

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	www.albanyny.gov
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: Hugh Johnson Advisors LLC

2. Vendor Name: M & T Investment Group

Type of Procurement: Financial Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 01/01/2011
 End Date:
 Amount: \$9,875
 Amount Expended for Fiscal Year: \$9,875
 Fair market value: \$9,875

Explain why the fair market value is less than the amount:

Address Line1: 80 Stae Street
 Address Line2:
 City: ALBANY
 State: NY
 Postal Code: 12207
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Investment services

Type of Procurement: Financial Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 01/01/2011
 End Date:
 Amount: \$5,250
 Amount Expended for Fiscal Year: \$5,250
 Fair market value: \$5,250

Explain why the fair market value is less than the amount:

Address Line1: PO Box 22900
 Address Line2:
 City: ROCHESTER
 State: NY
 Postal Code: 14692
 Plus 4: 2900
 Province Region:
 Country: USA

Procurement Description: Banking/Investment services

Procurement Report for Albany Municipal Water Finance Authority

Run Date: 02/23/2015

Fiscal Year Ending: 12/31/2013

Status: CERTIFIED

Procurement Transactions Listing:

Additional Comments: