

Procurement-Information:

| Question | Response | URL (if applicable) |
|--|----------|---|
| 1. Does the Authority have procurement guidelines? | Yes | http://www.nyc.gov/html/tsasc/html/documents_page.html |
| 2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board? | Yes | |
| 3. Does the Authority allow for exceptions to the procurement guidelines? | No | |
| 4. Does the Authority assign credit cards to employees for travel and/or business purchases? | No | |
| 5. Does the Authority require prospective bidders to sign a non-collusion agreement? | No | |
| 6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?. | No | |
| 7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"? | Yes | |
| 8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law? | No | |
| 8a If Yes, was a record made of this impermissible contact? | | |
| 9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law? | Yes | |

Procurement Transactions Listing:

1. Vendor Name: Fitch Inc

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/27/2013
End Date: 08/26/2014
Amount: \$10,000
Amount Expended for Fiscal Year: \$10,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1 State Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA
Procurement Description: Rating Agency

2. Vendor Name: Marks Paneth & Shron LLP

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/30/2012
End Date: 06/30/2015
Amount: \$21,000
Amount Expended for Fiscal Year: \$21,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 622 Third Ave.
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Auditor

Procurement Transactions Listing:

3. Vendor Name: OmniCap

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/01/2007
End Date: 08/31/2013
Amount: \$7,250
Amount Expended for Fiscal Year: \$7,250

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 555 West 5th Street
Address Line2:
City: LOS ANGELES
State: CA
Postal Code: 90013
Plus 4:
Province Region:
Country: USA

Procurement Description: Arbitrage Consultant

4. Vendor Name: Orrick Herrington & Sutcliffe LLP3

Type of Procurement: Legal Services
Award Process: Authority Contract - Competitive Bid
Award Date: 01/01/2010
End Date: 12/31/2012
Amount: \$5,265.35
Amount Expended for Fiscal Year: \$5,265.35

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 666 Fifth Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10103
Plus 4:
Province Region:
Country: USA

Procurement Description: Bond Counsel

Procurement Transactions Listing:

5. Vendor Name: Standard & Poor's

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/27/2012
End Date: 08/27/2013
Amount: \$10,000
Amount Expended for Fiscal Year: \$10,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 55 Water Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10041
Plus 4:
Province Region:
Country: USA
Procurement Description: Rating Agency

6. Vendor Name: The Bank Of New York

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 08/27/2012
End Date: 08/27/2013
Amount: \$5,258
Amount Expended for Fiscal Year: \$5,258
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1 Wall Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: Financial Services

Procurement Transactions Listing:

7. Vendor Name: Wells Fargo

Type of Procurement: Other Professional Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/25/2013
End Date: 05/24/2014
Amount: \$185,278
Amount Expended for Fiscal Year: \$185,278
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: D & O Insurance

Procurement Report for TSASC, Inc.

Run Date: 06/20/2014

Fiscal Year Ending: 06/30/2013

Status: CERTIFIED

Procurement Transactions Listing:

Additional Comments: