

Procurement-Information:

Question	Response	URL (if applicable)
1. Does the Authority have procurement guidelines?	Yes	http://www.nyc.gov/html/nycwaterboard/pdf/documents_and_policies/procurement.pdf
2. Are the procurement guidelines reviewed annually, amended if needed, and approved by the Board?	No	
3. Does the Authority allow for exceptions to the procurement guidelines?	No	
4. Does the Authority assign credit cards to employees for travel and/or business purchases?	No	
5. Does the Authority require prospective bidders to sign a non-collusion agreement?	Yes	
6. Does the Authority incorporate a summary of its procurement policies and prohibitions in its solicitation of proposals, bid documents or specifications for procurement contracts?.	Yes	
7. Did the Authority designate a person or persons to serve as the authorized contact on a specific procurement, in accordance with Section 139-j(2)(a) of the State Finance Law, "The Procurement Lobbying Act"?	Yes	
8. Did the Authority determine that a vendor had impermissible contact during a procurement or attempted to influence the procurement during the reporting period, in accordance with Section 139-j(10) of the State Finance Law?	No	
8a If Yes, was a record made of this impermissible contact?		
9. Does the Authority have a process to review and investigate allegations of impermissible contact during a procurement, and to impose sanctions in instances where violations have occurred, in accordance with Section 139-j(9) of the State Finance Law?	Yes	

Procurement Transactions Listing:

<p>1. Vendor Name: Amawalk</p> <p>Type of Procurement: Consulting Services Award Process: Authority Contract - Competitive Bid Award Date: 03/19/2012 End Date: 03/19/2015 Amount: \$525,000 Amount Expended for Fiscal Year: \$177,835</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 26 Broadway, Suite 950 Address Line2: City: NEW YORK State: NY Postal Code: 10004 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Rate consulting services</p>	<p>2. Vendor Name: American Water Resources, LLC</p> <p>Type of Procurement: Other Professional Services Award Process: Authority Contract - Competitive Bid Award Date: 01/10/2013 End Date: 01/10/2018 Amount: \$2,280,670.28 Amount Expended for Fiscal Year: \$2,280,670.28</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 1025 Laurel Oak Road Address Line2: City: VOORHEES State: NJ Postal Code: 08043 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Water service line and sewer service line protection programs</p>
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Procurement Transactions Listing:

3. Vendor Name: Bank of New York Mellon

Type of Procurement: Financial Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/01/2006
End Date: 12/31/2013
Amount: \$4,751,770
Amount Expended for Fiscal Year: \$684,798.18
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 500 Ross Street
Address Line2: Suite 1380
City: PITTSBURGH
State: PA
Postal Code: 15262
Plus 4:
Province Region:
Country: USA

Procurement Description: Lockbox payment processing

4. Vendor Name: Bruce M. Goodman

Type of Procurement: Consulting Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 12/01/2006
End Date: 10/31/2012
Amount: \$300,000
Amount Expended for Fiscal Year: \$19,875
Fair market value: \$300,000
Explain why the fair market value is less than the amount:

Address Line1: 15 Kensington Oval
Address Line2:
City: NEW ROCHELLE
State: NY
Postal Code: 10805
Plus 4:
Province Region:
Country: USA

Procurement Description: Review fixed asset policies

Procurement Transactions Listing:

5. Vendor Name: CH2M Hill New York, Inc.

Type of Procurement: Consulting Services
 Award Process: Authority Contract - Non-Competitive Bid
 Award Date: 10/01/2010
 End Date: 12/31/2012
 Amount: \$2,855,309
 Amount Expended for Fiscal Year: \$393,996.79
 Fair market value: \$2,855,309

Explain why the fair market value is less than the amount:

Address Line1: 14 Penn Plaza
 Address Line2: Suite 814
 City: NEW YORK
 State: NY
 Postal Code: 10122
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Capital Program Management Information System

6. Vendor Name: Canon Financial Services, Inc.

Type of Procurement: Commodities/Supplies
 Award Process: Non Contract Procurement/Purchase Order
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$8,900.04
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 14904 Collections Center Drive
 Address Line2:
 City: CHICAGO
 State: IL
 Postal Code: 60693
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Copier lease and maintenance

Procurement Transactions Listing:

7. Vendor Name: Creative Media Agency, LLC

Type of Procurement: Other Professional Services
Award Process: Non Contract Procurement/Purchase Order
Award Date:
End Date:
Amount:
Amount Expended for Fiscal Year: \$51,454.82
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 393 Jericho Turnpike
Address Line2: Suite 300
City: MINEOLA
State: NY
Postal Code: 11501
Plus 4:
Province Region:
Country: USA

Procurement Description: Advertising for public notices.

8. Vendor Name: Durbhakula Muralidhar

Type of Procurement: Consulting Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 07/06/2012
End Date: 07/06/2014
Amount: \$95,000
Amount Expended for Fiscal Year: \$85,000
Fair market value: \$95,000
Explain why the fair market value is less than the amount:

Address Line1: 742 Summer Walk Drive
Address Line2:
City: GAITHERSBURG
State: MD
Postal Code: 20878
Plus 4:
Province Region:
Country: USA

Procurement Description: Water resources consulting services

Procurement Transactions Listing:

<p>9. Vendor Name: FedEx</p> <p>Type of Procurement: Other</p> <p>Award Process: Non Contract Procurement/Purchase Order</p> <p>Award Date:</p> <p>End Date:</p> <p>Amount:</p> <p>Amount Expended for Fiscal Year: \$12,810.51</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: P.O. Box 371461</p> <p>Address Line2:</p> <p>City: PITTSBURGH</p> <p>State: PA</p> <p>Postal Code: 15250</p> <p>Plus 4: 7461</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Package delivery</p>	<p>10. Vendor Name: Hazen and Sawyer - Leggette, Brashears and Graham (Joint Venture)</p> <p>Type of Procurement: Consulting Services</p> <p>Award Process: Authority Contract - Competitive Bid</p> <p>Award Date: 01/05/2009</p> <p>End Date: 01/01/2014</p> <p>Amount: \$750,000</p> <p>Amount Expended for Fiscal Year: \$10,440.52</p> <p>Fair market value:</p> <p>Explain why the fair market value is less than the amount:</p> <p>Address Line1: 498 Seventh Avenue, 11th floor</p> <p>Address Line2:</p> <p>City: NEW YORK</p> <p>State: NY</p> <p>Postal Code: 10018</p> <p>Plus 4:</p> <p>Province Region:</p> <p>Country: USA</p> <p>Procurement Description: Natural gas drilling assessment</p>
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Procurement Transactions Listing:

11. Vendor Name: JPMorgan Chase Bank, N.A.

Type of Procurement: Financial Services
Award Process: Authority Contract - Non-Competitive Bid
Award Date: 07/01/2012
End Date:
Amount: \$24,942.64
Amount Expended for Fiscal Year: \$24,942.64
Fair market value: \$24,942.64

Explain why the fair market value is less than the amount:

Address Line1: 270 Park Avenue, 43rd Floor
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10017
Plus 4: 2014
Province Region:
Country: USA

Procurement Description: Bank account maintenance fees

12. Vendor Name: KPMG Corporate Finance LLC

Type of Procurement: Consulting Services
Award Process: Authority Contract - Competitive Bid
Award Date: 05/09/2011
End Date: 05/08/2014
Amount: \$1,240,000
Amount Expended for Fiscal Year: \$101,201
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 345 Park Avenue
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10154
Plus 4:
Province Region:
Country: USA

Procurement Description: Financial advisory services for the consideration and potential procurement of public/private partnerships.

Procurement Transactions Listing:

13. Vendor Name: Leopold, Gross & Sommers, P.C.

Type of Procurement: Legal Services
Award Process: Authority Contract - Competitive Bid
Award Date: 06/06/2011
End Date: 12/31/2014
Amount: \$16,556.39
Amount Expended for Fiscal Year: \$16,556.39
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 16 Court Street, Suite 1903
Address Line2:
City: BROOKLYN
State: NY
Postal Code: 11241
Plus 4:
Province Region:
Country: USA

Procurement Description: Reimbursement to the New York City Law Department for fees paid to Leopold, Gross & Sommers, P.C., for the collection and litigation of claims and judgments relating to delinquent water and sewer charges.

14. Vendor Name: Malcolm Pirnie- CH2MHill New York (Joint Venture)

Type of Procurement: Design and Construction/Maintenance
Award Process: Authority Contract - Competitive Bid
Award Date: 12/12/2008
End Date: 12/31/2012
Amount: \$9,869,251
Amount Expended for Fiscal Year: \$1,677,565.06
Fair market value:
Explain why the fair market value is less than the amount:

Address Line1: 27-01 Queens Plaza, Suite 800
Address Line2:
City: LONG ISLAND CITY
State: NY
Postal Code: 11101
Plus 4:
Province Region:
Country: USA

Procurement Description: Contamination Warning System Pilot Project

Procurement Transactions Listing:

15. Vendor Name: Raftelis Financial Consultants, Inc.

16. Vendor Name: SolutionSoft Systems, Inc

Type of Procurement: Consulting Services
 Award Process: Authority Contract - Competitive Bid
 Award Date: 09/28/2011
 End Date: 09/27/2014
 Amount: \$525,000
 Amount Expended for Fiscal Year: \$10,259.95
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 1031 S. Caldwell Street, Suite 100
 Address Line2:
 City: CHARLOTTE
 State: NC
 Postal Code: 28203
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Rate consulting services

Type of Procurement: Technology - Software
 Award Process: Non Contract Procurement/Purchase Order
 Award Date:
 End Date:
 Amount:
 Amount Expended for Fiscal Year: \$8,770
 Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 2350 Mission College Blvd., Ste.777
 Address Line2:
 City: SANTA CLARA
 State: CA
 Postal Code: 95054
 Plus 4:
 Province Region:
 Country: USA

Procurement Description: Windows software licenses and support

Procurement Transactions Listing:

<p>17. Vendor Name: The Town of Wappinger</p> <p>Type of Procurement: Consulting Services Award Process: Purchased Under State Contract Award Date: End Date: Amount: Amount Expended for Fiscal Year: \$99,968.42 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 20 Middlebush Road Address Line2: City: WAPPINGERS FALLS State: NY Postal Code: 12590 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Water supply project for the Town of Wappinger</p>	<p>18. Vendor Name: Veolia Water North America Operating Services, LLC</p> <p>Type of Procurement: Consulting Services Award Process: Authority Contract - Competitive Bid Award Date: 11/01/2011 End Date: 06/26/2016 Amount: \$36,000,000 Amount Expended for Fiscal Year: \$5,359,681.5 Fair market value: Explain why the fair market value is less than the amount:</p> <p>Address Line1: 7 Penn Plaza Address Line2: 370 7th Avenue, Suite 704 City: NEW YORK State: NY Postal Code: 10001 Plus 4: Province Region: Country: USA</p> <p>Procurement Description: Operational efficiencies consultant</p>
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Procurement Transactions Listing:

19. Vendor Name: Willis of New York, Inc.

Type of Procurement: Consulting Services
Award Process: Authority Contract - Competitive Bid
Award Date: 07/19/2011
End Date: 07/18/2014
Amount: \$300,000
Amount Expended for Fiscal Year: \$24,769.5
Fair market value:

Explain why the fair market value is less than the amount:

Address Line1: 200 Liberty Street
Address Line2:
City: NEW YORK
State: NY
Postal Code: 10281
Plus 4:
Province Region:
Country: USA
Procurement Description: Risk and insurance consulting services

Procurement Transactions Listing:

Additional Comments: