

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>

**Board of Directors Listing**

Name	Caputo, Steve	Name	Probst, Curtis
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	11/19/2012	Term Start Date	01/15/2015
Term Expiration Date	Ex-Officio	Term Expiration Date	Pleasure of Authority
Title	Senior Advisor to Deputy Mayor Alicia Glen	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Ludwig, Terri	Name	Mesa, Nilda
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	10/06/2014	Term Start Date	12/23/2014
Term Expiration Date	Pleasure of Authority	Term Expiration Date	Ex-Officio
Title		Title	Director Mayor's Office of Sustainability
Has the Board member appointed a designee?		Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	Yes
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Mahnovski, Sergej	Name	Hattem, Gary
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	10/06/2014	Term Start Date	10/06/2014
Term Expiration Date	Pleasure of Authority	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Brodsky, Jeffry	Name	Paige, Renwick
Chair of Board	Yes	Chair of Board	No
If yes, Chair designated By.	Other	If yes, Chair designated By.	
Term Start Date	10/06/2014	Term Start Date	10/06/2014
Term Expiration Date	Pleasure of Authority	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Board of Directors Listing**

Name	Hale, Greg
Chair of Board	No
If yes, Chair designated By.	
Term Start Date	10/06/2014
Term Expiration Date	Pleasure of Authority
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Other
Appointed By	Other
Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Barga- ining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individua l	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensa tion/Allo wances/Ad justments	Total Compens -ation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Constable , Posie	Director of Clean Heat Finance	Managerial				FT	No	145,600.00	145,600	0	7,000	0	0	152,600	No	
Diamond, Christoph er	Director of Engineerin g and Technical Analysis	Managerial				FT	No	135,200.00	135,200	0	9,460	0	0	144,660	No	
Dias, Erangi	Associate Director Business Developmen t	Professional	Business Developmen t			FT	No	125,000.00	125,000	0	0	0	0	125,000	No	
Kerstette r, Michael	Associate Director Legal Affairs	Professional	Legal			FT	No	115,000.00	115,000	0	0	0	0	115,000	No	
Lee, Fred	Director of Legal Affairs & Finance	Managerial				FT	No	162,455.00	162,455	0	17,500	0	0	179,955	No	
Leeds, Susan	Chief Executive Officer	Managerial				FT	No	195,000.00	195,000	0	0	0	0	195,000	No	
Lopez, Samanta	Administra tive Coordinato r	Administrative and Clerical				FT	No	54,000.00	54,000	0	0	0	0	54,000	No	

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Luk, Jessica	Associate Director of Business Development & Finance	Managerial				FT	No	121,330.00	121,330	0	9,400	0	0	130,730	No	
Macksoud, Lauren	Associate Director of Legal Affairs	Managerial				PT	No	127,111.00	127,111	0	0	0	0	127,111	No	
Merves, Jay	Director of Business Development and Finance	Managerial				FT	No	167,270.00	167,270	0	18,000	0	0	185,270	No	
Mustafa, Shamsudeen	Director of Operations & Administration	Managerial				FT	No	140,000.00	140,000	0	0	0	0	140,000	No	

**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Brodsky, Jeffrey	Board of Directors												X	
Caputo, Steve	Board of Directors												X	
Hale, Greg	Board of Directors												X	
Hattem, Gary	Board of Directors												X	
Ludwig, Terri	Board of Directors												X	
Mahnovski, Sergej	Board of Directors												X	
Mesa, Nilda	Board of Directors												X	
Probst, Curtis	Board of Directors												X	
Paige, Renwick	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Constable, Posie	Director of Clean Heat Finance												X	
Diamond, Christopher	Director of Engineering and Technical Analysis												X	
Dias, Erangi	Associate Director Business Development												X	

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Kerstetter, Michael	Associate Director Legal Affairs												X	
Lee, Fred	Director of Legal Affairs & Finance												X	
Leeds, Susan	Chief Executive Officer												X	
Luk, Jessica	Associate Director of Business Development & Finance												X	
Macksoud, Lauren	Associate Director of Legal Affairs												X	
Merves, Jay	Director of Business Development and Finance												X	
Mustafa, Shamsudeen	Director of Operations & Administration												X	

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes  
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
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**Subsidiary/Component Unit Creation**

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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**Subsidiary/Component Unit Termination**

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$1,850,347
Investments	\$0
Receivables, net	\$468,402
Other assets	\$36,772
<b>Total Current Assets</b>	<b>\$2,355,521</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$18,066,058
Long-term receivables, net	\$17,750,301
Other assets	\$0
<b>Capital Assets</b>	
Land and other nondepreciable property	\$0
Buildings and equipment	\$227,579
Infrastructure	\$0
Accumulated depreciation	\$57,647
Net Capital Assets	\$169,932
<b>Total Noncurrent Assets</b>	<b>\$35,986,291</b>
<b>Total Assets</b>	<b>\$38,341,812</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$405,790
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$1,707,867
Other long-term obligations due within one year	\$0
<b>Total Current Liabilities</b>	<b>\$2,113,657</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$386,042
Long Term Leases	\$0
Other long-term obligations	\$853,963
<b>Total Noncurrent Liabilities</b>	<b>\$1,240,005</b>

**Total Liabilities**

**\$3,353,662**

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$0
Restricted	\$33,397,976
Unrestricted	\$1,590,174
<b>Total Net Assets</b>	<b>\$34,988,150</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSOperating Revenues

Charges for services	\$0
Rental & financing income	\$0
Other operating revenues	\$2,880,366
<b>Total Operating Revenue</b>	<b>\$2,880,366</b>

Operating Expenses

Salaries and wages	\$1,351,253
Other employee benefits	\$285,948
Professional services contracts	\$854,132
Supplies and materials	\$15,574
Depreciation & amortization	\$53,212
Other operating expenses	\$376,867
<b>Total Operating Expenses</b>	<b>\$2,936,986</b>

Operating Income (Loss) **(\$56,620)**

Nonoperating Revenues

Investment earnings	\$0
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
<b>Total Nonoperating Revenue</b>	<b>\$0</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
<b>Total Nonoperating Expenses</b>	<b>\$0</b>
Income (Loss) Before Contributions	(\$56,620)
Capital Contributions	\$0
Change in net assets	(\$56,620)
Net assets (deficit) beginning of year	\$35,044,770
Other net assets changes	\$0
Net assets (deficit) at end of year	\$34,988,150

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

**New Debt Issuances List by Type of Debt and Program**

No Data has been entered by the Authority for this section in PARIS

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation					
Revenue					
Other Non-State Funded	0.00	300,000.00	0.00	0.00	300,000.00
<b>Conduit</b>					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://nyceec.com/regulatory-financial-matters">http://nyceec.com/regulatory-financial-matters</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

**Loan Information**

1. Source of Loan Funds: Federal  
 Name of Loan Recipient: 11 W. 126th Street LLC  
 Address Line1: 11 W. 126th St.  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Zip - Plus4: 10022  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$600,000  
 Date Loan Awarded: 11/21/2014  
 Interest Rate(%): 6.25  
 Length of Loan(# of years  
 to repay): 1  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

2. Source of Loan Funds: Private  
 Name of Loan Recipient: 1231 St. Lawrence LLC  
 Address Line1: 1232 St. Lawrence Ave.  
 Address Line2:  
 City: BRONX  
 State: NY  
 Zip - Plus4: 10472  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$66,000  
 Date Loan Awarded: 05/01/2015  
 Interest Rate(%): 7  
 Length of Loan(# of years  
 to repay): 4  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

3. Source of Loan Funds: Federal  
 Name of Loan Recipient: 125 Maiden Lane II  
 Address Line1: 125 Maiden Lane  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Zip - Plus4: 10038  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$2,800,000  
 Date Loan Awarded: 07/22/2014  
 Interest Rate(%): 6.6  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$419,970.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

4. Source of Loan Funds: Private  
 Name of Loan Recipient: 2244 Morris Avenue LLC  
 Address Line1: c/o Marc Kurman and Jonathan Coren 1894 Ea  
 Address Line2:  
 City: BRONX  
 State: NY  
 Zip - Plus4: 10461  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$140,000  
 Date Loan Awarded: 06/09/2014  
 Interest Rate(%): 6.25  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

5. Source of Loan Funds: Federal  
 Name of Loan Recipient: 2530 Foster Partners LLC  
 Address Line1: 2530 Foster Ave.  
 Address Line2:  
 City: BROOKLYN  
 State: NY  
 Zip - Plus4: 11210  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$62,000  
 Date Loan Awarded: 05/19/2015  
 Interest Rate(%): 7  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

6. Source of Loan Funds: Private  
 Name of Loan Recipient: 321 West 90th Street Owners Corp.  
 Address Line1: c/o Alexander Wolf & Co. Inc  
 Address Line2: 1 Dupont Street  
 City: PLAINVIEW  
 State: NY  
 Zip - Plus4: 11803  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$400,000  
 Date Loan Awarded: 12/30/2013  
 Interest Rate(%): 6  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

7. Source of Loan Funds: Private  
 Name of Loan Recipient: 3214 LLC.  
 Address Line1: 3411 Kingsbridge Avenue  
 Address Line2:  
 City: BRONX  
 State: NY  
 Zip - Plus4: 10463  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$156,000  
 Date Loan Awarded: 04/09/2014  
 Interest Rate(%): 6.75  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

8. Source of Loan Funds: Private  
 Name of Loan Recipient: 575 E. 137th Street Real Estate Inc.  
 Address Line1: 102 Primrose Drive  
 Address Line2:  
 City: NEW HYDE PARK  
 State: NY  
 Zip - Plus4: 11040  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$79,500  
 Date Loan Awarded: 12/01/2013  
 Interest Rate(%): 7  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

9. Source of Loan Funds: Federal  
 Name of Loan Recipient: 780 East 132nd St. Co. LLO  
 Address Line1: c/o Benenson Capital Partners  
 Address Line2: 708 Third Avenue,28th Floor  
 City: NEW YORK  
 State: NY  
 Zip - Plus4: 10017  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$202,118  
 Date Loan Awarded: 07/02/2014  
 Interest Rate(%): 6.75  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

10. Source of Loan Funds: Private  
 Name of Loan Recipient: Boro Park Village  
 Address Line1: PO Box 191094  
 Address Line2:  
 City: BROOKLYN  
 State: NY  
 Zip - Plus4: 11219  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$130,000  
 Date Loan Awarded: 05/15/2015  
 Interest Rate(%): 7  
 Length of Loan(# of years  
 to repay): 6  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

11. Source of Loan Funds: Federal  
 Name of Loan Recipient: Cubit Power One, Inc.  
 Address Line1: 4352 Victory Blvd  
 Address Line2:  
 City: STATEN ISLAND  
 State: NY  
 Zip - Plus4: 10314  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$6,300,000  
 Date Loan Awarded: 12/23/2014  
 Interest Rate(%): 10  
 Length of Loan(# of years  
 to repay): 12  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

12. Source of Loan Funds: Private  
 Name of Loan Recipient: Dan & Sheila Winters  
 Address Line1: 518 East Shore Road  
 Address Line2:  
 City: DELANSON  
 State: NY  
 Zip - Plus4: 12053  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$450,000  
 Date Loan Awarded: 03/31/2015  
 Interest Rate(%): 6  
 Length of Loan(# of years  
 to repay): 1  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

13. Source of Loan Funds: Federal  
 Name of Loan Recipient: Greenwood Millennium Broadway LLC.  
 Address Line1: 134 East 40th Street  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Zip - Plus4: 10016  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$5,000,000  
 Date Loan Awarded: 03/26/2013  
 Interest Rate(%): 8.5  
 Length of Loan(# of years  
 to repay): 1  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

14. Source of Loan Funds: Federal  
 Name of Loan Recipient: Greenwood Millennium Hilton LLC.  
 Address Line1: 134 East 40th Street  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Zip - Plus4: 10016  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$2,400,000  
 Date Loan Awarded: 12/06/2012  
 Interest Rate(%): 7.75  
 Length of Loan(# of years  
 to repay): 9  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

15. Source of Loan Funds: Private  
 Name of Loan Recipient: Kreatsoulas Family LP  
 Address Line1: 3411 Kingsbridge Avenue  
 Address Line2:  
 City: BRONX  
 State: NY  
 Zip - Plus4: 10463  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$153,500  
 Date Loan Awarded: 04/09/2014  
 Interest Rate(%): 6.75  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

16. Source of Loan Funds: Private  
 Name of Loan Recipient: Natasha Harsh  
 Address Line1: 2 Spencer Place  
 Address Line2: Apt.2  
 City: BROOKLYN  
 State: NY  
 Zip - Plus4: 11216  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$80,000  
 Date Loan Awarded: 11/01/2013  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

17. Source of Loan Funds: Federal  
 Name of Loan Recipient: Radiator Labs, Inc.  
 Address Line1: 15 Metrotech Center  
 Address Line2:  
 City: BROOKLYN  
 State: NY  
 Zip - Plus4: 11201  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$247,096  
 Date Loan Awarded: 12/22/2014  
 Interest Rate(%): 8  
 Length of Loan(# of years  
 to repay): 1  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

18. Source of Loan Funds: Private  
 Name of Loan Recipient: Ramneg Realty Corp.  
 Address Line1: 102 Primrose Drive  
 Address Line2:  
 City: NEW HYDE PARK  
 State: NY  
 Zip - Plus4: 11040  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$49,500  
 Date Loan Awarded: 12/01/2013  
 Interest Rate(%): 7  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

**Loan Information**

19. Source of Loan Funds: Private  
 Name of Loan Recipient: River Arts Apartments, Inc.  
 Address Line1: 159-00 Riverside Drive West  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Zip - Plus4: 10032  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$350,000  
 Date Loan Awarded: 09/25/2014  
 Interest Rate(%): 6.25  
 Length of Loan(# of years  
 to repay): 6  
 Amount of Loan Principal Repaid  
 to Date: \$61,000.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

20. Source of Loan Funds: Federal  
 Name of Loan Recipient: Urban Greenfit SPV, LLC.  
 Address Line1: 525 Main Street  
 Address Line2:  
 City: ROOSEVELT ISLAND  
 State: NY  
 Zip - Plus4: 10044  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$4,500,000  
 Date Loan Awarded: 11/20/2012  
 Interest Rate(%): 4.5  
 Length of Loan(# of years  
 to repay): 9  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Residential Property  
 Construction/Acquisition/Rehabilitation/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: