

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	www.portofalbany
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.portofalbany.us
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	1	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6. Does the independent auditor provide non-audit services to the Authority?	No	N/A
7. Does the Authority have an organization chart?	Yes	www.portofalbany.us
8. Are any Authority staff also employed by another government agency?	No	
9. Has the Authority posted their mission statement to their website?	Yes	www.portofalbany.us
10 Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.portofalbany.us

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.portofalbany.us
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.portofalbany.us
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.portofalbany.us
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.portofalbany.us
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	No	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Steffens, Georgette	Name	Bulgaro, John
Chair of the Board	Yes	Chair of the Board	No
If yes, Chairman Designated by.	Elected by Board	If yes, Chairman Designated by.	
Term Start Date	08/08/2012	Term Start Date	03/28/2015
Term Expiration Date	03/27/2015	Term Expiration Date	03/27/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Coffey, Joseph	Name	Tagliento, Dominic
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	10/06/2014	Term Start Date	05/07/2010
Term Expiration Date	03/27/2018	Term Expiration Date	05/15/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Kinsch, Noelle M
Chair of the Board	No
If yes, Chairman Designated by.	
Term Start Date	11/24/2015
Term Expiration Date	03/27/2018
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Governor
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Andolina, Jeffrey J	Security Officer	Operational	Security	No	No	PT	No	29,747.00	29,747	0	0	0	0	29,747	No	
Bonanni, William	Security Officer	Operational	Security	No	No	PT	No	15,697.00	15,697	0	0	0	0	15,697	No	
Carroll, Jason R	Maintenance Staff	Operational	Maintenance	No	No	FT	No	35,800.00	35,800	5,744.94	0	0	0	41,544.94	No	
Carroll, Richard K	Security Officer	Operational	Security	No	No	PT	No	25,494.65	25,494.65	0	0	0	0	25,494.65	No	
Chuck, Barry L	Security Officer	Operational	Security	No	No	PT	No	16,052.00	16,052	0	0	0	0	16,052	No	
Daly, Megan E	Director of Economic Development & Procurement	Managerial	Administration	No	No	FT	Yes	108,160.52	108,160.52	0	0	2,080.01	0	110,240.53	No	
Demarco, Michael T	Security Officer	Operational	Security	No	No	PT	No	120.00	120	0	0	0	0	120	No	
Eddy, Paul M	Maintenance Staff	Operational	Maintenance Dept	No	No	FT	No	33,000.00	33,000	2,554.44	0	0	0	35,554.44	No	
Falkenheimer, Maria I	Security Officer	Operational	Security	No	No	PT	No	2,530.00	2,530	0	0	0	0	2,530	No	
Fee, Joseph J	Security Officer	Operational	Security	No	No	PT	No	1,280.00	1,280	0	0	0	0	1,280	No	
Fitzgerald, Annie M	Director of Human Resources & Accounting	Administrative and Clerical	Administrative	No	No	FT	Yes	80,044.68	80,044.68	0	0	1,527.09	0	81,571.77	No	
Gagnon III, Joseph F	Security Officer	Operational	Security	No	No	PT	No	3,300.00	3,300	0	0	0	0	3,300	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Gaspary, Annette T	Administrative Assistant to General Counsel	Administrative and Clerical	Administration	No	No	PT	Yes	29,146.00	29,146	0	0	0	0	29,146	No	
Harris, Jeffrey	Security Officer	Operational	Security	No	No	PT	No	16,054.00	16,054	0	0	0	0	16,054	No	
Hendrick, Richard Sr J	General Manager	Executive	Administration	No	No	FT	Yes	117,813.80	117,813.8	0	0	2,265.65	0	120,079.45	No	
Hendrick, Richard, Jr J	Maintenance Staff	Operational	Maintenance	No	No	PT	No	29,120.00	29,120	5,506.2	0	0	0	34,626.2	No	
Hurley, Terrence P	Chief Financial Officer	Managerial	Administration	No	No	FT	Yes	99,390.20	99,390.2	0	0	1,911.35	0	101,301.55	No	
Kasianczuk, Ihor	Security Officer	Operational	Security	No	No	PT	No	2,509.00	2,509	0	0	0	0	2,509	No	
Keleher, James K	Security Officer	Operational	Security	No	No	PT	No	19,023.00	19,023	0	0	0	0	19,023	No	
Kerr, James W	Security Officer	Operational	Security	No	No	PT	No	14,620.60	14,620.6	0	0	0	0	14,620.6	No	
Kosa, John	Director of Operations & Facilities	Managerial	Administration	No	No	FT	Yes	78,000.52	78,000.52	0	0	1,500.01	0	79,500.53	No	
Lammerts, Christopher	Security Officer	Operational	Security	No	No	PT	No	1,084.00	1,084	0	0	0	0	1,084	No	
Lourinia, Vito A	Security Officer	Operational	Security	No	No	PT	No	9,980.00	9,980	0	0	0	0	9,980	No	
Marks, Kenneth W	Security Officer	Operational	Security	No	No	PT	No	2,804.00	2,804	0	0	0	0	2,804	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
McGuinness, Thomas J	Director of Information Technology	Managerial	Administration	No	No	FT	Yes	70,484.96	70,484.96	0	0	0	0	70,484.96	No	
McNally, Jane M	Administrative Assistant to Business Manager	Administrative and Clerical	Administration	No	No	PT	Yes	34,099.71	34,099.71	0	0	0	0	34,099.71	No	
McGreevy, Maureen	Security Officer	Operational	Security	No	No	PT	No	8,564.00	8,564	0	0	0	0	8,564	No	
Mckenna, Kevin R	Security Officer	Operational	Security	No	No	PT	No	24,701.10	24,701.1	0	0	0	0	24,701.1	No	
Mclean, Clifford	Security Officer	Operational	Security	No	No	PT	No	4,999.00	4,999	0	0	0	0	4,999	No	
Mengal, Robert A	Maintenance Staff	Operational	Maintenance			PT	No	1,890.00	1,890	0	0	0	0	1,890	No	
Morris, Jermany W	Security Officer	Operational	Security	No	No	PT	No	1,032.00	1,032	0	0	0	0	1,032	No	
Nieckarz, John T	Security Officer	Operational	Security	No	No	PT	No	13,269.00	13,269	0	0	0	0	13,269	No	
Nieckarz, Matthew J	Security Officer	Operational	Security	No	No	PT	No	9,694.00	9,694	0	0	0	0	9,694	No	
Nowosielski, Richard B	Security Officer	Operational	Security	No	No	PT	No	25,176.40	25,176.4	0	0	0	0	25,176.4	No	
Olthoff, Donald M	Security Officer	Operational	Security	No	No	PT	No	18,992.00	18,992	0	0	0	0	18,992	No	
Orso, Nicholas	Security Officer	Operational	Security			PT	No	160.00	160	0	0	0	0	160	No	
Ostrander, Joshua G	Assistant Foreman	Operational	Maintenance	No	No	FT	No	40,000.00	40,000	8,418.64	0	800	0	49,218.64	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Owens, Thomas M	General Counsel	Managerial	Administration	No	No	FT	Yes	126,442.58	126,442.58	0	0	0	0	126,442.58	No	
Shell, John W	Security Officer	Operational	Security	No	No	FT	No	34,566.69	34,566.69	0	0	0	0	34,566.69	No	
Smith, Erik J	Director of Internal Controls & Enterprise Analysis	Managerial	Administration	No	No	FT	Yes	114,400.52	114,400.52	0	0	0	0	114,400.52	No	
Spicer, Mark O	Maintenance	Operational	Maintenance	No	No	PT	No	7,458.36	7,458.36	0	0	0	0	7,458.36	No	
Tacy, Benjamin J	Maintenance Staff	Operational	Maintenance	No	No	PT	No	2,665.00	2,665	0	0	0	0	2,665	No	
Teller, James	Security & Threat Assessment Deputy Director	Operational	Security	No	No	PT	No	33,966.90	33,966.3	0	0	0	0	33,966.3	No	
Templeton, Hugh	Security Officer	Operational	Security	No	No	PT	No	8,692.00	8,692	0	0	0	0	8,692	No	
Vasil, Anthony J	Director of Business Development & Marketing	Managerial	Administration	No	No	FT	Yes	102,493.56	102,493.56	0	0	0	0	102,493.56	No	
Wickman, Richard P	Security Officer	Operational	Security	No	No	PT	No	1,320.00	1,320	0	0	0	0	1,320	No	
Wilkinson, Linda	Commission Secretary	Administrative and Clerical	Administration	No	No	FT	No	41,485.60	41,485.6	0	0	0	0	41,485.6	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Williams, David B	Security & Threat Assessment Director	Managerial	Security	No	No	FT	Yes	70,484.96	70,484.96	0	0	1,355.48	0	71,840.44	No	
Williams, James p	Maintenance Foreman	Managerial	Maintenance	No	No	FT	Yes	60,080.80	60,080.8	0	0	1,155.4	0	61,236.2	No	
Yaggle, Edward	Security Officer	Operational	Security	No	No	PT	No	544.00	544	0	0	0	0	544	No	

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Bulgaro, John	Board of Directors												X	
Kinsch, Noelle M	Board of Directors												X	
Tagliento, Dominic	Board of Directors												X	
Steffens, Georgette	Board of Directors												X	
Coffey, Joseph	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Daly, Megan E	Director of Economic Development & Procurement												X	
Hendrick, Richard Sr J	General Manager				X		X							
Owens, Thomas M	General Counsel												X	
Smith, Erik J	Director of Internal Controls & Enterprise Analysis												X	
Vasil, Anthony J	Director of Business Development & Marketing												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Assets

Current Assets

Cash and cash equivalents	\$1,257,396
Investments	\$3,268,087
Receivables, net	\$373,565
Other assets	\$636,407
Total Current Assets	\$5,535,455

Noncurrent Assets

Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$251,527

Capital Assets

Land and other nondepreciable property	\$4,128,474.26
Buildings and equipment	\$94,532,017.74
Infrastructure	\$0
Accumulated depreciation	\$53,894,077
Net Capital Assets	\$44,766,415

Total Noncurrent Assets \$45,017,942

Total Assets \$50,553,397

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$428,455
Pension contribution payable	\$0
Other post-employment benefits	\$43,000
Accrued liabilities	\$190,225
Deferred revenues	\$19,966
Bonds and notes payable	\$127,097
Other long-term obligations due within one year	\$443,087
Total Current Liabilities	\$1,251,830

Noncurrent Liabilities

Pension contribution payable	\$125,953
Other post-employment benefits	\$2,009,051
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$2,113,655
Total Noncurrent Liabilities	\$4,248,659

Total Liabilities	\$5,500,489
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Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$42,209,673
Restricted	\$0
Unrestricted	\$2,843,235
Total Net Assets	\$45,052,908

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$2,032,623
Rental & financing income	\$3,191,709
Other operating revenues	\$237,269
Total Operating Revenue	\$5,461,601

Operating Expenses

Salaries and wages	\$2,521,528
Other employee benefits	\$0
Professional services contracts	\$518,088
Supplies and materials	\$211,801
Depreciation & amortization	\$1,948,358
Other operating expenses	\$445,102
Total Operating Expenses	\$5,644,877

Operating Income (Loss) (\$183,276)

Nonoperating Revenues

Investment earnings	\$30,862
State subsidies/grants	\$958,692
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$217,500
Total Nonoperating Revenue	\$1,207,054

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$57,529
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$423,892
Total Nonoperating Expenses	\$481,421
Income (Loss) Before Contributions	\$542,357
Capital Contributions	\$0
Change in net assets	\$542,357
Net assets (deficit) beginning of year	\$44,510,551
Other net assets changes	\$0
Net assets (deficit) at end of year	\$45,052,908

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation State Guaranteed State Supported State Contingent Obligation State Moral Obligation Other State Funded					
Authority Obligation General Obligation Revenue Other Non-State Funded					
Conduit Conduit Debt Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

1. Property Description: 1974 American Lattice Boom Crawler Crane
model 9310 serial number GS16782

Estimated Fair Market Value: \$217,500.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 03/06/2015

Sale Price: \$217,500.00

Organization: F&M MAFCO, Inc.

Last Name:

First Name:

Purchaser Address

Address Line1: P.O. Box 11013

Address Line2:

City: CINCINNATI

State: OH

Postal Code: 45211

Plus4:

Province/Region:

Country: USA

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	www.portofalbany.us
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.portofalbany.us
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Additional Comments:

please note: SUMMARY STATEMENT OF NET ASSETS Receivable, net \$373,565.00 Accounts receivable\$363,565.00 Grants receivable \$10,000.00. Noncurrent Assets Other Assets \$251,527.00 (this amount is directly related to DEFERRED OUTFLOWS OF RESOURCES GASB 68)