**Procurement Report for Tompkins Tobacco Asset Securitization Corporation** **Run Date: 11/28/2014**

**Fiscal Year Ending: 12/31/2013** **Status: CERTIFIED**

**Procurement-Information:**

| Question | Response | URL (if applicable) |
|---|---|---|
| 1. Does the Authority have procurement guidelines? | Yes | tompkins-co.org |
| 2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board? | Yes | |
| 3. Does the Authority allow for exceptions to the procurement guidelines? | No | |
| 4. Does the Authority assign credit cards to employees for travel and/or business purchases? | No | |
| 5. Does the Authority require prospective bidders to sign a non-collusion agreement? | Yes | |
| 6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?. | Yes | |
| 7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"? | Yes | |
| 8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law? | No | |
| 8a If Yes, was a record made of this impermissible contact? | | |
| 9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law? | Yes | |

**Procurement Report for Tompkins Tobacco Asset Securitization Corporation** **Run Date: 11/28/2014**

**Fiscal Year Ending: 12/31/2013** **Status: CERTIFIED**

**Procurement Transactions Listing:**

**This Authority has indicated that it has no Procurement Transactions for the reporting period.**

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**Procurement Transactions Listing:**

**Additional Comments:**