

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.nyctdc.org/downloads/pdf/NYCTDC_FY15_Ops_and_Acc.pdf
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.nyctdc.org/downloads/pdf/NYCTDC_FY15_Internal_Controls.pdf
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	No	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.nyctdc.org/downloads/pdf/NYCTDC_Org_Chart_as_of_20150918.pdf
6. Are any Authority staff also employed by another government agency?	Yes	York City Department of Information Technology & Telecommunications (DoITT)
7. Has the Authority posted their mission statement to their website?	Yes	http://www.nyctdc.org/html/about/about.shtml
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.nyctdc.org/downloads/pdf/NYCTDC_FY15_Perf_Measures.pdf

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	No	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.nyctdc.org/html/about/committees.shtml
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.nyctdc.org/html/board/minutes.shtml
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.nyctdc.org/downloads/pdf/by-laws.pdf
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.nyctdc.org/downloads/pdf/code_of_ethics.pdf
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Fuleihan, Dean	Name	Shorris, Anthony
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	07/15/2014	Term Start Date	04/26/2014
Term Expiration Date	Ex-Officio	Term Expiration Date	10/10/2014
Title	Director of Management and Budget	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Tantoco, Minerva	Name	Paolucci, John
Chair of Board	Yes	Chair of Board	No
If yes, Chair designated By.	Local	If yes, Chair designated By.	
Term Start Date	10/10/2014	Term Start Date	11/12/2013
Term Expiration Date	Ex-Officio	Term Expiration Date	11/12/2014
Title	Chief Technology Officer	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Flores, Lisa	Name	Roest, Anne
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	07/15/2014	Term Start Date	07/15/2014
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Deputy Controller	Title	Commissioners, DoITT
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Salzinger, Steven
Chair of Board	No
If yes, Chair designated By.	
Term Start Date	03/02/2013
Term Expiration Date	03/01/2014
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Balducci, Robert L	Deputy Treasurer	Executive				PT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Bochner, Robin J	Project Manager	Professional				FT	Yes	170,000.00	155,000.04	0	0	0	0	155,000.04	No	
Boeck, John	Project Manager	Professional				FT	Yes	200,000.00	177,180.66	0	0	0	0	177,180.66	No	
Cleary, Eileen E	Acting President	Executive				FT	Yes	175,000.00	175,000.02	0	0	0	0	175,000.02	No	
Cordero, Vesta P	Office Manager/Administrative Assistant	Administrative and Clerical				FT	Yes	62,500.00	62,500.1	0	0	0	0	62,500.1	No	
Cushman, Elissa Stein	General Counsel and Corporate Secretary	Executive				PT	Yes	140,449.20	140,449.2	0	0	0	0	140,449.2	Yes	Yes
Ettumgal, Biju	Solution Architect	Professional				FT	Yes	149,712.50	149,661.37	0	0	0	0	149,661.37	No	
Ginsburg, Marge C	Program Manager	Professional				FT	Yes	195,288.00	8,262.19	0	0	0	0	8,262.19	No	
Hancock, Brendan	Business Analyst	Professional				FT	Yes	110,000.00	110,000.02	0	0	0	0	110,000.02	No	
Herzfeld, Paul	Treasurer	Executive				PT	Yes	66,814.28	66,814.28	0	0	0	0	66,814.28	Yes	Yes
Iacoviello, Mario	Project Manager	Professional				FT	Yes	162,400.00	162,399.9	0	0	0	0	162,399.9	No	
Lee, Valentino	Enterprise Architect	Professional				FT	Yes	175,000.00	87,500.01	0	0	0	0	87,500.01	No	
McCabe, Chris	Business Analyst	Professional				FT	Yes	110,650.00	56,053.12	0	0	0	0	56,053.12	No	
Mithbavkar, Nilesh	Project Manager	Professional				FT	Yes	145,000.00	30,115.37	0	0	0	0	30,115.37	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Nolan, Bruce	Vice President and Program Manager	Professional				FT	Yes	228,375.00	228,374.9	0	0	0	0	228,374.9	No	
Panchwagh, Leena	Project Manager	Professional				FT	Yes	175,000.00	122,615.05	0	0	0	0	122,615.05	No	
Pathak, Suneet	Project Manager	Professional				FT	Yes	150,000.00	124,038.45	0	0	0	0	124,038.45	No	
Richardson, Robert	Senior Advisor for Citywide Technology Strategy & Engagement	Professional				FT	Yes	182,000.00	154,700	0	0	0	0	154,700	No	
Schwartz, Michael	Project Manager	Professional				FT	Yes	170,000.00	84,999.98	0	0	0	0	84,999.98	No	
Shevins, Jay	Portfolio & Project Manager	Professional				FT	Yes	167,475.00	167,475.1	0	0	0	0	167,475.1	No	
Stone, Dan	Project Manager	Professional				FT	Yes	160,000.10	160,000.1	0	0	0	0	160,000.1	No	
Strickland, Scott	Program Manager	Professional				FT	Yes	192,850.00	201,048.1	0	0	0	0	201,048.1	No	
Topping, Mark	Director of Support Services	Professional				FT	Yes	175,000.00	171,634.63	0	0	0	0	171,634.63	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Paolucci, John	Board of Directors												X	
Flores, Lisa	Board of Directors												X	
Fuleihan, Dean	Board of Directors												X	
Roest, Anne	Board of Directors												X	
Salzinger, Steven	Board of Directors												X	
Shorris, Anthony	Board of Directors												X	
Tantoco, Minerva	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Bochner, Robin J	Project Manager												X	
Boeck, John	Project Manager												X	
Cleary, Eileen E	Acting President												X	
Cushman, Elissa Stein	General Counsel and Corporate Secretary												X	
Ettumgal, Biju	Solution Architect												X	
Ginsburg, Marge C	Program Manager												X	
Hancock, Brendan	Business Analyst												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Iacoviello, Mario	Project Manager												X	
Lee, Valentino	Enterprise Architect												X	
McCabe, Chris	Business Analyst		X											
Mithbavkar, Nilesh	Project Manager												X	
Nolan, Bruce	Vice President and Program Manager												X	
Panchwagh, Leena	Project Manager		X											
Pathak, Suneet	Project Manager												X	
Richardson, Robert	Senior Advisor for Citywide Technology Strategy & Engagement		X											
Schwartz, Michael	Project Manager												X	
Shevins, Jay	Portfolio & Project Manager												X	
Stone, Dan	Project Manager												X	
Strickland, Scott	Program Manager												X	
Topping, Mark	Director of Support Services												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$336,435.5
Investments	\$0
Receivables, net	\$0
Other assets	\$672.6
Total Current Assets	\$337,108.1
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
Total Noncurrent Assets	\$0
Total Assets	\$337,108.1

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$196,296.34
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$191,190.72
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$387,487.06

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$0

Total Liabilities **\$387,487.06**

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$0
Unrestricted	(\$50,378.96)
Total Net Assets	(\$50,378.96)

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Operating Revenues

Charges for services	\$0
Rental & financing income	\$0
Other operating revenues	\$0
Total Operating Revenue	\$0

Operating Expenses

Salaries and wages	\$2,837,357.92
Other employee benefits	\$438,246.18
Professional services contracts	\$147,300
Supplies and materials	\$41,455.42
Depreciation & amortization	\$0
Other operating expenses	\$242,777.61
Total Operating Expenses	\$3,707,137.13

Operating Income (Loss) **(\$3,707,137.13)**

Nonoperating Revenues

Investment earnings	\$37.96
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$3,679,282
Total Nonoperating Revenue	\$3,679,319.96

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$0
Income (Loss) Before Contributions	(\$27,817.17)
Capital Contributions	\$0
Change in net assets	(\$27,817.17)
Net assets (deficit) beginning of year	(\$22,561.79)
Other net assets changes	\$0
Net assets (deficit) at end of year	(\$50,378.96)

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue					
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	No	
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.nyctdc.org/downloads/pdf/procurement_policy.pdf
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Loan Information

This Authority has indicated that it did not award any loans during the reporting period.

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments:

TDC has been in existence for less than 5 years and has not changed the lead audit partner in the last five years. The TDC Board has not established a Finance Committee in accordance with Section 2824(8) of PAL because it has no plans or authority for issuing debt now or in the future. TDC has no plans of acquiring or disposing of an Real Property now or in the future and thus has not prepare a real property report under PAL 2896 (3).