A |

Governance Information (Board-Related)

| Question | Response | URL |
|---|----------|---|
| 1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL? | Yes | N/A |
| 2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL? | Yes | N/A |
| 3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL? | No | N/A |
| 4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established): | | http://nysparks.com/natural-heritage-trust/governance.aspx |
| 5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL? | Yes | N/A |
| 6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year | | http://nysparks.com/natural-heritage-trust/governance.aspx |
| 7. Has the Board adopted bylaws and made them available to Board members and staff? | Yes | http://nysparks.com/natural-heritage-trust/governance.aspx |
| 8. Has the Board adopted a code of ethics for Board members and staff? | Yes | http://nysparks.com/natural-heritage-trust/governance.aspx |
| 9. Does the Board review and monitor the Authority's implementation of financial and management controls? | Yes | N/A |
| 10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL? | Yes | N/A |
| 11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL? | | |
| Salary and Compensation | Yes | N/A |
| Time and Attendance | Yes | N/A |
| Whistleblower Protection | Yes | N/A |
| Defense and Indemnification of Board Members | Yes | N/A |
| 12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL? | Yes | N/A |
| 13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL? | Yes | N/A |
| 14. Was a performance evaluation of the board completed? | Yes | N/A |
| 15. Was compensation paid by the Authority made in accordance with employee or union contracts? | Yes | N/A |
| 16. Has the board adopted a conditional/additional compensation policy governing all employees? | No | |
| | | |

Board of Directors Listing

| | | | |
|---|--------------------|---|--------------------|
| Name | Perales, Cesear | Name | Harvey, Rose |
| Chair of the Board | No | Chair of the Board | Yes |
| If yes, Chairman Designated by. | | If yes, Chairman Designated by. | Elected by Board |
| Term Start Date | 03/31/2011 | Term Start Date | 03/08/2011 |
| Term Expiration Date | Ex-Officio | Term Expiration Date | Ex-Officio |
| Title | Secretary of State | Title | Commissioner OPRHP |
| Has the Board member appointed a designee? | Yes | Has the Board member appointed a designee? | Yes |
| Designee Name | George Stafford | Designee Name | Andy Beers |
| Ex-officio | Yes | Ex-officio | Yes |
| Nominated By | Ex-Officio | Nominated By | Ex-Officio |
| Appointed By | Ex-Officio | Appointed By | Ex-Officio |
| Confirmed by Senate? | | Confirmed by Senate? | |
| Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes | Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes |
| Complied with training requirement of Section 2824? | No | Complied with training requirement of Section 2824? | Yes |
| Does the Board member/designee also hold an elected or appointed State gove | Yes | Does the Board member/designee also hold an elected or appointed State gove | Yes |
| Does the Board member/designee also hold an elected or appointed municipal government position? | No | Does the Board member/designee also hold an elected or appointed municipal government position? | No |

Board of Directors Listing

| | | | |
|--|------------------|--|-------------------------------|
| Name | Martens, Joseph | Name | Waletzky, Lucy |
| Chair of the Board | No | Chair of the Board | No |
| If yes, Chairman Designated by. | | If yes, Chairman Designated by. | |
| Term Start Date | 03/08/2011 | Term Start Date | 04/13/2007 |
| Term Expiration Date | Ex-Officio | Term Expiration Date | Ex-Officio |
| Title | DEC Commissioner | Title | Chair, State Council of Parks |
| Has the Board member appointed a designee? | Yes | Has the Board member appointed a designee? | No |
| Designee Name | Anne Reynolds | Designee Name | |
| Ex-officio | Yes | Ex-officio | Yes |
| Nominated By | Ex-Officio | Nominated By | Ex-Officio |
| Appointed By | Ex-Officio | Appointed By | Ex-Officio |
| Confirmed by Senate? | | Confirmed by Senate? | |
| Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes | Has the Board member/designee signed the acknowledgement of fiduciary duty? | Yes |
| Complied with training requirement of Section 2824? | Yes | Complied with training requirement of Section 2824? | Yes |
| Does the Board member/designee also hold an elected or appointed State gove | Yes | Does the Board member/designee also hold an elected or appointed State gove | No |
| Does the Board member/designee also hold an elected or appointed municipal government position? | No | Does the Board member/designee also hold an elected or appointed municipal government position? | No |

Staff Listing

| Name | Title | Group | Department / Subsidiary | Union Name | Bargaining Unit | Full Time/ Part Time | Exempt | Base Annualized Salary | Actual salary paid to the Individual | Over time paid by Authority | Performance Bonus | Extra Pay | Other Compensation/Allowances/Adjustments | Total Compensation | Individual also paid by another entity to perform the work of the Authority | If yes, Is the payment made by State or local government |
|---------------------|--|-----------------------------|-------------------------|------------|-----------------|----------------------|--------|------------------------|--------------------------------------|-----------------------------|-------------------|-----------|---|--------------------|---|--|
| Adler, David | Park Planner | Professional | OPRHP Project Staff | | | FT | Yes | 55,000.00 | 54,401.2 | 0 | 0 | 0 | 0 | 54,401.2 | No | |
| Ali, Rosemarie | Administrative Assistant | Professional | OPRHP Project Staff | | | PT | Yes | 10,617.93 | 10,617.93 | 0 | 0 | 0 | 0 | 10,617.93 | No | |
| Altman, Sarah | Intern | Administrative and Clerical | | | | PT | Yes | 19,680.21 | 19,680.21 | 0 | 0 | 0 | 0 | 19,680.21 | No | |
| Arroyo, Daniel | GIS Project Supervisor | Professional | DEC Project Staff | | | FT | Yes | 53,917.00 | 27,997 | 0 | 0 | 2,585.28 | 0 | 30,582.28 | No | |
| Bagrow, Daniel | Historic Preservation Specialist | Professional | OPRHP Project Staff | | | FT | Yes | 49,000.00 | 48,166.03 | 0 | 0 | 0 | 0 | 48,166.03 | No | |
| Barnet, David | Coordinator-Ecosystem Management | Professional | DEC Project Staff | | | FT | Yes | 76,000.00 | 75,712.44 | 0 | 0 | 0 | 0 | 75,712.44 | No | |
| Bauer, Christopher | Brownfield Opportunity Areas Coordinator | Professional | DOS Project Staff | | | FT | Yes | 68,698.00 | 68,608.78 | 0 | 0 | 0 | 0 | 68,608.78 | No | |
| Belue, Robin | Executive Director-Niagara Greenway | Managerial | OPRHP Project Staff | | | FT | Yes | 91,240.00 | 91,121.73 | 0 | 0 | 0 | 0 | 91,121.73 | No | |
| Bettlejeski, Mary | Painting Conservation Specialist | Professional | OPRHP Project Staff | | | FT | Yes | 52,307.00 | 40,839.65 | 0 | 0 | 0 | 0 | 40,839.65 | No | |
| Betsworth, Jennifer | Historic Preservation Specialist | Professional | OPRHP | | | FT | Yes | 52,000.00 | 32,000 | 0 | 0 | 0 | 0 | 32,000 | No | |

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|------------------------|--|-----------------------------|-------------------------|------------|-----------------|---------------------|--------|------------------------|--------------------------------------|-----------------------------|-------------------|-----------|---|--------------------|---|--|
| Booska, Mark | Fahnestock Groomer/Leasons | Operational | | | | FT | Yes | 1,780.49 | 1,780.49 | 0 | 0 | 0 | 0 | 1,780.49 | No | |
| Bowie, Patricia | Program Implementation Specialist | Professional | | | | FT | Yes | 55,250.00 | 55,178.15 | 0 | 0 | 0 | 0 | 55,178.15 | No | |
| Bowman, Colby | Hourly Fish and Wildlife Technician | Operational | | | | PT | Yes | 24,480.24 | 24,480.24 | 160.02 | 0 | 114.3 | 0 | 24,754.56 | No | |
| Bullough, Sloane | Historic Preservation Program Specialist | Professional | OPRHP Project Staff | | | FT | Yes | 60,000.00 | 59,999.94 | 0 | 0 | 0 | 0 | 59,999.94 | No | |
| Caccese, Michael | Accounting Manager | Managerial | | | | FT | Yes | 83,000.00 | 84,047.54 | 0 | 0 | 0 | 0 | 84,047.54 | No | |
| Cady-Sawyer, Kristen | Program Specialist | Professional | OPRHP Project Staff | | | FT | Yes | 54,000.00 | 52,267.56 | 0 | 0 | 0 | 0 | 52,267.56 | No | |
| Caldwell, Denise | Clerk | Administrative and Clerical | DOS Project Staff | | | FT | Yes | 38,877.00 | 37,948.9 | 0 | 0 | 0 | 0 | 37,948.9 | No | |
| Campbell, Jennifer | CSA Farm Manager | Managerial | OPRHP | | | FT | Yes | 25,000.00 | 23,076.96 | 0 | 0 | 0 | 0 | 23,076.96 | No | |
| Casel, Jeff | CSA Farm Manager | Operational | | | | FT | Yes | 25,000.00 | 19,326.95 | 0 | 0 | 0 | 0 | 19,326.95 | No | |
| Catalano, Dave | Golf Tournament Coordinator | Operational | OPRHP Project Staff | | | PT | Yes | 8,546.37 | 8,546.37 | 0 | 0 | 0 | 0 | 8,546.37 | No | |
| Cebeda Mora, Gabriella | Ecosystem Based Managment Ecologist | Professional | OPRHP | | | FT | Yes | 48,500.00 | 15,072.28 | 0 | 0 | 0 | 0 | 15,072.28 | No | |

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|--------------------|--|--------------|-------------------------|------------|-----------------|---------------------|--------|------------------------|--------------------------------------|-----------------------------|-------------------|-----------|---|--------------------|---|--|
| Cheney, Matt | Forestry Aide | Operational | DEC Project Staff | | | PT | Yes | 2,175.01 | 2,175.01 | 0 | 0 | 0 | 0 | 2,175.01 | No | |
| Chicattelli, Nita | Project Review Specialist DHSES | Professional | OPRHP | | | FT | Yes | 55,000.00 | 13,327.07 | 0 | 0 | 0 | 0 | 13,327.07 | No | |
| Cravens, Curtis | Brownfield Opportunity Areas Coordinator | Managerial | DOS Project Staff | | | FT | Yes | 80,423.00 | 21,652.26 | 0 | 0 | 0 | 0 | 21,652.26 | No | |
| DePriest, Timothy | Supervising Fish/Wildlife Habitat Improvement Specialist | Professional | | | | FT | Yes | 79,454.00 | 79,350.3 | 0 | 0 | 0 | 0 | 79,350.3 | No | |
| Dougherty, Shannon | Great Lakes Watershed Coordinator | Operational | | | | FT | Yes | 54,000.00 | 39,876.88 | 0 | 0 | 0 | 0 | 39,876.88 | No | |
| Draper, Elisabeth | Park Planner | Professional | | | | FT | Yes | 55,000.00 | 48,981.42 | 190.35 | 0 | 0 | 0 | 49,171.77 | No | |
| Dresser, Kailani | Museum Docent | Operational | OPRHP Project Staff | | | PT | Yes | 5,501.40 | 5,501.4 | 0 | 0 | 129.58 | 0 | 5,630.98 | No | |
| Facca, Amy | Historic Preservation Planner | Professional | | | | FT | Yes | 61,962.00 | 61,881.31 | 0 | 0 | 0 | 0 | 61,881.31 | No | |

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|-------------------|-----------------------------------|-----------------------------|-------------------------|------------|-----------------|----------------------|--------|------------------------|--------------------------------------|-----------------------------|-------------------|-----------|---|--------------------|---|--|
| Forgash, Sherry | Program Implementation Specialist | Professional | DOS Project Staff | | | FT | Yes | 59,116.00 | 51,276.88 | 0 | 0 | 0 | 0 | 51,276.88 | No | |
| Foster, Stephen | Gardener - Bayard Cutting | Operational | | | | PT | Yes | 5,165.92 | 5,165.92 | 0 | 0 | 0 | 0 | 5,165.92 | No | |
| Gibson, Wendy | Program Assistant | Professional | OPRHP Project Staff | | | FT | Yes | 84,544.00 | 84,434.11 | 0 | 0 | 0 | 0 | 84,434.11 | No | |
| Graber, Scott | Fahnestock | Professional | | | | PT | Yes | 257.34 | 257.34 | 0 | 0 | 0 | 0 | 257.34 | No | |
| Greule, Yvonne | Museum Docent/Cleaner | Operational | | | | PT | Yes | 12,046.32 | 12,046.32 | 0 | 0 | 209.04 | 0 | 12,255.36 | No | |
| Gruele, Yvonne | Museum Docent | Administrative and Clerical | | | | PT | Yes | 10,349.22 | 10,349.22 | 0 | 0 | 0 | 0 | 10,349.22 | No | |
| Haldeman, Emily | Concession Food Service Helper | Operational | | | | PT | Yes | 805.50 | 805.5 | 0 | 0 | 72 | 0 | 877.5 | No | |
| Hohengasser, Mark | Park Planner | Professional | OPRHP Project Staff | | | FT | Yes | 55,000.00 | 48,981.42 | 190.35 | 0 | 0 | 0 | 49,171.77 | No | |
| Kendall, Sue | Administrative Aid | Administrative and Clerical | OPRHP Project Staff | | | FT | Yes | 48,420.00 | 48,357.32 | 0 | 0 | 0 | 0 | 48,357.32 | No | |
| Klein, James | Groomer | Operational | OPRHP Project Staff | | | PT | Yes | 583.88 | 583.88 | 0 | 0 | 87.75 | 0 | 671.63 | No | |
| Kochem, Amy | Forces Coordinator | Operational | Forces | | | FT | Yes | 35,000.00 | 16,826.93 | 0 | 0 | 0 | 0 | 16,826.93 | No | |
| Kowalchuk, John | Liaison-Noah's Ark | Operational | OPRHP Project Staff | | | PT | Yes | 24,146.25 | 24,146.25 | 0 | 0 | 0 | 0 | 24,146.25 | No | |

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|---------------------|---|-----------------------------|-------------------------|------------|-----------------|---------------------|--------|------------------------|--------------------------------------|-----------------------------|-------------------|-----------|---|--------------------|---|--|
| Kuchar, Eric | Historic Pres. Weatherization/Sustainability Specialist | Professional | | | | FT | Yes | 58,081.00 | 58,005.23 | 0 | 0 | 0 | 0 | 58,005.23 | No | |
| LaBarbiera, Carolyn | Coastal Processes Specialist | Professional | DOS Project Staff | | | FT | Yes | 51,268.00 | 23,662.2 | 0 | 0 | 0 | 0 | 23,662.2 | No | |
| Laudato, Paul | Counsel & CFO (acting) | Executive | NHT administration | | | FT | Yes | 134,164.00 | 133,989.09 | 0 | 0 | 0 | 0 | 133,989.09 | No | |
| Mackey, Linda | Historic Preservation Specialist | Professional | OPRHP | | | FT | Yes | 52,000.00 | 34,000 | 0 | 0 | 0 | 0 | 34,000 | No | |
| Maraglio, Matthew | Coastal Review Specialist | Professional | DOS Project Staff | | | FT | Yes | 55,000.00 | 39,981.02 | 0 | 0 | 0 | 0 | 39,981.02 | No | |
| Martinez, Whitney | Intern | Administrative and Clerical | OPRHP | | | PT | Yes | 4,563.00 | 4,563 | 0 | 0 | 0 | 0 | 4,563 | No | |
| McEneny, Daniel | Historic Preservation Specialist | Professional | OPRHP Project Staff | | | FT | Yes | 49,800.00 | 10,151.55 | 0 | 0 | 0 | 0 | 10,151.55 | No | |
| McFadden, Sarah | Wellesley Island Bookkeeper | Administrative and Clerical | | | | FT | Yes | 2,107.61 | 2,107.61 | 0 | 0 | 0 | 0 | 2,107.61 | No | |
| Morgan, Michael | Supervising Fish/Wildlife Habitat Mgmt. Specialist | Professional | | | | FT | Yes | 78,419.00 | 78,316.76 | 0 | 0 | 0 | 0 | 78,316.76 | No | |

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|--------------------|--|-----------------------------|-------------------------|------------|-----------------|----------------------|--------|------------------------|--------------------------------------|-----------------------------|-------------------|-----------|---|--------------------|---|--|
| Moroney, Erin | Historic Preservation Technical Specialist | Professional | | | | FT | Yes | 61,962.00 | 61,881.31 | 0 | 0 | 0 | 0 | 61,881.31 | No | |
| Moss, Lawrence | Project Review Specialist DHSES | Professional | OPRHP | | | FT | Yes | 55,000.00 | 13,750.22 | 0 | 0 | 0 | 0 | 13,750.22 | No | |
| O'Brien, Robert | Field Director | Professional | OPRHP Project Staff | | | FT | Yes | 64,550.00 | 64,465.78 | 0 | 0 | 0 | 0 | 64,465.78 | No | |
| O'Connell, Kristin | Archeaology Program Assistant | Administrative and Clerical | OPRHP | | | FT | Yes | 31,200.00 | 23,220 | 0 | 0 | 0 | 0 | 23,220 | No | |
| Payne, Allen G | Executive Director | Executive | NHT administration | | | FT | Yes | 105,000.00 | 90,865.35 | 0 | 0 | 0 | 0 | 90,865.35 | No | |
| Perazio, Phil | Historic Preservation Program Specialist | Professional | OPRHP Project Staff | | | FT | Yes | 47,236.00 | 9,629.03 | 0 | 0 | 0 | 0 | 9,629.03 | No | |
| Pingitore, Tina | Clerical Assistant | Administrative and Clerical | OPRHP Project Staff | | | FT | Yes | 28,935.00 | 12,575.47 | 0 | 0 | 0 | 0 | 12,575.47 | No | |
| Potter, Ruth | Conservation Assistant | Professional | OPRHP Project Staff | | | PT | Yes | 2,360.96 | 2,360.96 | 0 | 0 | 0 | 0 | 2,360.96 | No | |
| Preston, Andrew | Fish and Wildlife Operations Assistant | Professional | | | | FT | Yes | 53,096.00 | 53,026.67 | 78.93 | 0 | 0 | 0 | 53,105.6 | No | |
| Purcell, Sarah | Program Manager | Managerial | NHT administration | | | FT | Yes | 79,454.00 | 79,350.3 | 0 | 0 | 0 | 0 | 79,350.3 | No | |

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|-------------------|---|--------------|-------------------------|------------|-----------------|---------------------|--------|------------------------|--------------------------------------|-----------------------------|-------------------|-----------|---|--------------------|---|--|
| Reid, Alyssa | Invasive Species Field Project Supervisor | Professional | | | | FT | Yes | 32,000.00 | 32,000.02 | 0 | 0 | 0 | 0 | 32,000.02 | No | |
| Roth, Alexander | Executive Director | Executive | NHT administration | | | FT | Yes | 110,299.00 | 67,876.48 | 0 | 0 | 2,813.86 | 0 | 70,690.34 | No | |
| Runkel, Michael | Landscape Curator | Operational | OPRHP Project Staff | | | FT | Yes | 35,000.00 | 34,999.9 | 0 | 0 | 0 | 0 | 34,999.9 | No | |
| Schnurr, Lynn | Ecologist | Professional | OPRHP Project Staff | | | FT | Yes | 60,000.00 | 58,438.8 | 0 | 0 | 0 | 0 | 58,438.8 | No | |
| Sheperd, Matthew | Historic Program Preservation Specialist | Professional | OPRHP | | | FT | Yes | 31,200.00 | 7,800 | 0 | 0 | 0 | 0 | 7,800 | No | |
| Sheridan, Emily | Great Lakes Watershed Coordinator - Eastern | Operational | DEC | | | FT | Yes | 48,500.00 | 3,730.76 | 0 | 0 | 0 | 0 | 3,730.76 | No | |
| Siegel, Lou | Science Coordinator | Professional | DOS Project Staff | | | PT | Yes | 33,561.00 | 33,517.28 | 0 | 0 | 0 | 0 | 33,517.28 | No | |
| Smith, Thomas | Hourly Fish and Wildlife Technician | Operational | | | | PT | Yes | 24,604.90 | 24,604.9 | 245.75 | 0 | 322.16 | 0 | 25,172.81 | No | |
| Sourwine, Darlene | Volunteer Coordinator | Operational | | | | PT | Yes | 6,825.00 | 6,825 | 0 | 0 | 207.5 | 0 | 7,032.5 | No | |

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| Sterner, Nelson | Director | Operational | | | | FT | Yes | 80,500.00 | 75,644.26 | 0 | 0 | 0 | 0 | 75,644.26 | No | |
| Stevens, Sarah | Flag Conservator | Professional | OPRHP Project Staff | | | FT | Yes | 54,083.00 | 37,808.83 | 0 | 0 | 0 | 0 | 37,808.83 | No | |
| Stoner, Nancy | Statewide Trail Planner | Professional | OPRHP Project Staff | | | FT | Yes | 52,500.00 | 52,896.08 | 0 | 0 | 0 | 0 | 52,896.08 | No | |
| Stysick-Pyke, Lynn | Program Coordinator/Naturalist | Professional | OPRHP Project Staff | | | PT | Yes | 5,455.92 | 5,455.92 | 0 | 0 | 0 | 0 | 5,455.92 | No | |
| Tormey-Cole, Laurel | Program Assistant | Professional | OPRHP Project Staff | | | PT | Yes | 16,147.85 | 16,147.85 | 0 | 0 | 101.85 | 0 | 16,249.7 | No | |
| Tschantret, Cinzia | Bookkeeper | Administrative and Clerical | NHT administration | | | FT | Yes | 40,539.00 | 40,486.16 | 0 | 0 | 0 | 0 | 40,486.16 | No | |
| Wainwright, John | FWP Groomer/Customer Service | Administrative and Clerical | | | | PT | Yes | 5,933.25 | 5,933.25 | 0 | 0 | 94.5 | 0 | 6,027.75 | No | |
| Walkowski, Jennifer | Historic Preservation Specialist | Professional | OPRHP | | | FT | Yes | 52,000.00 | 32,000 | 0 | 0 | 0 | 0 | 32,000 | No | |
| Weiss, Lorraine | Historic Preservation Planner | Professional | OPRHP Project Staff | | | FT | Yes | 55,446.00 | 55,373.71 | 0 | 0 | 0 | 0 | 55,373.71 | No | |
| Witenberger, Garret | Site Assistant - Jones Beach Filming | Administrative and Clerical | | | | PT | Yes | 3,630.80 | 3,630.8 | 146.72 | 0 | 0 | 0 | 3,777.52 | No | |

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| Yates, Wm. Brian | Historic Preservation Specialist /Underwater Archaeology | Professional | | | | PT | Yes | 41,798.70 | 41,798.7 | 0 | 0 | 0 | 0 | 41,798.7 | No | |
| Yetto, Ed | Interpretive Sign Designer | Professional | OPRHP Project Staff | | | FT | Yes | 44,500.00 | 27,646.06 | 0 | 0 | 0 | 0 | 27,646.06 | No | |
| Zoldowski, Abby | Historic Preservation Intern | Professional | OPRHP Project Staff | | | PT | Yes | 24,946.00 | 24,913.31 | 0 | 0 | 0 | 0 | 24,913.31 | No | |

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

| Name | Title | Severance Package | Payment for Unused Leave | Club Member-ships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of These Benefits | Other |
|------------------|--------------------|-------------------|--------------------------|-------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| Waletzky, Lucy | Board of Directors | | | | | | | | | | | | X | |
| Perales, Cesear | Board of Directors | | | | | | | | | | | | X | |
| Stafford, George | Board of Directors | | | | | | | | | | | | X | |
| Harvey, Rose | Board of Directors | | | | | | | | | | | | X | |
| Beers, Andy | Board of Directors | | | | | | | | | | | | X | |
| Martens, Joseph | Board of Directors | | | | | | | | | | | | X | |
| Reynolds, Anne | Board of Directors | | | | | | | | | | | | X | |

Staff

| Name | Title | Severance Package | Payment for Unused Leave | Club Member-ships | Use of Corporate Credit Cards | Personal Loans | Auto | Transportation | Housing Allowance | Spousal / Dependent Life Insurance | Tuition Assistance | Multi-Year Employment | None of These Benefits | Other |
|-----------------|------------------------|-------------------|--------------------------|-------------------|-------------------------------|----------------|------|----------------|-------------------|------------------------------------|--------------------|-----------------------|------------------------|-------|
| Laudato, Paul | Counsel & CFO (acting) | | | | | | | | | | | | X | |
| Payne, Allen G | Executive Director | | | | X | | | | | | | | | |
| Roth, Alexander | Executive Director | | | | | | | | | | | | X | |

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

| Name of Subsidiary/Component Unit | Status | Requested Changes |
|-----------------------------------|--------|-------------------|
|-----------------------------------|--------|-------------------|

Subsidiary/Component Unit Creation

| Name of Subsidiary/Component Unit | Establishment Date | Entity Purpose |
|-----------------------------------|--------------------|----------------|
|-----------------------------------|--------------------|----------------|

Subsidiary/Component Unit Termination

| Name of Subsidiary/Component Unit | Termination Date | Termination Reason | Proof of Termination |
|-----------------------------------|------------------|--------------------|----------------------|
|-----------------------------------|------------------|--------------------|----------------------|

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

| <u>Assets</u> | |
|--|---------------------|
| Current Assets | |
| Cash and cash equivalents | \$2,189,624 |
| Investments | \$0 |
| Receivables, net | \$1,517 |
| Other assets | \$26,105 |
| Total Current Assets | \$2,217,246 |
| Noncurrent Assets | |
| Restricted cash and investments | \$25,979,747 |
| Long-term receivables, net | \$0 |
| Other assets | \$0 |
| Capital Assets | |
| Land and other nondepreciable property | \$0 |
| Buildings and equipment | \$0 |
| Infrastructure | \$0 |
| Accumulated depreciation | \$0 |
| Net Capital Assets | \$0 |
| Total Noncurrent Assets | \$25,979,747 |
| Total Assets | \$28,196,993 |

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

| | |
|---|-----------------|
| Accounts payable | \$53,135 |
| Pension contribution payable | \$0 |
| Other post-employment benefits | \$0 |
| Accrued liabilities | \$0 |
| Deferred revenues | \$0 |
| Bonds and notes payable | \$0 |
| Other long-term obligations due within one year | \$0 |
| Total Current Liabilities | \$53,135 |

Noncurrent Liabilities

| | |
|-------------------------------------|---------------------|
| Pension contribution payable | \$0 |
| Other post-employment benefits | \$1,745,602 |
| Bonds and notes payable | \$0 |
| Long Term Leases | \$0 |
| Other long-term obligations | \$25,132,410 |
| Total Noncurrent Liabilities | \$26,878,012 |

Total Liabilities

\$26,931,147

Net Asset (Deficit)

Net Asset

| | |
|---|--------------------|
| Invested in capital assets, net of related debt | \$0 |
| Restricted | \$524,015 |
| Unrestricted | \$741,831 |
| Total Net Assets | \$1,265,846 |

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

| | |
|--------------------------------|------------|
| Charges for services | \$0 |
| Rental & financing income | \$0 |
| Other operating revenues | \$0 |
| Total Operating Revenue | \$0 |

Operating Expenses

| | |
|---------------------------------|--------------------|
| Salaries and wages | \$399,252 |
| Other employee benefits | \$268,469 |
| Professional services contracts | \$21,575 |
| Supplies and materials | \$21,587 |
| Depreciation & amortization | \$0 |
| Other operating expenses | \$428,579 |
| Total Operating Expenses | \$1,139,462 |

Operating Income (Loss) (\$1,139,462)

Nonoperating Revenues

| | |
|-----------------------------------|------------------|
| Investment earnings | \$195,936 |
| State subsidies/grants | \$0 |
| Federal subsidies/grants | \$0 |
| Municipal subsidies/grants | \$0 |
| Public authority subsidies | \$0 |
| Other nonoperating revenues | \$609,041 |
| Total Nonoperating Revenue | \$804,977 |

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

| | |
|---|-------------|
| Interest and other financing charges | \$0 |
| Subsidies to other public authorities | \$0 |
| Grants and donations | \$0 |
| Other nonoperating expenses | \$0 |
| Total Nonoperating Expenses | \$0 |
| Income (Loss) Before Contributions | (\$334,485) |
| Capital Contributions | \$0 |
| Change in net assets | (\$334,485) |
| Net assets (deficit) beginning of year | \$1,600,331 |
| Other net assets changes | \$0 |
| Net assets (deficit) at end of year | \$1,265,846 |

Current Debt

| Question | Response |
|---|----------|
| 1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period? | No |
| 2. If yes, has the Authority issued any debt during the reporting period? | |

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

| Type of Debt | Statutory Authorization (\$) | Outstanding Start of Fiscal Year (\$) | New Debt Issuances (\$) | Debt Retired (\$) | Outstanding End of Fiscal Year (\$) |
|---|------------------------------|---------------------------------------|-------------------------|-------------------|-------------------------------------|
| State Obligation State Guaranteed State Supported State Contingent Obligation State Moral Obligation Other State Funded | | | | | |
| Authority Obligation General Obligation Revenue Other Non-State Funded | | | | | |
| Conduit Conduit Debt Conduit Debt - Pilot Increment Financing | | | | | |

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

| Question | Response | URL (if applicable) |
|--|----------|---|
| 1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared? | Yes | http://nysparks.com/natural-heritage-trust/documents.aspx |
| 2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property? | Yes | http://nysparks.com/natural-heritage-trust/documents.aspx |
| 3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines? | Yes | |

Additional Comments: