

Annual Report for New York State Housing Finance Agency
Fiscal Year Ending:10/31/2015

Run Date: 03/07/2016
Status: CERTIFIED

Governance Information (Authority-Related)

Question	Response	URL (if applicable)
1. Has the Authority prepared its annual report on operations and accomplishments for the reporting period as required by section 2800 of PAL?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
2. As required by section 2800(9) of PAL, did the Authority prepare an assessment of the effectiveness of its internal controls?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
3. Has the Authority named an internal control officer in accordance with section 2931 of PAL?	Yes	N/A
4. Please enter the number of staff assigned the internal control function.	2	N/A
5. Has the lead audit partner for the independent audit firm changed in the last five years in accordance with section 2802(4) of PAL?	Yes	N/A
6. Does the independent auditor provide non-audit services to the Authority?	No	N/A
7. Does the Authority have an organization chart?	No	
8. Are any Authority staff also employed by another government agency?	Yes	TSFC, MBBA, SONYMA, AHC, DHCR, HTFC
9. Has the Authority posted their mission statement to their website?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
10 Has the Authority's mission statement been revised and adopted during the reporting period?	No	N/A
11 Attach the Authority's measurement report, as required by section 2824-a of PAL and provide the URL.		http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
12 Has the Authority adopted a lobbying policy in accordance with section 2987 of PAL?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
Lobbying officer name	Alejandro J. Valella, VP and Deputy Coun	N/A
13 Has the Authority maintained a record of all lobbying contacts during the reporting period, as required by section 2987 of PAL?	Yes	N/A

Governance Information (Board-Related)

Question	Response	URL
1. Has the Board established a Governance Committee in accordance with Section 2824(7) of PAL?	Yes	N/A
2. Has the Board established an Audit Committee in accordance with Section 2824(4) of PAL?	Yes	N/A
3. Has the Board established Finance Committee in accordance with Section 2824(8) of PAL?	Yes	N/A
4. Provide a URL link where a list of Board committees can be found (including the name of the committee and the date established):		http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationforNYHomes.htm
5. Does the majority of the Board meet the independence requirements of Section 2825(2) of PAL?	Yes	N/A
6. Provide a URL link to the minutes of the Board and committee meetings held during the covered fiscal year		http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationforNYHomes.htm
7. Has the Board adopted bylaws and made them available to Board members and staff?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationforNYHomes.htm
8. Has the Board adopted a code of ethics for Board members and staff?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationforNYHomes.htm
9. Does the Board review and monitor the Authority's implementation of financial and management controls?	Yes	N/A
10. Does the Board execute direct oversight of the CEO and management in accordance with Section 2824(1) of PAL?	Yes	N/A
11. Has the Board adopted policies for the following in accordance with Section 2824(1) of PAL?		
Salary and Compensation	Yes	N/A
Time and Attendance	Yes	N/A
Whistleblower Protection	Yes	N/A
Defense and Indemnification of Board Members	Yes	N/A
12. Has the Board adopted a policy prohibiting the extension of credit to Board members and staff in accordance with Section 2824(5) of PAL?	Yes	N/A
13. Are the Authority's Board members, officers, and staff required to submit financial disclosure forms in accordance with Section 2825(3) of PAL?	Yes	N/A
14. Was a performance evaluation of the board completed?	Yes	N/A
15. Was compensation paid by the Authority made in accordance with employee or union contracts?	Yes	N/A
16. Has the board adopted a conditional/additional compensation policy governing all employees?	No	

<u>Board of Directors Listing</u>			
Name	Boone, Jerry	Name	Labate, Mary Beth
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	06/16/2015	Term Start Date	01/22/2015
Term Expiration Date	Ex-Officio	Term Expiration Date	Ex-Officio
Title	Commissioner	Title	Director
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	Yes
Designee Name	Christopher Curtis	Designee Name	Elaine McCann
Ex-officio	Yes	Ex-officio	Yes
Nominated By	Ex-Officio	Nominated By	Ex-Officio
Appointed By	Ex-Officio	Appointed By	Ex-Officio
Confirmed by Senate?		Confirmed by Senate?	
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	Yes
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

<u>Board of Directors Listing</u>			
Name	Boone, Jerry	Name	Weiss, Steven J
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	06/16/2015	Term Start Date	06/07/2011
Term Expiration Date	Ex-Officio	Term Expiration Date	01/01/2017
Title	Commissioner	Title	
Has the Board member appointed a designee?	Yes	Has the Board member appointed a designee?	
Designee Name	Eric Mostert	Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Governor
Appointed By	Ex-Officio	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	Yes	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

<u>Board of Directors Listing</u>			
Name	Rubin, James S	Name	Davidson, Nestor M
Chair of the Board	No	Chair of the Board	No
If yes, Chairman Designated by.		If yes, Chairman Designated by.	
Term Start Date	06/17/2015	Term Start Date	06/17/2013
Term Expiration Date	Ex-Officio	Term Expiration Date	01/01/2016
Title	Commissioner	Title	
Has the Board member appointed a designee?	No	Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	Yes	Ex-officio	No
Nominated By	Ex-Officio	Nominated By	Governor
Appointed By	Ex-Officio	Appointed By	Governor
Confirmed by Senate?		Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

<u>Board of Directors Listing</u>			
Name	Miller, Joyce L	Name	Thompson Jr., William C
Chair of the Board	No	Chair of the Board	Yes
If yes, Chairman Designated by.		If yes, Chairman Designated by.	Governor
Term Start Date	06/19/2013	Term Start Date	03/30/2015
Term Expiration Date	01/01/2015	Term Expiration Date	01/01/2018
Title		Title	
Has the Board member appointed a designee?		Has the Board member appointed a designee?	
Designee Name		Designee Name	
Ex-officio	No	Ex-officio	No
Nominated By	Governor	Nominated By	Governor
Appointed By	Governor	Appointed By	Governor
Confirmed by Senate?	Yes	Confirmed by Senate?	Yes
Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes	Has the Board member/designee signed the acknowledgement of fiduciary duty?	Yes
Complied with training requirement of Section 2824?	No	Complied with training requirement of Section 2824?	Yes
Does the Board member/designee also hold an elected or appointed State gove	Yes	Does the Board member/designee also hold an elected or appointed State gove	No
Does the Board member/designee also hold an elected or appointed municipal government position?	No	Does the Board member/designee also hold an elected or appointed municipal government position?	No

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Staff Listing

Name	Title	Group	Department / Subsidiary	Union Name	Bargaining Unit	Full Time/ Part Time	Exempt	Base Annualized Salary	Actual salary paid to the Individual	Over time paid by Authority	Performance Bonus	Extra Pay	Other Compensation/Allowances/Adjustments	Total Compensation	Individual also paid by another entity to perform the work of the Authority	If yes, Is the payment made by State or local government
Abrams, Alexander	VP for Portfolio Management	Managerial	Housing Programs & Policy			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Adams, Dawn V.	AVP/Business Development Off	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Adorno, Carmen B.	Executive Assistant	Administrative and Clerical	Executive Office	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Aiyedun, Adenike N.	Administrative Assistant	Administrative and Clerical	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Amore, Marion E.	Assistant Counsel	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Archer, Clifford	Vice President/CES	Managerial	Housing Programs & Policy			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Arnao, Ana	AVP/Disbursements Manager	Managerial	Finance & Operations	UAW	HFA	FT	No	102,227.00	102,227	0	0	0	0	102,227	No	
Ayala, Maria	VP/Loan Servicing	Managerial	Housing Programs & Policy			FT	Yes	127,855.00	101,407	0	0	1,094	0	102,501	No	
Baez, Kim L.	Treasury Assistant	Administrative and Clerical	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Barrera, Carlos J.	Laborer/Domestic Operator	Administrative and Clerical	Finance & Operations	UAW	HFA	FT	No	45,792.00	45,792	0	0	1,761	0	47,553	No	
Barrington, Inga D.	Mortgage Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Bernarde, Janet	Sr. Acctnt/Sr. Budget Analyst	Professional	Finance & Operations	UAW	HFA	FT	No	101,596.00	101,596	0	0	5,231	0	106,827	No	

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Bernardo Jr., Remigio Z.	Associate Counsel	Professional	Legal	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Berrios, Maria L.	AVP/Deputy Director of HR	Professional	Finance & Operations			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Bettis, Stephanie J.	Asset Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Bocina, Joan S.	Associate Counsel	Professional	Legal	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Bonilla, Edwin	SVP/Telecom/Fac. & Adm	Executive	Finance & Operations			FT	Yes	163,858.00	162,450	0	0	7,029	0	169,479	No	
Boody, Thomas T.	Application Development Manager	Technical and Engineering	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Bowen, Lynette A.	Administrative Assistant	Administrative and Clerical	Executive Office	UAW	HFA	FT	No	59,751.00	59,751	0	0	1,149	0	60,900	No	
Boyd, Gloria D.	VP Debt Issuance	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Breland-Chestnut, Linette	Senior Financial Analyst	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Bridges, Bernadette J.	Project Associate	Professional	Legal	UAW	HFA	FT	No	76,066.00	76,066	0	0	1,491	0	77,557	No	
Burakowski, Elizabeth	Deputy Regional Director - Buffalo	Managerial	Housing Programs & Policy			FT	Yes	75,000.00	15,288	0	0	0	0	15,288	No	

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Bush, Anita	Administrative Associate	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Butler, Pamela C.	Asset Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Byers, Marsha V.	Document Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Byrnes, Kellen	Quantitative Data Analyst	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Cabrera, Lillian	Sr. Executive Assistant	Administrative and Clerical	Executive Office			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Cammarata, Marie A.	AVP/Mtg. Org. Mgr. Proj. Set Asid	Managerial	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Campbell, Nedra K.	Program Compliance Specialist	Professional	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Caraballo, Gladys	Bilingual Mktg. Agent	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Carriero, Gary	AVP/Loan Servicing	Professional	Housing Programs & Policy	UAW	HFA	FT	No	116,544.00	116,544	0	0	2,689	0	119,233	No	
Chan, Jimmy D.	Senior Network Engineer	Technical and Engineering	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Chang, Elaine M.	Program Manager	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Chaudruc, Miulina N.	VP - Housing Debt Issuance	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Chen, George	Asst Program Coordinator	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Chilka, Niveditha	Computer Programmer Analyst	Technical and Engineering	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Chin, Dwayne D.	Senior Financial Analyst	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Chinchilla, Stephanie	Assistant Accountant	Professional	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Chohey, Stephen B.	VP/INTERNAL AUDIT	Managerial	Executive Office			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Clement, Ian	Team Leader/Mortgage Svcs. Dep	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Cobos, Hernan	Jr. Tech Support Engineer	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Cole, Karen A.	Asset Manager - Buffalo Office	Managerial	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Coleman, Berniesha	Asst. Contract Administrator	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Colombo, Bonita R.	Loan Administrator	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Cones, Ivy	Compliance Specialist	Professional	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Cooke, Jacqueline C.	Secretary/Admin. Assistant	Administrative and Clerical	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Cumberbatch, Desiree A.	Senior Project Manager	Professional	Housing Programs & Policy	UAW	HFA	FT	No	85,195.00	85,195	0	0	0	0	85,195	No	
Davis, Brooke	Deputy Counsel, Policy	Professional	Legal			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Dilan, Jose	VP/Fac&Adm	Managerial	Finance & Operations			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Dill, Janet A.	Clerk	Administrative and Clerical	Finance & Operations	UAW	HFA	FT	No	45,746.00	45,746	0	0	2,647	0	48,393	No	
Dunbar, Alton S.	Document Control Coordinator	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Eckles, Sherri	SVP of Single Family	Managerial	Housing Programs & Policy			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Edwards, Tameika	Compliance Specialist	Professional	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Elder, Sandra B.	Executive Coordinator	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Esposito, Michael J.	Vice President/SF Underwriting	Professional	Housing Programs & Policy			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Farrell-Guidry, Monique G.	Special Assistant/PIO	Professional	Executive Office	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Ferguson-Graham, Wanda	VP/Dir.of Fair Hsg&EEO	Managerial	Finance & Operations			FT	Yes	140,062.00	138,858	0	0	2,641	110	141,609	No	
Ferine, Dana	Human Resources Empire Fellow	Professional	Finance & Operations			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Ferris, John H.	Program Associate	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Fisher-Kemp, Deirdre	Project Manager	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Flanders, Veronica M.	Program Analyst	Professional	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Flescher, Mark	VP/Special Projects	Managerial	Housing Programs & Policy	UAW	HFA	FT	No	125,129.00	125,129	0	0	0	0	125,129	No	
Forgenie, Leslie R.	Project Manager	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Francis, Toyce T.	Webmaster	Professional	Executive Office	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Franzoni, Marc	Director, Strategic Operations & Accountability	Professional	Executive Office	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Friedman, Michael A.	SVP/Director of MIF	Executive	Housing Programs & Policy			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Fuller, David	Underwriter, SF Ins.Prog.	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Gallman-Camah, Agnes S.	Jr. Tech. Support Specialist	Technical and Engineering	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Garwood, Bret C.	SVP/Multifamily	Executive	Housing Programs & Policy			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Germanakos, Louis C.	Customer Service Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Gilliard, Gilda	Secretary	Administrative and Clerical	Housing Programs & Policy	UAW	HFA	FT	No	50,584.00	45,603	0	0	0	0	45,603	No	
Gold, John J.	Accounting Clerk	Professional	Finance & Operations	UAW	HFA	FT	No	48,735.00	48,735	0	0	937	0	49,672	No	
Gomez, Paul	Senior Associate Auditor	Professional	Executive Office	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Gooding, Desmond C.	VP/Treasurer	Managerial	Finance & Operations			FT	Yes	112,607.00	111,206	0	0	0	0	111,206	No	
Greene, Kelley M.	Recruitment & Personnel Manager	Professional	Finance & Operations			FT	Yes	0.00	0	0	0	0	0	0	Yes	No

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Gruenfeld, Leonard	Director of Underwriting	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Guan, Yingying	Senior Accountant	Professional	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Gulotta, Lawrence	Project Manager	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Hamilton, Emily	Junior Underwriter	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Hamilton, Marcia E.	Loan Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Harry, Roger J.	AVP, Senior Underwriter	Managerial	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Hauk, Henry C.	AVP/Deputy Comptroller	Managerial	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Hedgebeth, Mckinley D.	Mail Room Manager	Administrative and Clerical	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Hendrix, Richard G.	Legal Empire Fellow	Professional	Legal			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Heyward, Juanita E.	Accounting Clerk	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Holownia, Evan	Management & Program Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Hom, Betty	Program Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Hord, Wanda E.	VP/Director of Human Resources	Managerial	Finance & Operations			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Hubley, Russell M.	Sr. Underwriter	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Hus, Joanna B.	Senior Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Hyatt, Brian W.	Comm. Dev. & Mkt Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Hylton, Audrey	Receptionist	Administrative and Clerical	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Ibrahim, Waazyah	Sr. Associate Auditor	Professional	Executive Office	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Jervis, Olivia E.	AVP/Post Clsg,Admin,Qual. Cnt.	Managerial	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Johnson, Darryl A.	Director of Finance	Managerial	Finance & Operations			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Jones, Maurice	Team Leader	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Jones, Veronica A.	Senior Team Leader	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Kamath, Arundhati R.	Portfolio Associate	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Kee, Tyrone B.	Assistant Program Coordinator	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Kelly, Kenneth J.	Dir. of Fin. Operations	Professional	Housing Programs & Policy	UAW	HFA	FT	No	140,457.00	140,457	0	0	0	0	140,457	No	
Kiyonaga, Diana	Deputy Commissioner for Admin. & Finance	Executive	Finance & Operations			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Kram, Kimberly D.	Program Assistant	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Kramer, Kenneth	Chief Technology Officer	Technical and Engineering	Finance & Operations			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Lam, Man Kuen	Senior Underwriter	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Landy, Robert J.	Asset Manager	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Leung, Elaine	Senior Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Levine, Meredith	SVP, Chief of Staff & Commissioner	Executive	Executive Office			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Lieberman, Ronald	AVP/Portfolio Servicing	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Ligon, Dorothy Mae	Computer Programmer Analyst	Technical and Engineering	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Ligonde, Peddy	Asset Manager	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Lindahl, Cheryl	Quality Control Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Lindicy, Joseph	Project Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Lizardi, Mildred	Loan Administrator	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Lohrs, John D.	AVP/Assistant Treasurer	Professional	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Macre, Brian	Computer Programmer Analyst	Technical and Engineering	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Maillard, Barbara A.	Audit Officer	Professional	Executive Office	UAW	HFA	FT	Yes	83,429.00	83,429	0	0	3,542	0	86,971	No	
Mallow, Elizabeth	SVP, Executive Deputy Commissioner/COO	Executive	Executive Office			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Marnell, Kathryn E.	Sr. Asset Manager	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Martello, Dominic A.	VP/Special Program Development	Managerial	Housing Programs & Policy			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Martin, Sheldon	HAP Coordinator	Professional	Housing Programs & Policy	UAW	HFA	FT	No	91,569.00	91,569	0	0	3,522	60	95,151	No	

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Martin, Thomas J.	Senior Underwriter	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Martinez, Anthea W.	Compliance Monitor	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Mascia, Danielle	Payroll Coordinator	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Mason, Winston	Mortgage Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Mathew, Trino A.	Database Administrator	Technical and Engineering	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Mayfield, Edith	Sr. Legal Assistant	Professional	Legal	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
McFarlane, Andrea	Underwriter, Project Ins.	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
McGill, Margaret L.	VP/Counsel to MIF	Professional	Housing Programs & Policy			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
McNeil, Tene	Servicing Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Molinaro, Valerie A.	Associate Counsel	Professional	Legal	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Monahan, Matthew G.	Special Advisor, Communication	Professional	Executive Office			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Mookram, Sue	Executive Adm. Assistant	Administrative and Clerical	Legal	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Moore, Dardanella	Loan Satisfaction Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Morris, Rosa E.	Loan Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Muchnick, David M.	VP for Policy	Managerial	Legal			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Murillo, Shirley	Assistant Vice President	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Murphy, Daniel E.	Vice President	Managerial	Housing Programs & Policy			FT	Yes	142,062.00	140,841	0	0	5,204	15	146,060	No	
Murrell, Sonia	Sr. Financial Analyst	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Myszka, Agnes	Senior Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Nicholas, Davis	Jr. Tech Support Specialist	Technical and Engineering	Finance & operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Nobles, Ann	Admin. Asst./Secretary - Albany	Administrative and Clerical	Executive Office	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
O'Hare, James P.	Senior Project Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Okusanya, Michelle M.	AVP/Assistant Treasurer	Professional	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Pagnozzi, Lisa G.	VP, Contracts & Administration	Managerial	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Palozzola, Joseph R.	VP/Inter Governmental Relation	Professional	Finance & Operations			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Paluch, John J.	Associate Accountant	Professional	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Parries, Nydia	Special Assistant to F&D Pres.	Professional	Executive Office			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Pearson, Jason R.	VP, Director of NSP	Managerial	Housing Programs & Policy			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Pennock, Helen M.	Assistant Counsel	Professional	Legal	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Perez, Madeline M.	Loan Satisfaction Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Phillips, Karen A.	VP/Director of Development	Professional	Housing Programs & Policy			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Piccio, Brenda P.	Mortgage Loan Default Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Poss, Linda G.	Asset Manager - Buffalo	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Quiroz, Hilda I.	Loan Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Rambaran, Lisa	Compliance Specialist	Professional	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Reid, Camille	Legal Assistant/ Adm. Assitsant	Administrative and Clerical	Legal	UAW	HFA	FT	No	61,095.00	61,095	1,222	0	0	162	62,479	No	
Roberts, Sylvia	Senior Team Leader	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Robinson, Sheila	SVP/Chief Financial Officer	Executive	Finance & Operations			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Robles, Ruben A.	Office Manager	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Rodriguez, Aleida	Closed Loan Processing Spec.	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Rosado, Mildre	Team Leader	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Rosado, Roberto	AVP/Deputy Director	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Roslyn-Brenner, Barbara	Associate Counsel	Professional	Legal	UAW	HFA	FT	No	148,690.00	148,690	0	0	1,147	0	149,837	No	
Rubin, James	Commissioner	Executive	Executive Office			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Rubino, Joseph C.	Junior Press Officer	Professional	Executive Office	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Rushdan, Shareef	Assistant Accountant	Professional	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Santana, Alejandra	Final Document Loan Analyst	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Santiago, Jacqueline	Loan Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Schuldenfrei, Gary B.	AVP of Project Mortgage Ins.	Professional	Housing Programs & Policy	UAW	HFA	FT	No	105,000.00	105,000	0	0	4,038	0	109,038	No	
Schuman, Adam	SVP, General Counsel	Executive	Legal			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Schumm, Meiling	Computer Programmer Analyst	Technical and Engineering	Finance & Operations	UAW	HFA	FT	No	68,411.00	68,411	0	0	2,631	0	71,042	No	
Sclafani, Diane	AVP, Mtg. Servicing, Bond MBS Programs	Managerial	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Sedney, Leonard G.	AVP/CES	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Seenauth, Nacool H.	Information Security Officer	Technical and Engineering	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Sergeant, Claudette	Sr. Servicing Specialist	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Shannon, Craig A.	Graphic Design Specialist	Professional	Executive Office	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No

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Shier, David R.	Assistant Accountant	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Simmons, David D.	Senior Underwriter	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Sin, Richard	Junior Underwriter	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Smallwood, Carol	Team Leader	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Smeraldi, Lisa	Acct. Asst./Secretary	Administrative and Clerical	Finance & Operations	UAW	HFA	FT	No	52,948.00	52,948	0	0	1,018	0	53,966	No	
Sochet, Charni	VP Communication/Press Secy	Professional	Executive Office			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Soto-Suriel, Sabrina	Treasury Analyst	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Stern, Susan M.	Assistant Counsel	Professional	Legal	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Sussman, Herbert L.	Program Manager	Professional	Housing Programs & Policy	UAW	HFA	FT	No	111,008.00	111,008	0	0	0	0	111,008	No	
Teague, William W.	AVP/Business Development Off.	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Telfer, Caroline D.	Program Assistant	Professional	Housing Programs & Policy	UAW	HFA	FT	No	52,191.00	52,191	0	0	1,405	0	53,596	No	
Ticker, Jay M.	Associate Counsel	Professional	Legal	UAW	HFA	FT	No	131,202.00	131,202	0	0	5,046	0	136,248	No	

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Tsang, Terence	Senior Underwriter	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Unger, Chloe	Junior Underwriter	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Valella, Alejandro J.	VP/Deputy Counsel	Managerial	Legal			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Valentino, Jessica	Assistant Counsel	Professional	Legal	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Vasquez, Stephen A.	Assistant Project Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Visnauskas, RuthAnne	SVP, Executive Seputy Commissioner for Housing	Executive	Executive Officer			FT	Yes	0.00	0	0	0	0	0	0	Yes	No
Vitacco, Maryann	Senior Legal Assistant	Professional	Legal	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Walker, Michelle A.	Loan Analyst	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Weinberg, Jacob	Asset Manager	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Weinstock, Gary	VP/Comptroller	Managerial	Finance & Operations			FT	Yes	156,983.00	155,634	0	0	5,919	0	161,553	No	
White, Jenna M.	Human Resources Specialist	Professional	Finance & Operations			FT	Yes	0.00	0	0	0	0	0	0	Yes	No

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White, Sandra I.	Servicing Officer	Professional	Housing Programs & Policy	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Wickham, Gerard	Manager of Program Compliance	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Wilkerson, Jamal H.	Program Assistant	Professional	Housing Programs & Policy	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Woodard, Grace A.	Records Manager	Professional	Finance & Operations	UAW	SONYMA	FT	No	0.00	0	0	0	0	0	0	Yes	No
Wyatt, Patricia E.	Executive Secretary	Administrative and Clerical	Legal	UAW	HFA	FT	No	77,343.00	77,343	0	0	2,975	0	80,318	No	
Zheng, Marvin	Jr. Network Engineer	Technical and Engineering	Finance & Operations	UAW	AHC	FT	No	0.00	0	0	0	0	0	0	Yes	No
Zucker, Marian A.	President	Executive	Executive Office			FT	Yes	0.00	0	0	0	0	0	0	Yes	No

Benefit Information

During the fiscal year, did the authority continue to pay for any of the above mentioned benefits for

No

Board Members

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Curtis, Christophe	Board of Directors												X	
Weiss, Steven J	Board of Directors												X	
Rubin, James S	Board of Directors												X	
Labate, Mary Beth	Board of Directors												X	
McCann, Elaine	Board of Directors												X	
Boone, Jerry	Board of Directors												X	
Mostert, Eric	Board of Directors												X	
Thompson Jr., William C	Board of Directors												X	
Davidson, Nestor M	Board of Directors												X	
Miller, Joyce L	Board of Directors												X	

Staff

Name	Title	Severance Package	Payment for Unused Leave	Club Member-ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transportation	Housing Allowance	Spousal / Dependent Life Insurance	Tuition Assistance	Multi-Year Employment	None of These Benefits	Other
Arnao, Ana	AVP/Disbursements Manage												X	
Ayala, Maria	VP/Loan Servicing												X	

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Name	Title	Severance Package	Payment for Unused Leave	Club Member- ships	Use of Corporate Credit Cards	Personal Loans	Auto	Transpo- rtation	Housing Allow- ance	Spousal / Dependent Life Insurance	Tuition Assist- ance	Multi- Year Employ- ment	None of These Benefits	Other
Bernarde, Janet	Sr. Acctnt/Sr. Budget Analyst												X	
Bonilla, Edwin	SVP/Telecom /Fac. & Admi												X	
Carriero, Gary	AVP/Loan Servicing												X	
Ferguson- Graham, Wanda	VP/Dir.of Fair Hsg&EEO												X	
Flescher, Mark	VP/Special Projects												X	
Gooding, Desmond C.	VP/ Treasurer												X	
Kelly, Kenneth J.	Dir. of Fin. Operations												X	
Murphy, Daniel E.	Vice President												X	
Roslyn- Brenner, Barbara	Associate Counsel												X	
Schuldenfr ei, Gary B.	AVP of Project Mortgage Ins.												X	
Sussman, Herbert L.	Program Manager												X	
Ticker, Jay M.	Associate Counsel												X	
Weinstock, Gary	VP/Comptrol ler												X	

Subsidiary/Component Unit Verification

Is the list of subsidiaries, as assembled by the Office of the State Comptroller, correct? Yes

Are there other subsidiaries or component units of the Authority that are active, not included in the PARIS reports submitted by this Authority and not independently filing reports in PARIS? No

Name of Subsidiary/Component Unit	Status	Requested Changes
Homeless Housing Assistance Corporation	ACTIVE	
Housing Trust Fund Corporation	ACTIVE	
New York State Affordable Housing Corporation	ACTIVE	

Subsidiary/Component Unit Creation

Name of Subsidiary/Component Unit	Establishment Date	Entity Purpose
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Subsidiary/Component Unit Termination

Name of Subsidiary/Component Unit	Termination Date	Termination Reason	Proof of Termination
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Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

<u>Assets</u>	
Current Assets	
Cash and cash equivalents	\$224,293,000
Investments	\$2,011,242,000
Receivables, net	\$363,274,000
Other assets	\$14,293,000
Total Current Assets	\$2,613,102,000
Noncurrent Assets	
Restricted cash and investments	\$162,586,000
Long-term receivables, net	\$12,100,757,000
Other assets	\$31,297,000
Capital Assets	
Land and other nondepreciable property	\$0
Buildings and equipment	\$0
Infrastructure	\$0
Accumulated depreciation	\$0
Net Capital Assets	\$0
Total Noncurrent Assets	\$12,294,640,000
Total Assets	\$14,907,742,000

Summary Financial Information

SUMMARY STATEMENT OF NET ASSETS

Liabilities

Current Liabilities

Accounts payable	\$6,965,000
Pension contribution payable	\$740,000
Other post-employment benefits	\$0
Accrued liabilities	\$53,831,000
Deferred revenues	\$251,109,000
Bonds and notes payable	\$307,695,000
Other long-term obligations due within one year	\$35,737,000
Total Current Liabilities	\$656,077,000

Noncurrent Liabilities

Pension contribution payable	\$0
Other post-employment benefits	\$45,859,000
Bonds and notes payable	\$13,446,491,000
Long Term Leases	\$0
Other long-term obligations	\$73,039,000
Total Noncurrent Liabilities	\$13,565,389,000

Total Liabilities \$14,221,466,000

Net Asset (Deficit)

Net Asset

Invested in capital assets, net of related debt	\$0
Restricted	\$516,520,000
Unrestricted	\$169,756,000
Total Net Assets	\$686,276,000

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSOperating Revenues

Charges for services	\$58,341,000
Rental & financing income	\$134,214,000
Other operating revenues	\$10,401,000
Total Operating Revenue	\$202,956,000

Operating Expenses

Salaries and wages	\$9,511,000
Other employee benefits	\$5,115,000
Professional services contracts	\$897,000
Supplies and materials	\$42,000
Depreciation & amortization	\$0
Other operating expenses	\$124,828,000
Total Operating Expenses	\$140,393,000

Operating Income (Loss)	\$62,563,000
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Nonoperating Revenues

Investment earnings	\$0
State subsidies/grants	\$0
Federal subsidies/grants	\$6,017,000
Municipal subsidies/grants	\$0
Public authority subsidies	\$0
Other nonoperating revenues	\$53,223,000
Total Nonoperating Revenue	\$59,240,000

Summary Financial InformationSUMMARY STATEMENT OF REVENUE, EXPENSES AND CHANGES IN NET ASSETSNonoperating Expenses

Interest and other financing charges	\$0
Subsidies to other public authorities	\$6,653,000
Grants and donations	\$6,017,000
Other nonoperating expenses	\$21,085,000
Total Nonoperating Expenses	\$33,755,000
Income (Loss) Before Contributions	\$88,048,000
Capital Contributions	\$0
Change in net assets	\$88,048,000
Net assets (deficit) beginning of year	\$598,228,000
Other net assets changes	\$0
Net assets (deficit) at end of year	\$686,276,000

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Current Debt

Question	Response
1. Did the Authority have any outstanding debt, including conduit debt, at any point during the reporting period?	Yes
2. If yes, has the Authority issued any debt during the reporting period?	Yes

New Debt Issuances List by Type of Debt and Program

Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Affordable Housing Revenue Bonds, 2014 Series F	Refunding	0.00	64986U4C8	Tax Exempt	Negotiated	3.21	Fixed	33	1,974,080.38	14-HF-540;541;547;548	http://www.nyshcr.org/AboutUs/Investor/docs/Series-F-OS-2014.pdf
	New	68,470,000.00									
	Total	68,470,000.00									
Affordable Housing Revenue Bonds, 2014 Series G	Refunding	0.00	64986U5X1	Tax Exempt	Negotiated	3.42	Fixed	33	4,422,145.01	14-HF-556;557;558;559;561;562	http://www.nyshcr.org/AboutUs/Investor/HFAOfficialStatements.aspx
	New	101,830,000.00									
	Total	101,830,000.00									
Affordable Housing Revenue Bonds, 2015 Series A	Refunding	0.00	64986U7W1	Tax Exempt	Negotiated	3.83	Fixed	35	1,707,783.45	14-HF-560;566;568	http://www.nyshcr.org/AboutUs/Investor/docs/NYSHFA-AHRB-2015-SeriesA-OS.pdf
	New	33,090,000.00									
	Total	33,090,000.00									
Affordable Housing Revenue Bonds, 2015 Series B	Refunding	0.00	64987B BC1	Tax Exempt	Negotiated	3.87	Fixed	35	2,907,315.00	14-HF-554;555	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing07-FIN2015.pdf
	New	59,835,000.00									
	Total	59,835,000.00									
Affordable Housing Revenue Bonds, 2015	Refunding	0.00	64987B DQ8	Tax Exempt	Negotiated	3.55	Fixed	33	2,920,857.37	15-HF-575;574;580;576;579;570	http://www.nyshcr.org/AboutUs/Investor/docs/HFA-AHRB-2015C-OS.pdf
	New	92,790,000.00									
	Total	92,790,000.00									

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Type Of Debt: Authority Debt - Revenue

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Affordable Housing Revenue Bonds, 2015 Series D	Refunding	0.00	64987B EY0	10/15/2015	Tax Exempt	2.93	Fixed	32	640,509.16	15-HF-583;592;593;585	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing11-FIN.pdf
	New	26,060,000.00									
	Total	26,060,000.00									

Type Of Debt: Conduit Debt

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
11th Street, 2012 Series A	Refunding	0.00	64986U MS3	04/14/2015	Tax Exempt	3.79	Variable	32	0.00	12-HF-481	
	New	2,672,000.00									
	Total	2,672,000.00									
11th Street, 2015 Series A	Refunding	0.00	64986U 6J1	04/14/2015	Taxable	4.47	Variable	29	104,173.20	12-HF-481	
	New	2,745,000.00									
	Total	2,745,000.00									
125 Metropolitan Ave, 2015 Series A	Refunding	0.00	64986U 8B6	10/16/2015	Tax Exempt	2.04	Variable	35	781,930.00	15-HF-586	
	New	8,000,000.00									
	Total	8,000,000.00									
125 Metropolitan Ave, 2015 Series B	Refunding	0.00	64986U 8C4	10/16/2015	Taxable	2.21	Variable	35	0.00	15-HF-586	
	New	384,676.53									
	Total	384,676.53									
149 Kent Avenue, 2013 Series A	Refunding	0.00	64986U H26	10/28/2015	Tax Exempt	2.66	Variable	32	0.00	13-HF-517	
	New	24,334,000.00									
	Total	24,334,000.00									
149 Kent Avenue, 2015 Series A	Refunding	0.00	64986U 6M4	04/22/2015	Tax Exempt	3.99	Variable	32	325,858.00	13-HF-517	
	New	300,858.00									
	Total	300,858.00									

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Type Of Debt: Conduit Debt

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
222 East 44th Street, 2015 Series A	Refunding	0.00	64986U 7X9	Tax Exempt	Negotiated	.13	Variable	35	849,947.00	15-HF-571	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing08a-OS.pdf
	New	26,000,000.00									
	Total	26,000,000.00									
229 Cherry Street, 2015 Series A	Refunding	0.00	64987B BX5	Tax Exempt	Negotiated	4.8	Variable	35	906,624.00	15-HF-582	
	New	11,700,000.00									
	Total	11,700,000.00									
29 Flatbush Avenue, 2015 Series A	Refunding	0.00	64986U 2Q9	Tax Exempt	Negotiated	.06	Variable	29	1,236,368.00	14-HF-443A	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing01a-OS.pdf
	New	9,000,000.00									
	Total	9,000,000.00									
29 Flatbush Avenue, 2015 Series B	Refunding	0.00	64986U 2S5	Taxable	Negotiated	.12	Variable	29	0.00	14-HF-443A	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing01a-OS.pdf
	New	41,000,000.00									
	Total	41,000,000.00									
33 Bond Street, 2014 Series A	Refunding	0.00	64986U 4D6	Tax Exempt	Negotiated	.06	Variable	35	854,805.00	14-HF-545	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing15a-OS.pdf
	New	30,000,000.00									
	Total	30,000,000.00									
43-25 Hunter Street, 2015 Series A-1, A-2, A-3	Refunding	0.00		Tax Exempt	Negotiated	2.19	Variable	35	2,819,662.70	15-HF-569	
	New	100,000.00									
	Total	100,000.00									
44th Drive, 2013 Series A	Refunding	0.00	64986U WH6	Tax Exempt	Negotiated	4.83	Variable	33	0.00	13-HF-505	
	New	7,880,000.00									
	Total	7,880,000.00									
44th Drive, 2014 Series A	Refunding	0.00	64983U H42	Tax Exempt	Negotiated	4.87	Variable	32	0.00	13-HF-505	
	New	970,000.00									
	Total	970,000.00									

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Type Of Debt: Conduit Debt

Program:

Project	Amounts		CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
509 West 38th Street, 2015 Series A	Refunding	0.00	64986U 5Y9	03/04/2015	Tax Exempt	Negotiated	2.14	Variable	32	2,145,085.00	14-HF-553	
	New	10,255,000.00										
	Total	10,255,000.00										
509 West 38th Street, 2015 Series A	Refunding	0.00	64986U 5Y9	10/01/2015	Tax Exempt	Negotiated	2.14	Variable	32	0.00	14-HF-553	
	New	7,833,737.28										
	Total	7,833,737.28										
509 West 38th Street, 2015 Series B	Refunding	0.00	64986U 5Z6	03/04/2015	Taxable	Negotiated	2.29	Variable	32	0.00	14-HF-553	
	New	266,666.67										
	Total	266,666.67										
525 West 52nd Street, 2015 Series A-1, A- 2, A-3	Refunding	0.00		05/04/2015	Tax Exempt	Negotiated	2.32	Variable	35	2,531,705.00	14-HF-552	
	New	588,235.29										
	Total	588,235.29										
525 West 52nd Street, 2015 Series B-1, B- 2, B-3	Refunding	0.00		05/04/2015	Taxable	Negotiated	2.3	Variable	35	0.00	14-HF-552	
	New	588,235.29										
	Total	588,235.29										
555 Tenth Avenue, 2015 Series A	Refunding	0.00	64986U 6K8	03/26/2015	Tax Exempt	Negotiated	.04	Variable	34	2,551,607.00	14-HF-544	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing02a-OS1.pdf
	New	65,000,000.00										
	Total	65,000,000.00										
555 Tenth Avenue, 2015 Series B	Refunding	0.00	64986U 6L6	03/26/2015	Taxable	Negotiated	.14	Variable	34	0.00	14-HF-544	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing02a-OS1.pdf
	New	120,000,000.00										
	Total	120,000,000.00										

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Type Of Debt: Conduit Debt

Program:

Project	Amounts		CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
625 West 57th Street, 2015 Series A-1	Refunding	0.00	64986U 2F3	05/12/2015	Tax Exempt	Negotiated	.1	Variable	34	5,516,800.00	14-HF-537	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing06a-OS.pdf
	New	50,000,000.00										
	Total	50,000,000.00										
625 West 57th Street, 2015 Series A-1	Refunding	0.00	64986U 2F3	08/25/2015	Tax Exempt	Negotiated	.11	Variable	34	5,516,800.00	14-HF-537	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing06a-OS.pdf
	New	56,545,000.00										
	Total	56,545,000.00										
625 West 57th Street, 2015 Series A-2	Refunding	0.00	64986U 2H9	05/12/2015	Tax Exempt	Negotiated	.1	Variable	34	0.00	14-HF-537	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing06a-OS.pdf
	New	50,000,000.00										
	Total	50,000,000.00										
625 West 57th Street, 2015 Series A-2	Refunding	0.00	64986U 2H9	07/16/2015	Tax Exempt	Negotiated	.1	Variable	34	0.00	14-HF-537	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing06a-OS.pdf
	New	40,000,000.00										
	Total	40,000,000.00										
625 West 57th Street, 2015 Series A-2	Refunding	0.00	64986U 2H9	08/25/2015	Tax Exempt	Negotiated	.1	Variable	34	0.00	14-HF-537	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing06a-OS.pdf
	New	10,000,000.00										
	Total	10,000,000.00										
625 West 57th Street, 2015 Series a-1	Refunding	0.00	64986U 2F3	07/16/2015	Tax Exempt	Negotiated	.1	Variable	34	0.00	14-HF-537	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing06a-OS.pdf
	New	63,455,000.00										
	Total	63,455,000.00										
626 Flatbush Avenue, 2013	Refunding	0.00	64986U XN2	10/20/2015	Tax Exempt	Negotiated	2.57	Variable	33	0.00	13-HF-513	
	New	21,150,000.00										
	Total	21,150,000.00										

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Type Of Debt: Conduit Debt

Program:

Project	Amounts		CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
626 Flatbush Avenue, 2013 series B	Refunding	0.00	64986U XP7	09/21/2015	Taxable	Negotiated	3.62	Variable	33	0.00	13-HF-513	
	New	4,785,000.00										
	Total	4,785,000.00										
626 Flatbush Avenue, 2014 Series A	Refunding	0.00	64986U R41	10/20/2015	Tax Exempt	Negotiated	2.57	Variable	32	0.00	13-HF-513	
	New	2,611,000.00										
	Total	2,611,000.00										
7 West 21st Street, 2015 Series A-1, A-2, A-3	Refunding	0.00		05/28/2015	Tax Exempt	Negotiated	2.17	Variable	35	2,475,847.00	15-HF-573	
	New	5,000,000.00										
	Total	5,000,000.00										
7 West 21st Street, 2015 Series B-1, B-2, B-3	Refunding	0.00		10/01/2015	Taxable	Negotiated	2.24	Variable	35	0.00	15-HF-573	
	New	49,956,190.45										
	Total	49,956,190.45										
855 Sixth Avenue, 2015 Series A	Refunding	0.00	64986U 6E2	03/19/2015	Tax Exempt	Negotiated	.02	Variable	32	818,472.00	13-HF-518	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing03a-OS.pdf
	New	35,000,000.00										
	Total	35,000,000.00										
BAM South, 2014 Series A	Refunding	0.00	64986U 4F1	12/03/2014	Tax Exempt	Negotiated	.03	Variable	34	1,147,585.00	14-HF-551	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing16a-OS.pdf
	New	30,500,000.00										
	Total	30,500,000.00										
BAM South, 2014 Series B	Refunding	0.00	64986U 4H7	12/03/2014	Taxable	Negotiated	.12	Variable	34	0.00	14-HF-551	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing16a-OS.pdf
	New	37,500,000.00										
	Total	37,500,000.00										
Jackson Avenue, 2012	Refunding	0.00	64986U MR5	07/21/2015	Tax Exempt	Negotiated	3.78	Variable	32	0.00	12-HF-482	
	New	1,685,000.00										
	Total	1,685,000.00										

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Type Of Debt: Conduit Debt

Program:

Project	Amounts	CUSIP Number	Bond Closing Date	Taxable Status	Issue Process	True Interest Cost	Interest Type	Term	Cost of Issuance (\$)	PACB Project	URL
Jackson Avenue, 2015 Series A	Refunding 0.00 New 5,140,000.00 Total 5,140,000.00	64987B BW7	07/21/2015	Taxable	Negotiated	4.87	Variable	29	137,475.60	15-HF-482A	
Manhattan West, 2014 Series A	Refunding 0.00 New 50,000,000.00 Total 50,000,000.00	64986U 4K0	12/18/2014	Tax Exempt	Negotiated	.22	Variable	35	1,588,834.00	14-HF-550	http://www.nyshcr.org/AboutUs/Investor/HFAOfficialStatements.aspx
Manhattan West, 2014 Series B	Refunding 0.00 New 34,000,000.00 Total 34,000,000.00	64986U 4M6	12/18/2014	Taxable	Negotiated	.32	Variable	35	0.00	14-HF-550	http://www.nyshcr.org/AboutUs/Investor/HFAOfficialStatements.aspx
Manhattan West, 2015 Series A	Refunding 0.00 New 37,500,000.00 Total 37,500,000.00	64987B BY3	08/13/2015	Tax Exempt	Negotiated	.05	Variable	34	1,571,625.00	14-HF-550	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing10a-OS.pdf
Manhattan West, 2015 Series B-1	Refunding 0.00 New 50,000,000.00 Total 50,000,000.00	64987B CA4	08/13/2015	Taxable	Negotiated	.3	Variable	34	0.00	14-HF-550	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing10a-OS.pdf
Manhattan West, 2015 Series B-2	Refunding 0.00 New 50,000,000.00 Total 50,000,000.00	64987B CC0	08/13/2015	Taxable	Negotiated	.3	Variable	34	0.00	14-HF-550	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing10a-OS.pdf
Related West 30th Street, 2015 Series A	Refunding 0.00 New 41,800,000.00 Total 41,800,000.00	64987B DY1	09/18/2015	Taxable	Negotiated	5.55	Variable	30	623,362.00	11-HF-473A	
Riverside Center 2, 2015 Series A-1	Refunding 0.00 New 60,000,000.00 Total 60,000,000.00	64986U 6G7	03/25/2015	Tax Exempt	Negotiated	.02	Variable	31	1,900,793.00	12-HF-491	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing04a-OS.pdf
Riverside Center 2, 2015	Refunding 0.00 New 26,000,000.00 Total 26,000,000.00	64986U 6C6	03/25/2015	Tax Exempt	Negotiated	.02	Variable	31	0.00	12-HF-491	http://www.nyshcr.org/AboutUs/Investor/docs/NYHousing04a-OS.pdf

Schedule of Authority Debt

Type of Debt	Statutory Authorization (\$)	Outstanding Start of Fiscal Year (\$)	New Debt Issuances (\$)	Debt Retired (\$)	Outstanding End of Fiscal Year (\$)
State Obligation					
State Guaranteed					
State Supported					
HFA HOUSING CAPITAL PROGRAMS	3,153,799,000.00	730,105,000.00	0.00	206,225,000.00	523,880,000.00
State Contingent Obligation					
State Moral Obligation					
HFA NON-PROFIT HOUSING	0.00	6,785,000.00	0.00	4,575,000.00	2,210,000.00
Other State Funded					
Authority Obligation					
General Obligation					
Revenue	0.00	1,508,975,000.00	382,075,000.00	108,060,000.00	1,782,990,000.00
Other Non-State Funded					
Conduit					
Conduit Debt	0.00	10,415,412,000.00	1,182,245,599.51	262,310,721.91	11,335,346,877.60
Conduit Debt - Pilot Increment Financing					

Real Property Acquisition/Disposal List

This Authority has indicated that it had no real property acquisitions or disposals during the reporting period.

Personal Property

This Authority has indicated that it had no personal property disposals during the reporting period.

Property Documents

Question	Response	URL (if applicable)
1. In accordance with Section 2896(3) of PAL, the Authority is required to prepare a report at least annually of all real property of the Authority. Has this report been prepared?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
2. Has the Authority prepared policies, procedures, or guidelines regarding the use, awarding, monitoring, and reporting of contracts for the acquisition and disposal of property?	Yes	http://www.nyshcr.org/AboutUs/Governance/GovernanceInformationfornyhomes.htm
3. In accordance with Section 2896(1) of PAL, has the Authority named a contracting officer who shall be responsible for the Authority's compliance with and enforcement of such guidelines?	Yes	

Additional Comments: