

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.warrenldc.org
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.warrenldc.org
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	www.warrenldc.org
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	www.warrenldc.org
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.warrenldc.org

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://www.warrenldc.org">www.warrenldc.org</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://www.warrenldc.org">www.warrenldc.org</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://www.warrenldc.org">www.warrenldc.org</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://www.warrenldc.org">www.warrenldc.org</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

**Board of Directors Listing**

Name	Dickinson, Dennis	Name	Merlino, Eugene J
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	02/17/2012	Term Start Date	02/25/2008
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Director	Title	Director
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	Wood, Evelyn	Name	Simpson, Matthew
Chair of Board	No	Chair of Board	No
If yes, Chair designated By.		If yes, Chair designated By.	
Term Start Date	02/17/2012	Term Start Date	03/27/2014
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Director	Title	Member
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	McDevitt, Peter V	Name	Taylor, Harold G
Chair of Board	No	Chair of Board	Yes
If yes, Chair designated By.		If yes, Chair designated By.	Elected by Board
Term Start Date	03/28/2011	Term Start Date	02/25/2008
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Director	Title	Chairman
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	No
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Board of Directors Listing**

Name	Monroe, Frederick H
Chair of Board	No
If yes, Chair designated By.	
Term Start Date	02/25/2008
Term Expiration Date	Ex-Officio
Title	Director
Has the Board member appointed a designee?	No
Designee Name	
Ex-officio	Yes
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by a State or local government
Auffredou, Martin	County Attorney	Administrative and Clerical				PT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Bartholomew, Edward	CEO	Executive				PT	Yes	0.00	0	0	0	0	0	0	Yes	No
Dusek, Paul	County Administrator	Administrative and Clerical				PT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Fitzgerald, Martin	Housing Coordinator	Administrative and Clerical				PT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
LaMothe, Wayne	Director - Planning & Community Development	Administrative and Clerical				PT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Miller, Kristen	Confidential Secretary	Administrative and Clerical				PT	Yes	0.00	0	0	0	0	0	0	Yes	Yes
Switzer, Jennifer	CFO	Executive				PT	Yes	0.00	0	0	0	0	0	0	Yes	No

**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Simpson, Matthew	Board of Directors												X	
McDevitt, Peter V	Board of Directors												X	
Dickinson, Dennis	Board of Directors												X	
Wood, Evelyn	Board of Directors												X	
Monroe, Frederick H	Board of Directors												X	
Merlino, Eugene J	Board of Directors												X	
Taylor, Harold G	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes  
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Aut No

Name of Subsidiary/Component Unit	Status	Requested Changes
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**Subsidiary/Component Unit Creation**

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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**Subsidiary/Component Unit Termination**

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$701,178
Investments	\$0
Receivables, net	\$100,963
Other assets	\$0
<b>Total Current Assets</b>	<b>\$802,141</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$0
Long-term receivables, net	\$898,246
Other assets	\$0
<b>Capital Assets</b>	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
<b>Total Noncurrent Assets</b>	<b>\$898,246</b>
<b>Total Assets</b>	<b>\$1,700,387</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$0
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
<b>Total Current Liabilities</b>	<b>\$0</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$0
<b>Total Noncurrent Liabilities</b>	<b>\$0</b>

**Total Liabilities** **\$0**

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$0
Restricted	\$0
Unrestricted	\$1,700,387
<b>Total Net Assets</b>	<b>\$1,700,387</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETSOperating Revenues

Charges for services	\$0
Rental & financing income	\$0
Other operating revenues	\$87,503
<b>Total Operating Revenue</b>	<b>\$87,503</b>

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$55,400
Supplies and materials	\$102
Depreciation & amortization	\$0
Other operating expenses	\$90
<b>Total Operating Expenses</b>	<b>\$55,592</b>

**Operating Income (Loss)** **\$31,911**

Nonoperating Revenues

Investment earnings	\$0
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
<b>Total Nonoperating Revenue</b>	<b>\$0</b>

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGE IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
<b>Total Nonoperating Expenses</b>	<b>\$0</b>
Income (Loss) Before Contributions	<b>\$31,911</b>
Capital Contributions	\$0
Change in net assets	\$31,911
Net assets (deficit) beginning of year	\$1,668,476
Other net assets changes	\$0
Net assets (deficit) at end of year	<b>\$1,700,387</b>

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

**New Debt Issuances List by Type of Debt and Program**

No Data has been entered by the Authority for this section in PARIS

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation					
Revenue					
Other Non-State Funded					
<b>Conduit</b>					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://www.warrenldc.org">www.warrenldc.org</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://www.warrenldc.org">www.warrenldc.org</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Grant Information

This Authority has indicated that it did not award any grants during the reporting period.

Loan Information

1. Source of Loan Funds: Other  
 Name of Loan Recipient: Adirondack Fishing Adventures  
 Address Line1: 190 13th Lake Road  
 Address Line2:  
 City: NORTH RIVER  
 State: NY  
 Zip - Plus4: 12856  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$46,250  
 Date Loan Awarded: 03/04/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$1,405.00  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

2. Source of Loan Funds: State  
 Name of Loan Recipient: Adirondack Sports Complex  
 Address Line1: 326 Sherman Ave  
 Address Line2:  
 City: QUEENSBURY  
 State: NY  
 Zip - Plus4: 12804  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$300,000  
 Date Loan Awarded: 03/07/2006  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$160,775.33  
 Purpose of Loan: Business Expansion/Startup  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 21  
 If yes, how many jobs have been  
 created to date? 19  
 Have the terms of the loan been  
 completed? No

Loan Information

3. Source of Loan Funds: State  
Name of Loan Recipient: Adirondack Sports Complex II  
Address Line1: 326 Sherman Ave  
Address Line2:  
City: QUEENSBURY  
State: NY  
Zip - Plus4: 12804  
Province/Region:  
Country: USA  
Original Amount of Loan: \$9,000  
Date Loan Awarded: 11/02/2007  
Interest Rate(%): 3  
Length of Loan(# of years  
to repay): 7  
Amount of Loan Principal Repaid  
to Date: \$6,239.41  
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?  
Have the terms of the loan been  
completed? No

4. Source of Loan Funds: State  
Name of Loan Recipient: Axis Technology  
Address Line1: 40 Dix Ave  
Address Line2:  
City: GLENS FALLS  
State: NY  
Zip - Plus4: 12801  
Province/Region:  
Country: USA  
Original Amount of Loan: \$51,750  
Date Loan Awarded: 07/07/2010  
Interest Rate(%): 4  
Length of Loan(# of years  
to repay): 8  
Amount of Loan Principal Repaid  
to Date: \$37,289.85  
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
in new jobs being created? Yes  
If yes, how many jobs were planned  
to be created? 2  
If yes, how many jobs have been  
created to date? 0  
Have the terms of the loan been  
completed? Yes

Loan Information

5. Source of Loan Funds: Other  
 Name of Loan Recipient: Burlap & Beams LLC  
 Address Line1: 242 Cameron Road  
 Address Line2:  
 City: ATHOL  
 State: NY  
 Zip - Plus4: 12810  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$60,000  
 Date Loan Awarded: 04/30/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$2,739.00  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

6. Source of Loan Funds: State  
 Name of Loan Recipient: Carpenter Physical Therapy  
 Address Line1: 37 State Rt. 28  
 Address Line2:  
 City: WARRENSBURG  
 State: NY  
 Zip - Plus4: 12885  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$55,000  
 Date Loan Awarded: 09/19/2008  
 Interest Rate(%): 6  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$51,810.71  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 3  
 Have the terms of the loan been  
 completed? No

Loan Information

7. Source of Loan Funds: Other  
 Name of Loan Recipient: Celtic Attitudes Clothing LLC  
 Address Line1: 123 Hunter St.  
 Address Line2:  
 City: GLENS FALLS  
 State: NY  
 Zip - Plus4: 12801 6007  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$9,400  
 Date Loan Awarded: 08/06/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$753.00  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 1  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

8. Source of Loan Funds: State  
 Name of Loan Recipient: County Floors  
 Address Line1: 3685 Main Street  
 Address Line2:  
 City: WARRENSBURG  
 State: NY  
 Zip - Plus4: 12885  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$50,000  
 Date Loan Awarded: 10/15/2008  
 Interest Rate(%): 4  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$33,072.57  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 3  
 Have the terms of the loan been  
 completed? No

**Loan Information**

9. Source of Loan Funds: Other  
 Name of Loan Recipient: Davidson Brothers Inc.  
 Address Line1: 184 Glen Street  
 Address Line2:  
 City: GLENS FALLS  
 State: NY  
 Zip - Plus4: 12801  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$98,000  
 Date Loan Awarded: 07/06/2011  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$84,054.82  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

10. Source of Loan Funds: Other  
 Name of Loan Recipient: GFK9 LLC  
 Address Line1: Warren Street  
 Address Line2:  
 City: GLENS FALLS  
 State: NY  
 Zip - Plus4: 12801 6007  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$25,000  
 Date Loan Awarded: 09/06/2015  
 Interest Rate(%): 6  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$718.00  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 0  
 Have the terms of the loan been  
 completed? No

Loan Information

11. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Gourmet Cafe  
 Address Line1: 185 Glen Street  
 Address Line2:  
 City: GLENS FALLS  
 State: NY  
 Zip - Plus4: 12801  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$28,000  
 Date Loan Awarded: 03/05/2010  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$13,417.04  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

12. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Great Camps ADK, Inc.  
 Address Line1: 963 Friends Lake Road  
 Address Line2:  
 City: CHESTERTOWN  
 State: NY  
 Zip - Plus4: 12817  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$200,000  
 Date Loan Awarded: 06/03/2014  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 15  
 Amount of Loan Principal Repaid  
 to Date: \$13,153.38  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement

Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

13. Source of Loan Funds: Other  
 Name of Loan Recipient: Lafontaine's Ice Cream & Grill LLC  
 Address Line1: 1133 State Route 9  
 Address Line2:  
 City: QUEENSBURY  
 State: NY  
 Zip - Plus4: 12804  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$52,650  
 Date Loan Awarded: 02/12/2012  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 10  
 Amount of Loan Principal Repaid  
 to Date: \$11,293.20  
 Purpose of Loan: Commercial Property  
 Construction/Acquisition/Revitalization/I  
 mprovement  
 Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 6  
 If yes, how many jobs have been  
 created to date? 6  
 Have the terms of the loan been  
 completed? No

14. Source of Loan Funds: Other  
 Name of Loan Recipient: Reliable Racing Supply Inc.  
 Address Line1: 643 Upper Glen Street  
 Address Line2:  
 City: QUEENSBURY  
 State: NY  
 Zip - Plus4: 12804  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$100,000  
 Date Loan Awarded: 12/21/2015  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 1  
 Amount of Loan Principal Repaid  
 to Date: \$0.00  
 Purpose of Loan: Equipment and Fixed Asset Acquisition  
 Was the Loan expected to result  
 in new jobs being created? No  
 If yes, how many jobs were planned  
 to be created?  
 If yes, how many jobs have been  
 created to date?  
 Have the terms of the loan been  
 completed? No

Loan Information

15. Source of Loan Funds: Other 16. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Richard Davidson & John & Pamela Davidson  
 Address Line1: 13 Ashley Place  
 Address Line2:  
 City: QUEENSBURY  
 State: NY  
 Zip - Plus4: 12804  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$14,284.98  
 Date Loan Awarded: 09/16/2013  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 2  
 Amount of Loan Principal Repaid  
 to Date: \$11,973.78  
 Purpose of Loan: Business Expansion/Startup

16. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Rosy Blue's Flowers and Gifts  
 Address Line1: 63 Lake Ave  
 Address Line2:  
 City: LAKE LUZERNE  
 State: NY  
 Zip - Plus4: 12846  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$29,250  
 Date Loan Awarded: 03/24/2010  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$7,782.37  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 3  
 Have the terms of the loan been  
 completed? No

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

**Loan Information**

17. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Sheet Labels Inc.  
 Address Line1: 834 Bay Road  
 Address Line2:  
 City: QUEENSBURY  
 State: NY  
 Zip - Plus4: 12804  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$111,271.38  
 Date Loan Awarded: 05/26/2011  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$111,271.38  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 4  
 If yes, how many jobs have been  
 created to date? 4  
 Have the terms of the loan been  
 completed? Yes

18. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Superior Cantina LLC  
 Address Line1: 21 Bay Street  
 Address Line2: PO Box 2077  
 City: GLENS FALLS  
 State: NY  
 Zip - Plus4: 12801  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$75,000  
 Date Loan Awarded: 07/01/2014  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 7  
 Amount of Loan Principal Repaid  
 to Date: \$12,341.77  
 Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 6  
 If yes, how many jobs have been  
 created to date? 6  
 Have the terms of the loan been  
 completed? No

Loan Information

19. Source of Loan Funds: Not for Profit  
Name of Loan Recipient: Sutton's MarketPlace  
Address Line1: 1066 State Route 9  
Address Line2:  
City: QUEENSBURY  
State: NY  
Zip - Plus4: 12804  
Province/Region:  
Country: USA  
Original Amount of Loan: \$175,000  
Date Loan Awarded: 10/01/2014  
Interest Rate(%): 5.5  
Length of Loan(# of years  
to repay): 5  
Amount of Loan Principal Repaid  
to Date: \$36,647.97  
Purpose of Loan: Equipment and Fixed Asset Acquisition

Was the Loan expected to result  
in new jobs being created? No  
If yes, how many jobs were planned  
to be created?  
If yes, how many jobs have been  
created to date?  
Have the terms of the loan been  
completed? No

20. Source of Loan Funds: State  
Name of Loan Recipient: The Barking Spider  
Address Line1: 302 Main Street  
Address Line2:  
City: NORTH CREEK  
State: NY  
Zip - Plus4: 12853  
Province/Region:  
Country: USA  
Original Amount of Loan: \$65,000  
Date Loan Awarded: 11/21/2008  
Interest Rate(%): 4  
Length of Loan(# of years  
to repay): 5  
Amount of Loan Principal Repaid  
to Date: \$53,462.76  
Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
in new jobs being created? Yes  
If yes, how many jobs were planned  
to be created? 6  
If yes, how many jobs have been  
created to date? 3  
Have the terms of the loan been  
completed? No

Loan Information

21. Source of Loan Funds: State  
 Name of Loan Recipient: Tropical Fitness  
 Address Line1: 959 State Route 9  
 Address Line2:  
 City: QUEENSBURY  
 State: NY  
 Zip - Plus4: 12804  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$13,400  
 Date Loan Awarded: 02/12/2008  
 Interest Rate(%): 4  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$8,652.87  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 1  
 If yes, how many jobs have been  
 created to date? 1  
 Have the terms of the loan been  
 completed? No

22. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Tubby Tubes  
 Address Line1: 1372 Lake Ave  
 Address Line2:  
 City: LAKE LUZERNE  
 State: NY  
 Zip - Plus4: 12846  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$75,000  
 Date Loan Awarded: 02/23/2010  
 Interest Rate(%): 4  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$75,000.00  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 8  
 If yes, how many jobs have been  
 created to date? 8  
 Have the terms of the loan been  
 completed? Yes

Loan Information

23. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Unreal Realty  
 Address Line1: 124-126 Glen Street  
 Address Line2:  
 City: GLENS FALLS  
 State: NY  
 Zip - Plus4: 12801  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$100,000  
 Date Loan Awarded: 11/23/2004  
 Interest Rate(%): 5  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$56,614.03  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 12  
 If yes, how many jobs have been  
 created to date? 8  
 Have the terms of the loan been  
 completed? No

24. Source of Loan Funds: Not for Profit  
 Name of Loan Recipient: Wevertown Country Store  
 Address Line1: Route 28  
 Address Line2: PO Box 126  
 City: WEVERTOWN  
 State: NY  
 Zip - Plus4: 12886  
 Province/Region:  
 Country: USA  
 Original Amount of Loan: \$24,664  
 Date Loan Awarded: 02/03/2010  
 Interest Rate(%): 3  
 Length of Loan(# of years  
 to repay): 5  
 Amount of Loan Principal Repaid  
 to Date: \$19,945.20  
 Purpose of Loan: Business Expansion/Startup

Was the Loan expected to result  
 in new jobs being created? Yes  
 If yes, how many jobs were planned  
 to be created? 3  
 If yes, how many jobs have been  
 created to date? 3  
 Have the terms of the loan been  
 completed? No

Bond Information

This Authority has indicated that it did not have any outstanding bonds during the reporting period.

Additional Comments: