

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.ocnyida.com
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.ocnyida.com
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	www.ocnyida.com
6. Are any Authority staff also employed by another government agency?	Yes	Town of New Windsor
7. Has the Authority posted their mission statement to their website?	Yes	www.ocnyida.com
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.ocnyida.com

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.ocnyida.com
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.ocnyida.com
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.ocnyida.com
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.orangecountygov.com
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Brescia, Steven	Name	Schreibeis, Robert
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2014	Term Start Date	05/19/2010
Term Expiration Date	12/31/2015	Term Expiration Date	12/31/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Diana, Edward A	Name	Steinberg, John
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	01/01/2015	Term Start Date	01/01/2015
Term Expiration Date	12/31/2016	Term Expiration Date	12/31/2019
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	VanLeeuwen, Hery	Name	Armistead, Robert T
Chair of Board	No	Chair of Board	Yes
If yes, Chair designated By.		If yes, Chair designated By.	Elected by Board
Term Start Date	01/01/2015	Term Start Date	05/19/2010
Term Expiration Date	12/31/2019	Term Expiration Date	12/31/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Other	Nominated By	Other
Appointed By	Other	Appointed By	Other
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Rogulski, Mary Ellen
Chair of Board	No
If yes, Chair designated By.	
Term Start Date	05/19/2010
Term Expiration Date	12/31/2015
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Other
Appointed By	Other
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
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This authority has indicated that it has no staff during the reporting period.

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Brescia, Steven	Board of Directors												X	
Steinberg, John	Board of Directors												X	
VanLeeuwen, Hery	Board of Directors												X	
Schreibeis, Robert	Board of Directors												X	
Armistead, Robert T	Board of Directors												X	
Rogulski, Mary Ellen	Board of Directors												X	
Diana, Edward A	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$797,462
Investments	\$0
Receivables, net	\$250,000
Other assets	\$9,809
Total Current Assets	\$1,057,271
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$500,000
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
Total Noncurrent Assets	\$500,000
Total Assets	\$1,557,271

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$6,351
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$6,351

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
Total Noncurrent Liabilities	\$0

Total Liabilities **\$6,351**

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$520,000
Unrestricted	\$1,030,920
Total Net Assets	\$1,550,920

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSOperating Revenues

Charges for services	\$130,020
Rental & financing income	\$0
Other operating revenues	\$1,108,000
Total Operating Revenue	\$1,238,020

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$11,725
Supplies and materials	\$0
Depreciation & amortization	\$0
Other operating expenses	\$10,691
Total Operating Expenses	\$22,416

Operating Income (Loss) **\$1,215,604**

Nonoperating Revenues

Investment earnings	\$867
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$867

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$254,558
Total Nonoperating Expenses	\$254,558
Income (Loss) Before Contributions	\$961,913
Capital Contributions	\$0
Change in net assets	\$961,913
Net assets (deficit) beginning of year	\$589,007
Other net assets changes	\$0
Net assets (deficit) at end of year	\$1,550,920

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue					
Other Non-State Funded					
Conduit					
Conduit Debt	0.00	101,152,939.00	0.00	1,890,000.00	99,262,939.00
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.ocnyida.com
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.ocnyida.com
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

1. Source of Grant Funds: Other
Name of Grant Recipient: Cornell Cooperative Extension Orange County
Address Line1: 18 Seward Ave, Suite 300
Address Line2:
City: MIDDLETOWN
State: NY
Zip - Plus4: 10940
Province/Region:
Country: USA
Amount of Grant Award Provided
During Reporting Year: \$0
Date Grant Awarded: 07/09/2015
Purpose of Grant: Education/Training (Business Development)

2. Source of Grant Funds: Other
Name of Grant Recipient: Daughters of the American Revolution
Address Line1: 177 Benedict Rd.
Address Line2:
City: MONTGOMERY
State: NY
Zip - Plus4: 12549
Province/Region:
Country: USA
Amount of Grant Award Provided
During Reporting Year: \$10,000
Date Grant Awarded: 12/11/2014
Purpose of Grant: Land Preservation/Resource
Conservation/Recreation

Was the grant expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?

Was the grant expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?

Grant Information

3. Source of Grant Funds: Other
Name of Grant Recipient: Newburgh Armory Unity Center
Address Line1: 321 South William St.
Address Line2:
City: NEWBURGH
State: NY
Zip - Plus4: 12550
Province/Region:
Country: USA
Amount of Grant Award Provided
During Reporting Year: \$197,902.02
Date Grant Awarded: 10/09/2014
Purpose of Grant: Education/Training (Business Development)

Was the grant expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?

4. Source of Grant Funds: Other
Name of Grant Recipient: Orange County Triathlon
Address Line1: 5031 Route 9W
Address Line2:
City: NEWBURGH
State: NY
Zip - Plus4: 12550
Province/Region:
Country: USA
Amount of Grant Award Provided
During Reporting Year: \$25,000
Date Grant Awarded: 12/11/2014
Purpose of Grant: Land Preservation/Resource
Conservation/Recreation

Was the grant expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?

Grant Information

5. Source of Grant Funds: Other
Name of Grant Recipient: The Purple Heart Hall of Honor, Inc.
Address Line1: 330 Meadow Ave.
Address Line2:
City: NEWBURGH
State: NY
Zip - Plus4: 12550
Province/Region:
Country: USA
Amount of Grant Award Provided
During Reporting Year: \$2,007
Date Grant Awarded: 03/27/2014
Purpose of Grant: Land Preservation/Resource
Conservation/Recreation

Was the grant expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?

6. Source of Grant Funds: Other
Name of Grant Recipient: The Solar Energy Consortium/The center for
Address Line1: 434 Old Neighborhood Rd
Address Line2:
City: KINGSTON
State: NY
Zip - Plus4: 12401
Province/Region:
Country: USA
Amount of Grant Award Provided
During Reporting Year: \$30,000
Date Grant Awarded: 10/09/2014
Purpose of Grant: Education/Training (Business Development)

Was the grant expected to result
in new jobs being created? No
If yes, how many jobs were planned
to be created?
If yes, how many jobs have been
created to date?

Loan Information

This Authority has indicated that it did not award any loans during the reporting period.

Bond Information

1. Name of Recipient of Bond
 Proceeds: Mount Saint Mary College
 Address Line1: 330 Powell Avenue
 Address Line2:
 City: NEWBURGH
 State: NY
 Zip - Plus4: 12550
 Province/Region:
 Country: USA
 Amount of Bonds Issued: \$62,625,000.00
 Date Bonds Issued: 12/21/2012
 Bond Interest Rate: 2.99
 Last Year Bonds Expected to be Retired: 2033
 Amount of Bond Principal retired during the reporting year: \$1,890,000
 Amt of Bond Principal retired prior to reporting year: \$3,480,000
 Current Amount of Bonds Outstanding: \$57,255,000
 Purpose of project requiring the Bond Issuance: Education/Training(Business Development)

Was the bond issuance expected to result in new jobs being created? Yes
 If yes, how many jobs were planned to be created? 12
 If yes, how many jobs have been created to date? 4
 Have the bonds been fully retired? No

2. Name of Recipient of Bond
 Proceeds: Sheri Torah, Inc.
 Address Line1: PO Box 2027
 Address Line2:
 City: MONROE
 State: NY
 Zip - Plus4: 10949
 Province/Region:
 Country: USA
 Amount of Bonds Issued: \$14,000,000.00
 Date Bonds Issued: 10/01/2015
 Bond Interest Rate: 3.2
 Last Year Bonds Expected to be Retired: 2040
 Amount of Bond Principal retired during the reporting year: \$31,030
 Amt of Bond Principal retired prior to reporting year:
 Current Amount of Bonds Outstanding: \$13,968,970
 Purpose of project requiring the Bond Issuance: Education/Training(Business Development)

Was the bond issuance expected to result in new jobs being created? Yes
 If yes, how many jobs were planned to be created? 80
 If yes, how many jobs have been created to date? 77
 Have the bonds been fully retired? No

Bond Information

3. Name of Recipient of Bond
 Proceeds: The Monroe Cable Company, Inc.
 Address Line1: 14 Commercial Ave.
 Address Line2:
 City: MIDDLETOWN
 State: NY
 Zip - Plus4: 10941
 Province/Region:
 Country: USA
 Amount of Bonds Issued: \$7,000,000.00
 Date Bonds Issued: 11/21/2013
 Bond Interest Rate: 3.79
 Last Year Bonds Expected to be Retired: 2028
 Amount of Bond Principal retired during the reporting year: \$666,419.5
 Amt of Bond Principal retired prior to reporting year: \$467,538
 Current Amount of Bonds Outstanding: \$5,866,042.5
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement
 Was the bond issuance expected to result in new jobs being created? Yes
 If yes, how many jobs were planned to be created? 25
 If yes, how many jobs have been created to date? 0
 Have the bonds been fully retired? No

4. Name of Recipient of Bond
 Proceeds: Wallkill Realty Partners, LLC
 Address Line1: Rykowski Ln
 Address Line2:
 City: MIDDLETOWN
 State: NY
 Zip - Plus4: 10941
 Province/Region:
 Country: USA
 Amount of Bonds Issued: \$35,515,000.00
 Date Bonds Issued: 12/28/2012
 Bond Interest Rate: .25
 Last Year Bonds Expected to be Retired: 2046
 Amount of Bond Principal retired during the reporting year: \$0
 Amt of Bond Principal retired prior to reporting year: \$0
 Current Amount of Bonds Outstanding: \$35,515,000
 Purpose of project requiring the Bond Issuance: Commercial Property Construction/Acquisition/Revitalization/Improvement
 Was the bond issuance expected to result in new jobs being created? Yes
 If yes, how many jobs were planned to be created? 84
 If yes, how many jobs have been created to date? 42
 Have the bonds been fully retired? No

Additional Comments: