

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	nhcbaabb.com
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	www.ncbaabb.com
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	www.ncbaabb.com
6. Are any Authority staff also employed by another government agency?	Yes	Vincent Grasso - Valley Stream
7. Has the Authority posted their mission statement to their website?	Yes	www.ncbaabb.com
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		www.ncbaabb.com

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		www.ncbaabb.com
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		www.ncbaabb.com
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	www.ncbaabb.com
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	www.ncbaabb.com
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	Yes	www.ncbaabb.com

Board of Directors Listing

Name	Maidenbaum, Shalom	Name	Hartstein, Alan
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	01/01/2004	Term Start Date	12/13/2013
Term Expiration Date	12/31/2016	Term Expiration Date	12/31/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?	No	Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Vilardi, James J	Name	Rosenthal, Russel
Chair of the Board	Yes	Chair of the Board	No
If yes, Chair Designated by.	Elected by Board	If yes, Chair Designated by.	
Term Start Date	01/01/2009	Term Start Date	12/01/2009
Term Expiration Date	12/31/2014	Term Expiration Date	12/31/2015
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	No
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	Yes	Does the Board member/designee also hold an elected or appointed municipal government position?	No

<u>Board of Directors Listing</u>	
Name	Elovich, Helen
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	01/01/1995
Term Expiration Date	12/31/2017
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Anselimo, Anthony P	Manager - Toll Station	Managerial		CSEA Local 1000		FT	No	57,837.81	57,679.44	6,010.53	0	0	5,973.58	69,663.55	No	
Anzalone, Alexander	Seasonal Toll Collector	Operational				PT	Yes	0.00	968	0	0	0	0	968	No	
Avlontis, Susan	P/T Toll Collector	Operational				PT	Yes	0.00	10,729.5	0	0	0	0	10,729.5	No	
Ayala, Carmelo W	Toll Technician	Technical and Engineering		CSEA Local 1000		FT	No	75,538.03	75,331.1	5,623.16	0	0	9,383.39	90,337.65	No	
Bonziglia, Michelle	Seasonal Toll Collector	Operational				PT	Yes	0.00	7,997	0	0	0	0	7,997	No	
Brasca, Vincent	Maintenance	Operational				FT	No	35,353.24	16,000.91	1,817.9	0	0	0	17,818.81	No	
Braunstein, Philip	P/T Toll Collector	Operational				PT	Yes	0.00	1,265	0	0	0	0	1,265	No	
Brooks, Marai	Seasonal Toll Collector	Operational				PT	No	0.00	880	0	0	0	0	880	No	
Buggs-Edwards, Daiquan	P/T Toll Collector	Operational				PT	No	0.00	7,371.5	0	0	0	0	7,371.5	No	
Cacciapaglia, Nicole	P/T Toll Collector	Operational				PT	Yes	0.00	3,507.5	0	0	0	0	3,507.5	No	
Caparelli, Peter P	P/T Toll Collector	Operational				PT	Yes	0.00	11,075	0	0	0	0	11,075	No	
Chang, Heayoung	Toll Collector	Operational		CSEA 1000		FT	No	38,137.25	38,032.8	5,264.64	0	0	650.7	43,948.14	No	
Collins, Darlene	Toll Collector	Operational		CSEA Local 1000		FT	No	41,950.96	41,836.08	3,182.41	0	0	696.5	45,714.99	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
D'Augustino, John M.	P/T Toll Collector	Operational				PT	Yes	0.00	12,475	0	0	0	0	12,475	No	
Delehanty, Christopher	Senior Toll Collector	Managerial		CSEA Local 1000		FT	No	48,815.67	48,681.88	5,194.8	0	0	904.87	54,781.55	No	
Dorn, Edward M	Maintenance	Operational		CSEA Local 1000		FT	No	57,930.50	57,771.74	6,164.95	0	0	4,491.81	68,428.5	No	
Eifler, Robert H	Senior Toll Collector	Managerial		CSEA Local 1000		FT	No	56,327.61	56,173.26	5,570.83	0	0	1,884.85	63,628.94	No	
Evola, Rosemarie	Assistant Director	Managerial				FT	Yes	101,385.39	99,611.9	0	0	0	5,075.6	104,687.5	No	
Falco, Andrew	Cashier	Operational		CSEA 1000		FT	No	35,353.24	35,256.26	0	0	0	80	35,336.26	No	
Fassraire Robert III,	P/T Toll Collector	Operational				PT	Yes	0.00	11,172	0	0	0	0	11,172	No	
Frerk, Henry J	P/T Toll Collector	Operational				PT	Yes	0.00	8,826	0	0	0	0	8,826	No	
Gates, Chad	P/T Toll Collector	Operational				PT	Yes	0.00	1,265	0	0	0	0	1,265	No	
Giordone Michael	Maintenance	Operational		CSEA Local 1000		FT	No	55,702.40	47,805.11	4,747.72	0	0	3,500	56,052.83	No	
Gorinsky, Samuel	Seasonal Toll Collector	Operational				PT	Yes	0.00	4,532	0	0	0	0	4,532	No	
Grasso, Vincent	Director	Executive				FT	Yes	104,774.55	102,941.8	0	0	0	2,585.7	105,527.5	No	
Green, Benjamin	Seasonal Toll Collector	Operational				PT	Yes	0.00	1,215	0	0	0	0	1,215	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Guzman II, Jeffrey	Seasonal Toll Collector	Operational				PT	Yes	0.00	5,945	0	0	0	0	5,945	No	
Halley, Charles	Bridge Operator	Operational		CSEA Local 1000		FT	No	42,713.72	42,596.58	3,133.44	0	0	787.69	46,517.71	No	
Hernandez, Gilbert	Seasonal Toll Collector	Operational				PT	Yes	0.00	7,375.5	0	0	0	0	7,375.5	No	
Hernandez, Jonathan	Bridge Operator	Operational		CSEA Local 1000		FT	No	38,137.26	24,867.6	1,601.78	0	0	503.16	26,972.54	No	
Hernandez, Michelle	Seasonal Toll Collector	Operational				PT	Yes	0.00	5,346	0	0	0	0	5,346	No	
Hernly, Jane W	Senior Toll Collector	Managerial		CSEA Local 1000		FT	No	56,327.61	56,173.26	6,117.79	0	0	4,022.24	66,313.29	No	
Langendorf, Caitlin	Seasonal Toll Collector	Operational				PT	Yes	0.00	8,074	0	0	0	0	8,074	No	
Larocca, Vincent P	Supervisor - Maintenance	Operational		CSEA Local 1000		FT	No	81,835.64	81,611.4	9,653.04	0	0	14,341.8	105,606.24	No	
Livermore, Keri	P/T Toll Collector	Operational				PT	Yes	0.00	2,564.5	0	0	0	0	2,564.5	No	
Livingstone, Rosemarie	Toll Collector	Operational		CSEA Local 1000		FT	No	35,353.24	20,848.61	1,550.93	0	0	303.06	22,702.6	No	
Mazziotti, Daniel	Toll Collector	Operational		CSEA Local 1000		FT	No	45,764.69	45,639.36	3,735.29	0	0	1,460.51	50,835.16	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
McCarthy, Timothy	Bridge Operator	Operational		CSEA Local 1000		FT	No	54,150.17	12,461.94	311.52	0	0	53,697.51	66,470.97	No	
Merola, Michael A	Bridge Operator	Operational		CSEA Local 1000		FT	No	52,572.96	52,429	9,567.2	0	0	10,282.91	72,279.11	No	
Patrick, Thomas	P/T Toll Collector	Operational				PT	Yes	0.00	2,552	0	0	0	0	2,552	No	
Perez, Kenneth	P/T Toll Collector	Operational				PT	Yes	0.00	4,858.75	0	0	0	0	4,858.75	No	
Perlzweig, Bradley	Seasonal Toll Collector	Operational				PT	Yes	0.00	1,408	0	0	0	0	1,408	No	
Perrino, Richard D	Miantaenan	Operational		CSEA Local 1000		FT	No	45,764.69	45,639.36	0	0	0	525,536	571,175.36	No	
Petry, William	Maintenanc	Operational		CSEA Local 1000		FT	No	35,353.24	35,256.26	1,067.85	0	0	81.02	36,405.13	No	
Philippou, Anthony	P/T Toll Collector	Operational				PT	Yes	0.00	2,190.75	0	0	0	0	2,190.75	No	
Politano, Patricia	P/T Toll Collector	Operational				PT	Yes	0.00	11,370	0	0	0	0	11,370	No	
Robinson, Cindy	Senior Toll Collector	Managerial		CSEA Local 1000		FT	No	56,327.61	56,173.26	4,922.59	0	0	3,750.7	64,846.55	No	
Robinson, Whitney	P/T Toll Collector	Operational				PT	Yes	0.00	4,829	0	0	0	0	4,829	No	
Rodriguez, Kevin	Seasonal Toll Collector	Operational				PT	Yes	0.00	3,168	0	0	0	0	3,168	No	
Rubin, Bernice	P/T Clerical	Operational				PT	Yes	0.00	11,148	0	0	0	0	11,148	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Scalesi, Joseph	Maintenance	Operational		CSEA Local 1000		FT	No	45,764.69	45,639.36	2,402.43	0	0	7,516.08	55,557.87	No	
Schlitz, Philip	Seasonal Toll Collector	Operational				PT	Yes	0.00	3,509	0	0	0	0	3,509	No	
Schmidt, Richard J	Maintenance	Operational		CSEA Local 1000		FT	No	38,137.25	38,032.8	411.3	0	0	80	38,524.1	No	
Shostack, David	Seasonal Toll Collector	Operational				PT	Yes	0.00	5,368	0	0	0	0	5,368	No	
Sippin, Kayla	Seasonal Toll Collector	Operational				PT	Yes	0.00	3,245	0	0	0	0	3,245	No	
Snaider, Chad	Seasonal Toll Collector	Operational				PT	Yes	0.00	8,096	0	0	0	0	8,096	No	
Strain, William A	Toll Collector	Operational		CSEA Local 1000		FT	No	41,950.96	41,836.08	3,755.56	0	0	4,066.76	49,658.4	No	
Thomas, Shakia	Seasonal Toll Collector	Operational				PT	Yes	0.00	7,260	0	0	0	0	7,260	No	
Tudda, Rudolph	Maintenance	Operational		CSEA Local 1000		FT	No	37,026.45	31,550.54	329.04	0	9,176.4	0	41,055.98	No	
Vandenbergh, Vickielee	Clerical	Administrative and Clerical		CSEA Local 1000		FT	No	45,764.69	45,639.36	0	0	0	80	45,719.36	No	
Vuono, Jason M	Toll Collector	Operational		CSEA Local 1000		FT	No	45,764.69	44,871.3	4,196.03	0	0	2,480.07	51,547.4	No	

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Wankmiller, Carina	Cashier	Operational		CSEA Local 1000		FT	No	35,353.24	35,256.26	0	0	0	80	35,336.26	No	
Wener, Luke	Seasonal Toll Collector	Operational				PT	Yes	0.00	748	0	0	0	0	748	No	
Wetherell, Joseph	Seasonal Toll Collector	Operational				PT	Yes	0.00	2,816	0	0	0	0	2,816	No	
Woitovich, John F	Bridge Operator	Operational		CSEA Local 1000		FT	No	52,572.95	52,429	3,516.8	0	0	2,983.02	58,928.82	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Hartstein, Alan	Board of Directors													X
Rosenthal, Russel	Board of Directors													X
Maidenbaum, Shalom	Board of Directors													X
Elovich, Helen	Board of Directors													X
Vilardi, James J	Board of Directors													X

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allow-ance	Spousal / Dependent Life Insurance	Tuition Assist-ance	Multi-Year Employ-ment	None of These Benefits	Other
Evola, Rosemarie	Assistant Director				X									X
Grasso, Vincent	Director				X									X

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$11,314,501
Investments	\$0
Receivables, net	\$6,739
Other assets	\$47,920
Total Current Assets	\$11,369,160
Noncurrent Assets	
Restricted cash and investments	\$5,133,769
Long-term receivables, net	\$0
Other assets	\$80,874
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$53,162,186
Infrastructure	\$0
Accumulated depreciation	\$26,169,434
Net Capital Assets	\$26,992,752
Total Noncurrent Assets	\$32,207,395
Total Assets	\$43,576,555

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$457,600
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$52,714
Deferred revenues	\$270,230
Bonds and notes payable	\$225,000
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$1,005,544

Noncurrent Liabilities

Pension contribution payable	\$320,407
Other post-employment benefits	\$3,184,197
Bonds and notes payable	\$9,450,000
Long Term Leases	\$0
Other long-term obligations	\$420,023
Total Noncurrent Liabilities	\$13,374,627

Total Liabilities

\$14,380,171

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$5,133,769
Unrestricted	\$24,062,615
Total Net Assets	\$29,196,384

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$6,534,522
Rental & financing income	\$0
Other operating revenues	\$0
Total Operating Revenue	\$6,534,522

Operating Expenses

Salaries and wages	\$1,968,309
Other employee benefits	\$1,363,733
Professional services contracts	\$153,078
Supplies and materials	\$70,514
Depreciation & amortization	\$1,811,494
Other operating expenses	\$666,410
Total Operating Expenses	\$6,033,538

Operating Income (Loss) **\$500,984**

Nonoperating Revenues

Investment earnings	\$23,226
State subsidies/grants	\$0
Federal subsidies/grants	\$0
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$128,346
Total Nonoperating Revenue	\$151,572

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$452,450
Subsidies to other public authorities	\$0
Grants and donations	\$0
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$452,450
Income (Loss) Before Contributions	\$200,106
Capital Contributions	\$0
Change in net assets	\$200,106
Net assets (deficit) beginning of year	\$29,316,688
Other net assets changes	(\$320,410)
Net assets (deficit) at end of year	\$29,196,384

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	No

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation	0.00	9,895,000.00	0.00	220,000.00	9,675,000.00
Revenue					
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	No	
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	www.ncbaabb.com
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: