

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	cfswma.com
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	cfswma.com
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	No	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	cfswma.com
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	cfswma.com
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		cfswma.com

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		cfswma.com
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		cfswma.com
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	cfswma.com
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	cfswma.com
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	No	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	cfswma.com

Board of Directors Listing

Name	Smith, Guy	Name	Carter, Timothy
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2005	Term Start Date	10/01/2014
Term Expiration Date	12/31/2016	Term Expiration Date	09/30/2017
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Rhodes, David	Name	Travers, Henry
Chair of the Board	No	Chair of the Board	Yes
If yes, Chair Designated by.		If yes, Chair Designated by.	Elected by Board
Term Start Date	01/01/2010	Term Start Date	01/01/2008
Term Expiration Date	12/31/2015	Term Expiration Date	12/31/2016
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	MacDonald, Tom	Name	Shapiro, Richard
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/19/2012	Term Start Date	04/30/2014
Term Expiration Date	12/31/2017	Term Expiration Date	12/31/2017
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	No
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Beaudette, Gerald	Landfill caretaker	Operational				FT	No	33,280.00	35,457.36	509.08	0	0	0	35,966.44	No	
Brockway, John	Landfill Operator	Operational	landfill			FT	No	35,214.40	36,350.42	4,427.48	0	0	0	40,777.9	No	
Chenaille, Robert	MEO II	Operational	Waste Transfer			FT	No	36,067.20	38,564.16	27,974.79	0	0	0	66,538.95	No	
Chesbrough, Jonathan	Landfill Caretaker	Operational				FT	No	33,280.00	25,847.12	169.68	0	0	0	26,016.8	No	
Clement, Edward	Landfill Caretaker	Operational	Tupper Lake			PT	No	0.00	114.78	0	0	0	0	114.78	No	
Cote, Norman	Landfill Caretaker	Operational	Tupper Lake			PT	No	0.00	7,388.37	0	0	0	0	7,388.37	No	
Dimick, Timothy	Landfill Caretaker	Operational	Lake Clear			FT	No	35,859.20	36,455.19	969.26	0	0	0	37,424.45	No	
Eades, George	Executive Director	Executive	Administrative			FT	Yes	93,244.86	96,144.98	0	0	0	0	96,144.98	No	
Fina, Ronald	Landfill Caretaker	Operational	Lake Clear			FT	No	33,280.00	25,877.6	195.36	0	0	0	26,072.96	No	
Fitzpatrick, Corinne	Accountant	Administrative and Clerical				FT	Yes	46,000.00	49,000.24	0	0	0	0	49,000.24	No	
Jarvis, Larry	MEO II	Operational				FT	No	35,547.20	36,718.04	7,958.15	0	0	0	44,676.19	No	
Jock, Kaylee	Scale Operator/typist	Administrative and Clerical	landfill			PT	No	0.00	25,180.1	3,689.82	0	0	0	28,869.92	No	
Johnston, Wayne	MEO II	Operational	Waste Transfer			FT	No	35,547.20	38,669.91	2,388.86	0	0	0	41,058.77	No	
Karnes, Gay	Landfill Caretaker	Operational				PT	No	0.00	3,437.35	0	0	0	0	3,437.35	No	
Kilroy, Morgan	Landfill Caretaker	Operational				PT	No	0.00	5,938.65	107.15	0	0	0	6,045.8	No	
King, Daniel	Landfill caretaker	Operational	Landfill			PT	No	0.00	19,023.01	447.23	0	0	0	19,470.24	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
King, Joseph	Landfill Operator	Operational	Landfill			FT	No	34,174.40	33,722.07	2,455.39	0	0	0	36,177.46	No	
LaBelle, Tyler	Laborer	Operational	Landfill			PT	No	33,654.40	15,884.72	3,755.78	0	0	0	19,640.5	No	
LaFlesh, Christopher	Landfill caretaker	Operational				FT	No	33,280.00	34,534.41	678.08	0	0	0	35,212.49	No	
LaMondie, Douglas	Welder	Operational	Landfill			FT	No	38,812.80	36,654.34	270.14	0	0	0	36,924.48	No	
Landry, Jason	Landfill Caretaker	Operational				FT	No	33,280.00	29,680.48	1,178.88	0	0	0	30,859.36	No	
Landry, Nelson	Landfill Caretaker	Operational	Tupper Lake			FT	No	35,859.20	35,890.16	419.19	0	0	0	36,309.35	No	
Lavigne, Mark	Landfill Caretaker	Operational	Tupper Lake			PT	No	0.00	1,754.98	0	0	0	0	1,754.98	No	
Manchester, Andrew	Landfill caretaker	Operational				FT	No	33,280.00	35,030.18	1,066.26	0	0	0	36,096.44	No	
Martin, Tyler	Landfill caretaker	Operational	Landfill			PT	No	0.00	15,558.35	237.18	0	0	0	15,795.53	No	
Mathews, Paul	MEO II	Operational	Waste Transfer			FT	No	35,547.20	33,567.37	3,423.98	0	0	0	36,991.35	No	
McGovern, Ryan	Landfill Caretaker	Operational	Lake Clear			FT	No	34,320.00	34,377.5	414.22	0	0	0	34,791.72	No	
Moody, William	Landfill Caretaker	Operational	Tupper Lake			FT	No	33,800.00	4,290	0	0	0	0	4,290	No	
Rushford, Julie	Scale Operator	Administrative and Clerical	Office			PT	No	0.00	3,988.85	0	0	0	0	3,988.85	No	
Ryan, Josh	Landfill Operator	Operational				FT	No	33,654.40	31,801.79	413.33	0	0	0	32,215.12	No	
Schneider, Rudolph	Landfill Caretaker	Operational	Tupper Lake			PT	No	0.00	1,603.36	0	0	0	0	1,603.36	No	
Shantie, James	Laborer	Operational	Landfill			PT	No	0.00	4,468.86	0	0	0	0	4,468.86	No	
Shanty, Brent	MEO II	Operational	Waste Transfer			FT	No	37,107.20	39,152.47	12,279.88	0	0	0	51,432.35	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Soucy, Jean	Landfill Caretaker	Operational	Tupper Lake			PT	No	0.00	3,631.34	0	0	0	0	3,631.34	No	
Spinner, Richard	Senior Mechanic	Operational	Waste Transfer			FT	No	41,496.00	46,551.76	6,929.78	0	0	0	53,481.54	No	
Sullivan, Helen	Landfill Supervisor	Administrative and Clerical				FT	Yes	38,000.00	41,000.04	0	0	0	0	41,000.04	No	
Vermette, Justin	Landfill Operator	Operational	Landfill			FT	No	34,174.40	33,902.8	1,117.38	0	0	0	35,020.18	No	
Whitehead, Jason	MEO II	Operational	Waste Transfer			PT	No	0.00	12,728.51	0	0	0	0	12,728.51	No	
Whitehead, Justin	Laborer	Operational	Landfill			PT	No	0.00	4,870.17	0	0	0	0	4,870.17	No	
Williams, Ricky	Landfill Caretaker	Operational	Malone			FT	No	33,280.00	33,320.32	466.08	0	0	0	33,786.4	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Travers, Henry	Board of Directors												X	
Rhodes, David	Board of Directors												X	
Carter, Timothy	Board of Directors												X	
MacDonald, Tom	Board of Directors												X	
Smith, Guy	Board of Directors												X	
Shapiro, Richard	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
No Data has been entered by the Authority for this section in PARIS														

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$177,100
Investments	\$0
Receivables, net	\$397,551
Other assets	\$60,019
Total Current Assets	\$634,670
Noncurrent Assets	
Restricted cash and investments	\$7,997,870
Long-term receivables, net	\$0
Other assets	\$1,453,745
Capital Assets	
Land and other nondepreciable property	\$30,935,009
Buildings and equipment	\$7,062,589
Infrastructure	\$0
Accumulated depreciation	\$21,423,744
Net Capital Assets	\$16,573,854
Total Noncurrent Assets	\$26,025,469
Total Assets	\$26,660,139

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$4,431,381
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$100,202
Deferred revenues	\$0
Bonds and notes payable	\$515,000
Other long-term obligations due within one year	\$212,770
Total Current Liabilities	\$5,259,353

Noncurrent Liabilities

Pension contribution payable	\$157,340
Other post-employment benefits	\$0
Bonds and notes payable	\$17,973,772
Long Term Leases	\$384,069
Other long-term obligations	\$2,588,951
Total Noncurrent Liabilities	\$21,104,132

Total Liabilities

\$26,363,485

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$0
Unrestricted	\$296,654
Total Net Assets	\$296,654

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$5,853,371
Rental & financing income	\$0
Other operating revenues	\$6,805,430
Total Operating Revenue	\$12,658,801

Operating Expenses

Salaries and wages	\$1,098,159
Other employee benefits	\$615,131
Professional services contracts	\$75,168
Supplies and materials	\$466,750
Depreciation & amortization	\$1,621,634
Other operating expenses	\$7,614,583
Total Operating Expenses	\$11,491,425

Operating Income (Loss) **\$1,167,376**

Nonoperating Revenues

Investment earnings	\$1,175
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$0
Total Nonoperating Revenue	\$1,175

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$884,365
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$884,365
Income (Loss) Before Contributions	\$284,186
Capital Contributions	\$0
Change in net assets	\$284,186
Net assets (deficit) beginning of year	\$68,832
Other net assets changes	(\$56,364)
Net assets (deficit) at end of year	\$296,654

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Series 2015A	Refunding	0.00	03/27/2015		Competitive	3	Variable	25	91,929.53		
	New	8,380,000.00									
	Total	8,380,000.00									
Series 2015B	Refunding	0.00	03/27/2015		Competitive	3	Fixed	2	118,897.45		
	New	170,000.00									
	Total	170,000.00									

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	22,280,000.00	0.00	7,770,000.00	14,510,000.00
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	cfswma.com
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	cfswma.com
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: