

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.northhempsteadny.gov/content/16255/16934/16974/17018.aspx
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.northhempsteadny.gov/content/16255/16934/16974/17018.aspx
3. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
4. Does the independent auditor provide non-audit services to the Authority?	No	N/A
5. Does the Authority have an organization chart?	Yes	http://www.northhempsteadny.gov/content/16255/16934/16974/17016.aspx
6. Are any Authority staff also employed by another government agency?	No	
7. Has the Authority posted their mission statement to their website?	Yes	http://www.northhempsteadny.gov/content/16255/16934/16974/17018.aspx
8. Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
9. Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.northhempsteadny.gov/content/16255/16934/16974/17018.aspx

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	No	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.northhempsteadny.gov/content/16255/16934/16974/17016.aspx
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.northhempsteadny.gov/CDA-Meeting-Agendas-Minutes
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.northhempsteadny.gov/content/16255/16934/16974/17016.aspx
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.northhempsteadny.gov/content/16255/16934/16974/17017.aspx
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

Board of Directors Listing

Name	Ollendorf, Pamela	Name	Caines , Rodney A
Chair of the Board	No	Chair of the Board	No
If yes, Chair Designated by.		If yes, Chair Designated by.	
Term Start Date	10/01/2015	Term Start Date	02/01/2010
Term Expiration Date	Pleasure of Authority	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	Yes

Board of Directors Listing

Name	Bailey , Lamont R	Name	Greilsheimer, Jeffrey M
Chair of the Board	Yes	Chair of the Board	No
If yes, Chair Designated by.	By Virtue of Position	If yes, Chair Designated by.	
Term Start Date	11/04/2008	Term Start Date	01/19/2011
Term Expiration Date	Pleasure of Authority	Term Expiration Date	Pleasure of Authority
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Local	Nominated By	Local
Appointed By	Local	Appointed By	Local
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

Board of Directors Listing

Name	Weitzman, Howard S
Chair of the Board	No
If yes, Chair Designated by.	
Term Start Date	01/19/2011
Term Expiration Date	Pleasure of Authority
Title	
Has the Board member appointed a designee?	
Designee Name	
Ex-officio	No
Nominated By	Local
Appointed By	Local
Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No

Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the individual	Overtime paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Kelly, Dermot F	Executive Director	Executive				FT	Yes	139,272.88	139,272.88	0	0	0	0	139,272.88	No	
Patrice, Allen P	Admin Assistant	Administrative and Clerical		CSEA	882	FT	No	54,045.46	54,045.46	0	0	0	0	54,045.46	No	
Santamaria, Joseph	Chief Financial Officer	Executive	CDA	CSEA	882	FT	Yes	104,936.08	104,936.08	0	0	0	0	104,936.08	No	

Benefit Information

During the fiscal year, did the Authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Ollendorf, Pamela	Board of Directors												X	
Bailey, Lamont R	Board of Directors												X	
Caines, Rodney A	Board of Directors												X	
Greilsheimer, Jeffrey M	Board of Directors												X	
Weitzman, Howard S	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Memberships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Kelly, Dermot F	Executive Director											X		
Santamaria, Joseph	Chief Financial Officer												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes
 Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this No

Name of Subsidiary/Component Unit	Status	Requested Changes
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Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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No Data has been entered by the Authority for this section in PARIS

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$1,036,644
Investments	\$0
Receivables, net	\$426,639
Other assets	\$17,685
Total Current Assets	\$1,480,968
Noncurrent Assets	
Restricted cash and investments	\$0
Long-term receivables, net	\$1,077,033
Other assets	\$3,303,357
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
Total Noncurrent Assets	\$4,380,390
Total Assets	\$5,861,358

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$199,443
Pension contribution payable	\$0
Other post-employment benefits	\$0
Accrued liabilities	\$0
Deferred revenues	\$48,000
Bonds and notes payable	\$0
Other long-term obligations due within one year	\$0
Total Current Liabilities	\$247,443

Noncurrent Liabilities

Pension contribution payable	\$36,159
Other post-employment benefits	\$995,350
Bonds and notes payable	\$0
Long Term Leases	\$0
Other long-term obligations	\$748,283
Total Noncurrent Liabilities	\$1,779,792

Total Liabilities **\$2,027,235**

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$3,834,123
Unrestricted	\$0
Total Net Assets	\$3,834,123

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Operating Revenues

Charges for services	\$13,534
Rental & financing income	\$49,599
Other operating revenues	\$0
Total Operating Revenue	\$63,133

Operating Expenses

Salaries and wages	\$297,805
Other employee benefits	\$346,550
Professional services contracts	\$165,250
Supplies and materials	\$2,929
Depreciation & amortization	\$0
Other operating expenses	\$279,377
Total Operating Expenses	\$1,091,911

Operating Income (Loss) **(\$1,028,778)**

Nonoperating Revenues

Investment earnings	\$15,395
State subsidies/grants	\$0
Federal subsidies/grants	\$875,909
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$904
Total Nonoperating Revenue	\$892,208

Summary Financial Information

SUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETS

Nonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$0
Grants and donations	\$4,409
Other nonoperating expenses	\$0
Total Nonoperating Expenses	\$4,409
Income (Loss) Before Contributions	(\$140,979)
Capital Contributions	\$0
Change in net assets	(\$140,979)
Net assets (deficit) beginning of year	\$3,980,000
Other net assets changes	(\$4,898)
Net assets (deficit) at end of year	\$3,834,123

Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	No
2. If yes, has the Authority issued any debt during the reporting period?	

New Debt Issuances List by Type of Debt and Program

No Data has been entered by the Authority for this section in PARIS

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
State Contingent Obligation					
State Moral Obligation					
Other State Funded					
Authority Obligation					
General Obligation					
Revenue					
Other Non-State Funded					
Conduit					
Conduit Debt					
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

1. Address Line1: 220 Sheridan Street
Address Line2:
City: WESTBURY
State: NY
Postal Code: 11590
Plus4:
Province/Region:
Country: USA
Property Description: Residential Building
Estimated Fair Market Value: \$100,000
How was the Fair Market Value Appraisal
Determined?
Transaction Type: ACQUISITION
If Other, Explain:

Transaction Date: 05/29/2015
Purchase Sale Price: \$107,738.00
Lease Data (If applicable)
Market Rate(\$/square foot):
Lease Rate(\$/square foot):
Lease Period (months):
Seller/Purchaser/Tenant Data:
Organization: Empire I New York Holdings LLC
Last Name:
First Name:

Address Line1: 57 West 38th Street 9th floor
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10018
Plus4:
Province/Region:
Country: USA
Relation With Board
member/senior authority
management? No

2. Address Line1: 205 Urban Avenue
Address Line2:
City: WESTBURY
State: NY
Postal Code: 11590
Plus4:
Province/Region:
Country: USA
Property Description: Residential Building
Estimated Fair Market Value: \$160,000
How was the Fair Market Value Appraisal
Determined?
Transaction Type: ACQUISITION
If Other, Explain:

Transaction Date: 06/17/2015
Purchase Sale Price: \$172,343.00
Lease Data (If applicable)
Market Rate(\$/square foot):
Lease Rate(\$/square foot):
Lease Period (months):
Seller/Purchaser/Tenant Data:
Organization: Nassau County Treasurer
Last Name:
First Name:

Address Line1: 240 Old Country Road, Suite 312
Address Line2:
City: MINEOLA
State: NY
Postal Code: 11501
Plus4:
Province/Region:
Country: USA
Relation With Board
member/senior authority
management? No

Real Property Acquisition/Disposal List

3. Address Line1: 160 Urban Avenue

Address Line2:

City: WESTBURY

State: NY

Postal Code: 11590

Plus4:

Province/Region:

Country: USA

Property Description: Residential Building

Estimated Fair Market Value: \$135,000

How was the Fair Market Value Appraisal

Determined?

Transaction Type: ACQUISITION

If Other, Explain:

Transaction Date: 12/21/2015

Purchase Sale Price: \$147,342.00

Lease Data (If applicable)

Market Rate(\$/square foot):

Lease Rate(\$/square foot):

Lease Period (months):

Seller/Purchaser/Tenant Data:

Organization: Nassau County Treasurer

Last Name:

First Name:

Address Line1: 240 Old Country Road, Suite 312

Address Line2:

City: MINEOLA

State: NY

Postal Code: 11501

Plus4:

Province/Region:

Country: USA

Relation With Board

member/senior authority

management? No

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.northhempsteadny.gov/content/16255/16934/16974/17018.aspx
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.northhempsteadny.gov/content/16255/16934/16974/17018.aspx
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

No Data has been entered by the Authority for this section in PARIS

Additional Comments: