

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nyc.gov/html/nyw/pdf/nyw_procurement_policy_10_10_12.pdf
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	Yes	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	No	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	No	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

1. Vendor Name: ACACIA Financial Group Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/10/2011
End Date: 06/30/2014
Amount: \$82,495
Amount Expended for Fiscal Year: \$82,495
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 7 Times Sq # 20
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10036
Plus 4:
Province Region:
Country: USA

Procurement Description: Financial Advisor

2. Vendor Name: Aecom (USA) Inc.

Type of Procurement: Consulting Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/01/2009
End Date: 06/30/2012
Amount: \$90,792
Amount Expended for Fiscal Year: \$90,792
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 605 Third Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10158
Plus 4:
Province Region:
Country: USA

Procurement Description: Consulting Engineer

Procurement Transactions Listing:

3. Vendor Name: Amawalk Consulting Group LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/01/2007
End Date: 09/11/2014
Amount: \$106,196
Amount Expended for Fiscal Year: \$106,196

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 26 Broadway
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA

Procurement Description: Rate Consultant

4. Vendor Name: BB&T Capital Markets a division of Scott & Stringfellow LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/15/2009
End Date:
Amount: \$32,000
Amount Expended for Fiscal Year: \$32,000

Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1133 6 Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10036
Plus 4:
Province Region:
Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

5. Vendor Name: BNP Paribas

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 10/24/2007
End Date: 10/24/2012
Amount: \$147,932
Amount Expended for Fiscal Year: \$147,932
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 797 7th Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10019
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity Provider

6. Vendor Name: Bank of America N.A.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 03/19/2008
End Date: 03/18/2013
Amount: \$1,755,244
Amount Expended for Fiscal Year: \$1,755,244
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: PO Box 660576
Address Line2:
City: DALLAS
State: TX
Postal Code: 75266
Plus 4:
Province Region:
Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

7. Vendor Name: Bank of New York Mellon

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 11/18/2010
End Date: 11/18/2013
Amount: \$579,106
Amount Expended for Fiscal Year: \$579,106
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1 Wall St
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

8. Vendor Name: Bank of New York Mellon

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$383,137
Amount Expended for Fiscal Year: \$383,137
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 1 Wall St
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10005
Plus 4:
Province Region:
Country: USA
Procurement Description: Trustee

Procurement Transactions Listing:

9. Vendor Name: Bank of Nova Scotia

10. Vendor Name: Bank of Tokyo-Mitsubishi UFJ

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/30/2006
 End Date: 11/01/2016
 Amount: \$269,081
 Amount Expended for Fiscal Year: \$269,081
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10008
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/04/2012
 End Date: 10/02/2015
 Amount: \$483,302
 Amount Expended for Fiscal Year: \$483,302
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1251 Avenue of the Americas
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10020
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

11. Vendor Name: Barclays Bank PLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/01/2009
End Date:
Amount: \$1,431,693
Amount Expended for Fiscal Year: \$1,431,693
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 745 7th Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

12. Vendor Name: Barclays Capital Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/15/2009
End Date:
Amount: \$857,693
Amount Expended for Fiscal Year: \$857,693
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 745 7th Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

13. Vendor Name: Bayern LB

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/06/2003
End Date: 11/30/2015
Amount: \$223,506
Amount Expended for Fiscal Year: \$223,506
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 560 Lexington
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

14. Vendor Name: Bond Logistix LLC

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/01/2005
End Date: 06/30/2015
Amount: \$30,000
Amount Expended for Fiscal Year: \$30,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 666 Fifth Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10103
Plus 4:
Province Region:
Country: USA
Procurement Description: Arbitrage Rebate

Procurement Transactions Listing:

<p>15. Vendor Name: Castleoak Securities</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 12/20/2012 End Date: Amount: \$8,125 Amount Expended for Fiscal Year: \$8,125 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 199 Water Street Address Line2: City: NEW YORK State: NY Postal Code: 10038 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriter</p>	<p>16. Vendor Name: Citigroup Global Markets</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 02/02/2008 End Date: Amount: \$1,080,146 Amount Expended for Fiscal Year: \$1,080,146 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 390 Greenwich St. Address Line2: City: NEW YORK State: NY Postal Code: 10013 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>
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Procurement Transactions Listing:

17. Vendor Name: DeSantis

Type of Procurement: Technology - Consulting/Development or Sup
Award Process: Authority Contract - Competitive Bid
Award Date: 09/12/2011
End Date: 02/28/2012
Amount: \$19,050
Amount Expended for Fiscal Year: \$19,050
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 30 WEST 21ST STREET
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10010
Plus 4:
Province Region:
Country: USA
Procurement Description: CAFR Design

18. Vendor Name: Deloitte & Touche LLP

Type of Procurement: Consulting Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/30/2008
End Date: 06/30/2013
Amount: \$265,000
Amount Expended for Fiscal Year: \$265,000
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: Two World Financial Center
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10281
Plus 4:
Province Region:
Country: USA
Procurement Description: Auditors

Procurement Transactions Listing:

19. Vendor Name: Dexia Credit Locale

20. Vendor Name: Drexel Hamilton LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/15/2001
 End Date: 05/15/2004
 Amount: \$575,648
 Amount Expended for Fiscal Year: \$575,648
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 445 Park Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/10/2011
 End Date: 06/30/2014
 Amount: \$100,000
 Amount Expended for Fiscal Year: \$100,000
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 40 Wall Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Pricing Advisor

Procurement Transactions Listing:

21. Vendor Name: Fidelity Capital Markets

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/15/2009
End Date:
Amount: \$60,750
Amount Expended for Fiscal Year: \$60,750
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway New York
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10006
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

22. Vendor Name: Goldman Sachs & Co.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$682,753
Amount Expended for Fiscal Year: \$682,753
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 85 Broad Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10004
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

23. Vendor Name: JPMorgan Chase Bank N.A.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/15/2001
End Date: 05/15/2004
Amount: \$3,770,720
Amount Expended for Fiscal Year: \$3,770,720
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

24. Vendor Name: JPMorgan Securities Inc.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 02/02/2008
End Date:
Amount: \$399,652
Amount Expended for Fiscal Year: \$399,652
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

25. Vendor Name: Jefferies & Company

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/15/2009
End Date:
Amount: \$359,320
Amount Expended for Fiscal Year: \$359,320
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 520 Madison Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

26. Vendor Name: KBC Bank N.V.

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 03/03/2011
End Date: 03/03/2014
Amount: \$745,476
Amount Expended for Fiscal Year: \$745,476
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: Havenlaan 2
Address Line2:
City: Brussels
State:
Postal Code: 1080
Plus 4:
Province Region:
Country: Other
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

27. Vendor Name: Lamont Financial Services Corporation

28. Vendor Name: Landesbank (Helaba)

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/10/2011
 End Date:
 Amount: \$163,757
 Amount Expended for Fiscal Year: \$163,757
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 201 Willowbrook Blvd.
 Address Line2:
 City: WAYNE
 State: NJ
 Postal Code: 07470
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Financial Advisor

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 08/07/2008
 End Date: 08/07/2013
 Amount: \$3,209,567
 Amount Expended for Fiscal Year: \$3,209,567
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 420 Fifth Avenue
 Address Line2: 24th fl
 City: NEW YORK
 State: NY
 Postal Code: 10018
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

Procurement Transactions Listing:

29. Vendor Name: Lebenthal and Co. LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/15/2009
 End Date:
 Amount: \$124,925
 Amount Expended for Fiscal Year: \$124,925
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 521 Fifth Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10175
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

30. Vendor Name: Loop Capital Markets LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$33,031
 Amount Expended for Fiscal Year: \$33,031
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 88 Pine Street
 Address Line2: 24th fl
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

31. Vendor Name: M.R. Beal & Company

32. Vendor Name: Merrill Lynch PF&S Inc.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$47,101
 Amount Expended for Fiscal Year: \$47,101
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 110 Wall Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$875,644
 Amount Expended for Fiscal Year: \$875,644
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 4 World Financial Ctr
 Address Line2: north
 City: NEW YORK
 State: NY
 Postal Code: 10080
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

33. Vendor Name: Mizuho Coporate Bank Ltd.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/29/2011
 End Date: 09/29/2014
 Amount: \$1,329,430
 Amount Expended for Fiscal Year: \$1,329,430
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1251 6th Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10012
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Liquidity Provider

34. Vendor Name: Morgan Stanley & Co.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$507,998
 Amount Expended for Fiscal Year: \$507,998
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1221 Avenue of the Americas
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10020
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

35. Vendor Name: Nixon Peabody LLP

Type of Procurement: Legal Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 01/01/2011
 End Date: 12/31/2012
 Amount: \$258,500
 Amount Expended for Fiscal Year: \$258,500
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 437 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Bond Counsel Disclosure

36. Vendor Name: Oppenheimer & Co. Inc.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/15/2009
 End Date:
 Amount: \$26,963
 Amount Expended for Fiscal Year: \$26,963
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 85 Broad Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

37. Vendor Name: Orrick Herrington & Sutcliffe LLP

Type of Procurement: Legal Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 07/01/2006
 End Date: 12/31/2012
 Amount: \$935,020
 Amount Expended for Fiscal Year: \$935,020
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 666 Fifth Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10103
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Bond Counsel

38. Vendor Name: PFM Asset Management LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/10/2012
 End Date: 05/09/2015
 Amount: \$364,355
 Amount Expended for Fiscal Year: \$364,355
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 60 Broad St New Yor
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Financial Advisor

Procurement Transactions Listing:

39. Vendor Name: PNC Bank NA

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 05/10/2012
 End Date: 05/09/2015
 Amount: \$205,673
 Amount Expended for Fiscal Year: \$205,673
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 340 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

40. Vendor Name: PNC Capital Markets LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 12/20/2012
 End Date:
 Amount: \$19,590
 Amount Expended for Fiscal Year: \$19,590
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 340 Madison Ave
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriter

Procurement Transactions Listing:

41. Vendor Name: Piper Jaffray

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$36,788
 Amount Expended for Fiscal Year: \$36,788
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 345 Park Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10167
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

42. Vendor Name: RBC Capital Markets

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$287,022
 Amount Expended for Fiscal Year: \$287,022
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: One Liberty Plaza 165 Broadway
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Procurement Transactions Listing:

43. Vendor Name: RR Donnelly

Type of Procurement: Other Professional Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 03/15/2011
 End Date:
 Amount: \$147,413
 Amount Expended for Fiscal Year: \$147,413
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 255 Greenwich #B
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10007
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Printer for OS

44. Vendor Name: Raftelis Financial Consultants

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/12/2011
 End Date: 09/11/2014
 Amount: \$52,845
 Amount Expended for Fiscal Year: \$52,845
 Fair market value:
 Explain why the fair market value is less than the amount:

Address Line1: 201 S. Lake Avenue
 Address Line2:
 City: PASADENA
 State: CA
 Postal Code: 91101
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Rate Consultant

Procurement Transactions Listing:

45. Vendor Name: Ramirez & Co.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/15/2009
 End Date:
 Amount: \$581,416
 Amount Expended for Fiscal Year: \$581,416
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 61 Broadway New York
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

46. Vendor Name: Raymond James & Associates Inc.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$1,089,999
 Amount Expended for Fiscal Year: \$1,089,999
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 250 Park Avenue
 Address Line2: 2nd fl
 City: NEW YORK
 State: NY
 Postal Code: 10177
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Transactions Listing:

47. Vendor Name: Rice Financial Products Company

48. Vendor Name: Roosevelt and Cross Incorporated

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/15/2009
 End Date:
 Amount: \$56,160
 Amount Expended for Fiscal Year: \$56,160
 Fair market value:

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$29,650
 Amount Expended for Fiscal Year: \$29,650
 Fair market value:

Explain why the fair market value is less than the amount:

Explain why the fair market value is less than the amount:

Address Line1: 17 State Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10004
 Plus 4:
 Province Region:
 Country: USA

Address Line1: One Exchange Plaza
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10006
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Underwriters

Procurement Description: Underwriters

Procurement Transactions Listing:

49. Vendor Name: Royal Bank of Canada

50. Vendor Name: SWAP Financial Group LLC

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 03/19/2008
 End Date: 03/18/2013
 Amount: \$2,143,339
 Amount Expended for Fiscal Year: \$2,143,339
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 12 East 49th Stree
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10017
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/10/2011
 End Date: 06/30/2016
 Amount: \$163,902
 Amount Expended for Fiscal Year: \$163,902
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 76 S Orange Ave #6
 Address Line2:
 City: SOUTH ORANGE
 State: NJ
 Postal Code: 07079
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Swap Advisor

Procurement Transactions Listing:

51. Vendor Name: Siebert Brandford Shank & Co. LLC

52. Vendor Name: State Street Bank & trust Company N.A.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 02/02/2008
 End Date:
 Amount: \$134,613
 Amount Expended for Fiscal Year: \$134,613
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 100 Wall Street
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10005
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 10/27/2005
 End Date: 10/27/2012
 Amount: \$1,036,612
 Amount Expended for Fiscal Year: \$1,036,612
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 225 Franklin Street
 Address Line2:
 City: BOSTON
 State: MA
 Postal Code: 02110
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

53. Vendor Name: Stifel Nicolaus & Company

54. Vendor Name: Sumitomo Mitsui Banking Corp.

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/15/2009
 End Date:
 Amount: \$17,500
 Amount Expended for Fiscal Year: \$17,500
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 237 Park Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10175
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Underwriters

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 06/20/2008
 End Date: 06/07/2015
 Amount: \$886,793
 Amount Expended for Fiscal Year: \$886,793
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 277 Park Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10172
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

<p>55. Vendor Name: TD Bank NA</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 11/18/2010 End Date: 11/18/2013 Amount: \$655,608 Amount Expended for Fiscal Year: \$655,608 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 31 W 52nd St Address Line2: City: NEW YORK State: NY Postal Code: 10019 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Liquidity Provider</p>	<p>56. Vendor Name: TD Securities</p> <p>Type of Procurement: Financial Services Award Process: Authority Contract - Competitive Bid Award Date: 09/15/2009 End Date: Amount: \$84,454 Amount Expended for Fiscal Year: \$84,454 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 31 W 52nd St Address Line2: City: NEW YORK State: NY Postal Code: 10019 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Underwriters</p>
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Procurement Transactions Listing:

57. Vendor Name: The Bank of Tokyo-Mitsubishi UFJ Ltd

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 11/10/2011
 End Date: 06/30/2012
 Amount: \$614,634
 Amount Expended for Fiscal Year: \$614,634
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1251 Avenue of the Americas
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10020
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Auditors

58. Vendor Name: US Bank

Type of Procurement: Financial Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 06/25/2009
 End Date: 06/25/2014
 Amount: \$2,940,220
 Amount Expended for Fiscal Year: \$2,940,220
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue
 Address Line2:
 City: NEW YORK
 State: NY
 Postal Code: 10022
 Plus 4:
 Province Region:
 Country: USA
 Procurement Description: Liquidity Provider

Procurement Transactions Listing:

59. Vendor Name: US Bank

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/15/2009
End Date:
Amount: \$437,269
Amount Expended for Fiscal Year: \$437,269
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 461 5th Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10022
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

60. Vendor Name: Wells Fargo Bank NA

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/25/2009
End Date: 06/25/2014
Amount: \$395,076
Amount Expended for Fiscal Year: \$395,076
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Liquidity Provider

Procurement Transactions Listing:

61. Vendor Name: Wells Fargo Bank NA

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 09/15/2009
End Date:
Amount: \$394,610
Amount Expended for Fiscal Year: \$394,610
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 330 Madison Ave
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4:
Province Region:
Country: USA
Procurement Description: Underwriters

Procurement Transactions Listing:

Additional Comments: