

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.buffalowater.org/Quality/WaterQualityReports
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	No	
6. Are any Authority staff also employed by another government agency?	Yes	City of Buffalo, New York
7. Has the Authority posted their mission statement to their website?	Yes	http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.city-buffalo.com/Home/Leadership/City_Compcontroller/waterfinancials
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.buffalowater.org/CustomerService/WaterBoardMeetingMinutes
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.city-buffalo.com/Home/Leadership/City_Compcontroller/waterfinancials
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.city-buffalo.com/Home/Leadership/City_Compcontroller/waterfinancials
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Michael, Finn	Name	McFoy, Oluwole
Chair of the Board	No	Chair of the Board	Yes
If yes, Chair Designated by.		If yes, Chair Designated by.	Local
Term Start Date	05/01/2013	Term Start Date	01/01/2010
Term Expiration Date	Pleasure of Authority	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Sunderlin, William	Name	Kelly, Gerald
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2010	Term Start Date	01/01/2010
Term Expiration Date	Pleasure of Authority	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Vacant	Name	Vacant
Chair of the Board		Chair of the Board	
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date		Term Start Date	
Term Expiration Date		Term Expiration Date	
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio		Ex-officio	
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?		Has the Board member/designee signed the acknowledgement of fiduciary duty?	
Complied with training requirement of Section 2824?		Complied with training requirement of Section 2824?	
Does the Board member/designee also hold an elected or appointed State gove		Does the Board member/designee also hold an elected or appointed State gove	
Does the Board member/designee also hold an elected or appointed municipal government position?		Does the Board member/designee also hold an elected or appointed municipal government position?	

<u>Board of Directors Listing</u>	
Name	Vacant
Chair of the Board	
If yes, Chair Designated by.	
Term Start Date	
Term Expiration Date	
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	
Complied with training requirement of Section 2824?	
Does the Board member/designee also hold an elected or appointed State gove	
Does the Board member/designee also hold an elected or appointed municipal government position?	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
ABBATE, SALVATORE P	WATER LINE INSPECTOR	Operational	WATER ADMINISTRATION	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
AHR, CHRISTIAN	WATER METER MECHANIC	Operational	WATER METER SHOP	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
ALMONOR, BRIGITTE	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
ANDERSON, ADAM I	CAULKER SUPERVISOR	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
APPENHEIMER, WILLIAM J	CHIEF PUMPING PLANT ENGINEER	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
BARNES, LYNDA A	TELLER	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
BENDER, GLENN L	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
BERDYCH, ANDREW	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
BOUQUARD, LORI A	SR DATA PROCESSING EQUIP OPER	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
BRUYERE , BRIAN	HEAVY EQUIPMENT OPERATOR WATER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
BURGIO , VICTOR A	PUMPING PLANT OPERATOR	Technical and Engineering	WATER MASS PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CALORICO , RICHARD M	PUMPING PLANT OPERATOR	Technical and Engineering	WATER MASS PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CAMPEAU , GREG R	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CAVANAGH , THOMAS	GENERAL MECHANIC (WATER)	Operational	WATER COL WARD PUMP STA	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CEGIELSKI , THOMAS J	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CRITHARIS , JOHN	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CRUMPLEY , LORENZO	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
CWIK , THOMAS L	CAULKER	Operational	WATER COL WARD PUMP STA	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
DAVIS L , CHARLES	METER READER	Operational	WATER INSPECTION	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
DENECKE C , JOSEPH	FILTRATION PLANT OPERATOR	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
FATIG , DAVID	CHIEF PUMPING PLANT ENGINEER	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
FORD J , JANROBIN	WATER TREATMENT SUPERVISOR	Administrative and Clerical	WATER FILTRATION PLANT	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
GARTZ , MARTIN	WATER SERVICE WORKER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
GENOVA , JOSEPH	HEAVY EQUIPMENT OPERATOR WATER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
GETZ M , CAROLE	ASSOCIATE ACCOUNT CLERK	Administrative and Clerical	WATER ADMINISTRATION	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
GIANCARLO , KAREN	SR DATA PROCESSING EQUIP OPER	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
GUGINO C , MICHAEL	DISPATCHER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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GURBACKI, JOHN	FILTER PLANT MAINTENANCE SUPER	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HAMPTON, HAVEN A	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HAPKE, KENNETH J	ASSOCIATE ENGINEER	Administrative and Clerical	WATER ADMINISTRATION	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HELLERER, JAMES	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HOGUE, HERBERT E	CHIEF PUMPING PLANT ENGINEER	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HUFF, CHRISTOPHER	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
HUTHMACHE, THOMAS M	DISPATCHER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
KARBOWSKI, IZYDOR E	ASSIST WATER DISTRIBUTION SPRI	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
KARBOWSKI, THOMAS	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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KREUZ D , PHILLIP I	CAULKER SUPERVISOR	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
LACKIE , BRIAN D	CHIEF WATER POLLUTION INSPECTOR	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
LEFTWICH , DAWNETTE	DISPATCHER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
LICKFELD , WAYNE J	METER READER	Operational	WATER INSPECTION	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
LINK , WILLIAM WATER R	MACHINE OPERATOR	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MACHIN , ERIC R	ASSIST FILTRATION PLANT OPERATOR	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MACRI , CHRISTOPHER	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MACRI , FRANCIS	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MACRI , NICHOLAS	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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MAI , BAO X	METER READER	Operational	WATER INSPECTION	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MARINACCI O , JOHN A	WATER SERVICE WORKER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MARSHALL , CHARLES E	WATER METER MECHANIC	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MARTIN , PATRICK J	PUMPING PLANT SUPERINTENDENT	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MASULLO , STACEY L	TELLER	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MCBRIDE , TAMARA	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MCCABE , JAMES	WATERMETER MECHANIC SUPERVISOR	Operational	WATER METER SHOP	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MCCUNE , ARLENE	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MCMAHON , JOHN	SENIOR ENGINEER	Administrative and Clerical	WATER ADMINISTRATION	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MCMANUS , PATRICK M	ASSIST WATER DISTRIBUTION SPRI	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
MERLO , PETER J	PRINCIPAL ENGINEER	Administrative and Clerical	WATER ADMINISTRATION	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MIHALICS , DONNA	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MOFFETT , ANDREW J	SR FIRST CLASS STATIONARY ENG	Technical and Engineering	WATER COL WARD PUMP STA	IUOE LOCAL 17	71	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MONTELEONE , DAVID C	HEAVY EQUIPMENT OPERATOR WATER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
MURPHY , JOHN P	WATER SERVICE WORKER	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
NEUSCHAEFER , KIMBERLY L	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
NIEDZWIECKI , DAVID	ELECTRICIAN	Operational	WATER COL WARD PUMP STA	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
PANCZYK , ROBERT E	METER READER	Operational	WATER INSPECTION	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
PANZICA , MARK	ASSIST FILTRATION PLANT OPERATOR	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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PENNYAMON H, WILLIE	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
PETERS D, THOMAS	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
PINKARD J, JORDASH	WATER METER MECHANIC	Operational	WATER METER SHOP	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
RAINERO A, MICHAEL I	CAULKER SUPERVISOR	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
REDFERN J, DENNIS	WATER SERVICE WORKER	Operational	WATER INSPECTION	SEASONAL EMPLOYEE	SEAS	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
RICHARDSON N, GEORGE	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
ROMANINI J, MARK	WATER METER MECHANIC	Operational	WATER METER SHOP	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SCHWEITZER R, PETER J	FILTRATION PLANT OPERATOR	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SITAREK C, CATHERINE	STOCK CLERK	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

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SOBOCINSKI, JASON C	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SPARACINO, FRANK	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
STIEF, WILLIAM A	LABORER II	Operational	WATER COL WARD PUMP STA	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SULLIVAN, COLIN	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SZCZEPANSKI, JEROME I P	CAULKER SUPERVISOR	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
SZCZEPANSKI, JOSEPH	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
THIEL, SEAN	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
VAZQUEZ, CLARISSA E	ACCOUNT CLERK-TYPIST	Administrative and Clerical	WATER BILLING	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
WHITE, RONALD J	FILTRATION PLANT OPERATOR	Operational	WATER FILTRATION PLANT	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
WOLASZ, MICHAEL J	WATER DISTRIBUTION SUPERINTENDENT	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
YAEGER, LISA M	STOCK CLERK	Administrative and Clerical	WATER SERVICE CTR 1	AFSCME LOCAL 650	650	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
YOST, BRIAN	CAULKER	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
ZIELINSKI, DAVID P I	CAULKER SUPERVISOR	Operational	WATER SERVICE CTR 2	TEAMSTERS LOCAL 264	264T	FT	No	0.00	0	0	0	0	0	0	Yes	Yes
ZMUDA, MICHAEL	WATER SERVICE INSPECTOR	Operational	WATER SERVICE CTR 1	AFSCME LOCAL 264	264	FT	No	0.00	0	0	0	0	0	0	Yes	Yes

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
McFoy, Oluwole	Board of Directors												X	
Kelly, Gerald	Board of Directors												X	
Sunderlin, William	Board of Directors												X	
Michael, Finn	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	
Vacant	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$45,432,033
Investments	\$0
Receivables, net	\$6,460,165
Other assets	\$0
Total Current Assets	\$51,892,198
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$0
Other assets	\$0
Capital Assets	
Land and other nondepreciable property	\$1,767,861
Buildings and equipment	\$98,907,395
Infrastructure	\$101,982,731
Accumulated depreciation	\$74,359,723
Net Capital Assets	\$128,298,264
Total Noncurrent Assets	\$128,298,264
Total Assets	\$180,190,462

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$10,473,219
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$0
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$10,473,219

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$0
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$120,930,077
Total Noncurrent Liabilities	\$120,930,077

Total Liabilities

\$131,403,296

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$13,940,539
Restricted	\$0
Unrestricted	\$34,846,627
Total Net Assets	\$48,787,166

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$42,550,935
Rental & financing income	\$0
Other operating revenues	\$553,119
Total Operating Revenue	\$43,104,054

Operating Expenses

Salaries and wages	\$0
Other employee benefits	\$0
Professional services contracts	\$0
Supplies and materials	\$10,258,595
Depreciation & amortization	\$5,144,214
Other operating expenses	\$13,117,329
Total Operating Expenses	\$28,520,138

Operating Income (Loss) **\$14,583,916**

Nonoperating Revenues

Investment earnings	\$144,043
State subsidies/grants	\$0
Federal subsidies/grants	\$442,716
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$586,759

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$6,404,449
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$5,679,058
Total Nonoperating Expenses	\$12,083,507
Income (Loss) Before Contributions	\$3,087,168
Capital Contributions	\$0
Change in net assets	\$3,087,168
Net assets (deficit) beginning of year	\$46,450,359
Other net assets changes	(\$750,361)
Net assets (deficit) at end of year	\$48,787,166

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue					
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.city-buffalo.com/Home/Leadership/City_Comptroller/waterfinancials
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: