

**Governance Information (Authority-Related)**

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	<a href="http://www.cpwa.org/pdf/2015_annual_report.pdf">www.cpwa.org/pdf/2015_annual_report.pdf</a>
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	<a href="http://www.cpwa.org/pdf/2015_annual_report.pdf">www.cpwa.org/pdf/2015_annual_report.pdf</a>
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	Yes	N/A
5. Does the Authority have an organization chart?	Yes	<a href="http://www.cpwa.org/other-reports.asp">www.cpwa.org/other-reports.asp</a>
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	<a href="http://www.cpwa.org/other-reports.asp">www.cpwa.org/other-reports.asp</a>
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		<a href="http://www.cpwa.org/pdf/2015_annual_report.pdf">www.cpwa.org/pdf/2015_annual_report.pdf</a>

**Governance Information (Board-Related)**

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		<a href="http://www.cpwa.org/pdf/2015_annaul_report.pdf">www.cpwa.org/pdf/2015_annaul_report.pdf</a>
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		<a href="http://www.cpwa.org/minutes.asp">www.cpwa.org/minutes.asp</a>
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	<a href="http://www.cpwa.org/other-reports.asp">www.cpwa.org/other-reports.asp</a>
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	<a href="http://www.cpwa.org/other-reports.asp">www.cpwa.org/other-reports.asp</a>
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	No	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Ryan, John	Name	Taubkin, Peter
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	04/21/2008	Term Start Date	04/02/2012
Term Expiration Date	12/31/2018	Term Expiration Date	12/31/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Butler, William	Name	Gerstenberger, Helmut
Chair of the Board	No	Chair of the Board	Yes
If yes, Chair Designated by.		If yes, Chair Designated by.	Local
Term Start Date	10/09/2012	Term Start Date	01/01/2005
Term Expiration Date	12/31/2015	Term Expiration Date	12/31/2019
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Peterson, George
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	01/01/2011
Term Expiration Date	12/31/2015
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Barga-ning Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Austin Jr., Donald J	Administrator	Executive				FT	No	94,518.54	94,518.54	0	0	0	0	94,518.54	No	
Austin, James M	Heavy Equipment Operator	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	50,813.80	50,813.8	2,138.76	0	0	0	52,952.56	No	
Clark, Nathan R	Water Maintenance Technician	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	44,977.92	45,077.92	8,042.01	0	0	325	53,444.93	No	
Collins, Sheri L	Business Manager	Managerial				FT	No	65,923.72	65,923.72	0	0	0	0	65,923.72	No	
Fox, Dane R	Water Maintenance Technician	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	44,977.92	44,285.44	10,150.06	0	0	237.64	54,673.14	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Barga-ning Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
French, Darin P	Inspector	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	51,983.13	38,866.4	527.96	0	0	325	39,719.36	No	
Golden, Corey T	Assistant Water Treatment Plant Operator	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	47,159.36	47,159.36	243.21	0	0	325	47,727.57	No	
Hatter, Jeffrey S	Distribution System Foreman	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	55,619.20	54,935.2	676.67	0	2,900	61.79	58,573.66	No	
Hoff, Alec J	Water Maintenance Technician	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	43,700.80	38,625.76	5,575.95	0	2,100	250.19	46,551.9	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Kindler, Alanna L	Summer Help	Operational				PT	No	4,405.50	4,405.5	0	0	0	0	4,405.5	No	
Kromer Jr, Joseph P	Summer Help	Operational				PT	No	3,672.00	3,672	0	0	0	0	3,672	No	
Kromer, Erik C	Summer Help	Operational				PT	No	2,376.00	2,376	0	0	0	0	2,376	No	
Mackey, Eric R	Meter Reader	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	38,161.50	38,069.1	0	0	0	141.56	38,210.66	No	
Macygin, Filomena M	Administrative Assistant	Administrative and Clerical		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	44,211.90	44,211.9	0	0	0	0	44,211.9	No	
Marshall, Ronald P	Water Treatment Plant Operator	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	57,261.12	57,261.12	1,483.59	0	0	313.75	59,058.46	No	

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Miller, Kenneth B	Water Maintenance Technician	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	43,676.91	43,676.91	6,873.76	0	2,100	325	52,975.67	No	
Mountain, Christina J	Customer Service Representative	Administrative and Clerical		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	40,287.90	40,287.9	0	0	0	0	40,287.9	No	
Schlesier Jr., Walter J	Superintendent	Managerial				FT	No	83,448.51	83,448.51	0	0	0	0	83,448.51	No	
Simmons, Anthony F	Laborer	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	40,668.37	40,668.37	1,997.49	0	0	320.44	42,986.3	No	

**Staff Listing**

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Straney, Christopher D	Water Maintenance Technician	Operational		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	47,720.96	47,720.96	9,019.84	0	0	325	57,065.8	No	
Talbot, Lynn E	Billing Clerk	Administrative and Clerical		CSEA, Local 1000 AFSCME, AFL-CIO	Clifton Park Water Authority Unit #8472-00, Saratoga County Local 846	FT	No	43,433.10	43,433.1	0	0	0	0	43,433.1	No	
Weiner, Neil S	Attorney	Professional				PT	No	21,326.92	21,326.92	0	0	0	0	21,326.92	No	

**Benefit Information**

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

**Board Members**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Peterson, George	Board of Directors												X	
Gerstenberger, Helmut	Board of Directors													X
Taubkin, Peter	Board of Directors												X	
Ryan, John	Board of Directors												X	
Butler, William	Board of Directors												X	

**Staff**

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

**Subsidiary/Component Unit Verification**

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? No  
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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**Subsidiary/Component Unit Creation**

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
Country Knolls Water Works, Inc.	06/22/1992	Water system owned and operated by the Clifton Park Water Authority.

**Subsidiary/Component Unit Termination**

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

## SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
<b>Current Assets</b>	
Cash and cash equivalents	\$1,444,102
Investments	\$0
Receivables, net	\$749,942
Other assets	\$88,746
<b>Total Current Assets</b>	<b>\$2,282,790</b>
<b>Noncurrent Assets</b>	
Restricted cash and investments	\$2,848,298
Long-term receivables, net	\$0
Other assets	\$944,824
<b>Capital Assets</b>	
Land and other nondepreciable property	\$458,055
Buildings and equipment	\$11,526,787
Infrastructure	\$27,242,473
Accumulated depreciation	\$15,663,612
Net Capital Assets	\$23,563,703
<b>Total Noncurrent Assets</b>	<b>\$27,356,825</b>
<b>Total Assets</b>	<b>\$29,639,615</b>

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

**Current Liabilities**

Accounts payable	\$162,897
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$758,819
Deferred revenues	\$828,012
Bonds and notes payable	\$1,210,000
Other long-term obligations due within one year	\$0
<b>Total Current Liabilities</b>	<b>\$2,959,728</b>

**Noncurrent Liabilities**

Pension contribution payable	\$0
Other post-employment benefits	\$1,490,000
Bonds and notes payable	\$17,410,000
Long Term Leases	\$0
Other long-term obligations	\$0
<b>Total Noncurrent Liabilities</b>	<b>\$18,900,000</b>

**Total Liabilities**

**\$21,859,728**

Net Asset (Deficit)

**Net Asset**

Invested in capital assets, net of related debt	\$4,335,921
Restricted	\$2,848,298
Unrestricted	\$595,668
<b>Total Net Assets</b>	<b>\$7,779,887</b>

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

<u>Operating Revenues</u>	
Charges for services	\$6,054,556
Rental & financing income	\$0
Other operating revenues	\$0
<b>Total Operating Revenue</b>	<b>\$6,054,556</b>
<u>Operating Expenses</u>	
Salaries and wages	\$1,007,544
Other employee benefits	\$501,331
Professional services contracts	\$116,607
Supplies and materials	\$210,335
Depreciation & amortization	\$1,382,397
Other operating expenses	\$1,504,942
<b>Total Operating Expenses</b>	<b>\$4,723,156</b>
<b>Operating Income (Loss)</b>	<b>\$1,331,400</b>
<u>Nonoperating Revenues</u>	
Investment earnings	\$29,139
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	(\$27,665)
<b>Total Nonoperating Revenue</b>	<b>\$1,474</b>

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$794,833
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$285,000
<b>Total Nonoperating Expenses</b>	<b>\$1,079,833</b>
<b>Income (Loss) Before Contributions</b>	<b>\$253,041</b>
Capital Contributions	\$0
Change in net assets	\$253,041
Net assets (deficit) beginning of year	\$7,526,846
Other net assets changes	\$0
<b>Net assets (deficit) at end of year</b>	<b>\$7,779,887</b>

**Current Debt**

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

**New Debt Issuances List by Type of Debt and Program**

No Data has been entered by the Authority for this section in PARIS

**Schedule of Authority Debt**

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
<b>State Obligation</b>					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
<b>Authority Obligation</b>					
General Obligation					
Revenue	0.00	19,785,000.00	0.00	1,165,000.00	18,620,000.00
Other Non-State Funded					
<b>Conduit</b>					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

1. Address Line1: Hollandale Lane

Address Line2:

City: CLIFTON PARK

State: NY

Postal Code: 12065

Plus4:

Province/Region:

Country: USA

Property Description: Mixed Use

Estimated Fair Market Value: \$15,100

How was the Fair Market Value Determined? Competitive Bid

Determined?

Transaction Type: DISPOSITION SALE

If Other, Explain:

Transaction Date: 01/30/2015

Purchase Sale Price: \$15,100.00

Lease Data (If applicable)

Market Rate(\$/square foot):

Lease Rate(\$/square foot):

Lease Period (months):

Seller/Purchaser/Tenant Data:

Organization: Hollandale Apartments and Health Club, LLC

Last Name:

First Name:

Address Line1: Bldg 2

Address Line2:

City: CLIFTON PARK

State: NY

Postal Code: 12065

Plus4:

Province/Region:

Country: USA

Relation With Board

member/senior authority

management? No

Personal Property

1. Property Description: 2007 Dodge Ram 2500 Pickup Truck , VIN  
3D7KS26D37G828418, Odometer reading 104,600  
miles

Estimated Fair Market Value: \$6,500.00

How was Fair Market Value

Determined?: Competitive Bid

Transaction Date: 02/06/2015

Sale Price: \$6,500.00

Organization:

Last Name: Schwab Jr.

First Name: Michael Andrew

Purchaser Address

Address Line1: 8100 Lakeshore Rd

Address Line2:

City: WHITMORE LAKE

State: MI

Postal Code: 48189

Plus4:

Province/Region:

Country: USA

**Property Documents**

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	<a href="http://www.cpwa.org/other-reports.asp">www.cpwa.org/other-reports.asp</a>
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	<a href="http://www.cpwa.org/other-reports.asp">www.cpwa.org/other-reports.asp</a>
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

**No Data has been entered by the Authority for this section in PARIS**

Additional Comments: