

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://buffalowaterauthority.com/About/WaterBoard
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: CITIGROUP GLOBAL MARKETS INC

Type of Procurement: Financial Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$43,608.72
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 390 GREENWICH STREET 2ND FLOOR
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10013
Plus 4:
Province Region:
Country: USA
Procurement Description: 5301 REMKTG AGENT FEES 08 SWAP

2. Vendor Name: CRA INFASTRUCTURE & ENGINEERING INC

Type of Procurement: Consulting Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/29/2011
End Date:
Amount: \$17,875
Amount Expended for Fiscal Year: \$17,875
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 285 DELAWARE AVENUE SUITE 500
Address Line2:
City: BUFFALO
State: NY
Postal Code: 14202
Plus 4:
Province Region:
Country: USA
Procurement Description: AUTHADM SV ENG TECH

Procurement Transactions Listing:

3. Vendor Name: CRA INFASTRUCTURE & ENGINEERING INC

Type of Procurement: Consulting Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/08/2011
 End Date:
 Amount: \$1,625
 Amount Expended for Fiscal Year: \$1,625
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 285 DELAWARE AVENUE SUITE 500
 Address Line2:
 City: BUFFALO
 State: NY
 Postal Code: 14202
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: AUTHADM SV ENG TECH

4. Vendor Name: DRESCHER & MALECKI LLP

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/10/2011
 End Date:
 Amount: \$4,100
 Amount Expended for Fiscal Year: \$4,100
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 3083 WILLIAM STREET SUITE 5
 Address Line2:
 City: CHEEKTOWAGA
 State: NY
 Postal Code: 14227
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: AUDITING SERVICES

Procurement Transactions Listing:

5. Vendor Name: HSBC BANK USA NATIONAL ASSOCIATION

Type of Procurement: Financial Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$7,090.66
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 452 FIFTH AVENUE
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10018
Plus 4: 2706
Province Region:
Country: USA

Procurement Description: WATER AUTH FISCAL AGENT

6. Vendor Name: INTEGRITY PUBLIC FINANCE CONSULTING

Type of Procurement: Consulting Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$27,225
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 101 NORTH MONROE STREET
Address Line2: SUITE 900
City: TALLAHASSEE
State: FL
Postal Code: 32301
Plus 4:
Province Region:
Country: USA

Procurement Description: AUTHADM SV ENG TECH

Procurement Transactions Listing:

7. Vendor Name: M & T BANK

Type of Procurement: Financial Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$27,413
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1 M & T PLAZA 7TH FLOOR
Address Line2: CORPORATE TRUST DEPARTMENT
City: BUFFALO
State: NY
Postal Code: 14203
Plus 4:
Province Region:
Country: USA
Procurement Description: WATER AUTH FISCAL AGENT

8. Vendor Name: STANDARD & POORS FINANCIAL SERVICES LLC

Type of Procurement: Financial Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$5,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 55 WATER STREET
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10041
Plus 4:
Province Region:
Country: USA
Procurement Description: WATER AUTH FISCAL AGENT

Procurement Transactions Listing:

<p>9. Vendor Name: THOMPSON HINE LLP</p> <p>Type of Procurement: Legal Services Award Process: Non Contract Procurement/Purchase Order Award Date: End Date: Amount: Amount Expended for Fiscal Year: \$2,100 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 127 PUBLIC SQ 3900 KEY CENTER Address Line2: City: CLEVELAND State: OH Postal Code: 44114 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: AUTHADM SV LEGAL SERV</p>	<p>10. Vendor Name: U.S. BANK NATIONAL ASSOCIATION</p> <p>Type of Procurement: Financial Services Award Process: Non Contract Procurement/Purchase Order Award Date: End Date: Amount: Amount Expended for Fiscal Year: \$750 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 100 WALL ST STE 1600 Address Line2: City: NEW YORK State: NY Postal Code: 10005 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: WATER AUTH FISCAL AGENT</p>
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Procurement Transactions Listing:

11. Vendor Name: UNDERBERG & KESSLER LLP

Type of Procurement: Legal Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$12,684
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 300 BAUSCH & LOMB PLACE
Address Line2:
City: ROCHESTER
State: NY
Postal Code: 14604
Plus 4:
Province Region:
Country: USA
Procurement Description: AUTHADM SV LEGAL SERV

Procurement Transactions Listing:

Additional Comments: