

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www2.erie.gov/etasc/index.php?q=financial-information">http://www2.erie.gov/etasc/index.php?q=financial-information</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

<p>1. Vendor Name: Bank of NY Mellon</p> <p>Type of Procurement: Financial Services</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Amount Expended for Fiscal Year: \$12,040</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: One Wall Street</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10286</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Administrative Trustee Fees</p>	<p>2. Vendor Name: Damon Morey, LLP</p> <p>Type of Procurement: Legal Services</p> <p>Award Process: Authority Contract - Non-Competitive Bid</p> <p>Award Date: 01/01/2006</p> <p>End Date:</p> <p>Amount: \$33,208</p> <p>Amount Expended for Fiscal Year: \$33,208</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 200 Delaware Ave. Suite 1200</p> <p>Address Line2:</p> <p>City: BUFFALO</p> <p>State: NY</p> <p>Postal Code: 14202</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Legal Counsel</p>
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Procurement Transactions Listing:

3. Vendor Name: Drescher & Malecki

Type of Procurement: Financial Services  
Award Process: Authority Contract - Competitive Bid  
Award Date: 02/22/2012  
End Date:  
Amount: \$23,825  
Amount Expended for Fiscal Year: \$23,825  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 3083 William Street Suite 5  
Address Line2:  
City: CHEEKTOWAGA  
State: NY  
Postal Code: 14227  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Outside Auditing firm

4. Vendor Name: Fitch, Inc

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$10,000  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: One State Street Plaza  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10004  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Recurring rating surveillance

Procurement Transactions Listing:

5. Vendor Name: Lawley Agency Insurance

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$16,434  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 361 Delaware Ave  
Address Line2:  
City: BUFFALO  
State: NY  
Postal Code: 14202  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Director and Officers Liability Insurance

6. Vendor Name: Lumsden & McCormick, LLP

Type of Procurement: Financial Services  
Award Process: Non Contract Procurement/Purchase Order  
Award Date:  
End Date:  
Amount:  
Amount Expended for Fiscal Year: \$10,360  
Fair market value:  
Explain why the fair market value is less than the amount:

Address Line1: 403 Main Street Suite 430  
Address Line2:  
City: BUFFALO  
State: NY  
Postal Code: 14203  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Independent Accountant

Procurement Transactions Listing:

<p>7. Vendor Name: Standard &amp; Poor's</p> <p>Type of Procurement: Financial Services  Award Process: Non Contract Procurement/Purchase Order  Award Date:  End Date:  Amount:  Amount Expended for Fiscal Year: \$10,000  Fair market value:    Explain why the fair market value is less than the amount:</p>  <p>Address Line1: 55 Water Street  Address Line2:  City: NEW YORK  State: NY  Postal Code: 10041  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Annual Surveillance Fee Rating Service</p>	<p>8. Vendor Name: Wilmington Trust</p> <p>Type of Procurement: Financial Services  Award Process: Non Contract Procurement/Purchase Order  Award Date:  End Date:  Amount:  Amount Expended for Fiscal Year: \$8,800  Fair market value:    Explain why the fair market value is less than the amount:</p>  <p>Address Line1: 1100 N Market Street  Address Line2:  City: WILMINGTON  State: DE  Postal Code: 19890  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Trustee</p>
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Procurement Report for Erie Tobacco Asset Securitization Corporation

Run Date: 02/27/2015

Fiscal Year Ending: 12/31/2012

Status: CERTIFIED

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Procurement Transactions Listing:

Additional Comments: