

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nyceec.com/public/
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	Yes	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: Astron Solutions

Type of Procurement: Staffing Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/29/2012
End Date:
Amount: \$6,000
Amount Expended for Fiscal Year: \$3,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 505 8th Avenue
Address Line2: Suite 220
City: NEW YORK
State: NY
Postal Code: 10018
Plus 4:
Province Region:
Country: USA

Procurement Description: HR related consulting services for merit based compensation, pension benefits program and employee benefits program

2. Vendor Name: BTQ Financial

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 04/23/2012
End Date:
Amount: \$272,849
Amount Expended for Fiscal Year: \$34,670
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 80 Broad Street
Address Line2: 15th Floor
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA

Procurement Description: Development of policies & procedures manual, compliance/obligations for government regulations, accounting records on a general ledger, processing of loan closings and borrowings and financial reports for loan portfolios

Procurement Transactions Listing:

3. Vendor Name: David Madrid

Type of Procurement: Other

Award Process: Authority Contract - Competitive Bid

Award Date: 11/12/2011

End Date: 03/31/2012

Amount: \$15,000

Amount Expended for Fiscal Year: \$17,355

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: PO Box 6104

Address Line2:

City: INCLINE VILLAGE

State: NV

Postal Code: 89450

Plus 4:

Province Region:

Country: USA

Procurement Description: Constructed website and provide training to staff members on maintenanc

4. Vendor Name: Ernst & Young

Type of Procurement: Financial Services

Award Process: Authority Contract - Competitive Bid

Award Date: 05/10/2012

End Date:

Amount: \$66,300

Amount Expended for Fiscal Year: \$32,500

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 99 Wood Ave

Address Line2:

City: ISELIN

State: NJ

Postal Code: 08830

Plus 4:

Province Region:

Country: USA

Procurement Description: Audit, Accounting & Fiscal Year Reporting for 2011 and 2012

Procurement Transactions Listing:

5. Vendor Name: Forsyth Street Advisors

Type of Procurement: Consulting Services
Award Process: Authority Contract - Competitive Bid
Award Date: 01/17/2011
End Date:
Amount: \$900,000
Amount Expended for Fiscal Year: \$559,952
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 588 Broadway
Address Line2: Suite 1208
City: NEW YORK
State: NY
Postal Code: 10012
Plus 4:
Province Region:
Country: USA

Procurement Description: Financial Advisory for transactions

6. Vendor Name: HR&A

Type of Procurement: Consulting Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 02/28/2012
End Date:
Amount: \$150,000
Amount Expended for Fiscal Year: \$83,116.7
Fair market value: \$150,000
Explain why the fair market value is less than the amount:

Address Line1: 99 Hudson Street
Address Line2: 3rd Floor
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA

Procurement Description: Financial product development

Procurement Transactions Listing:

7. Vendor Name: New York City Economic Development Corporation

Type of Procurement: Other Professional Services
 Award Process: Purchased Under State Contract
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$341,428.56
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 110 William Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10038
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Adminstrative , Technical, and Operational Services

8. Vendor Name: SNR Denton

Type of Procurement: Legal Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 03/01/2012
 End Date:
 Amount: \$75,000
 Amount Expended for Fiscal Year: \$95,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1221 6th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10020
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: General legal counsel for clean heat purpose

Procurement Transactions Listing:

9. Vendor Name: Saturn Clean Energy Systems, LLC.

Type of Procurement: Consulting Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/21/2012
End Date: 11/26/2012
Amount: \$95,000
Amount Expended for Fiscal Year: \$19,203.12
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 2 Diamond Court
Address Line2:
City: WEST WINDSOR
State: NJ
Postal Code: 08550
Plus 4:
Province Region:
Country: USA

Procurement Description: Consultant will develop specific financial transactions structures including pricing, risk allocation and key terms with the objective of moving earlystage transaction oportunites on NYCEEC's pipeline to firm financing commitments accepted and agree

Procurement Transactions Listing:

Additional Comments: