

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://www.co.dutchess.ny.us/CountyGov/Departments/DTASC/DTindex.htm">http://www.co.dutchess.ny.us/CountyGov/Departments/DTASC/DTindex.htm</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	No	

Procurement Transactions Listing:

1. Vendor Name: Drescher & Malecki

Type of Procurement: Financial Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 01/04/2013  
End Date: 01/31/2013  
Amount: \$9,500  
Amount Expended for Fiscal Year: \$9,500  
Fair market value: \$9,500

Explain why the fair market value is less than the amount:

Address Line1: 3083 Willam Street  
Address Line2: Suite 5  
City: CHEEKTOWAGA  
State: NY  
Postal Code: 14227  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Audit of the 2012 Financials

2. Vendor Name: Hiscock & Barclay

Type of Procurement: Legal Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 12/04/2003  
End Date:  
Amount: \$4,025  
Amount Expended for Fiscal Year: \$4,025  
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 80 Beaver Street  
Address Line2:  
City: ALBANY  
State: NY  
Postal Code: 12207  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Legal Counsel to DTASC

Procurement Transactions Listing:

3. Vendor Name: Marshall & Sterling INC

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 11/26/2012  
End Date: 11/23/2013  
Amount: \$10,402  
Amount Expended for Fiscal Year: \$9,500  
Fair market value: \$10,402

Explain why the fair market value is less than the amount:

Address Line1: 103 Executive Drive  
Address Line2: Suite 300  
City: NEW WINDSOR  
State: NY  
Postal Code: 12553  
Plus 4: 5531  
Province Region:  
Country: USA  
  
Procurement Description: Directors and Officers Liability Insurance

Procurement Report for Dutchess Tobacco Asset Securitization Corporation

Run Date: 11/21/2014

Fiscal Year Ending: 12/31/2013

Status: CERTIFIED

---

Procurement Transactions Listing:

Additional Comments: