

**Procurement-Information:**

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	<a href="http://suffolkcountyny.gov/stasc/">http://suffolkcountyny.gov/stasc/</a>
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	Yes	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	No	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

**Procurement Transactions Listing:**

1. Vendor Name: Albrecht, Viggiano, Zureck & Co.

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 12/15/2011  
End Date:  
Amount: \$29,500  
Amount Expended for Fiscal Year: \$20,358.75  
Fair market value: \$29,500

Explain why the fair market value is less than the amount:

Address Line1: 25 Suffolk Court  
Address Line2:  
City: HAUPPAUGE  
State: NY  
Postal Code: 11788  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: 2012 Accounting Service, Financial Statements, and other services.

2. Vendor Name: Arthuur J. Gallagher Risk Management Services Inc

Type of Procurement: Other  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 09/16/2013  
End Date:  
Amount: \$8,465  
Amount Expended for Fiscal Year: \$8,465  
Fair market value: \$8,465

Explain why the fair market value is less than the amount:

Address Line1: 377 Oak Street  
Address Line2:  
City: GARDEN CITY  
State: NY  
Postal Code: 11530  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Insurance

Procurement Transactions Listing:

3. Vendor Name: Bank of New York

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Non-Competitive Bid  
 Award Date: 08/20/2008  
 End Date:  
 Amount: \$6,000  
 Amount Expended for Fiscal Year: \$6,000  
 Fair market value: \$6,000

Explain why the fair market value is less than the amount:

Address Line1: 101 Barclay St. - 7W  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10286  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Trust Management

4. Vendor Name: Bank of New York

Type of Procurement: Other Professional Services  
 Award Process: Authority Contract - Non-Competitive Bid  
 Award Date: 04/05/2012  
 End Date:  
 Amount: \$3,000  
 Amount Expended for Fiscal Year: \$3,000  
 Fair market value: \$3,000

Explain why the fair market value is less than the amount:

Address Line1: 101 Barclay St. - 7W  
 Address Line2:  
 City: NEW YORK  
 State: NY  
 Postal Code: 10286  
 Plus 4:  
 Province Region:  
 Country: USA

Procurement Description: Trust Management

Procurement Transactions Listing:

<p>5. Vendor Name: Capital Market Advisors</p> <p>Type of Procurement: Other Professional Services  Award Process: Authority Contract - Non-Competitive Bid  Award Date: 01/01/2013  End Date:  Amount: \$10,000  Amount Expended for Fiscal Year: \$10,000  Fair market value: \$10,000</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: One Greet Neck Road Suite 1  Address Line2:  City: GREAT NECK  State: NY  Postal Code: 11021  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: Consulting Services and Reporting requirements for bonds. Suffolk County awarded contract.</p>	<p>6. Vendor Name: Ernst &amp; Young LLP</p> <p>Type of Procurement: Other Professional Services  Award Process: Authority Contract - Non-Competitive Bid  Award Date: 01/01/2013  End Date:  Amount: \$19,500  Amount Expended for Fiscal Year: \$19,500  Fair market value: \$19,500</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: Ernst &amp; Young LLP  Address Line2: 5 Times Squre  City: NEW YORK  State: NY  Postal Code: 10036  Plus 4:  Province Region:  Country: USA</p> <p>Procurement Description: 2012 Audit Services (Suffolk County auditor)</p>
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Procurement Transactions Listing:

7. Vendor Name: Fitch Ratings

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 08/20/2008  
End Date:  
Amount: \$10,000  
Amount Expended for Fiscal Year: \$10,000  
Fair market value: \$10,000

Explain why the fair market value is less than the amount:

Address Line1: One State Street Plaza  
Address Line2: 33 Floor  
City: NEW YORK  
State: NY  
Postal Code: 10004  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Annual Rating Fee

8. Vendor Name: Standard & Poors

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 04/05/2012  
End Date:  
Amount: \$5,000  
Amount Expended for Fiscal Year: \$5,000  
Fair market value: \$5,000

Explain why the fair market value is less than the amount:

Address Line1: 55 Water St  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10041  
Plus 4:  
Province Region:  
Country: USA

Procurement Description: Annual Rating Fee

Procurement Transactions Listing:

9. Vendor Name: Wilmington Trust

Type of Procurement: Other Professional Services  
Award Process: Authority Contract - Non-Competitive Bid  
Award Date: 04/06/2013  
End Date:  
Amount: \$9,000  
Amount Expended for Fiscal Year: \$9,000  
Fair market value: \$9,000

Explain why the fair market value is less than the amount:

Address Line1: 520 Mandison Av. Suite 3300  
Address Line2:  
City: NEW YORK  
State: NY  
Postal Code: 10022  
Plus 4:  
Province Region:  
Country: USA  
Procurement Description: Trust Management

Procurement Transactions Listing:Additional Comments:

With respect to each of the questions regarding procurement, the Authority is not and has not been procuring goods or services, other than professional services and insurances, which are excluded from the bid requirements of the New York State General Municipal Law. Therefore, these questions are irrelevant as applied to the Authority.